

OMB Control #0584-XXXX  
**7 CFR Part 226, Food Nutrition Service Child Nutrition Integrity Rule**

<b>Reporting</b>									
CFR Citation	Title	Estimated # Respondents	Responses per Respondents	Total Annual Records	Estimated Avg. # of Hours Per Response	Estimated Total Hours	Current OMB Approved Burden Hrs	Due to Program Change - Rulemaking	Total Difference
<b>State and Local Government Level</b>									
<b>State Agency</b>									
226.4(j)	SAs may submit plan to FNS for additional audit funding.	8	1	8	4	32	0	32.00	32
226.6(k)(11)(iii)	SA to submit, for FNS review, information supporting a request for a reduction in the State's liability, a reconsideration of the State's liability, or an exception to the 60-day deadline, for exceptional circumstances.	5	1	5	4	20	0	20.00	20
226.6 (b)(4)(ii)	State agency must consult with FNS prior to any taking action to terminate for convenience.	56	1	56	0.50	28	0	28.00	28
226.6(m)(6)	SAs to conduct reviews every two years for sponsoring organizations with less than 100 facilities and conduct activities other than the CACFP or are at risk of having serious management problems.	56	20	1120	4.00	4480	0	4480.00	4480
226.7(b)(1)	Have procedures in place for annually reviewing at least one month of the sponsoring organization's bank account activity against other associated records to verify that the transactions meet program requirements.	56	1	56	1.00	56	0	56.00	56
226.7(b)(1)(ii)	State agency must have procedures for annually reviewing a sponsoring organization's actual expenditures of CACFP funds and the amount of meal reimbursement funds retained from unaffiliated centers.	56	1	56	1.00	56	0	56.00	56
226.25(j)	State agencies must notify SFAs of fines and submit a copy of the notice to FNS.	56	0.09	5.04	3.00	15.12	0	15.12	15.12
226.6(b)(2)	SAs must review annual certification of an institution's eligibility to continue participating in CACFP (replaces the renewal application process).	56	390	21,840	0.334	7,295	10,920	-3625.44	-3625
226.6(m)(3)(ix)	The State agency is required to assess the timing of each sponsoring organization's reviews of day care homes and sponsored centers.	56	390	21,840	0.167	3,640	0	3640.00	3640

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226.6(p)	The SA must develop/revise and provide a sponsoring organization agreement between sponsor and facilities, which must have standard provisions.	56	1	56	6.00	336	0	336.00	336
226.12(a)	SAs must multiply the appropriate administrative reimbursement rate by the number of day care homes submitting claims for reimbursement during the month, to determine the amount of payment that sponsoring organizations will receive.	56	11	623	0.167	104	0	103.83	104
226.7(g)(2)	State agency must review the budget and supporting documentation prior to approval, for sponsoring organizations of day care homes seeking to carry over administrative funds.	56	11	623	1.00	623	0	623.00	623
226.7(j)	State agency must establish procedures to recover administrative funds from sponsoring organizations of day care homes that are not properly payable under FNS Instruction 796-2, administrative funds that are in excess of the 10 percent maximum carryover amount, and carryover amounts that are not expended or obligated by the end of the fiscal year following the fiscal year in which they were received.	56	1	56	2.00	112	0	112.00	112
226.3(c)	SAs that have been approved to administer the Program must enter into written agreement with FNS for the administration of the Program in the State (Federal/State agreement).	19	0	0	1.00	0	0	0.00	0
226.6(b)(1)	SAs must review applications submitted by new institutions.	56	5	280	1.00	280	280	0.00	0
226.6(b)(3)	SAs must notify an institution of approval or disapproval of its application within 30 calendar days of receipt of a complete application. The agreement shall contain an assurance that the State agency will comply with policy, instructions, guidance, and handbooks issued by FNS.	56	15	840	0.25	210	210	0.00	0
226.6(b)(4)	SAs must enter into written agreement with an institution that has been approved for participation in the Program (State/Institution agreement).	56	5	280	0.50	140	140	0.00	0

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226.6(c)(1)(iii)(A) 226.6(c)(2)(iii)(A) 226.6(c)(3)(iii)(A) 226.6(c)(6)(ii)(A)	SAs must notify an institution's executive director and chairman of the board of directors that the institution has been determined to be seriously deficient. At the same time the notice is issued, the SAs must add the institution to the SA list, along with the basis for the serious deficiency determination, and provide a copy of the notice to the appropriate FNS Regional Office (FNSRO).	56	10	560	0.25	140	140	0.00	0
226.6(c)(1)(iii)(B) 226.6(c)(2)(iii)(B) 226.6(c)(3)(iii)(B) 226.6(c)(6)(ii)(C)	SAs must submit a copy of successful corrective action (temporary deferment or serious deficiency determination) notices to FNSRO for new, renewing, and participating institutions.	56	7	392	0.25	98	98	0.00	0
226.6(c)(1)(iii)(C) 226.6(c)(2)(iii)(C) 226.6(c)(3)(iii)(C) 226.6(c)(6)(ii)(D)	SAs must submit a copy of application denial and proposed disqualification notice to FNSRO.	56	3	168	0.25	42	42	0.00	0
226.6(c)(1)(iii)(E) 226.6(c)(2)(iii)(D) 226.6(c)(3)(iii)(E) 226.6(c)(5)(i)(C)	SAs must submit copies of disqualification notices to the FNSRO for new, renewing, and participating institutions.	56	3	168	0.25	42	42	0.00	0
226.6(c)(3)(i)	If a SA holds an agreement with an institution operating in more than one State that has been disqualified from the Program by another SA and has been placed on the National Disqualified List, the SA must terminate the institution's agreement effective no later than 45 days from the date of the institution's disqualification by the other SA.	56	3	168	0.25	42	42	0.00	0
226.6(c)(1)(iii)(E) 226.6(c)(2)(iii)(D) 226.6(c)(3)(i) 226.6(c)(3)(iii)(E) 226.6(c)(5)(i)(C) 226.6(c)(6)(ii)(E)	SAs must submit copies of disqualification notices and supportive documentation to the FNSRO.	56	3	168	0.25	42	42	0.00	0
226.6(c)(5)(i)(A)-(B) 226.6(c)(5)(ii)(A)-(B) 226.6(c)(5)(ii)(B) 226.6(c)(5)(ii)(D) 226.6(c)(6)(ii)(B)	SAs must submit copies of proposed suspension of participation notices to the FNSRO.	56	1	56	0.25	14	14	0.00	0

