

# **APPENDIX D.2**

## **2021 SDR questionnaire**

*2023 questionnaire content will remain the same as 2021 questionnaire with the exceptions of the changes noted in Appendix D.1.*



# 2021 Survey of Doctorate Recipients

Conducted by



The National Center for Science and Engineering Statistics (NCSES) within the National Science Foundation (NSF) and the National Institutes of Health (NIH)

*Data collection activities are contracted to Westat*

Please make any name/address changes below:

First Name	Middle Name
<input type="text"/>	<input type="text"/>
Last Name	
<input type="text"/>	
Number and Street Address	
<input type="text"/>	
City	
<input type="text"/>	
State	ZIP/Postal Code
<input type="text"/>	<input type="text"/>
Territory/Country (if non-U.S.)	
<input type="text"/>	

Dr. {FIRST NAME} {MN} {LAST NAME}  
 {ORGNAME}  
 {STREET ADDRESS 1}  
 {STREET ADDRESS 2}  
 {CITY}, {STATE} {ZIP CODE}

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OMB No.: 3145-0020  
Approval Expires: 08/31/2022



## INSTRUCTIONS

Thank you for taking the time to complete this questionnaire.

- Please use a black or blue pen to complete this form.
- Mark  to indicate your answer. If you want to change your answer, darken the box  and mark the correct answer.
- In order to get comparable data, we will be asking you to refer to the week of February 1, 2021, when answering most questions.
- Follow all appropriate skip instructions after marking a box. If no skip instruction is provided, you should continue to the next question.
- If you have any questions or concerns, please email us at [SDR@westat.com](mailto:SDR@westat.com) or call us toll-free at 1-855-460-5324 or locally at 301-637-8195.

**Thank you again for your help; we really appreciate it.**

## Part A - Employment Situation

**A1. During the week of February 1, 2021, were you working for pay or profit?**

*Working for pay or profit also includes being self-employed and not getting paid that week, on a postdoctoral appointment, traveling while employed, or on any type of paid or unpaid leave, including vacation or during a government shutdown.*

Yes → **Go to question A8**

No

**A2. Did you look for work during the four weeks preceding February 1, 2021 (that is, between January 4<sup>th</sup> and February 1<sup>st</sup>)?**

Yes

No

**A3. During the week of February 1, 2021, what were your reasons for not working?**

Mark Yes or No for each item.

	Yes ▼	No ▼				
1. Retired.....	<input type="checkbox"/>	<input type="checkbox"/>				
Year retired						
If Yes →	<table border="1" style="display: inline-table;"> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </table>					
2. On layoff from a job due to the coronavirus pandemic.....	<input type="checkbox"/>	<input type="checkbox"/>				
3. On layoff from a job for reasons unrelated to the coronavirus pandemic.....	<input type="checkbox"/>	<input type="checkbox"/>				
4. Student.....	<input type="checkbox"/>	<input type="checkbox"/>				
5. Family responsibilities due to the coronavirus pandemic (e.g., childcare, eldercare).....	<input type="checkbox"/>	<input type="checkbox"/>				
6. Family responsibilities unrelated to the coronavirus pandemic.....	<input type="checkbox"/>	<input type="checkbox"/>				
7. Chronic illness or permanent disability.....	<input type="checkbox"/>	<input type="checkbox"/>				
8. Suitable job not available.....	<input type="checkbox"/>	<input type="checkbox"/>				
9. Did not need or want to work.....	<input type="checkbox"/>	<input type="checkbox"/>				
10. Other reason – specify.....	<input type="checkbox"/>	<input type="checkbox"/>				
↓	<table border="1" style="width: 100%; height: 20px;"> <tr> <td></td> </tr> </table>					

**A4. Prior to the week of February 1, 2021, when did you last work for pay or profit?**

Never worked for pay or profit → **Go to page 10, question D1**

Last worked

Month			Year				
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**A5. Prior to the week of February 1, 2021, what was the title of the last job you held?**

*Example: Physics professor*

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**A6. What kind of work were you doing on this last job – that is, what were your duties and responsibilities? Please be as specific as possible, including any area of specialization.**

*Example: Taught physics and conducted research. Specialized in high energy physics.*


**A7. Using the JOB CATEGORY list on pages 16-17, choose the code that best describes the last job you held prior to the week of February 1, 2021.**

Code 

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 → **Go to page 8, question A42**

**A8. Although you were working during the week of February 1, 2021, had you previously retired from any position?**

*Examples of retirement include mandatory retirement, early retirement, or voluntary retirement.*

Year retired

Yes →

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No



## Principal Employer

**A9. During the week of February 1, 2021, who was your principal employer?**

If you had more than one job, report the one for which you worked the most hours that week.

If your employer had more than one location, report the location that employed you.

If you worked for a contracting or consulting company, report the name of that company, not the client organization.

If you were an independent contractor or self-employed, report your name and address as the principal employer.

Employer Name

Department/Division

City

State

ZIP/Postal Code

Territory/Country (if non-U.S.)

**A10. During the week of February 1, 2021, which one of the following best describes your principal employer?**

Mark one answer.

