OMB Approval: 0930-0169 Expiration Date: X/XX/XXXX

# Protection and Advocacy for Individuals with Mental Illness (PAIMI)

Annual Program Performance Report (PPR)

Substance Abuse and Mental Health Services Administration (SAMHSA) U.S. Department of Health and Human Services

#### **Table of Contents**

#### **Section A: General Program Information**

- 1) P&A Identification
- 2) Main Office
- 3) Other Offices (if any)
- 4) Executive Director/Chief Executive Officer Contact Information
- 5) PPR Preparer Contact Information
- 6) Governing Authority President/Chair
- 7) PAIMI Advisory Council President/Chair
- 8) Name of P&A Chief Financial Officer/Accountant
- 9) Governor's Liaison
- 10) Commissioner/Director of the state Mental Health Agency Name
- 11) Demographic composition of Governing Board, Advisory Council and PAIMI staff
- 12) Number of mental health professionals (social workers, psychologists, psychiatric nurses, psychiatrists, psychiatric nurse practitioners, peer support specialists, other) on the Advisory Council.
- 13) Governing Board (GB) Type and Number of Members
- 14) Governing Board Information
- 15) Governing Board Composition
- 16) PAIMI Advisory Council
- 17) Staff charging time to the PAIMI Program

#### **Section B: Demographics - Interventions on behalf of Individuals**

- 1) Age of PAIMI-eligible Individuals Served
- 2) Gender Identity of PAIMI-eligible Individuals Served
- 3) Ethnicity and Race of Individuals Served
- 4) PAIMI-eligible Individuals served with PAIMI Program funds
- 5) Living Arrangements of PAIMI-eligible Individuals at Intake

#### Section C: Complaints/Problems of PAIMI-eligible Individuals

- 1) Complaints/Problems of PAIMI-eligible Individuals Abuse
- 2) Abuse Complaints Disposition
- 3) Complaints/Problems of PAIMI-eligible Individuals Neglect
- 4) Neglect Complaints Disposition
- 5) Complaints/Problems of PAIMI-eligible Individuals Rights Violations
- 6) Rights Violations Complaints Disposition
- 7) Reasons for Closing Individual Advocacy Case Files
- 8) Intervention Strategies

- 9) Death Investigation Activities
  - 1. The number of deaths of individuals reported to the P&A for investigation by category
  - 2. All Death investigations conducted involving PAIMI-eligible individuals by category.
  - 3. Brief summary examples of an individual's death, P&A involvement, and outcome.
- 10) Intervention on Behalf of Groups of PAIMI-eligible Individuals (count by type).
- 11) Intervention on Behalf of Groups of PAIMI-eligible Individuals (number and outcome by type of intervention).
- 12) End Outcomes of P&A Activities

#### Section D: Non-Client Directed Advocacy Activities

- 1) Individual Information and Referral
- 2) State Mental Health Planning Activities
- 3) Education, Public Awareness Activities and Events
- 4) Technical Assistance

**Section E: Grievance Procedures** 

**Section F: Other Services and Activities** 

Section G: Actual PAIMI Budget/Expenditures for FY\_\_\_\_

**Section H: Statement of Goals and Priorities** 

1) Report on previous FY Statement of Priorities and Objectives (SPO)

# Section A: General Program Information for FY\_\_\_

1.P&A Identification	
Name of state/jurisdiction	
Name of P&A system	
2. Main Office	
Mailing address of main office	
Phone number of main office	
Toll free Phone Number	
E-mail address	
Website address	
TTY phone number or Relay	
County or Main Office	
<b>3. Other Offices (</b> if any - add rows	s, if needed)
Mailing address (each satellite office)	
County of each satellite office (location)	
4. Executive Director/Chief Execu	utive Officer Contact Information
Name	
Address	
Phone number & extension	
E-mail address	
5. PPR Preparer Contact Information	ation
Name	
Title	
Phone number & extension	
E-mail address	
6 Coverning Deard Dresident/Ch	anin .
6. Governing Board President/Ch	
Mailing address	
County of residence	

