SUPPORTING STATEMENT FOR Veterans' Employment and Training Service Competitive Grant Programs Reporting

OMB CONTROL NO. 1293-0014

This ICR seeks approval for an emergency clearance of revisions to the VETS-701 Technical Performance Report (TPR) form.

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

The Veterans' Employment and Training Service (VETS) requests an emergency 180-day approval of an emergency Information Collection Request (ICR) to add six new questions to the VETS-701 Technical Performance Report (TPR) form. This information will be collected as needed for 180 days. VETS will then submit a revision of the currently approved Competitive Grant Programs Reporting 1293-0014 (which expires on May 31, 2026) to continue collection of this information beyond 180 days.

The Homeless Veterans' Reintegration Program (HVRP) is authorized under 38 USC 2021. The Consolidated Appropriations Act 2023 (P.L. 117-328) was enacted on December 23, 2022. Title I of Division H, Section 303 § 2021(g) modified 38 USC 2021, in part, by expressly requiring grant recipients to collect the period of military service, employment history, and housing status from participants and to submit a report enumerating the number of veterans served to the Department no later than 90 days after the end of each program year, beginning with program year 2023.

The report shall include the following information:

- A. Disaggregate the number of veterans served by:
 - i. Sex:
 - ii. Age;
 - iii. Race;
 - iv. Ethnicity;
 - v. Approximate era in which the veteran served in the Armed Forces
 - vi. Highest education level attained;
 - vii. The average period of time the veteran was unemployed or underemployed before receiving HVRP services and while receiving such services; and
 - viii. Housing status as of the date on which the veteran is first enrolled in HVRP services and any subsequent date, if available;

B. Include data on the number of veterans receiving HVRP services who are eligible for health care and benefits provided by the Department of Veterans Affairs.

The TPR form has been revised to accommodate reporting of the approximate era in which the veteran served in the Armed Forces, the period of time the veteran was unemployed or underemployed before receiving HVRP services, and the veteran's housing status as of the HVRP enrollment date and exit date. The previously approved version of the TPR already captured veterans' sex, age, race, ethnicity, highest education level attained, the date last unemployed or underemployed while receiving services, and the number of veterans receiving HVRP services who are eligible for health care and benefits provided by the Department of Veterans Affairs.

- 2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.
 - **VETS -700 Planned Goals Chart**: an applicant calculation tool that captures proposed goals for the entirety of the grant's period of performance. If the applicant is selected, the goals displayed on this form will be used by VETS staff to assess grant progress.
 - VETS-704 Abstract: collects and displays the most important metrics from an
 applicant's response to a Funding Opportunity Announcement (FOA). It enables FOA
 panelist reviewers and VETS staff to quickly compile responses into data sets for analysis
 and validates key geographic location information that assists in the selection of
 competitive grantees.
 - **VETS-705 Chart of Past Performance**: This is a new form. The instructions, examples, and template chart provides a standard format for applicants that have not completed an HVRP grant within the last three program years to provide important information about a previous grant project that will demonstrate if and how the applicant successfully completed and managed the prior grant agreement. Past performance documentation is required for an applicant to be considered for an HVRP grant. Currently, VETS provides a suggested format for this documentation in the FOA, but applicants are not required to use it.

Grantees submit the following forms every quarter via email to their assigned VETS Grant Officer's Technical Representative (GOTR) throughout the grant's three-year period of performance.

• VETS-701 Technical Performance Report (TPR): a data collection and reporting tool for grantees to enter participant and project information. Responses are collected when participants enroll in the program, beginning with the start of the program year on July 1. The workbook allows VETS' GOTRs to monitor performance and enables the aggregation and analysis of grantee data to assess the effectiveness of grant programs and submit reports to Congress.

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• VETS-702 Technical Performance Narrative (TPN): a form where grantees explain why actual performance results (as shown in the VETS-701) deviate from the planned goals (established in the VETS-700), describe remediation strategies to improve any performance failures, confirm financial management practices, provide updates on staffing information, communicate information about grant-related activities, identify significant achievements, and share success stories. VETS' GOTRs review the narrative details to monitor the overall performance of each grant.

Grantees that have completed Stand Down activities submit the following form via email to the VETS GOTR at the conclusion of the event.

- **VETS-703 Stand Down After Action Review (SDARR)**: a form that summarizes the participation count, cost share, and types of services provided at an HVRP grant-funded Stand Down event. VETS staff use this information to track and assess the performance of each Stand Down.
- 3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also, describe any consideration of using information technology to reduce burden.

The TPR form is hosted on the DOL VETS HVRP public website and are submitted electronically via email to the VETS GOTR.

4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item A.2 above.