SELF-EMPLOYED OR A BUSINESS OWNER

Non-incorporated business, professional practice, or farm

Incorporated business, professional practice, or farm

PRIVATE SECTOR EMPLOYEE

For-profit company or organization

Non-profit organization (including tax-exempt and charitable organizations)

U.S. GOVERNMENT EMPLOYEE

Local government in the U.S. (e.g., city, county, school district)

U.S. state government (including U.S. state colleges/universities)

U.S. military service, active duty or Commissioned Corps (e.g., USPHS, NOAA)

U.S. federal government (e.g., civilian employee)

OTHER TYPE OF EMPLOYEE

Non-U.S. government at any level

Other – *specify*

↓

**A11. What was your employer's main business or industry – that is, what did that employer make or do?**

If your principal employer had more than one type of business, report the type of business primarily performed at the location where you worked.

Example: Production of microprocessor chips

Employer's main business

  
  


**A12. Counting all locations where this employer operates, how many people work for your principal employer? Your best estimate is fine.**

Mark one answer.

- 10 or fewer employees
- 11 - 24 employees
- 25 - 99 employees
- 100 - 499 employees
- 500 - 999 employees
- 1,000 - 4,999 employees
- 5,000 - 24,999 employees
- 25,000 or more employees

**A13. Did your principal employer come into being as a new business within the past 5 years?**

- Yes
- No

**A14. Was your principal employer an educational institution?**

- Yes
- No → **Go to page 4, question A19**

**A15. What type of educational institution was it?**

Mark one answer.

- Preschool, elementary, middle, or secondary school or system
- Two-year college, community college, or technical institute
- Four-year college or university, other than a medical school
- Medical school (including university-affiliated hospital or medical center)
- University-affiliated research institute
- Other – *specify*

**Go to page 4, question A19**

**A16. During the week of February 1, 2021, what type of academic position(s) did you hold at this institution?**

Mark Yes or No for each item.

	Yes ▼	No ▼
1. President, Provost or Chancellor (any level).....	<input type="checkbox"/>	<input type="checkbox"/>
2. Dean (any level), department head or department chair.....	<input type="checkbox"/>	<input type="checkbox"/>
3. Research faculty, scientist, associate or fellow.....	<input type="checkbox"/>	<input type="checkbox"/>
4. Teaching faculty.....	<input type="checkbox"/>	<input type="checkbox"/>
5. Adjunct faculty.....	<input type="checkbox"/>	<input type="checkbox"/>
6. Postdoc (e.g., postdoctoral fellow or associate).....	<input type="checkbox"/>	<input type="checkbox"/>
7. Research assistant.....	<input type="checkbox"/>	<input type="checkbox"/>
8. Teaching assistant.....	<input type="checkbox"/>	<input type="checkbox"/>
9. Other academic position – <i>specify</i> .....	<input type="checkbox"/>	<input type="checkbox"/>
<input style="width: 100%; height: 20px;" type="text"/>		
10. I was not in an academic position.....	<input type="checkbox"/>	<input type="checkbox"/>

**A17. What was your faculty rank?**

Mark one answer.

- Not applicable: no ranks designated at this institution
- Not applicable: no ranks designated for my position
- Professor
- Associate Professor
- Assistant Professor
- Instructor
- Lecturer
- Other – *specify*

**A18. What was your tenure status?**

Mark one answer.

- Not applicable: no tenure system at this institution
- Not applicable: no tenure system for my position

Tenured → 

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- On tenure track but not tenured
- Not on tenure track



## Principal Job

**A19. During the week of February 1, 2021, what was the title of the principal job you held?**

*Example: Physics professor*

**A20. What kind of work were you doing on this job – that is, what were your duties and responsibilities on your principal job? Please be as specific as possible, including any area of specialization.**

*Example: Taught physics and conducted research. Specialized in high energy physics.*


**A21. Using the JOB CATEGORY list on pages 16-17, choose the code that best describes the principal job you held during the week of February 1, 2021.**

Code

**A22. Did your duties on this job require the technical expertise of a bachelor's degree or higher in...**

*Mark Yes or No for each item.*

	Yes ▼	No ▼
1. Engineering, computer science, math, or the natural sciences.....	<input type="checkbox"/>	<input type="checkbox"/>
2. The social sciences.....	<input type="checkbox"/>	<input type="checkbox"/>
3. Some other field (e.g., health, business, or education) – <i>specify</i> .....	<input type="checkbox"/>	<input type="checkbox"/>

**A23. Was this job a “postdoc”?**

*A “postdoc” is a temporary position awarded in academe, industry, a non-profit organization, or government primarily for gaining additional education and training in research.*

- Yes  
 No → **Go to question A26**

**A24. What were your reasons for taking this postdoc?**

*Mark Yes or No for each item.*

	Yes ▼	No ▼
1. Additional training in Ph.D. field.....	<input type="checkbox"/>	<input type="checkbox"/>
2. Training in an area outside of Ph.D. field.....	<input type="checkbox"/>	<input type="checkbox"/>
3. Work with a specific person or in a specific place.....	<input type="checkbox"/>	<input type="checkbox"/>
4. Other employment not available.....	<input type="checkbox"/>	<input type="checkbox"/>
5. Postdoc generally expected for a career in this field.....	<input type="checkbox"/>	<input type="checkbox"/>
6. Some other reason – <i>specify</i> .....	<input type="checkbox"/>	<input type="checkbox"/>

**A25. Which two reasons in question A24 were your most important reasons for taking this postdoc?**

*Enter number of appropriate reason from question A24 above.*

- a.  Most important reason  
 b.  Second most important reason  
*(Enter “0” if no second reason)*

**A26. During what month and year did you start this job (that is, the principal job you held during the week of February 1, 2021)?**

Principal job started      Month      Year

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**A27. To what extent was your work on your principal job related to your first U.S. doctoral degree? Was it...**

Mark one answer.

