

Overview: This tab outlines m

**Law Firm: L1**

**Filing 1 Profile**

**Side**

**Type**

**Type of Transaction**

**Workflow**











more specifics on which actions/sections/selections the tester should perform



A Side
801.30
Acquisition of non-corporate interests
Submit > Withdraw > Refile

Data Entry (each section of the filing)

<b>UPE &gt; UPE Details</b>
UPE Details> UPE
UPE Details>Identify an individual located in the United States
UPE Details > The UPE is the: *
UPE Details > The UPE is a(n): *
UPE Details>Is this filing being made on behalf of the UPE pursuant to §803.2(a) or §803.4 *
Details of entity or person filing on behalf of the UPE *
UPE Details>Primary Contact/Owner
UPE Details>Secondary Contact/Owner
UPE Details>Annual Reports and Financials
UPE Details > Minority Shareholders

<b>UPE &gt; UPE Structure</b>
UPE Structure> Entity Information
Is this an Acquiring Entity?
UPE Structure> Annual/Audit reports
UPE Structure> Minority Shareholdings

<b>Transaction &gt; Parties</b>
Parties > Acquiring UPE
Parties > Acquiring Entity(s)
Parties > Acquired UPE
Parties > Acquired Entity(s)
Filing Number (e.g., PRE000XXXX) of other party's filing:

<b>Transaction &gt; Filing fees</b>
Expected Total Filing Fee
Who is paying the fee?
Is the fee being submitted by the filing party being paid by multiple entities affiliated with the filing party?

<b>Transaction &gt; Transaction details</b>
Transaction is a(n): (801.30 or non 801.30)
Specify type of 801.30
Target officer name
Target officer position
Target officer email
<b>Type of Transaction</b>
Acquisition of voting securities
Acquisition of non-corporate interests
Acquisition of assets
Merger
Consolidation
Formation of a joint venture, other corporation, or non-corporate entity
Bankruptcy
Cash tender offer
Acquisition subject to §801.31
Secondary acquisition
Acquisition subject to §801.2(e)
Acquisition in violation
Other (specify)
<b>Acquisition Details</b>
<b>Transaction Details&gt;Notification Threshold</b>

<b>Transaction &gt; Transaction Description</b>
Brief description of the Acquiring UPE *
Brief description of the Acquired Entity *
Name(s) of any non-reportable UPE(s)
Brief description of the transaction *
<b>Related Transaction(s)</b>
Are there any related transactions?
is the principal transaction that triggers one or more shareholder backside transactions
is a shareholder backside transaction
has more than one Acquiring UPE
has more than one Acquired UPE
has more than one reportable step
is a joint venture



is a consolidation
is an exchange of assets
has one or more filings in the alternative
other(Specify)
Additional details regarding the related transaction(s), such as party names and transaction numbers
<b>Early Termination</b>
Is early termination requested? *
<b>Is the transaction subject to non-U.S. filing requirements (voluntary)?</b>

<b>Transaction &gt; Joint Venture Details</b>
Briefly describe what each UPE will be contributing to the joint venture corporation or unincorporated entity
Briefly describe the consideration each UPE will receive
Briefly describe the business in which the joint venture corporation or unincorporated entity will engage
Business activities in which the joint venture corporation or unincorporated entity will engage
6-Digit NAICS Code(s)
10-Digit NAPCS Code(s)

<b>Transaction &gt; 4(c) and 4(d) Documents</b>
Document Type *
Is this document privileged? *
Document Redacted
Document Withheld

<b>Transaction&gt; Transaction Agreement(s)</b>
Are there any transaction agreements?
Add (document)
Type of Agreement
Attachment
Are there any non-compete provisions?
Are the non-compete provisions included in the transaction agreement?
Add
Document Title*
Attachment

<b>Revenues and Overlaps &gt; NAICS and NAPCS Codes</b>
<b>Is there revenue to report?</b>
Yes/No

Relevant calendar year or fiscal year for revenue reported*
<b>NAICS and NAPCS Codes &gt; For revenue of \$1 million or more:</b>
Add NAICS button
6-Digit NAICS Code
10-Digit NAPCS Code
Revenue (\$MM)
Overlap?
<b>NAICS and NAPCS Codes &gt;For revenue of less than \$1 million</b>
6-Digit NAICS Code

<b>Revenues and Overlaps &gt; Geographic Overlaps</b>
<b>State-level geographic information:</b>
6-Digit NAICS Code
State
<b>Geographic Overlaps &gt;Detailed geographic information:</b>
6-Digit NAICS Code
State
County
Town/City
Street Address

<b>Revenues and Overlaps &gt;Minority Holding Overlaps</b>
Entity
%Held

<b>Revenues and Overlaps &gt; Prior Acquisitions (A side only)</b>
6-Digit NAICS Code
Acquired Entity
Address
Type
Acquisition Date

<b>Revenues and Overlaps &gt;Associate Overlaps &gt; A side only</b>
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Overlapping Controlled Entities of Associates
Associate of Acquiring UPE
Overlapping Entity (if different)
<b>Associate Overlaps &gt;State-level geographic information:</b>
6-Digit NAICS Code
State
<b>Associate Overlaps &gt;Detailed geographic information:</b>
6-Digit NAICS Code
State
County
Town/City
Street Address
<b>Overlapping Minority Holdings of Associates</b>
Associate of Acquiring UPE
Overlapping Entity (if different)
% Held

<b>Filing Documentation</b>
<b>Additional Documents</b>
Certification
Affidavit
Notice Letter
<b>End Notes</b>

<b>Submit</b>
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<b>Withdraw</b>
Effective date of withdrawal:
Do you intend to refile by 5pm ET on the second business day following your withdrawal?

<b>Refile</b>
Add 4c and 4d documents

Add Required documents

## Workflow

Test details	Additional instructions
Country is USA	
n/a	
Acquiring Person (Buyer)	
Corporation	
Y	
Y	At least 2 Annual reports (one attachment and one link)
Y	

Test details	Additional instructions
Atleast 3 Entities	
Y	
Y	At least 2 Annual reports (one attachment and one link)
Y	

Test details	Additional instructions
Y	
Y	
Y	
Y	
N	

Test details	Additional instructions
Y	
Buyer	
N	

Test details	Additional instructions
801.30	
Y	
Y	
Y	
Y	
Y	
Y	
Y	

Test details	Additional instructions
Y	
Y	
Y	
Y	
Test details	Additional instructions
Y	
Y	

Y	
N	

Test details	Additional instructions

Test details	Additional instructions
Y	
Y	
Y	

Test details	Additional instructions
Y	
Y	
Y	
Y	

Test details	Additional instructions
Y	

Y	Please add at least 5 NAICS/NAPCS codes
Y	
Y	
Y	
Y	
Y	
Y	Please add at least 2 NAICS codes

Test details	Additional instructions
Y	
Y	Please select multiple states for each code
Y	

Test details	Additional instructions
Y	

Test details	Additional instructions
Y	

Test details	Additional instructions
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Y	
Y	
Y	Please select multiple states for each code
Y	
Y	

Test details	Additional instructions
Y	
Y	
Y	
Y	

Y	
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Test details	Additional instructions

Test details	Additional instructions

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