There are no duplicative forms in this request. The information collected in the VETS-700 series of forms does not duplicate other data collected through other Department of Labor OMB-approved information collection. A search of the published application forms and Federal reports via www.reginfo.gov indicates that these forms and reports do not duplicate any similar information collections currently in use by other Federal departments.

5. If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.

The collection does not impact small businesses or other small entities.

6. Describe the consequence to federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

The VETS-700 Planned Goals Chart, VETS-704 Abstract, and VETS-705 Chart of Past Performance are submitted once per application and provide a framework to assist grant applicants as they submit required performance and project information. The forms prevent applicants from expending unnecessary time and resources supplying extraneous or irrelevant information during the application process. There are no opportunities to conduct these form collections less frequently.

The VETS-701 TPR and VETS-702 TPN are required on a quarterly basis. These forms ensure the required project information is consistent throughout the grant's three-year period of performance and across all HVRP grants within a single program year.

The VETS-703 SDAAR form is completed once per Stand Down grant award. The form is due 30 days after the end of the quarter in which the Stand Down event took place, aligning the submission deadline with the VETS-701 TPR and VETS-702 TPN.

Without these collections, VETS cannot report on the activities and outcomes associated with the funding provided by Congress to provide services to veterans as codified under 38 USC §2021(b) and 38 USC §2021A(c). Further, without these collections, the Secretary of Labor would not have the information needed to report to Congress in accordance with 38 USC §2021A(e).

Without these forms, VETS would also be unable to meet its fiduciary and programmatic oversight obligations. The grant application forms establish the grantee's key project information and performance goals at the time of award and the quarterly reporting forms provide periodic progress updates as required by 2 CFR §200.301 and 29 CFR 95.51.

7. Explain any special circumstances that would cause an information collection to be conducted in a manner:

- requiring respondents to report information to the agency more often than quarterly;
- · requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;
- requiring respondents to submit more than an original and two copies of any document;
- · requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records for more than three years;
- in connection with a statistical survey, that is not designed to produce valid and reliable results that can be generalized to the universe of study;

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> requiring the use of statistical data classification that has not been reviewed and approved by OMB;

- that includes a pledge of confidentially that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or
- requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentially to the extent permitted by law.

None.

8. If applicable, provide a copy and identify the date and page number of publication in the *Federal Register* of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.

Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years -- even if the collection-of-information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.

The Department is seeking emergency clearance in accordance with the emergency review procedures set forth under 5 CFR 1320.13 and requests waiving of the notice requirement under the emergency clearance as set forth in 5 CFR 1320.13(d). After emergency clearance is obtained, VETS will submit the ICR for review under normal PRA procedures, allowing for public review and comment.

9. Explain any decision to provide any payments or gifts to respondents, other than remuneration of contractors or grantees.

No payments or gifts are provided to respondents.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.

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Not applicable.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

Not applicable.

- 12. Provide estimates of the hour burden of the collection of information. The statement should:
 - Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. General, estimates should not include burden hours for customary and usual business practices.¹
 - If this request for approval covers more than one form, provide separate hour burden estimates for each form.
 - Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead, this cost should be included in Item 13.

Estimated Annualized Respondent Cost and Hour Burden

¹ Indicate the retention period for any recordkeeping requirements that pertain to the ICR.

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Activity	No. of Respon dents	No. of Responses per Respondent	Total Respo nses	Average Burden (Hours)	Total Burden (Hours)	Hourly Wage Rate	Monetized Value of the Time Burden
All Applicants							
VETS-700 PGC	147	1	147	1.0	147.0	\$51.63	\$7,590
VETS-704 Abstract	147	1	147	1.0	147.0	\$51.63	\$7,590
Unduplicated Total	294	2	294	2.0	294.0	\$51.63	\$15,179
Applicants that did not complete a previous HVRP grant within the past 3 years							
VETS-705 CPP (Unduplicated Total)	59	1	59	0.5	30.0	\$51.63	\$1,523
VETS-701 TPR All Grantees							
New Grants Q1-Q4	57	4	228	14.25	3,249.0	\$35.69	\$115,957
Continuing Grants Q1-Q4	117	4	468	7.25	3,393.0	\$35.69	\$121,096
New Grants Q5-Q8	0	0	0	0	0	\$0.00	\$0.00
Continuing Grants Q5-Q8	117	4	468	2.0	936.0	\$35.69	\$33,406
TPR Unduplicated Total	291	12	1,164	23.5	7,578.0	\$35.69	\$270,459
VETS-702 TPN All Grantees							
New Grants Q1-Q4	57	4	228	4.0	912.0	\$35.69	\$32,549
Continuing Grants Q1-Q4	117	4	468	4.0	1,872.0	\$35.69	\$66,812
New Grants Q5-Q8	0	0	0	0	0	\$0.00	\$0.00
Continuing Grants Q5-Q8	117	4	468	1.0	468.0	\$35.69	\$16,703
TPN Unduplicated Total	291	12	1,164	9.0	3,252.0	\$35.69	\$116,064
Grantees awarded Stand Down funding							
VETS-703 SDAAR (Unduplicated Total)	35	1	35	0.75	26.0	\$35.69	\$937
Unduplicated Total for this ICR							
Unduplicated Total All	970	28	2,716	N/A	11,180.0	N/A	\$404,162