- Closely related
  - Somewhat related
  - Not related
- } → **Go to question A30**

**A28. Did any of the following factors influence your decision to work in an area outside the field of your first U.S. doctoral degree?**

Mark Yes or No for each item.

	Yes ▼	No ▼
1. Pay, promotion opportunities.....	<input type="checkbox"/>	<input type="checkbox"/>
2. Working conditions (e.g., hours, equipment, working environment).....	<input type="checkbox"/>	<input type="checkbox"/>
3. Job location.....	<input type="checkbox"/>	<input type="checkbox"/>
4. Change in career or professional interests.....	<input type="checkbox"/>	<input type="checkbox"/>
5. Family-related reasons (e.g., children, spouse's job moved).....	<input type="checkbox"/>	<input type="checkbox"/>
6. Job in doctoral degree field not available.....	<input type="checkbox"/>	<input type="checkbox"/>
7. Some other reason – <i>specify</i> .....	<input type="checkbox"/>	<input type="checkbox"/>

**A29. Which two factors in question A28 were your most important reasons for working in an area outside the field of your first U.S. doctoral degree?**

Enter number of appropriate reason from question A28 above.

- a.  Most important reason
- b.  Second most important reason  
(Enter "0" if no second reason)

**A30. The next question is about your work activities on your principal job. Which of the following work activities occupied at least 10 percent of your time during a typical work week on this job?**

Mark Yes or No for each item.

	Yes ▼	No ▼
1. Accounting, finance, contracts.....	<input type="checkbox"/>	<input type="checkbox"/>
2. Basic research – study directed toward gaining scientific knowledge primarily for its own sake.....	<input type="checkbox"/>	<input type="checkbox"/>
3. Applied research – study directed toward gaining scientific knowledge to meet a recognized need.....	<input type="checkbox"/>	<input type="checkbox"/>
4. Development – using knowledge gained from research for the production of materials, devices.....	<input type="checkbox"/>	<input type="checkbox"/>
5. Design of equipment, processes, structures, models.....	<input type="checkbox"/>	<input type="checkbox"/>
6. Computer programming, systems or applications development.....	<input type="checkbox"/>	<input type="checkbox"/>
7. Human resources – including recruiting, personnel development, training.....	<input type="checkbox"/>	<input type="checkbox"/>
8. Managing or supervising people or projects.....	<input type="checkbox"/>	<input type="checkbox"/>
9. Production, operations, maintenance (e.g., chip production, operating lab equipment).....	<input type="checkbox"/>	<input type="checkbox"/>
10. Professional services (e.g., health care, counseling, financial services, legal services).....	<input type="checkbox"/>	<input type="checkbox"/>
11. Sales, purchasing, marketing, customer service, public relations.....	<input type="checkbox"/>	<input type="checkbox"/>
12. Quality or productivity management.....	<input type="checkbox"/>	<input type="checkbox"/>
13. Teaching.....	<input type="checkbox"/>	<input type="checkbox"/>
14. Other activity – <i>specify</i> .....	<input type="checkbox"/>	<input type="checkbox"/>

**A31. On which two activities in question A30 did you work the most hours during a typical week on this job?**

Enter number of appropriate activity from question A30 above.

- a.   Activity most hours
- b.   Activity second most hours  
(Enter "0" if no second most)





**A32. As part of the principal job you held during the week of February 1, 2021, did you supervise the work of others?**

Mark "Yes" if you recommended or initiated personnel actions such as hiring, firing, evaluating, or promoting others.

Teachers: Do not count students.

- Yes  
 No → **Go to question A34**

**A33. How many people did you typically...**

a. Supervise directly? ..... 

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*(If none, enter "0")*

b. Supervise indirectly through subordinate supervisors?..... 

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*(If none, enter "0")*

**A34. Thinking about your principal job held during the week of February 1, 2021, please rate your satisfaction with that job's...**

Mark one answer for each item.

	Very satisfied	Somewhat satisfied	Somewhat dissatisfied	Very dissatisfied
1. Salary.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Benefits.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Job security.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Job location.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Opportunities for advancement.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. Intellectual challenge.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Level of responsibility.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Degree of independence.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Contribution to society.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**A35. Thinking about the principal job you held during the week of February 1, 2021, how would you rate your overall satisfaction?**

Mark one answer.

- Very satisfied  
 Somewhat satisfied  
 Somewhat dissatisfied  
 Very dissatisfied

**A36. As of the week of February 1, 2021, what was your basic annual salary on your principal job, before deductions?**

Do not include bonuses, overtime, or additional compensation for summertime teaching or research.