E-mail addr	ess				
Current terr	n started				
Current terr	n expires				
7. PAIMI A	dvisory Council Presi	dent/Chair Name			
Name	authory Country				
Mailing add	lress				
County of r					
E-mail addr					
Current terr	n started				
Current terr	n expires				
R Name of	P&A Chief Financial	Officer/Accountant			
Name	1 G/1 Cinci i munciui				
Title					
Phone					
E-mail addr	ess				_
9. Governo	w's I inisan				
Name	r 8 Liaisuii				_
Official title	2				
Mailing add					
Phone numl					_
E-mail addr					
10 C	:/D:	Cana Manad III alda /	N		
Name	ioner/Director of the	State Mental Health F	agency		
Mailing add	lrocc				
Phone numl					
E-mail addr					
L-man addi	<b>C</b> 55				_
11. Demogra	phic Composition of I	PAIMI Governing Bo	ard, Advisory Counc	il, and Program Stat	ff
		Governing Board	Advisory Council	Program Staff	
	Hispanic/Latino				
Ethnicity	1				

Non-Hispanic/Latino

	Ethnicity Unknown		
	American Indian/ Alaska Native		
Race	Asian		
	Native Hawaiian or Other Pacific Islander		
	Black/African American		
	White		
	Two or more races		
	Some other race		
	Race unknown		
Gender	Female		
Gender	Male		
	Transgender (Trans Woman)		
	Transgender (Trans Man)		
	Two-Spirit (if Client is AIAN)		
	Gender Non- Conforming		
	Other (if use a different term)		
	Prefer not to say		
Sexual	Lesbian or gay		
Orientation	Straight (not lesbian or gay)		
	Bisexual		
	Other (if use a different term)		
	Prefer not to say		

12. Number of Mental Health Professionals on the Advisory Council (social workers, psychologists, psychiatric nurses, psychiatrists, psychiatric nurse practitioners). Number on Advisory Council Professional Category Social Worker **Psychologist** Psychiatric Nurse **Psychiatrist** Psychiatric Nurse Practitioner Peer Support Specialist Other (Identify the professional in the Footnotes) Total: 13. Governing Board (GB) Type and Number of Members Included in Governing Board Information Governing board Minimum number of members Maximum number of members Private, non-profit with multi-Member State-operated with governing Board State-operated with no governing board 14. Governing Board Information Total seats available Total members serving as of 9/30/ Total vacancies on 9/30/ Term of appointment (number of years) Term maximum Meeting frequency Number of meetings held this fiscal year (FY) Percentage of members present at meetings during the FY 15. Governing Board Composition Number of individuals with mental illness who are recipients/former recipients (R/FR) of mental health services or have been eligible for services.

Number of family members of individuals with mental illness who are R/FR of mental health services, guardians, advocates or authorized representatives or other persons who broadly represent or are knowledgeable about the needs of clients served by the P&A system.							7			
Total										
16. PAIMI Advisory (	Council (	PAC)								
			PAG	C Chair						
Sits on the governing bo	ard							Yes		] No
Appointment date										
									/DD/YYY	
Other PAC member(s) s	it on gove	erning b	oard					Yes		] No
If yes, number serving										
17. Staff charging tim	e to the I	PAIMI I	Progran	1						
	Number of Attorneys	Full-time	Part-time	Male	Female	Number of	Full-time	Part-time	Male	Female
Ethnicity										
Hispanic/Latino (of any race)										
Non-Hispanic/ Latino										
Race										
American Indian/ Alaska Native										
Asian										
Black/African American										
Native Hawaiian/										

Pacific Islander

White					
Two or more races					
Some other race					
Race unknown					

**Section B: Demographics** 

1. Age of PAIMI-eligible Individuals Served

Age	Number
0-2	
3-5	
6-10	
11-22	
23-64	
65 <sup>+</sup>	
Prefer not to say	
Total	