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226.6(c)(6)(ii)(G)	SAs must terminate an institution's agreement no later than 45 days after the date of the institution's disqualification if FNS determines that institution to be seriously deficient and subsequently disqualifies the institution. At the same time the notice of termination is issued, the SA must add the institution to the State agency list and provide a copy of the notice to the appropriate FNSRO.	56	3	168	0.25	42	42	0.00	0
226.6(c)(8)(C)(ii)	SA must provide the appropriate FNSRO the name, mailing address, and date of birth of each day care home provider whose agreement is terminated for cause, within 10 days of receiving a notice of termination and disqualification from a sponsoring organization.	56	12	672	0.25	168	168	0.00	0
226.6(d)(1) 226.6(e)	SAs must establish licensing/compliance review procedures for child care centers, at-risk afterschool care centers, day care homes, outside-school hours care centers, and adult day centers. Because SAs currently administer the Program in accordance with licensing/compliance review procedures, now the burden associated with this requirement is to revise/update the established procedures, as necessary.	10	1	10	1.00	10	10	0.00	0
226.6(d)(3)	SAs must establish alternate procedures for review of institutions for which licensing or approval is not available.	10	1	10	3.00	30	30	0.00	0
226.6(e)(1)(ix)(A)	SAs must coordinate with the NSLP State agency to ensure the receipt of a list of schools in the State in which at least one-half of the children enrolled are certified eligible to receive free or reduced-price meals.	56	1	56	0.50	28	28	0.00	0
226.6(f)(1)(i)	SAs must annually inform institutions that are pricing programs of their responsibility to ensure that free and reduced-price meals are served to participants unable to pay the full price.	56	1	56	0.25	14	14	0.00	0
226.6(f)(1)(ii)	SAs must annually provide all institutions a copy of the income standards to be used by institutions for determining the eligibility of participants for free and reduced-price meals under the Program. These standards are provided in Appendix E5. Child Nutrition Programs Income Eligibility Guidelines.	56	1	56	0.50	28	28	0.00	0

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226.6(f)(1)(viii)(A)	SAs must provide day care home sponsoring organizations a list of elementary schools in which at least one-half of the children enrolled receive free/reduced-price meals on an annual basis.	56	11	616	0.25	154	154	0.00	0
226.6(f)(1)(viii)(D)	SAs must provide day care home sponsors a listing of State-funded programs, participation in which a parent or child will qualify for a meal served to a child in a tier II home for the tier I rate of reimbursement on an annual basis.	56	11	616	0.25	154	154	0.00	0
226.6(f)(1)(viii)(E)	SAs must submit to the SNAP SA a list of family day care home providers receiving Tier I benefits on an annual basis.	56	1	on	0.25	14	14	0.00	0
226.6(f)(1)(ix)(A)	SAs must provide at-risk-afterschool care centers and sponsoring organizations the list of schools in which one-half of children enrolled are eligible for free/reduced-price meals on an annual basis.	56	1	56	2.00	112	112	0.00	0
226.6(f)(3)(iii)	SAs must provide census data to day care home sponsoring organizations.	56	11	616	0.25	154	154	0.00	0
226.6(h)	SAs must submit, to the State commodity distribution agency, a list of institutions receiving commodities, by June 1 of each year.	15	1	15	0.25	4	4	0.00	0
226.6(i)	SAs must develop a standard contract in accordance with section 226.21 for use between institutions and food service management companies. The contract must stipulate the requirements under 226.6(i), as well as adherence to procurement provisions.	56	1	56	1.00	56	56	0.00	0
226.6(k)(4)(i)	SAs must annually submit administrative review (appeal) procedures to all institutions.	56	390	21,840	0.02	365	365	0.00	0
226.6(k)(4)(ii)	Each SA must submit administrative review (appeal) procedures when applicable action is taken.	56	5	280	0.25	70	70	0.00	0
226.6(k)(5)(i)	SAs must notify the institution's executive director and chairman of the board of directors, and the responsible principals and responsible individuals, of the action being taken or proposed, the basis for the action, and the procedures under which the institution and the responsible principals or responsible individuals may request an administrative review (appeal) of the action.	56	3	168	0.25	42	42	0.00	0