*If you are not salaried, please estimate your earned income, excluding business expenses.*

Annual salary or earned income  
 \$ 

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 , 

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 .00 IN USD

**A36a. For the principal job you held during the week of February 1, 2021, has your basic annual salary been affected at any time by the coronavirus pandemic?**

- Yes  
 No → **Go to page 7, question A37**

**A36b. Did the salary you provided above reflect the effects of the coronavirus pandemic?**

- Yes, the salary I provided reflects changes due to the coronavirus pandemic  
 No, I provided my usual salary



**A36c. How has your basic annual salary been affected by the coronavirus pandemic?**

- It was decreased temporarily but has returned to normal } **Go to question A36d**
- It is currently decreased } **Go to question A36d**
- It was increased temporarily but has returned to normal } **Go to question A36e**
- It is currently increased } **Go to question A36e**
- I did not receive an expected raise or cost of living increase } **Go to question A36d**
- Other – *specify*  
  
**Go to question A37**

**A36d. By how much did your salary decrease due to the pandemic?**

*Your best estimate is fine.*

It decreased by

\$  ,  ,  .00 IN USD

**Go to question A37**

**A36e. By how much did your salary increase due to the pandemic?**

*Your best estimate is fine.*

It increased by

\$  ,  ,  .00 IN USD

**A37. Was this salary based on a 52-week year, or less than that?**

*Include paid vacation and sick leave.*

- 52-week year
- Less than 52 weeks

weeks per year

**A38. During a typical week on your principal job, how many hours did you work?**

hours per week

**If fewer than 35 hours, go to question A39.**

**If 35 or more hours, go to question A41.**

**A39. (If fewer than 35 hours) Did you want to work 35 or more hours per week on your principal job?**

- Yes
- No

**A40. Why did you usually work fewer than 35 hours?**

*Mark Yes or No for each item.*

		Yes ▼	No ▼
1. Previously retired or semi-retired.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Year retired			
If Yes →	<input style="width: 30px;" type="text"/>	<input style="width: 30px;" type="text"/>	<input style="width: 30px;" type="text"/>
2. Student.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Family responsibilities due to the coronavirus pandemic (e.g., childcare, eldercare).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Family responsibilities unrelated to the coronavirus pandemic.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Full-time job not available due to the coronavirus pandemic.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. Full-time job not available unrelated to the coronavirus pandemic.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Hours or work reduced due to the coronavirus pandemic.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Hours or work reduced unrelated to the coronavirus pandemic.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Held more than one job.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10. Did not need or want to work more hours.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11. Other reason – <i>specify</i> .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
↓	<input style="width: 300px; height: 20px;" type="text"/>		

**A41. Thinking of your principal job during the week of February 1, 2021, which of the following benefits were available to you even if you chose not to take them?**

*Mark Yes or No for each item.*

		Yes ▼	No ▼
1. Health insurance that was at least partially paid by your employer.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. A pension plan or a retirement plan to which your employer contributed.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. A profit-sharing plan.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. New or additional paid leave due to the coronavirus pandemic.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Paid vacation, sick, or personal days unrelated to the coronavirus pandemic...	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



**A41a. Thinking of your principal job during the week of February 1, 2021, which of the following best describes whether you were allowed or required to telecommute/work remotely?**

Mark one answer.

- I was allowed or required to telecommute/work remotely due to the coronavirus pandemic
- I was allowed or required to telecommute/work remotely regardless of the coronavirus pandemic
- I was not allowed or required to telecommute/work remotely
- Telecommuting/working remotely did not make sense for my job

**A42. Thinking back now to 2020, was any of your work during 2020 supported by contracts or grants from the U.S. federal government?**

*U.S. federal employees: Please answer "No."*

Mark one answer.

- Did not work in 2020 → **Go to page 9, question B1**
  - Yes
  - No
  - Don't know
- } → **Go to question A44**

**A43. Which U.S. federal government agencies or departments were supporting your work?**

Mark all that apply.

- Department of Defense (DOD)
  - Department of Education
  - Department of Energy (DOE)
  - National Institutes of Health (NIH)
  - Department of Health and Human Services (except NIH)
  - National Aeronautics and Space Administration (NASA)
  - National Science Foundation (NSF)
  - Other – *specify*
- 
- Don't know source agency

**A44. Counting all jobs held in 2020, what was your total earned income for 2020, before deductions?**

*Include all wages, salaries, bonuses, overtime, commissions, consulting fees, net income from businesses, summertime teaching or research, or other work associated with scholarships.*

*Do not include Social Security, dividends, interest, pensions, gambling winnings, royalties, unemployment insurance, spouse's earnings, alimony received or child support received.*

Total 2020 earned income

\$  ,  ,  .00 IN USD

**A44a. How was your total earned income for 2020 affected by the coronavirus pandemic?**

- It decreased → **Go to question A44b**
- It increased → **Go to question A44c**
- It was not affected → **Go to page 9, question B1**

**A44b. By how much did your income for 2020 decrease due to the pandemic?**

*Your best estimate is fine.*

It decreased by

\$  ,  ,  .00 IN USD

↳ **Go to page 9, question B1**

**A44c. By how much did your income for 2020 increase due to the pandemic?**

*Your best estimate is fine.*

It increased by

\$  ,  ,  .00 IN USD



## Part B - Past Employment

**B1. Were you working for pay or profit during both of these time periods: the week of February 1, 2019, and the week of February 1, 2021?**

- Yes  
 No → **Go to question C1**

**B2. During these two time periods – the week of February 1, 2019, and the week of February 1, 2021 – were you working for...**

Mark one answer.

Same employer and in same type of job } → **Go to question C1**

Same employer but in different type of job

Different employer but in same type of job

Different employer and in different type of job

**B3. Why did you change your employer or your job between the week of February 1, 2019, and the week of February 1, 2021?**

Mark Yes or No for each item.