2. Gender and Sexual Orientation of PAIMI-eligible Individuals Served

Gender	Number
Female	
Male	
Transgender	
(Trans Woman)	
Transgender	
(Trans Man)	
Two-Spirit (if Client is AIAN)	
Gender Non-Conforming	
Other (if use a different term)	
Prefer not to say	

Total	
Sexual Orientation	Number
Lesbian or gay	
Straight (not lesbian or gay)	
Bisexual	
Other (if use a different term)	
Prefer not to say	
Total	

3. Ethnicity and Race of Individuals Served

Ethnicity	Number	PAIMI%	State%
Hispanic/Latino (of any race)			
Non-Hispanic/Latino			
Ethnicity unknown			
Total			

Race	Number	PAIMI%	State%
American Indian/Alaska Native			
Asian			
Black/African American			
Native Hawaiian/Pacific Islander			
White			
Two or more races			
Some other race			
Race unknown			
Total			

# 4. PAIMI-eligible Individuals Served with PAIMI Program Funds

What to Count	Number
1. Number of PAIMI-eligible individuals continued to be served with PAIMI program funds, including any program income resulting from legal actions supported by PAIMI program funds as of October 1, from the previous FY into the reporting year.	
2. Number of new PAIMI-eligible individuals served during the reporting year.	
3. Total number of PAIMI-eligible individuals served during this FY (add lines 4.1 and 4.2).	
4. Individuals with more than one intervention opened/closed during the reporting year	
5. Individuals with a co-occurring mental illness and Intellectual and Developmental Disability (IDD).	
6. Total number of PAIMI-eligible individuals who requested program related advocacy services during the reporting year, but were <b>not</b> served within 30-days of initial contact due to:	
a. insufficient PAIMI program resources	
b. non-priority areas.	
7. Individuals served as of September 30 and will be carried over to next reporting year (This should equal ≤ item 3 above).	

5. Living Arrangements of PAIMI-eligible Individuals at Intake

Living Arrangement	Number
Community residential home for children/youth up to age 18 yrs.	
Community residential home for adults	
Non-medical community-based residential facility for children/youth	
Foster care	
Nursing homes, including skilled nursing facilities	
Intermediate care facilities	
Public and Private general hospitals including emergency rooms	
Public institutional living arrangement	
Private institutional living arrangement	
Psychiatric hospitals (public/private)	
a. public/state b. private	
Jails	
State prison	

Federal detention center	
Federal prison	
Veterans' administration hospital/Clinic	
Other federal facility	
Homeless	
Independent (in the community & PAIMI-eligible)	
Parental or other family home & PAIMI-eligible	
Unknown	
Total	

## **Section C: Complaints/Problems of PAIMI-eligible Individuals**

1. Areas of Alleged Abuse

Number of complaints/problems (Make every effort to report within the following categories)	Number from Closed Cases only		Outcomes (will add col. J & K)							
	Total	A	В	С	D	E	F	G	Н	Ι
a. Inappropriate or excessive medication										
b. Inappropriate or excessive restraint and seclusion										
c. Involuntary medication										
d. Involuntary electrical convulsive therapy										
e. Involuntary aversive behavioral therapy										
f. Involuntary sterilization										
g. Physical assault										
h. Sexual assault										
i. Threats of retaliation or verbal abuse by facility staff										
j. Coercion										
k. Financial exploitation										
l. Suspicious death										
m. Other - Specify type of complaint (describe on a separate sheet)										
Total										

<sup>\*</sup>Expanded authorities under the Children's Health Act of 2000, Part H, section 592(a) and Part I Section 595, as codified respectively under Title V. Public Health Service Act, 42 U.S.C., at 290ii- 290ii and 290jj-1 -290jj-2 (See also, the PAIMI Act 42 U.S.C. 10802(1)(A) - (D)).

2. Abuse Complaints Disposition

2. For total closed cases listed in Table C.1., provide the number of abuse complaints/problems for each disposition category.

