## NOTICE TO RAILROAD EMPLOYEE INVOLVED IN RAIL EQUIPMENT ACCIDENT/INCIDENT ATTRIBUTED TO EMPLOYEE HUMAN FACTOR

EMPLOYEE STATEMENT SUPPLEMENTING RAILROAD ACCIDENT REPORT

DEPARTMENT OF TRANSPORTATION

OMB No. 2130-0500

| PART I - NOTICE TO RAILROAD EMPLOYEE (To be completed by reporting railroad)   |   |  |  |  |  |   |  |  |  |
|--|---|--|--|--|--|---|--|--|--|
| Name of Reporting Railroad   |   | Date of Accident/Incident  |  | Accident/Incident No.  |  | Location of Accident/Incident (State, nearest city/town)  |  |  |  |
|  |   | mo day   | / year   |  |  |   |  |  |  |
|  | Caus  | es reported  | on Form FRA F6180.54   |  |  |   |  |  |  |
| Applicable to this person?   | Code  |  | Description  | Description  |  |   |  |  |  |
| ☐ Yes ☐ No   |   |  |  |  |  |   |  |  |  |
| ☐ Yes ☐ No   |   |  |  |  |  |   |  |  |  |
| Employee's Name (First, middle, last)  |   |  | Job Title  | Job Title Name of Emplo  |  |   | oying Railroad   |  |  |
| Employee's Home Address  | 3   |  |  |  |  |   |  |  |  |
| PURPOSE OF THIS FORM A involved with this accident is s may have at least been partly  Since the railroad has named you of this form your version of everyou must provide an explanation to you, you are not legally resupplement, decide to revise it.  In Part II of this form, you may to send the railroad and FRA a | ending y responsity ou as a u an oppents related to FR quired to submit | rou this form be ible for causing an employee we ortunity within ting to this accept. A and the rail to complete the ortunity as supplement. | pecause it is required the accident/incitation of the accident/incitation of the accident. If you wou road for the need this form. If you date all statement to FR | red by federal ident.  In involved in the date that the ld like to comfor more time ecide to compare the compare t | law to send this<br>this accident, the<br>e notice was mai<br>plete this form be<br>. While the railro<br>blete the form, th | e railroad is required be<br>led or hand delivered<br>at are unable to do so<br>ad is required by fed<br>e railroad may, upon | oy federal law to complete to you to give in <b>Part II</b> within the time limit, eral law to send this form reviewing your |  |  |
| Name of Railroad Representative  |   |  | Signature of Railroad Representative   |  |  | Date Signed   | Date Mailed/Hand Delivered   |  |  |
| If the employee decides to   | return t  | his form to the  | he railroad, the f   | orm should   | be sent to: [na  | me and address of   | railroad representative]   |  |  |
| PART II - SUPPLEMENT - EMPLOYEE STATEMENT REGARDING RAILROAD ACCIDENT REPORT  I would like to supplement the railroad's accident report with the following statement:  |   |  |  |  |  |   |  |  |  |
|  |   |  |  |  |  |   |  |  |  |
|  |   |  |  |  |  |   |  |  |  |
|  |   |  |  |  |  |   |  |  |  |
|  |   |  |  |  |  |   |  |  |  |
|  |   |  |  |  |  |   |  |  |  |
|  |   |  |  |  |  |   |  |  |  |
|  |   |  |  |  |  |   |  |  |  |
| (Continue statement on separate sheet, if required, and mail with statement)   |   |  |  |  |  |   |  |  |  |
| I have carefully read this statement and confire   |   |  | n tnat it is true to   | tne best of  |  | and belief.<br>/Hand Delivered to   | FRA:   |  |  |
| Signature  |   |  | Date Sign  | ed   |  | /Hand Delivered to  |  |  |  |
| Your Telephone Number  |   | Yo   | our home or mail   | ing address  |  |   |  |  |  |
| Home: ()<br>Work: ()   |   | _  |  |  |  |   |  |  |  |
| NOTE: This Notice and Employee reports statute and, as such matter mentioned in said re  | h, shall n  | ot "be admitted  | as evidence or used  | for any purpos   |  |   |  |  |  |

## INSTRUCTIONS TO RAILROAD EMPLOYEE REGARDING COMPLETION OF PART II OF FORM FRA F 6180.78

If you decide to complete this form, please follow these instructions:

- 1. Complete only Part II of this form.
- 2. Print or type your statement.
- 3. You may attach any relevant supporting documents, diagrams, photographs, or other evidence.
- 4. Sign and date your statement.
- 5. Send your original statement to the Federal Railroad Administration (FRA) at the following address:

Operating Practices Division Federal Railroad Administration RRS-11, Mail Stop 25 1200 New Jersey Avenue, S.E. Washington, D.C. 20590

- 6. Send a copy of your statement to your railroad.
- 7. Keep a copy of your statement for your own records.
- 8. Additional information concerning completion of this form may be obtained at FRA's website at www.FRA.DOT.GOV.

## FREQUENTLY ASKED QUESTIONS

- Q. Who is a railroad employee?
- A. FRA defines an employee for purposes of filling out this form as a Worker on Duty-Railroad Employee; Employee, Railroad Employee not on duty; Worker on Duty-Contractor; or Worker on Duty-Volunteer. If you fit into any of these categories, you are a railroad employee for purposes of filling out this form.
- Q. Do I have to fill out the form?
- A. No. Neither the railroad nor FRA requires you to fill out this form. Employee statements on this form are voluntary and optional, not mandatory, and deciding not to send this form to FRA and the railroad does not imply that the employee admits or endorses the railroad's conclusions as to the cause of the accident or any other allegations. See 49 C.F.R. 225.12(g).
- Q. Will my statements remain confidential?
- A. Information that the employee wishes to withhold from the railroad must not be included in this Supplement. If the employee wishes to provide confidential information to FRA, the employee should not use the Supplement form (part II of Form FRA F 6180.78), but rather provide such confidential information by other means, such as a letter to the employee's collective bargaining representative, or to the Office of Safety Assurance and Compliance, Federal Railroad Administration, RRS-10, Mail Stop 25, 1200 New Jersey Avenue, S.E., Washington, D.C. 20590. The letter should include the name of the railroad making the allegations, the date and place of the accident, and the rail equipment accident/incident number.
- Q. Is this form part of the railroad's accident report to FRA, and as such, may it be used in private litigation?
- A. No. This form under 49 C.F.R. 225.12 is part of the railroad's accident report to FRA pursuant to the accident reports statute and as such shall not "be admitted as evidence or used for any purpose in any suit or action for damages growing out of any matter mentioned in said report..." 49 U.S.C. 20903. See 49 C.F.R. 225.7(b).

Willful false statements can result in imposition of civil penalties.

This collection of information is mandatory under 49 CFR 225, and is used by FRA to monitor national rail safety. Public reporting burden is estimated to average 10 minutes (Part I) and 1.5 hour (Part II) per response, including the time for reviewing instructions, searching existing databases, gathering and maintaining the data needed, and completing and reviewing the collection of information. The information collected is a matter of public record, and no confidentiality is promised to any respondent. Please note that an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The OMB control number for this collection is 2130-0500.