	Yes	No
1. Pay, promotion opportunities.....	<input type="checkbox"/>	<input type="checkbox"/>
2. Working conditions (e.g., hours, equipment, working environment).....	<input type="checkbox"/>	<input type="checkbox"/>
3. Job location.....	<input type="checkbox"/>	<input type="checkbox"/>
4. Change in career or professional interests.....	<input type="checkbox"/>	<input type="checkbox"/>
5. Family-related reasons due to the coronavirus pandemic (e.g., childcare, eldercare).....	<input type="checkbox"/>	<input type="checkbox"/>
6. Family-related reasons unrelated to the coronavirus pandemic (e.g., children, spouse's job moved).....	<input type="checkbox"/>	<input type="checkbox"/>
7. School-related reasons (e.g., returned to school, completed a degree).....	<input type="checkbox"/>	<input type="checkbox"/>
8. Laid off or job terminated due to the coronavirus pandemic.....	<input type="checkbox"/>	<input type="checkbox"/>
9. Laid off or job terminated for reasons other than the coronavirus pandemic (includes company closings, mergers, buyouts, grant or contract ended).....	<input type="checkbox"/>	<input type="checkbox"/>
10. Retired.....	<input type="checkbox"/>	<input type="checkbox"/>
11. Some other reason – <i>specify</i> .....	<input type="checkbox"/>	<input type="checkbox"/>

## Part C - Other Work-Related Experiences

**C1. During the past 12 months, did you take any work-related training, such as workshops or seminars?**

*Include conferences or professional meetings only if you attended a training session at the conference or meeting.*

*Do not include college coursework for which you were enrolled in a degree program.*

- Yes  
 No → **Go to page 10, question C4**

**C2. For which of the following reasons did you take work-related training during the past 12 months?**

Mark Yes or No for each item.

	Yes	No
1. To improve skills or knowledge in your current occupational field.....	<input type="checkbox"/>	<input type="checkbox"/>
2. To increase opportunities for promotion or advancement in your current occupational field.....	<input type="checkbox"/>	<input type="checkbox"/>
3. For licensure or certification in your current occupational field.....	<input type="checkbox"/>	<input type="checkbox"/>
4. To facilitate a change to a different occupational field.....	<input type="checkbox"/>	<input type="checkbox"/>
5. Required or expected by employer.....	<input type="checkbox"/>	<input type="checkbox"/>
6. For leisure or personal interest.....	<input type="checkbox"/>	<input type="checkbox"/>
7. Other reason – <i>specify</i> .....	<input type="checkbox"/>	<input type="checkbox"/>

**C3. What was your most important reason from question C2 for taking training?**

Enter number of appropriate reason from question C2 above.

Most important reason



## Part D - Recent Educational Experiences

**C4. During the past 12 months, did you attend any professional conferences or professional society or association meetings?**

*Include regional, national, or international meetings.*

- Yes, I attended in person or virtually (i.e., online or by remote access)
- No

**C5. To how many regional, national, or international professional societies or associations do you currently belong?**

*If none, enter "0."*

Number

**C6. When thinking about a job, how important is each of the following factors to you?**

*Mark one answer for each item.*

	Very important	Somewhat important	Somewhat unimportant	Not important at all
	▼	▼	▼	▼

	Very important	Somewhat important	Somewhat unimportant	Not important at all
1. Salary.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Benefits.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Job security.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Job location.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Opportunities for advancement.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. Intellectual challenge.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Level of responsibility.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Degree of independence.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Contribution to society.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**D1. Between February 2019 and February 2021, did you complete another degree?**

- Yes
- No → **Go to page 11, question D7**

**D2. What was the highest degree you earned between February 2019 and February 2021?**

*Mark one answer.*

- Bachelor's degree (e.g., BS, BA, AB)
- Master's degree (e.g., MS, MA, MBA)
- Doctorate (e.g., Ph.D., D.Sc., Ed.D.)
- Other professional degree (e.g., JD, LLB, MD, DDS, DVM) – *specify*

- Other degree – *specify*

**D3. What was the primary field of study for this degree?**

Primary field of study

**D4. In what month and year was this degree awarded?**

Degree awarded

Month		Year			
		2	0		



**D5. From which academic institution did you receive this degree?**

College or University Name

Department

City

State

Territory/Country (if non-U.S.)

**D6. For which of the following reasons did you obtain this degree?**

Mark Yes or No for each item.

	Yes ▼	No ▼
1. To gain further education before beginning a career.....	<input type="checkbox"/>	<input type="checkbox"/>
2. To prepare for graduate school or further education.....	<input type="checkbox"/>	<input type="checkbox"/>
3. To change your academic or occupational field.....	<input type="checkbox"/>	<input type="checkbox"/>
4. To gain further skills or knowledge in your academic or occupational field.....	<input type="checkbox"/>	<input type="checkbox"/>
5. For licensure or certification.....	<input type="checkbox"/>	<input type="checkbox"/>
6. To increase opportunities for promotion, advancement, or higher salary.....	<input type="checkbox"/>	<input type="checkbox"/>
7. Required or expected by employer.....	<input type="checkbox"/>	<input type="checkbox"/>
8. For leisure or personal interest.....	<input type="checkbox"/>	<input type="checkbox"/>
9. For some other reason – specify.....	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>		

**D7. During the week of February 1, 2021, were you enrolled in or taking courses at a college or university?**

Yes

No → Go to page 12, question E1

**D8. Were you taking courses or enrolled as...**

Mark one answer.