**Total** number of Abuse complaints/problem addressed from closed cases.

- a. Number of complaints/problems determined after investigation not to have merit.
- b. Number complaints/problems withdrawn or terminated by client.
- c. Number of complaints/problems resolved in the client's favor.
- d. Number of complaints/problems not resolved in the client's favor.

e. Other indicators of success or outcomes that resulted from P&A involvement.	
f. Other representation found.	
g. Services not needed due to client death or relocation.	
h. P&A withdrew due to conflict of interest or other reasons.	
i. Lost Contact	
j. Outcome Unknown	

3. Areas of Alleged Neglect

k. Lack of Resources

[Failure to provide for appropriate] - Number of complaints/problems:	Number from <i>Closed Cases</i> Only					Outco (will col.	add				
	Total	A	В	С	D	Е	F	G	Н	I	J

a) Failure to provide necessary or appropriate medical (other than psychiatric) treatment						
b) Failure to provide necessary or appropriate mental health treatment, including access to prescribed medication						
c) Failure to provide necessary or appropriate personal care and safety						
d) Failure to provide appropriate discharge planning or release from a residential care or treatment facility						
e) Mental health diagnostic or other evaluation (does not include treatment)						
f) Medical (non-mental health related) diagnostic or physical examination						
g) Other [Describe and make every effort to report within the above categories]						
Total						

# 4. Neglect Complaints Disposition

For total closed cases listed in Table C.3., provide the numbers of neglect complaints or proble for each disposition category.							
<b>Total</b> number of Neglect complaints/problem addressed from closed cases.							
a. Number of complaints/problems determined after investigation not to have merit.							
b. Number complaints/problems withdrawn or terminated by the client.							
c. Number of complaints/problems resolved in the client's favor.							
d. Number of complaints/problems not resolved in the client's favor.							
e. Other indicators of success or outcomes that resulted from P&A involvement.							
f. Other representation found,							
g. Services not needed due to client death or relocation							

h. P&A withdrew due to conflict of interest or other reasons.	
i. Lost Contact	
j. Outcome Unknown	
k. Lack of Resources	

5. Areas of Alleged Rights Violations

Number of Complaints/Problems	Number from Closed Cases only <b>Total</b>	Outcomes (will add col. K)  A B C D E F G H I								
a. Failure to provide an individualized, written treatment or service plan										
b. Failure to provide written discharge plan, including a description of mental health services needed upon discharge from such program or facility										
c. Failure to allow ongoing participation, appropriate to such person's capabilities, in the planning of mental health services (including the right to participate in the development and periodic revision of the plan)										
d. The right to refuse treatment										
e. The right to refuse to take prescribed medications										
f. The denial of financial benefits/entitlements (e.g., SSI, SSDI, Insurance)										
g. Guardianship/conservator problems  h. The denial of rights protection information or legal assistance, including adequate and appropriate representation during commitment hearings										
<ul><li>i. The denial of privacy rights (e.g., congregation, telephone calls, receiving mail)</li></ul>										

j. The denial of recreational opportunities (e.g., grounds access, television, and smoking)					
k. The denial of visitors					
l. The denial of access to or correction					
of records					
m. Breach of confidentiality of records (e.g., failure to obtain consent before disclosure)					
n. Failure to obtain informed consent					
o. Advance directives issues					
p. The denial of parental/family rights					
q. Housing Discrimination					
r. The denial of access to administrative or judicial process;					
s. Failure to provide educational services in the least restricted environment for PAIMI-eligible individuals					
t. The denial of access to community- based rehabilitation services and/or treatment					
u. The denial of access to transportation					
v. Employment Discrimination					
w. The denial of access to personal possessions					
x. Failure to comply with commitment regulations					
y. Failure to comply with commitment time frames					
z. Other [Please, make every effort to report within the above categories]					
Total					

## 6. Rights Violations Disposition

For closed cases listed in this Table, provide the number of rights complaints or problem areas for each disposition category.