^{*}Hourly rate for applicants is based on the Department's Bureau of Labor Statistics' series titled "Occupational Employment and Wage Statistics." The \$51.63 hourly wage rate assigned to the VETS-700, VETS-704, and VETS-705 was derived from the median hourly wage for Fundraising Managers, published May 2022. https://www.bls.gov/oes/current/oes112033.htm

13. Provide an estimate of the total annual cost burden to respondents or recordkeepers resulting from the collection of information. (Do not include the cost of any hour burden shown in Items 12 and 14).

^{*}The \$35.69 hourly wage rate for grantees was derived from the median hourly wage for Social and Community Service Managers, published May 2022. https://www.bls.gov/oes/current/oes119151.htm

- The cost estimate should be split into two components: (a) a total capital and start up cost component (annualized over its expected useful life); and (b) a total operation and maintenance and purchase of service component. The estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s), and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities.
- If cost estimates are expected to vary widely, agencies should present ranges of
 cost burdens and explain the reasons for the variance. The cost of purchasing or
 contracting out information collection services should be a part of this cost burden
 estimate. In developing cost burden estimates, agencies may consult with a sample
 of respondents (fewer than 10), utilize the 60-day pre-OMB submission public
 comment process and use existing economic or regulatory impact analysis
 associated with the rulemaking containing the information collection, as
 appropriate.
- Generally, estimates should not include purchases of equipment or services, or
 portions thereof, made: (1) prior to October 1, 1995, (2) to achieve regulatory
 compliance with requirements not associated with the information collection, (3)
 for reasons other than to provide information or keep records for the government,
 or (4) as part of customary and usual business or private practices.

Respondents will not need to purchase any equipment or special software to respond to this information collection request. There is no additional cost to respondents.

14. Provide estimates of the annualized cost to the Federal Government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), any other expense that would not have been incurred without this collection of information. Agencies also may aggregate cost estimates from Items 12, 13, and 14 into a single table.

Collection of this information does not represent a cost to the federal government; in this format, it represents a savings to the federal government. Without collecting the information in a standardized format, VETS would need additional resources to meet our fiduciary obligations and requirements to report grant activities to Congress on an annual basis.

15. Explain the reasons for any program changes or adjustments.

Program Changes

VETS is proposing to add six (6) questions to the VETS-701 Technical Performance Report (TPR). On the Participant Info tab, VETS proposes to add one question that identifies the era that best represents when the participant served in the Armed Forces.

VETS proposes to add two questions that record the participant's housing status at enrollment and exit.

VETS proposes to add three questions that capture the participant's employment history at enrollment, specifically: the number of weeks the participant was unemployed within the past six months, the living wage (hourly rate) of the participant's service delivery county, and the number of weeks the participant was earning an hourly wage below the living wage for the participant's service delivery county within the past six months.

VETS also proposes to add five of those questions to the optional new enrollment completion tool (New Enrollment Entry tab). Housing status at exit is omitted as that question cannot be answered at the time of participant enrollment.

Adjustments to burden hours

The proposed changes have increased the collection's annual burden by 174 hours, from 11,006 to 11,180. Specifically, the total burden hours for TPR increased by 174 hours from 7,404 hours to 7,578 hours. There is no change to the number of respondents or the annual number of responses per respondent.

Annual Time Burden Summary

Form	Previous Total Burden Hours	Current Total Burden Hours	Difference
VETS-700	147.0	147.0	0
VETS-704	147.0	147.0	0
VETS-705	30.0	30.0	0
VETS-701	7,404.0	7,578.0	+174.0
VETS-702	3,252.0	3,252.0	0
VETS-703	26.0	26.0	0
Total	11,006.0	11,180.0	+174.0

16. For collections of information whose results will be published, outline plans for tabulations, and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.

The results of this information collection are published in the VETS Annual Report to Congress.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.

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Not applicable.

18. Explain each exception to the certification statement.

Not applicable.

B. COLLECTIONS OF INFORMATON EMPLOYING STATISTICAL METHODS.

There are no statistical methods in this collection.