A full-time student in a degree program

A part-time student in a degree program

Not enrolled in a degree program, but taking courses

**D9. Toward what degree were you working?**

If you were working toward more than one degree, mark the level for the highest degree.

Mark one answer.

No specific degree → Go to page 12, question D11

Bachelor's degree (e.g., BS, BA, AB)

Master's degree (e.g., MS, MA, MBA)

Doctorate (e.g., Ph.D., D.Sc., Ed.D.)

Other professional degree (e.g., JD, LLB, MD, DDS, DVM) – specify

Other degree – specify

**D10. What was the primary field of study for this degree?**

Primary field of study





## Part E - Demographic Information

**D11. For which of the following reasons were you taking courses or enrolled?**

Mark Yes or No for each item.

	Yes ▼	No ▼
1. To gain further education before beginning a career.....	<input type="checkbox"/>	<input type="checkbox"/>
2. To prepare for graduate school or further education.....	<input type="checkbox"/>	<input type="checkbox"/>
3. To change your academic or occupational field.....	<input type="checkbox"/>	<input type="checkbox"/>
4. To gain <u>further</u> skills or knowledge in your academic or occupational field.....	<input type="checkbox"/>	<input type="checkbox"/>
5. For licensure or certification.....	<input type="checkbox"/>	<input type="checkbox"/>
6. To increase opportunities for promotion, advancement, or higher salary.....	<input type="checkbox"/>	<input type="checkbox"/>
7. Required or expected by employer.....	<input type="checkbox"/>	<input type="checkbox"/>
8. For leisure or personal interest.....	<input type="checkbox"/>	<input type="checkbox"/>
9. For some other reason – <i>specify</i> .....	<input type="checkbox"/>	<input type="checkbox"/>

**D12. Were any of your school-related costs for taking courses paid for by an employer?**

- Yes  
 No

**E1. On February 1, 2021, what was your marital status?**

Mark one answer.

Married  
 Living in a marriage-like relationship  
 Widowed  
 Separated  
 Divorced  
 Never married

→ **Go to page 13, question E4**

**E2. During the week of February 1, 2021, was your spouse or partner working?**

Yes, full-time  
 Yes, part-time  
 No → **Go to page 13, question E4**

**E3. Did your spouse's or partner's duties on this job require the technical expertise of a bachelor's degree or higher in...**

Mark Yes or No for each item.

	Yes ▼	No ▼
1. Engineering, computer science, math, or the natural sciences.....	<input type="checkbox"/>	<input type="checkbox"/>
2. The social sciences.....	<input type="checkbox"/>	<input type="checkbox"/>
3. Some other field (e.g., health, business, or education) – <i>specify</i> .....	<input type="checkbox"/>	<input type="checkbox"/>



**E4. As of the week of February 1, 2021, did you have any children living with you as part of your family?**

*Only count children who lived with you at least 50 percent of the time.*

- Yes
- No → **Go to question E6**

**E5. How many children did you have living with you as part of your family in each of the following age categories?**

*If no children in a category, enter "0."*

	Number of children
a. Under age 2.....	<input type="text"/> <input type="text"/>
b. Aged 2-5.....	<input type="text"/> <input type="text"/>
c. Aged 6-11.....	<input type="text"/> <input type="text"/>
d. Aged 12-18.....	<input type="text"/> <input type="text"/>
e. Aged 19 or older.....	<input type="text"/> <input type="text"/>

**E6. On February 1, 2021, were you living in the United States or Puerto Rico, another U.S. territory, or were you living in another country?**

*Mark one answer.*

- United States or Puerto Rico
- Another U.S. territory
- Another country – *specify*

**E7. On February 1, 2021, were you a...**

- U.S. citizen
- Non-U.S. citizen → **Go to question E9**

**E8. Were you a U.S. citizen...**

*Mark one answer.*

- Born in the United States, Puerto Rico, Guam, the U.S. Virgin Islands, or Northern Marianas
- Born abroad of a U.S. citizen parent
- By naturalization

} → **Go to question E11**

**E9. On February 1, 2021, what kind of U.S. Resident Visa did you hold?**

*Mark one answer.*

- Permanent U.S. Resident Visa (Green Card)
- Temporary U.S. Resident Visa
- No longer held a U.S. Resident Visa

**E10. Of which country are you a citizen?**

**E11. What is your birthdate?**

Month	Day	Year
<input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

**E12. These questions are asked to verify that our records are correct and that we have reached the correct person selected for this study.**

a. From which institution did you receive your first doctorate?

Ph.D. institution

b. What is the field in which you earned your first doctorate?

Ph.D. field

c. In what month and year was your first doctorate awarded to you?