**Total** number of Rights Violation complaints/problems addressed from closed cases.

a. Number of complaints/problems determined after investigation not to have merit.	
b. Number complaints/problems withdrawn or terminated by client.	
c. Number of complaints/problems resolved in the client's favor.	
d. Number of complaints/problems not resolved in the client's favor.	
e. Other indicators of success or outcomes that resulted from P&A involvement.	
f. Other representation found.	
g. Services not needed due to client death or relocation.	
h. P&A withdrew due to conflict of interest or other reasons.	
i. Lost Contact	
j. Outcome Unknown	
k. Lack of Resources	

7. Reasons for Closing Individual Advocacy Case File

Reasons for Closing Individual Advocacy Case File	Number
Client's objective was partially or fully met.	
Case or investigation lacked merit.	
Case withdrawn or terminated by the client.	
Issue favorably resolved.	
Issue not favorably resolved.	
Other success or outcomes due to P&A involvement (i.e., provided self-advocacy assistance)	
Other representation found.	
Services not needed due to client's death or relocation.	
P&A withdrew due to conflict of interest or other reasons (i.e., client would not cooperate).	
Appeal(s) unsuccessful.	
Other appropriate entity investigating.	
Lost Contact.	

Lack of Resources.	
Total	

8. Intervention Strategies (more columns will be added to match C.1., C.3. and C.5.)

		Outcomes																												
		Abuse					Neglect					Rights Violations																		
Strategy	Tot	al	A	В	С	D	Е	F	G	Н	I	J	A	В	С	D	Е	F	G	Н	I	A	В	С	D	E	F	G	Н	I
1. SAA																														
2. LA																														
3. TA																														
3. AR																														
4. L																														
5. A/N I																														
6. M																														
7. N																														
Total																														

- SAA Self Advocacy Assistance
   LA Limited Advocacy
- 3. TA Technical Assistance
- 4. AR Administrative Remedies
- 5. A/NI Abuse/Neglect Investigations
- 6. L Litigation
- 7. M Mediation
- 8. N Negotiation

#### 9. Death Investigation Activities

a). The number of deaths reported to the P&A for investigation by the following entities:	
1. State	
2. The Center for Medicaid & Medicare Services (Regional Offices). If zero means P&A did not receive any death reports from CMS for investigation, please note this ir Footnotes.	
3. Other Sources. Briefly list the source for each death reported in this category (e.g., newspaper, concerned citizen, relative, etc.).	
Total Number of deaths investigated.	

If the information requested in this section was not available, please explain.	
IN All I de la company de la la company de la company	
<b>b</b> ). All death investigations conducted involving PAIMI-eligible individuals related to the following:	
1. Number of deaths investigated involving incidents of seclusion (S).	
2. Number of deaths investigated involving incidents of abuse (A).	
3. Number of deaths investigated involving incidents of restraint (R).	
4. Number of deaths investigated <b>not</b> related to incidents of S & R.	
5. Death investigations with a finding or determination.	
6. Provision in policy added or prevented because of a death investigation	
Total Number of deaths investigated [Sum of 9b 1-6].	
c). Provide a brief summary example of an individual's death, P&A involvement, and o	utcome.
If you reported deaths in categories B.9.b., please provide the following information	on one
death from each category, as appropriate:	
1. A brief summary of the circumstances about the death.	
2. A brief description of P&A involvement in the death investigation.	
3. A summary of the outcome(s) resulting from the P&A death investigation.	
te – limit text field to 500 words)	
te mint text field to 500 words)	

 $10. \ \textbf{Number of Interventions on behalf of groups of PAIMI-eligible Individuals} - \textbf{Individuals} \\ \textbf{Impacted}$ 

Multiple counts not permitted for lines 1 - 3 and 6.