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226.6(k)(5)(ii)	The Administrative Review Official must acknowledge the receipt of the request for an administrative review (appeal) within 10 days of its receipt of the request.	56	3	168	0.08	14	14	0.00	0
226.6(k)(5)(v)	The Administrative Review Official must review documentation submitted to refute the findings contained in the notice of action.	56	3	168	2.00	336	336	0.00	0
226.6(k)(5)(vi)	The Administrative Review Official must hold a hearing if requested in the written request for an administrative review (appeal).	56	3	168	4.00	672	672	0.00	0
226.6(k)(5)(ix) 226.6(k)(9)	The Administrative Review Official must inform the SA, the institution's executive director, and chairman of the board of directors, and the responsible principals and responsible individuals, of the administrative review's outcome within 60 days of the State agency's receipt of the request for an administrative review.	56	3	168	0.50	84	84	0.00	0
226.6(l)	The SA must establish/revise administrative review (appeal) procedures for day care home providers AND notify the appropriate FNSRO of any change to the procedures or the selected option for offering an administrative review (appeal) to day care home providers.	18	1	18	0.25	5	5	0.00	0
226.6(m)(5)	SAs must submit to FNSROs, no later than April 1, 2005, the policies and procedures they have developed governing household contacts. Because SAs have already submitted these policies and procedures, now the burden associated with this requirement is to revise/update the established procedures, as necessary.	15	1	15	0.25	4	4	0.00	0

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226.6(m)(6)	SAs must annually review at least 33.3 percent of all institutions. As part of its conduct of reviews, the SA must assess each institution's compliance with the requirements of 7 CFR 226 pertaining to: recordkeeping; meal counts; administrative costs; FNS instructions and handbooks; facility licensing and approval (if sponsoring organization); participant enrollment and eligibility; Civil Rights requirements; meal service; training and monitoring of facilities (if sponsoring organization); serious deficiency and termination procedures (if sponsoring organization); classification of Tier I and Tier II day care homes (if sponsoring organization); agreements; procurement standards, policies and actions; and all other Program requirements.	56	129	7,224	20.00	144,480	144,480	0.00	0
226.6(p)	SAs must develop/revise and provide sponsoring organization agreement between sponsor and facilities.	15	1	15	6.00	90	90	0.00	0
226.6(r)	SAs must provide information on the importance and benefits of the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) and WIC income eligibility guidelines to participating institutions.	56	1	56	0.25	14	14	0.00	0
226.7(c)	Each SA must submit to the FNSRO a written plan for correcting serious deficiencies noted in Management Evaluations/Audits.	28	1	28	5.00	140	140	0.00	0
226.7(d) (Form FNS-44)	SAs must submit CACFP Report to FNS 30 and 90 days following the month being reported.	56	0	0	0.00	0	0	0.00	0
226.7(e)	SAs must submit an annual plan for the use of State administrative expense funds.	56	1	56	2.00	112	112	0.00	0
226.7(g)	SAs must review institutions' budgets on an annual basis.	56	390	21,840	2.00	43,680	43,680	0.00	0
226.7(h) 226.7(i) 226.7(j)	SAs must establish procedures for start-ups, advances, and recovery of over-payments. Annually, SAs revise/edit these policies, as necessary.	10	1	10	2.00	20	20	0.00	0
226.7(k)	Each SA must process claims for reimbursement to institutions on a monthly basis.	56	12	672	1.00	672	672	0.00	0

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226.9(a)	Each SA must annually assign rates of reimbursement to institutions on the basis of family-size and income information, and national average payment rates.	56	1	56	0.25	14	14	0.00	0
226.10(e)	SAs must make adjustments to a final Claim for Reimbursement postmarked and/or submitted to the SA no later than 60 days following the last day of the full month covered by the claim.	56	12	672	2.00	1,344	1,344	0.00	0
226.14(a)	SAs must notify institutions of disallowed claim and demand repayment.	56	39	2,184	0.02	36	36	0.00	0
226.23(l)	SAs must obtain written consent from children's parents or guardians prior to the use or disclosure of information, if the SA plans to use or disclose information about children eligible for free/reduced-price meals in ways not specified in the regulations.	0	0	0	0.00	0	0	0.00	0
226.23(m)(1) 226.23(m)(2)	SAs should enter into a written agreement with the party requesting children's free/reduced-price eligibility information.	0	0	0	0.00	0	0	0.00	0
226.24	Follow the policies and procedures governing title, use, and disposition of equipment obtained by purchase, whose cost was acquired in whole or part with food service equipment assistance funds.	0	0	0	0.00	0	0	0.00	0
<b>State agency Subtotal</b>		56	1932.73	108,233	1.95	211,008	205,131	5,877	5,877
<b>Local Governments (Sponsoring Organizations)</b>									
226.7(b)(1)	Sponsoring organizations have to annually provide State agencies with bank account activity against other associated records to verify that the transactions meet program requirements.	3,257	1	3,257	0.25	814	0	814	814
226.7(b)(1)(i)	Sponsoring organizations must provide State agency with actual expenditures of CACFP funds and the amount of meal reimbursement funds retained from unaffiliated centers to support the sponsoring organization's administrative costs.	32	1	32	1	32	0	32	32.00
226.6(b)	Each participating institution must submit annual updates to continue its participation (annual certification of information, updated licensing information, and a budget).	3257	1	3,257	0.33	1,088	1629	-541	-540.66