Month	Year
<input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>





**E13. The next several questions are designed to help us better understand the career paths of individuals with specific functional limitations.**

**What is the USUAL degree of difficulty you have with...**

*Mark one answer for each item.*

	None ▼	Slight ▼	Moderate ▼	Severe ▼	Unable to do ▼
1. SEEING words or letters in ordinary newsprint (with glasses/contact lenses, if you usually wear them).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. HEARING what is normally said in conversation with another person (with a hearing aid, if you usually use one).....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. WALKING without human or mechanical assistance or using stairs.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. LIFTING or carrying something as heavy as 10 pounds, such as a bag of groceries.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. CONCENTRATING, REMEMBERING, or MAKING DECISIONS because of physical, mental, or emotional condition.....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

→ **If you answered "None" to all the activities in question E13, go to question E15.**

**Otherwise, continue to E14.**

**E14. What is the earliest age at which you first began experiencing any difficulties in any of these areas?**

Age   OR  ← Since birth

**E15. In case we need to clarify some of the information you have provided, please list phone numbers and an email address where you can be reached.**

*Enter country code "001" for U.S. and Canada. Enter appropriate country code for phone numbers located elsewhere.*

	Country Code	Area/City Code	Number	
Home Phone Number	/ <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> /	/ <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> -	/ <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> -	<input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/>
Work Phone Number	/ <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> /	/ <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> -	/ <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> -	<input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/>
Cell Phone Number	/ <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> /	/ <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> -	/ <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> -	<input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid black;" type="text"/>
Email Address				



E16. Because we are interested in how education and employment change over time, we may be contacting you in 2023. To help us contact you, please provide the name and contact information for two people who are likely to know where you can be reached. Do not include someone who lives in your household.

As with all the information provided in this questionnaire, complete confidentiality will be provided. These people will only be contacted if we have difficulty contacting you in 2023.

**Person 1**

First Name	M.I.	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Email Address

Number and Street Address

City	State	ZIP/Postal Code
<input type="text"/>	<input type="text"/>	<input type="text"/>

Territory/Country (if non-U.S.)

Country Code	Area/City Code	Number
/ <input type="text"/> <input type="text"/> <input type="text"/> /	<input type="text"/> <input type="text"/> <input type="text"/>	- <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

**Person 2**

First Name	M.I.	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Email Address

Number and Street Address

City	State	ZIP/Postal Code
<input type="text"/>	<input type="text"/>	<input type="text"/>

Territory/Country (if non-U.S.)

Country Code	Area/City Code	Number
/ <input type="text"/> <input type="text"/> <input type="text"/> /	<input type="text"/> <input type="text"/> <input type="text"/>	- <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>



## JOB CATEGORY

If you cannot find the code that best describes your job, use the "Other" code under the most appropriate broad category. If none of the codes fit your job, use Code 500.

<b>Biological/Life Scientists</b>	021 Agricultural and food scientists 022 Biochemists and biophysicists 023 Biological scientists (e.g., botanists, ecologists, zoologists) 024 Forestry and conservation scientists	025 Medical scientists (excluding practitioners) 026 Technologists and technicians in the biological/life sciences 027 Other biological and life scientists
<b>Clerical/Administrative Support Occupations</b>	031 Accounting clerks and bookkeepers 032 Secretaries, receptionists, typists	033 Other administrative (e.g., record clerks, telephone operators)
<b>Clergy/Other Religious Workers</b>	040 Clergy and other religious workers	
<b>Computer Occupations</b> <i>Also consider 173 Operations research analysts, including modeling</i>	*** Computer engineers – <i>also consider 087 Computer engineers – hardware and 088 Computer engineers – software</i> 051 Computer & information scientists, research 052 Computer network architect 053 Computer programmers (business, scientific, process control) 054 Computer support specialists 055 Computer system analysts	056 Database administrators 057 Information security analysts 058 Network and computer systems administrators 059 Software developers – applications and systems software 060 Web developers 061 Other computer and information science occupations
<b>Consultants</b>	<i>Find the category on page 16 or 17 that comes closest to your field of consulting and select the code</i>	
<b>Counselors</b>	070 Counselors (Educational, vocational, mental health and substance abuse) <i>Also consider 236 Psychologists, including clinical</i>	
<b>Engineers/Architects</b> <i>Also consider 100 to 104 under Engineering Technologists, Technicians and Surveyors</i>	081 Architects 082 Aeronautical/aerospace/astronautical engineers 083 Agricultural engineers 084 Bioengineers or biomedical engineers 085 Chemical engineers 086 Civil, including architectural/sanitary engineers 087 Computer engineers – hardware 088 Computer engineers – software 089 Electrical and electronics engineers	090 Environmental engineers 091 Industrial engineers 092 Marine engineers and naval architects 093 Materials and metallurgical engineers 094 Mechanical engineers 095 Mining and geological engineers 096 Nuclear engineers 097 Petroleum engineers 098 Sales engineers 099 Other engineers
<b>Engineering Technologists/Technicians/Surveyors</b>	100 Electrical, electronic, industrial, and mechanical technicians 101 Drafting occupations, including computer drafting 102 Surveying and mapping technicians	103 Other engineering technologists and technicians 104 Surveyors, cartographers, photogrammetrists
<b>Farmers/Foresters/Fishermen</b>	110 Farmers, foresters and fishermen	
<b>Health Occupations</b>	111 Diagnosing/treating practitioners (e.g., dentists, optometrists, physicians, psychiatrists, podiatrists, surgeons, veterinarians) 112 Registered nurses, pharmacists, dieticians, therapists, physician assistants, nurse practitioners	236 Psychologists, including clinical – <i>Also consider 070 Counselors</i> 113 Health technologists and technicians (e.g., dental hygienists, health record technologists/technicians, licensed practical nurses, medical or laboratory technicians, radiological technicians) 114 Other health occupations
<b>Lawyers/Judges</b>	120 Lawyers, judges	
<b>Librarians/Archivists/Curators</b>	130 Librarians, archivists, curators	
<b>Managers and Supervisors, First-Line</b>	<i>Find the category on page 16 or 17 that best describes the occupation of the people you manage and select the code</i>	
<b>Managers, Top-level Executives/Administrators</b>	141 Top-level managers, executives, administrators (e.g., CEO/COO/CFO, president, district manager, general manager, legislator, chancellor, provost)	
<b>Managers, Other</b> <i>People who manage other managers</i>	142 Computer and information systems managers 143 Engineering managers 144 Medical and health services managers 145 Natural sciences managers 146 Education administrators (e.g., registrar, dean, principal) 147 Other mid-level managers	