What to Count	Number
1. Group cases/projects still open on October 1 (carried over from prior FY(s)).	
2. New group cases/projects opened during the year.	
3. Total group cases/projects worked on during the year (add items 1 and 2 above).	
4. Total group cases/projects as of September 30 (carry over to next FY).	
5. Group cases/projects targeted at serving the following special populations:	
a. ethnicity	
b. racial minorities	
c. homeless	
d. veterans	
e. urban	
f. rural/frontier	
g. older adults/geriatric	
6. Total number of individuals impacted by line 3.	

11. Interventions on behalf of groups of PAIMI-eligible Individuals

5. E. Intervention Types (See the Instructions for Guidance)	Potential number of Individuals Impacted	Concluded Successfully	Concluded Unsuccessfully	On-going
Group Advocacy (non-litigation)				
Abuse and Neglect Investigations				
Non-death related				
Facility Monitoring Services				
Community Based Monitoring Services				
Court Ordered Monitoring				

Systemic Litigation		
Educating Policy Makers		
Other Systemic Advocacy		
Total		

#### 12. Performance Measures of P&A Activities

Specific Measures:	Number from Closed Cases only
a) PAIMI-eligible individuals who access community-based mental health or health care services that resulted in community integration and independence or are better able to advocate to do so;	·
b) PAIMI-eligible individuals who access benefits or services or are better able to advocate to do so;	
c) PAIMI-eligible individuals who live in a healthier, safer, improved, or more integrated settings or are better able to advocate to do so;	
d) PAIMI-eligible individuals are able to stay in their own home or better able to advocate to do so;	
e) PAIMI-eligible individuals who can secure or maintain employment and/or are not subject to workplace discrimination or are better able to advocate for to do so;	
f) PAIMI-eligible individuals who receive appropriate educational services and supports and/or are not subject to discrimination in educational settings or are better able to advocate for those outcomes;	
g) PAIMI-eligible individuals who go to school in safe and more humane conditions;	
h) PAIMI-eligible children (individuals) who receive appropriate services in the most integrated settings;	
i) PAIMI-eligible individuals who were not subject to discrimination in government benefits/services, housing, public accommodations, etc. or are better able to advocate for such outcomes;	
j) PAIMI-eligible individuals who were not subject to abuse, neglect, or rights violations or are better able to advocate for to do so;	
k) PAIMI-eligible individuals who can make their own decisions to the maximum extent feasible or are better able to advocate to do so;	
l) PAIMI-eligible individuals who had their rights enforced, retained, restored and/or expanded or are better able to advocate for to do so; and	
m) PAIMI-eligible individuals who were more able to participate in the voting process or are better able to advocate for to do so.	

## **Section D. Non-Client Directed Advocacy Activities**

## 1. Individual Information and Referral (I&R).

Provide the number of PAIMI Program I&R services.

Total								
2. State Mental Health Planning Activities								
3. Education, Public Awareness Activities, and Events								
List the number of public awareness activities or events <b>and</b> the number of individuals who received the information [Refer to Glossary].								
Number of public awareness activities or events.								
2. Number of education/training activities undertaken.								
3. Number (approximate) of persons trained in 2.								
4. Technical Assistance								
Provide the number of PAIMI Program TA services.								
Total								
Section E. Grievance Procedures [42 CFR S	Section 51.25]	l						
1. Do you have a systemic/program assurance grievance policy, as mandated by 42 CFR 51.25(a) (2)?  □ Yes □ (If no, please indicate to developed policy is/ / /								
2. The number of grievances filed by PAIMI-eligible clients, including members of such individuals receiving services during this fiscal year.	-	es or family						
Total								
3. The number of grievances filed by prospective PAIMI-eligible clients (those who were not served due to limited PAIMI program resources or because of non-priority issues).								
Total [42 CFR Section 1.25(a)(1)(2)]								
4. The number of grievances appealed to:								
4.a. The governing authority/board								

4.b. The Executive Director	
Total 4.a. & 4.b.	
5. The number of reports sent to the governing board <b>and</b> the advisory board.	
Total	
6. Please identify all individuals (name & title), responsible for grievance reviews.  Name & title  Name & title  Name & title  Name & title	
7. What is the timetable (in days) used to ensure prompt notification of the grievance procedulents, prospective clients or persons denied representation, and ensure prompt resolution	-
Number of days	
8. Were written responses sent to each grievant? $\Box$ Yes $\Box$ No (if no, explain below).	

9. Was client confidentiality protected? □Yes □No (if no, explain below)		
Section F. Other Services and Activities		
Does the P&A have procedures established for public comment?		
$\square$ Yes, (briefly describe how the notice is used to reach person with and their families).	mental i	llness
□No, (if no, briefly explain, limit to 500 characters).		
2. Were the notices provided to the following persons?		
a. Individuals with mental illness in residential facilities?	□Yes	□ No
b. Family members and representatives of such individuals?	□Yes	□ No
c. Other individuals with disabilities?	□Yes	□ No
d. Brief explanation is required for each <b>no</b> answer in 2.a., b., or c.		

	1						
3. Do the procedures provide for receipt of the comments in writing or in person?	□Yes	□No					
3.a. If <b>yes</b> to 3, attach a copy of the agency's policies/procedures pertaining to pub	lic comme	nt.					
3.b. If <b>no</b> to 2 a, b, c., explain why the agency does not have such procedures in plants.	ace.						
4. Was the public provided an opportunity for public comment?	☐ Yes	□ No					
5. If you answered <b>yes</b> to 4, briefly describe the activities used to obtain public comment.							
6. What formats and languages (as applicable) were used in materials to solicit public	comments	?					
7. If you answered <b>no</b> to 4, briefly explain why the public was not provided an opportu	ınity to co	mment.					
8. List Groups (e.g., states, consumer advocacy, service providers, professional organizations, and others, including groups of current and former mental health consumers or family members of such individuals) with whom the PAIMI program coordinated systems, activities, and mechanisms [PAIMI Act 42 U.S.C. 10824 (a) (D)].							

9. Briefly describe the outreach efforts/activities used to increase the numbers of ethnic and racial minority clients served or educated about the PAIMI program, this information will be evaluated by using the demographic/state profile information contained in the PAIMI Application for the same FY.						
10. Did the activities described in 9; result in an increase of ethnic or minorities in t categories?	he followin	g				
a. Staff	□Yes	□ No				
b. Advisory Council	□ Yes	□ No				
c. Governing Board	□Yes	□ No				
d. Clients	□Yes	□ No				
If you answer <b>no</b> to any item (10.a-d), please provide a brief explanation, such as 10.a, b., or c. – no vacancies.						
11. External Impediments						
Describe any problems with implementation of mandated PAIMI activities, including those activities required by Parts H and I of the Children's Health Act of 2000 that pertain to requirements related to incidents involving seclusion and restraint and related deaths and serious injuries (e.g., access issues, delays in receiving records and documents, etc.).						

12. Internal Impediments
Describe any problems with implementation of mandated PAIMI activities, including any identified annual priorities, and objectives (e.g., lack of sufficient resources, necessary expertise, etc.).
13. Accomplishments
For this fiscal year, briefly describe the most important accomplishment(s) that resulted from PAIMI program activities. Provide copies of supporting documents (e.g., case law, news article, legislation, etc.).
14. Recommendations
Please provide recommendations for activities and services to improve the PAIMI program. Include a
brief description of why such activities and services are needed [42 U.S.C. 10824(a) (4)].
15. Please identify any training & technical assistance requests [42 U.S.C. 10825].

## Section G. PAIMI Budget – Actual for FY 20\_\_\_\_

In this section, provide actual expenditures for the FY. Refer to the PAIMI Application [Appendix C] submitted to SAMHSA/CMHS for the same FY. For additional information regarding this Section, please review the PPR Instructions.

# I. Personnel/Name/Title (Active for PAIMI Supervisor only) – Insert additional rows, as needed.

Personnel/Name/Title	Annual Salary	Total PAIMI Share	Percent/Level of Effort to PAIMI	Comments
	A	В	B / A = C	
Staff Positions				
Vacant Positions				
Volunteer Positions				
Total				

## II. Fringe Benefits – Insert additional rows, as needed.

Fringe Breakdown	Annual Salary	Total PAIMI Share	Percent/Level of Effort to PAIMI	Comments
	A	В	B / A = C	
Total				

# III. Travel – Insert additional rows, as needed.

Travel Expenses	Actual Cost A	Total PAIMI Share B	Percent/Level of Effort to PAIMI B / A = C	Comments
Total				

# IV. Equipment – Insert additional rows, as needed.

Equipment	Actual Cost	Total PAIMI Share	Percent/Level of Effort to PAIMI	Comments
	A	В	B / A = C	
Total				

# V. Supplies – Insert additional rows, as needed.

Supplies	Actual Cost	Total PAIMI Share	Percent/Level of Effort to PAIMI	Comments
	A	В	B / A = C	
Total				

# VI. Contractual/Consultant Costs – Insert additional rows, as needed.

Contractual/Consultant	Actual Cost	Total PAIMI Share	Percent/Level of Effort to PAIMI	Comments
	A	В	B / A = C	
Total				

## VII. Technical Assistance/Training Costs – Insert additional rows, as needed.

Technical Assistance/Training	Actual Cost A	Total PAIMI Share B	Percent/Level of Effort to PAIMI B / A = C	Comments
Total				

# VIII. Other Expenses – Insert additional rows, as needed.

Other Expenses	Actual Cost	Total PAIMI Share	Percent/Level of Effort to PAIMI	Comments
	A	В	B / A = C	
Litigation				
Total				

## IX. Indirect Costs

Indirect Costs	The Base	Rate * B	Total PAIMI	Comments
	A	% Format =	Share	

			(.125 = 12.5%)	A * B =	С	
Federally approved IDC rate						
Total						
X. Carryover of PAIMI Funds	only					
Carryover for FY	\$0.00					
		F	Гotal Actual Costs		Γotal PAI	MI Share
Total PAIMI Costs						
Footnotes:						
	PA	ІМІ Ехр	enditures and Reve	nues		
PAIMI Expenditures						
1. Does your P&A have	an approved	Federal	indirect cost rate?		□ Yes	□No
If <b>yes</b> , what is the	approved rat	te?			0.05%	
2. Total indirect costs						\$
3. Total of all PAIMI pro	gram costs l	isted in 1	I- <b>VIII</b> in the Budget.			\$
Total						\$
Income sources and other	er resources	(PAIM	I program only)			
1. PAIMI program carryo						\$
Enter the last two digits o	f the Fiscal	Year	FY 20			\$
2. Program income (PAI	MI only).					
3. State						\$
4. Other funding sources	[identify eac	h source	].			\$
Total of all PAIMI Progra	ım resources					<b>S</b>

#### **Section H: Statement of Priorities (Goals)**

A. For each Priority/Objective, please indicate the "Achieved Outcome: Priority/Goal Description: Objective: Target Population: **Expected Outcome:** Actual Outcome **B. Strategies Used to Implement Goal and Address Priorities** (Check all that apply below) □ Collaboration ☐ Systemic Litigation ☐ Educating Policy Makers ☐ Rights-Based Individual Advocacy Services ☐ Investigations of Abuse and Neglect ☐ Other Systemic Advocacy ☐ Monitoring ☐ Training/Outreach ☐ Issuance of Public Report C. Results narratives of P&A activities and accomplishments related to above priority. Priority: Objective: **Target Population:** limited to 500 characters

D. Other qualitative narrative related to the above priority

(Significant activity for which there were no quantifiable results goes here). **Describe any other significant** activity related to this goal (500 words maximum)

**Section I: Glossary** 

This section contains definitions applicable to the Protection and Advocacy for Individuals with Mental Illness (PAIMI) program.