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226.6(p), 226.17(e),(f), 226.17a(f), 226.19(d), and 226.19a(d)	Sponsoring organizations must enter into permanent agreements with their unaffiliated centers.	32	10	320	0.50	160	0	160	160.00
226.6(f)(1)(iv)	Sponsoring organizations of day care homes seeking to carry over administrative funds must submit an amended budget, to include an estimate of requested administrative fund carryover amounts and a description of proposed purpose for which those funds would be obligated or expended.	83	1	83	1.00	83	0	83	83.00
226.25	SFAs may appeal the State agency's determination of fines. SFAs must submit to the State agency any pertinent information, explanation, or evidence addressing the Program violations identified by the State agency.	5	1	5	8.00	40	0	40	40.00
226.23(e)(1)(vii)	If a tier II day care home elects to assist in collecting and transmitting the applications to the sponsoring organization, sponsoring organizations must establish procedures to ensure the provider does not review or alter the application	83	1	83	1.00	83	0	83	83
226.6(b)(4)	An institution that has been approved for participation in the Program must enter into written agreement with the SA (State/Institution agreement).	42	1	42	0.50	21	21	-	-
226.6(d) 226.6(e) 226.6(f)(1)(vi)	Sponsors and institutions must submit documentation to SAs to demonstrate that facilities are in compliance with licensing/approval criteria.	3,257	1	3,257	0.08	272	272	-	-
226.6(f)(1)(iii)	Centers must submit current eligibility information on enrolled participants, in order to calculate a blended rate or claiming percentage in accordance with section 226.9(b).	3,257	12	39,084	0.50	19,542	19542	-	-
226.6(f)(1)(viii)(E)	Sponsoring organizations of day care homes must submit annually to the State agency a list of family daycare home providers receiving Tier I benefits based on SNAP participation.	83	1	83	0.02	1	1	-	-

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226.6(n)	Sponsoring organizations must participate and provide necessary documentation requested as part of investigations.	9	1	9	1.50	14	14	-	-
226.7(g)	Sponsoring organizations must submit an administrative budget to the State agency annually, and independent centers must submit budgets as frequently as required by the State agency.	3,257	1	3,257	0.50	1,629	1629	-	-
226.10 226.13(b) 226.15(i)	Sponsors/institutions must report the number of meals claimed for reimbursement to the SA.	3,257	12	39,084	1.67	65,270	65270	-	-
226.10(c)	Sponsoring organizations must submit documentation to verify for profit center eligibility.	1,456	12	17,472	0.50	8,736	8736	-	-
226.13(d)(3)(i)-(iii)	Sponsoring organizations of family day care homes must establish and provide reimbursement rates for Tier 2 providers with income-eligible children.	83	5	415	0.30	125	125	-	-
226.15(b)	New and participating institutions must submit to the SA, with its application, all information required for its approval. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	42	1	42	8.00	336	336	-	-
226.15(b)	Participating institutions must submit documentation required for renewal to the SA.	3,257	1	3,257	0.25	814	814	-	-

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226.15(g)	Sponsoring organizations of at-risk afterschool care centers must provide information that permits SA to determine eligibility of at-risk afterschool care centers.	3,257	1	3,257	0.25	814	814	-	-
226.15(n)	Each institution must comply with all regulations issued by FNS and the Department, all instructions and handbooks issued by FNS and the Department to clarify or explain existing regulations, and all regulations, instructions and handbooks issued by the SA that are consistent with the provisions established in Program regulations.	3,257	1	3,257	36.00	117,252	117252	-	-
226.15(o)	Institutions must ensure that parents of enrolled children are provided with current information on the benefits and importance of the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) and the eligibility requirements for WIC participation. (Each institution other than outside-school-hours care centers, at-risk afterschool care	3,257	1	3,257	0.25	814	814	-	-
226.16(b)	Each sponsoring organization must submit to the SA, with its application, all information required for its approval, and the approval of the facilities under its jurisdiction. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	3,257	0	0	0.00	0	0	-	-
226.16(d)(4)(vi)	Sponsoring organizations must provide each center with written notification of the right of the sponsoring organizations, the SA, the Department, and other State and Federal officials to make announced or unannounced reviews of its operations during the center's normal hours of operation, and must also notify sponsored centers that anyone making such	3,257	1	3,257	0.25	814	814	-	-
226.16(d)(4)(viii)	Sponsoring organizations that discover in a facility conduct or conditions that pose an imminent threat to the health or safety of participating children or the public must immediately notify the appropriate State or local licensing or health authorities and take action that is consistent with the recommendations and requirements of those authorities.	814	1	814	0.25	204	204	-	-
226.16(l)(3)(i)	Sponsoring organizations must notify the day care home that it has been found to be seriously deficient.	83	1	83	0.25	21	21	-	-

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226.16(l)(4)	Sponsoring organizations must conduct the following activities if State or local health or licensing officials have cited a day care home for serious health or safety violations: (1) immediately suspend the home's CACFP participation prior to any formal action to revoke the home's licensure or approval; (2) notify the day care home that its participation has been	21	1	21	0.25	5	5	-	-
226.17a(e)	Sponsoring organizations must make written application to the SA for any afterschool care program that it wants to operate as an at-risk afterschool care center.	564	1	564	1.00	564	564	-	-
226.17a(h)	Independent at-risk afterschool care centers or sponsors of at-risk afterschool care centers must advise the SA of any substantive changes to the afterschool care program. Sponsoring organizations that want to add new at-risk afterschool care centers must provide the SA with the information sufficient to demonstrate that the new centers meet the requirements of this section.	564	1	564	0.50	282	282	-	-
226.23	Free/reduced-price meal requirements applicable to independent centers and sponsoring organizations.	3,791	1	3,791	0.02	63	63	-	-
226.23(l)	Child care institutions that plan to use or disclose information about children eligible for free/reduced-price meals in ways not specified in the regulations must obtain written consent from children's parents or guardians prior to the use or disclosure.	29	1	29	0.08	2	2	-	-
226.23(m)	A child care institution should have a written agreement or Memorandum of Understanding (MOU) with programs or individuals receiving eligibility information, prior to disclosing children's free and reduced-price meal eligibility information.	29	1	29	0.08	2	2	-	-
<b>Local Govt Subtotal</b>		3,791	34.81	131,962	1.67	219,898.12	219,227	671.59	671.59
<b>Reporting burden for State and Local Government Level</b>		3,847	62.44	240,195	1.79	430,905.86	424,358	6,548.10	6,548.10

**Businesses Level (Institutions)**

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226.7(b)(1)(i)	Sponsoring organizations have to annually provide State agencies with bank account activity against other associated records to verify that the transactions meet program requirements.	18,601	1.00	18,601	0.25	4,650	0	4,650	4,650
226.7(b)	Sponsoring organizations must provide State agency with actual expenditures of CACFP funds and the amount of meal reimbursement funds retained from unaffiliated centers to support the sponsoring organization's administrative costs.	1,030	1	1,030	1	1,030	0	1,030	1,030.00
226.6(b)	Each participating institution must submit annual updates to continue its participation (annual certification of information, updated licensing information, and a budget).	18,601	1	18,601	0.33	6,213	9301	(3,088)	(3,088)
226.6(p), 226.17(e),(f), 226.17a(f), 226.19(d), and 226.19a(d)	Sponsoring organizations must enter into permanent agreements with their unaffiliated centers.	1,030	10	10,300	0.50	5,150	0	5,150	5,150
226.23(e)(1)(vii)	If a tier II day care home elects to assist in collecting and transmitting the applications to the sponsoring organization, sponsoring organizations must establish procedures to ensure the provider does not review or alter the application	540	1	540	1.00	540	0	540	540
226.6(f)(1)(iv)	Sponsoring organizations of day care homes seeking to carry over administrative funds must submit an amended budget, to include an estimate of requested administrative fund carryover amounts and a description of proposed purpose for which those funds would be obligated or expended.	540	1	540	1.00	540	0	540	540
226.6(b)(4)	SA must enter into written agreement with an institution that has been approved for participation in the Program (State/Institution agreement).	238	1	238	0.50	119	119	-	-
226.6(d) 226.6(e) 226.6(f)(1)(vi)	Sponsoring organizations and institutions must submit documentation to SAs in order to demonstrate that facilities are in compliance with licensing/approval criteria.	18,601	1	18,601	0.08	1,553	1553	-	-
226.6(f)(1)(iii)	Centers must submit current eligibility information on enrolled participants, in order to calculate a blended rate or claiming percentage in accordance with section 226.9(b).	18,601	12	223,212	0.50	111,606	111606	-	-

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226.6(f)(1)(viii)(E)	Sponsoring organizations of day care homes must submit annually a list of family day care home providers receiving tier I benefits based on SNAP participation.	540	1	540	0.02	9	9	-	-
226.6(n)	Sponsoring organizations must participate and provide necessary documentation requested as part of investigations.	51	1	51	1.50	77	77	-	-
226.7(g)	Sponsoring organizations must submit an administrative budget to the SA annually, and independent centers must submit budgets as frequently as required by the SA.	18,601	1	18,601	0.50	9,301	9301	-	-
226.10 226.13(b) 226.15(i)	Sponsoring organizations and institutions must report to the SA the number of meals claimed for reimbursement.	18,601	12	223,212	1.67	372,764	372764	-	-
226.10(c)	Sponsoring organizations must submit documentation to verify for profit center eligibility.	8,314	12	99,768	0.50	49,884	49884	-	-
226.13(d)(3)(i)-(iii)	Sponsoring organizations of family day care homes must establish reimbursement rates for Tier 2 providers with income-eligible children.	540	5	2,700	0.30	812	812	-	-
226.15(b)	New and participating institutions must submit to the SA, with its application, all information required for its approval. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	238	1	238	8.00	1,904	1904	-	-
226.15(b)	Participating institutions must submit documentation required for renewal to the SA.	18,601	1	18,601	0.25	4,650	4650	-	-
226.15(g)	Sponsoring organizations of at-risk afterschool care centers must provide information that permits SA to determine eligibility of at-risk afterschool care centers.	18,601	1	18,601	0.25	4,650	4650	-	-
226.15(n)	Each institution must comply with all regulations issued by FNS and the Department, all instructions and handbooks issued by FNS and the Department to clarify or explain existing regulations, and all regulations, instructions and handbooks issued by the SA that are consistent with the provisions established in Program regulations.	18,601	1	18,601	36.00	669,636	669636	-	-

## 7 CFR Part 226, Food Nutrition Service Child Nutrition Integrity Rule

226.15(o)	Institutions must ensure that parents of enrolled children are provided with current information on the benefits and importance of the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) and the eligibility requirements for WIC participation. (Each institution other than outside-school-hours care centers, at-risk afterschool care centers, emergency shelters, and adult day care centers.)	18,601	1	18,601	0.25	4,650	4650	-	-
226.16(b)	Each sponsoring organization must submit to the SA, with its application, all information required for its approval, and the approval of the facilities under its jurisdiction. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	18,601	0	0	0.00	0	0	-	-
226.16(d)(4)(vi)	Sponsoring organizations of centers must provide each center with written notification of the right of the sponsoring organization, the State agency, the Department, and other State and Federal officials to make announced or unannounced reviews of its operations during the center's normal hours of operation, and must also notify sponsored centers that anyone making such reviews must show photo identification that demonstrates that they are employees of one of these entities.	18,601	1	18,601	0.25	4,650	4650	-	-
226.16(d)(4)(viii)	Sponsoring organizations that discover in a facility conduct or conditions that pose an imminent threat to the health or safety of participating children or the public must immediately notify the appropriate State or local licensing or health authorities and take action that is consistent with the recommendations and requirements of those authorities.	4,650	1	4,650	0.25	1,163	1163	-	-
226.16(l)(3)(i)	Sponsoring organizations must notify the day care home that it has been found to be seriously deficient.	540	1	540	0.25	135	135	-	-

## 7 CFR Part 226, Food Nutrition Service Child Nutrition Integrity Rule

226.16(l)(4)	Sponsoring organizations must conduct the following activities if State or local health or licensing officials have cited a day care home for serious health or safety violations: (1) immediately suspend the home's CACFP participation prior to any formal action to revoke the home's licensure or approval; (2) notify the day care home that its participation has been suspended, that the day care home has been determined seriously deficient, and that the sponsoring organization proposes to terminate the day care home's agreement for cause; (3) provide a copy of the notice to the State agency.	135	1	135	0.25	34	34	-	-
226.17a(e)	Sponsoring organizations must make a written application to the SA for any afterschool care program that it wants to operate as an at-risk afterschool care center.	3,220	1	3,220	1.00	3,220	3220	-	-
226.17a(h)	Independent at-risk afterschool care centers or sponsoring organizations of at-risk afterschool care centers must advise the SA of any substantive changes to the afterschool care program. Sponsoring organizations that want to add new at-risk afterschool care centers must provide the SA with the information sufficient to demonstrate that the new centers meet the requirements of this section.	3,220	1	3,220	0.50	1,610	1610	-	-
226.23	Free/reduced-price meal requirements applicable to independent centers and sponsoring organizations.	21,650	1	21,650	0.02	362	362	-	-
226.23(l)	Child care institutions that plan to use or disclose information about children eligible for free/reduced-price meals in ways not specified in the regulations must obtain written consent from children's parents or guardians prior to the use or disclosure.	167	1	167	0.08	14	14	-	-
226.23(m)	A child care institution should have a written agreement or Memorandum of Understanding (MOU) with programs or individuals receiving eligibility information, prior to disclosing children's free and reduced-price meal eligibility information.	167	1	167	0.08	14	14	-	-

OMB Control #0584-XXXX  
**7 CFR Part 226, Food Nutrition Service Child Nutrition Integrity Rule**

<b>Total Burden for Businesses (Sponsoring Organizations)</b>		21,650	35.27	763,527.00	1.65	1,260,938.54	1,252,116	8,822.48	8,822.48
<b>Business Level (Facilities)</b>									
226.18(b)(12)	Tier II day care homes may assist in collecting meal benefit forms from households and transmitting the forms to the sponsoring organization on the household's behalf.	9,321	5.88	54,804	0.08	4,576	0	4,576	4,576
226.11(b)(2) 226.17a(p)	Centers must report each month to the SA the total number of Program meals.	69,647	12.00	835,764	0.25	208,941	208941	-	-
226.13(d)(1) 226.13(d)(2) 226.13(d)(3) 226.18(e)	Day care home providers must submit daily meal counts to sponsors monthly.	89,843	12.00	1,078,116	0.50	539,058	539058	-	-
226.17(d)	A sponsored center must distribute to parents a copy of the sponsoring organization's notice to parents, if so instructed by its sponsoring organization.	69,647	1.00	69,647	0.25	17,412	17412	-	-
226.18(a)(5)	A day care home must promptly inform the sponsoring organization about any change in the number of children enrolled for care or in its licensing or approval status.	89,843	5.00	449,215	0.25	112,304	112304	-	-
226.18(a)(14)	A day care home must notify their sponsoring organization in advance whenever they are planning to be out of their home during the meal service period.	89,843	5.00	449,215	0.25	112,304	112304	-	-
<b>Total Burden for Businesses (Facilities)</b>		159,490	18.41	2,936,761.00	0.34	994,594.38	990,018.25	4,576.13	4,576.13
<b>Total Burden for Businesses</b>		181,140	20.43	3,700,288.00	0.61	2,255,532.92	2,242,134.31	13,398.62	13,398.62
<b>Households</b>									
226.15(e)(2) 226.17(b)(8) 226.18(e)	Households must annually update enrollment documentation, signed by a parent or legal guardian, and include information on enrolled children's normal days and hours of care and the meals normally received while in care.	3,599,004	1.59	5,722,416.36	0.08	477,821.77	477,821.77	0.00	0.00

OMB Control #0584-XXXX  
**7 CFR Part 226, Food Nutrition Service Child Nutrition Integrity Rule**

226.23(e)(1)	Households of participants enrolled in institutions, day care home providers who wish to enroll their own eligible children in the Program, and households of all children enrolled in the day care home, as applicable, must apply for free/reduced-price meals. The application must include information on household income	3,599,004	1.59	5,722,416.36	0.08	477,821.77	477,821.77	0.00	0.00
226.20(g)	Households must provide a written statement to support the need for substitutions, on a case-by-case basis, for foods and meals for individual participants.	267,355	1.00	267,355.00	0.08	22,324.14	22,324.14	0.00	0.00
<b>Total for households</b>		3,599,004	3.25	11,712,187.72	0.08	977,967.67	977,967.67	0.00	0.00
<b>Total Reporting Burden</b>		3,783,991	4.14	15,652,670.76	0.23	3,664,406.46	3,644,459.732	19,946.72	19,946.72

	Estimated # Respondents	Responses per Respondents	Total Annual Records	Estimated Avg. # of Hours Per Response	Estimated Total Hours	Current OMB Approved Burden Hrs	Due to Program Change - Rulemaking	Total Difference
<b>State /Local/Tribal Governments</b>	3,847.00	62.44	240,195.04	1.79	430,905.86	424,358	6,548.10	6,548.10
<b>Businesses</b>	181,140.00	53.68	3,700,288.00	1.99	2,255,532.92	2,242,134.31	13,398.61	13,398.62

Recordkeeping									
CFR Citation	Title	Estimated # Record-keepers	Records Per Recordkeeper	Total Annual Records	Estimated Avg. # of Hours Per Record	Estimated Total Hours	Current OMB Approved Burden Hrs	Due to Program Change - Rulemaking	Total Difference
<b>State and Local Government Level</b>									
<b>State Agency</b>									
226.4(j)	SAs to maintain a plan for additional audit funds.	8	1	8	0.50	4	0	0	4
226.6(m)(6)	Maintain records for reviewing Sponsoring organizations with less than 100 facilities and conduct activities other than the CACFP, or are at risk of having serious management problems every two years	58	20	1120	2	2240	0	2240	2240
226.6	SAs must collect and maintain on file CACFP agreements (Federal/State and State/institutions), records received from applicant and participating institutions, National Disqualified List/State Agency Lists, and documentation of administrative review (appeals) and Program assistance activities, results, and corrective actions.	56	5	280	9	1400	1400	0	0
226.6(b) 226.6(d) 226.6(m)(5) 226.7(n) 226.7(i), 226.7(j) 226.7(k) 226.7(l) 226.8	SAs must establish and maintain Program procedures, such as procedures to determine the eligibility of institutions, including to conduct pre-approval visits; for monitoring institutions and conducting household contacts; for bid and contracts; to annually review information submitted by institutions; for claims processing and payments; for serious deficiencies for administrative reviews; and to audit institutions.	56	1	56	16	896	896	0	0
226.6(n)	Each SA must maintain on file evidence of complaints received or irregularities noted in connection with the operation of the program.	56	21	1176	1.5	1764	1764	0	0
226.7(b) 226.7(m)	SAs must establish and maintain an acceptable financial management system, adhere to financial management standards and otherwise carry out financial management policies in accordance with 2 CFR part 200, subpart D and USDA implementing regulations 2 CFR part 400, part 415, and part 416, as applicable; and FNS guidance to identify	58	1	58	80	4480	4480	0	0
	State agency subtotal	56	48.14	2676	4.00	10784	8540	2244	2244
<b>Local Governments (Sponsoring Organizations)</b>									
226.10(d) 226.11(e) 226.15(e), 226.15(e)(1), 226.15(e)(2) 226.17(b)(8) 226.18(e) 226.22(d) 226.22(n)	Sponsors/institutions must collect and maintain for a period of 3 years and the current year Program applications, enrollment documents, income eligibility forms, attendance records, menus, meal counts, invoices and receipts, all accounts and records to support the claims, licenses, administrative and operating costs records, training documentation, financial management systems records, written code of standards of conduct, procurement history, and any other records required by the SA. All copies of documents and supporting documents submitted to the State must be maintained.	3791	3	11373	1	11373	11373	0	0
226.15(e)(3)	Sponsoring organizations must maintain documentation used to classify homes as Tier 1.	83	127	10541	0.025	263.525	263.525	0	0
226.23(n)(6)	Sponsoring organizations must maintain information to verify homes that qualify as Tier 1 based on provider's income.	83	42	3486	0.025	87.15	87.15	0	0
	Local government subtotal	3791	6.7007913479293	25400	0.461562007874	11723.673	11723.673	0	0
<b>Businesses Level (Sponsoring Organizations)</b>									
226.10(d) 226.11(e) 226.15(e), 226.15(e)(1), 226.15(e)(2) 226.17(b)(8) 226.18(e) 226.22(d) 226.22(n)	Sponsors/institutions must collect and maintain for a period of 3 years and the current year Program applications, enrollment documents, income eligibility forms, attendance records, menus, meal counts, invoices and receipts, all accounts and records to support the claim, licenses, administrative and operating costs records, training documentation, financial management systems records, written code of standards of conduct, procurement history, and any other records required by the SA. All copies of documents and supporting documents submitted to the State must be maintained.	21650	3	64950	1	64950	64950	0	0
226.15(e)(3)	Sponsoring organizations must maintain documentation used to classify homes as Tier 1.	551	127	69977	0.025	1749.425	1749.425	0	0
226.23(n)(6)	Sponsoring organizations must maintain information to verify homes that qualify as Tier 1 based on provider's income.	551	42	23142	0.025	578.55	578.55	0	0
	Business level (Sponsoring organizations) subtotal	22201	7.11990450880591	158009	0.425624094541	67277.975	67277.975	0	0
<b>Businesses Level (Facilities)</b>									
226.10(d), 226.11(b)(2), 226.15(e), 226.17(b)(8), 226.17(b)(9), 226.17(d), 226.17(f), 226.18(b)(4), 226.18(e), 226.18(g), 226.19(a)(8), 226.19(a)(9), and 226.19(a)(10)	Facilities must collect and maintain for a period of 3 years and the current year Program applications, enrollment documents, income eligibility forms, attendance records, menu planning records, time of service, snacks and meal counts invoices and receipts, claims for reimbursement, licenses, administrative and operating costs records, training documentation, and any other records required by the SA. Adult day care centers must maintain records on the age of each enrolled person, functional impairment eligibilities are meant if under 60, and that qualified participants resides in their homes.	159490	3	478470	1	478470	478470	0	0
	Business level (facilities) subtotal	159490	3	478470	1	478470	478470	0	0
	<b>Total Business Level</b>	181691	3.30341513888965	436539	0.857367694674	545747.975	545747.975	0	0
	<b>Total Recordkeeping Burden</b>	185,538	3.58	664,635	0.85	568,255.65	566,011.65	2,244.00	2,244.00

	Estimated # Record-keepers	Records Per Recordkeeper	Total Annual Records	Estimated Avg. # of Hours Per Record	Estimated Total Hours	Current OMB Approved Burden Hrs	Due to Program Change - Rulemaking	Total Difference
<b>State</b>	185,538	3.58	664,635	0.85	568,256	0	2,244	568,255.65

## Public Notification

			A	B	C = (A*B)
Program Rule	CFR Citation	Title	Estimated # Respondents	Responses per Respondents	Total Annual Records
	226.23(d)	Institutions must annually provide the information media serving the area from which the institution draws its attendance with a public release, unless the SA has issued a Statewide media release on behalf of all institutions. The public release includes information about the availability of free and reduced-price meals or free milk.	28	1	28
		<b>State Agency Level Total</b>	28	1	28
	226.23(d)	Institutions must annually provide the information media serving the area from which the institution draws its attendance with a public release, unless, the SA has issued a Statewide media release on behalf of all institutions. The public release includes information about the availability of free and reduced-price meals or free milk.	1,629	1	1,629
		<b>Local Government Agencies</b>	1,629	1	1629
	226.23(d)	Institutions must annually provide the information media serving the area from which the institution draws its attendance with a public release, unless, the SA has issued a Statewide media release on behalf of all institutions. The public release includes information about the availability of free and reduced-price meals or free milk.	9301	1	9301
		<b>Business Level</b>	9301	1	9301
		<b>Total Public Notification Burden</b>	10,958	1	10,958

D	E= (C*D)	F		G =E-F
Estimated Avg. # of Hours Per Response	Estimated Total Hours	Current OMB Approved Burden Hrs	Due to Program Change - Final Rule	Total Difference
0.25	7	7.00	0	0
0.25	7	7.00	0	0
0.25	407	407	0	0
0.25	407.25	407.25	0	0
0.25	2325.25	2325.25	0	0
0.25	2325.25	2325.25	0	0
0.25	2,740	2,740	0	0

Highlighted cells indicate a change due to the Final Rule

SUMMARY OF BURDEN RECORDKEEPING & REPORTING
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TOTAL NO. RESPONDENTS
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AVERAGE NO. RESPONSES PER RESPONDENT
--------------------------------------

TOTAL ANNUAL RESPONSES
------------------------

AVERAGE HOURS PER RESPONSE
----------------------------

TOTAL BURDEN HOURS
--------------------

CURRENT OMB INVENTORY FOR PART
--------------------------------

DIFFERENCE (BURDEN REVISIONS REQUESTED)
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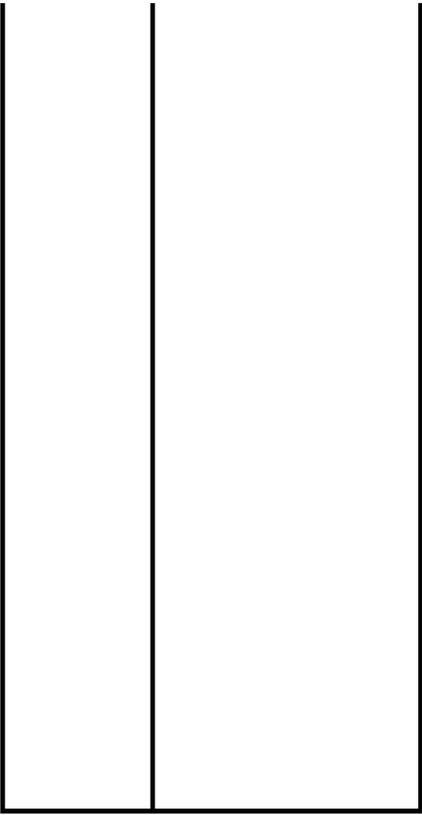
3,794,949
4.30
16,328,263.76
0.26
4,235,401.61
4,213,210.89
22,190.72

**ICR #0584-0610 CN Integrity Rule - CACFP**

	Estimated # Respondents	Responses Per Respondent	Total Annual Responses (Col. BxC)	Estimated Avg. # of Hours Per Response	Estimated Total Hours (Col. DxE)
<b>Recordkeeping</b>					
State and Local Government Level	185,538	3.58	664,635.00	0.85	568,255.7
<b>Recordkeeping Total</b>	<b>185,538</b>	<b>3.58</b>	<b>664,635.00</b>	<b>0.85</b>	<b>568,255.65</b>
<b>Reporting</b>					
State and Local Government Level	3,847	62.44	240,195.04	1.79	430,905.86
Businesses Level	181,140	53.68	3,700,288.00	1.99	2,255,532.92
Household	3,599,004	3.25	11,712,187.72	0.08	977,968
<b>Reporting Total</b>	<b>3,783,991</b>	<b>4.14</b>	<b>15,652,671</b>	<b>0.234</b>	<b>3,664,406.46</b>
<b>Public Notification</b>					
State Agency Level	28	1.00	28	0.250	7
Local Government	1,629	1.00	1,629	0.250	407
Businesses Level	9,301	1.00	9,301	0.250	2,325
<b>Public Notification Total</b>	<b>10,958</b>	<b>1</b>	<b>10,958</b>	<b>0</b>	<b>2,740</b>
<b>TOTAL BURDEN</b>	<b>3,794,949</b>	<b>4</b>	<b>16,328,263.76</b>	<b>0.26</b>	<b>4,235,401.61</b>

Date

User Initials



## Comments