## JOB CATEGORY (Continued)

<b>Management-Related Occupations</b> <i>Also consider 142 to 147 under Managers, Other</i>	151	Accountants, auditors, and other financial specialists	153	Other management related occupations
	152	Personnel, training, and labor relations specialists		
<b>Mathematical Scientists</b>	171	Actuaries	174	Statisticians
	172	Mathematicians	175	Technologists and technicians in the mathematical sciences
	173	Operations research analysts, including modeling	176	Other mathematical scientists
<b>Physical Scientists</b>	191	Astronomers	195	Oceanographers
	192	Atmospheric and space scientists	196	Physicists, except biophysicists
	022	Biochemists and biophysicists	197	Technologists and technicians in the physical sciences
	193	Chemists, except biochemists	198	Other physical scientists
	194	Geologists, including earth scientists		
<b>Research Associates/ Assistants</b>	<i>Find the category on page 16 or 17 that comes closest to your research field and select the code</i>			
<b>Sales/Marketing Occupations</b>	200	Insurance, securities, real estate, and business services	202	Sales occupations – retail (e.g., furnishings, clothing, motor vehicles, cosmetics)
	201	Sales occupations – commodities except retail (e.g., industrial machinery/equipment/supplies, medical and dental equip./supplies)	203	Other marketing and sales occupations
<b>Service Occupations, Except Health</b> <i>Also consider 111 to 114 under Health Occupations</i>	221	Food preparation and service (e.g., cooks, waitresses, bartenders)	223	Other service occupations, except health (e.g., probation officers, human services workers)
	222	Protective services (e.g., fire fighters, police, guards, wardens, park rangers)		
<b>Social Scientists</b>	231	Anthropologists	236	Psychologists, including clinical – <i>Also consider 070 Counselors</i>
	232	Economists	237	Sociologists
	233	Historians	238	Other social scientists
	235	Political scientists		
<b>Social Workers</b>	240	Social workers		
<b>Teachers—Precollege</b>	251	Pre-kindergarten and kindergarten	255	Secondary – other subjects
	252	Elementary	256	Special education – primary and secondary
	253	Secondary – computer, math, or sciences	257	Other precollegiate area
	254	Secondary – social sciences		
<b>Teachers/Professors—Postsecondary</b>	271	Agriculture	283	History
	272	Art, Drama, and Music	286	Mathematics and Statistics
	273	Biological Sciences	287	Health and Related Sciences
	274	Business, Commerce, and Marketing	288	Physical Education
	275	Chemistry	289	Physics
	276	Computer Science	290	Political Science
	277	Earth, Environmental, and Marine Science	291	Psychology
	278	Economics	293	Sociology
	279	Education	297	Other Natural Sciences
	280	Engineering	298	Other Social Sciences
	281	English	299	Other Postsecondary fields
	282	Foreign Language		
<b>Teachers—Other</b>	300	Other teachers and instructors (e.g., private tutors, dance or flying instructors, martial arts instructors)		
<b>Writers/Editors/Public Relations Specialists/Artists/Entertainers/Broadcasters</b>	010	Writers, editors, public relations specialists, artists, entertainers, broadcasters		
<b>Other Professions</b>	401	Construction and extraction occupations	403	Precision/production occupations (e.g., metal workers, woodworkers, butchers, bakers, assemblers, printing occupations, tailors, shoemakers, photographic process)
	402	Installation, maintenance, and repair occupations	405	Transportation and material moving occupations
<b>Other Occupations</b>	500	<b>Other Occupations (Not Listed)</b>		

## THANK YOU FOR COMPLETING THE QUESTIONNAIRE.

Please complete this form within two weeks and return it in the envelope provided.

If you have any questions or need assistance, please visit our SDR website at [www.NSFsdr.org](http://www.NSFsdr.org), call us toll-free at 1-855-460-5324 or locally at 301-637-8195, or email us at [SDR@westat.com](mailto:SDR@westat.com). If you cannot find the envelope or would like another, please email or call us.

Our mailing address is:

Westat  
1600 Research Blvd.  
RC B16  
Rockville, MD 20850

- Results of the Survey of Doctorate Recipients can be found on the National Science Foundation's Website at <https://www.nsf.gov/statistics/doctoratework>.
- You are not required to respond to any information collection unless it displays a valid approval number from the Office of Management and Budget. The approval number for this survey is 3145-0020.

COMMENTS ABOUT THIS SURVEY:

