

**Uses of Award (UOA) Report**  
**Data Points Description and Related Guidance**  
OMB Approval No. 1559-0032

Recipients of the Bank Enterprise Award Program (BEA Program), the Community Development Financial Institutions Program (CDFI Program), the CDFI Rapid Response Program (CDFI RRP), the Native American CDFI Assistance Program (NACA Program), and the Small Dollar Loan Program (SDL Program) submit the Uses of Award Report via the CDFI Fund's Awards Management Information System (AMIS) once a year, three (3) months after their Period of Performance (BEA Program) end date or fiscal year end (CDFI, RRP, NACA and SDL Programs). Recipients respond to the questions below by providing numerical figures, "yes" or "no" answers, or narrative responses, as appropriate. This report is used to determine Recipient compliance with the applicable performance goals in their Award or Assistance Agreement ("Agreement"), and to demonstrate how award funds are expended.

Prior to submitting the report, the Recipient will be required to select checkboxes to certify, on behalf of the organization, the following:

1. The person entering the data and making these certifications is an Authorized Representative.
2. Any information or data provided to the CDFI Fund that is input in AMIS (or other submission method) is true, accurate, and complete, and accurately represents the activities and/or performance of the Recipient.
3. The Recipient is compliant with all applicable assurances, certifications, representations and warranties, covenants, and agreements set forth in the Agreement or, alternatively, has notified the CDFI Fund of the Recipient's inability to be compliant with such provisions of the Agreement through the submission of a Material Event notification.

*NOTE: All capitalized terms used herein but not defined have such definitions as specified in the Program's Interim Rules, applicable Notice of Funds Availability, or Agreement.*

**Paperwork Burden Statement**

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid Office of Management and Budget (OMB) Approval Number. This form's Approval Number is 1559-0032. Public reporting burden for this collection of information is estimated to average 0.75 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Community Development Financial Institutions Fund, 1500 Pennsylvania Ave, NW, Washington, DC 20220.

**Uses of Award Report Data Fields and Guidance**

Data Point <sup>i</sup>	Applicable Program				Data Point Guidance
	BEA	CDFI/ NACA	CDFI RRP	SDL P	
<b>Fiscal Year</b>	X	X	X	X	Select the fiscal year for the reported Period of Performance
<b>No Activities/Transactions to report</b>	X	X	X	X	Select if no CDFI Fund award dollars were expended during Period of Performance
<b>Money earned in excess of \$500 in an interest-bearing account?</b>		X	X	X	Select yes or no
<b>If yes, how much?</b>		X	X	X	Input the total dollar amount
<b>If yes, have you remitted to HHS?</b>		X	X	X	Select yes or no
<b>Eligible Market Attestation</b>		X	X		Select if CDFI Fund award dollars were expended in/or to benefit an Eligible Market
<b>Federally Insured Depository Institution (FIDI)<sup>ii</sup> partnership Attestation</b>				X	Select if FIDI meets statutory requirement that it has a primary mission to serve targeted Investment Areas. Skip if there is no partnership with a FIDI.
<b>If selected and there is a prior SDL Program award in place, have there been any changes in the partnership agreement with the FIDI?</b>				X	For Recipients with a prior FIDI partnership agreement, have any changes occurred to the partnership agreement? Select yes or no. If yes, briefly explain the changes. A Material Event form may be required.
<b>Fund/Record Type</b>	X	X	X	X	Select the applicable fund type
<b>Transaction Date</b>	X				Input the date of transaction
<b>Category of Activity<sup>iii</sup></b>	X	X	X	X	Select the applicable category of the activity
<b>Description of Activity</b>		X	X	X	Select a record type or enter a description of the activity
<b>Total Dollar Amount</b>	X	X	X	X	Input the total dollar amount of the activity expended during the Period of Performance

<b>CDFI Partner(s)</b>	X				For a CDFI Related Activity, list the name of the certified CDFI that received the loan, technical assistance, or qualifying deposits defined in the applicable BEA Program Notice of Funds Availability (NOFA) or BEA Program Regulations. If the activity was a Distressed Community Financing, Service Activity, or Direct Administrative Expense, no entry is required.
<b>Impact</b>	X				For the activity types listed below, which are associated with either Distressed Community Financing Activities or Service Activities, provide the aggregate number of the appropriate measure indicated for all transactions funded within the category <sup>iv</sup> : <ul style="list-style-type: none"> <li>• Affordable Housing Development</li> <li>• Small Business</li> <li>• Commercial Real Estate</li> <li>• Community Services</li> <li>• Financial Services and Targeted Financial Services</li> <li>• Targeted Retail Savings/Investment Products</li> </ul>
<b>Census Tract (if the transaction is a Distressed Community Financing Activity or Service Activity)</b>	X				For Distressed Community Financing Activities or Service Activities, input the census tract number that confirms the funds were deployed in a Distressed Community as defined in the applicable BEA NOFA and BEA Program Regulations. If the activity was a CDFI Related Activity or Direct Administrative Expense, no entry is required.
<b>Persistent Poverty County (PPC)</b>	X				This field is automatically populated and will be monitored to determine if Recipients have met their PPC commitment, if any.
<b>Attachments</b>				X	The Recipient shall annually submit documentation evidencing the segregated general ledger account and any activity made in the segregated general ledger account to cover qualified small dollar loan losses.
<b>Explanation of Noncompliance questions</b>	X				Required if Recipient does not meet qualified transaction amounts or other performance goals described in their BEA Program Award Agreement by the end of its Period of Performance <sup>v</sup> .

<sup>i</sup> Other data fields not identified on this list are automatically populated in the Uses of Award Report, using the information stored in the organizations Awards Management Information System (AMIS) account. Please refer to the appropriate Uses of Award Report user guide for more information on the data fields that are auto-populated.

<sup>ii</sup> Federally Insured Depository Institution with a primary mission to serve targeted investment areas, as such areas are defined under section 103(16), to enable such institutions or any partnership of such institutions to establish a loan-loss reserve fund in order to defray the costs of a small dollar loan program established or maintained by such institution

<sup>iii</sup> Authorized BEA Program Activities are defined in Schedule 2 of the BEA Program Award Agreement. Authorized CDFI Program and NACA Program Activities are defined in Schedule 3 of the Assistance Agreement. Authorized CDFI RRP Activities are defined in Schedule 2 of the Assistance Agreement. Authorized SDLP Activities are defined in Schedule 2 of the Assistance Agreement.

<sup>iv</sup> **Affordable Housing Development:** Total number of units developed or rehabilitated as part of the transaction.

**Small Business:** Total number of full-time equivalent jobs created or maintained by borrower.

**Commercial Real Estate:** Total number of commercial real estate properties acquired, developed or rehabilitated.

**Community Services:** Number of individuals who received the identified service funded with the Award.

**Financial Services and Targeted Financial Services:** Number of accounts opened, checks cashed, etc. as a result of the related program funded with the Award.

**Targeted Retail Savings/Investment Products:** Number of products developed with funds from the Award sold or opened.

<sup>v</sup> The CDFI Fund will use the Recipient's responses to the Explanation of Noncompliance, its performance toward expending the Award on Authorized Program Activities, its overall activity levels, and any other information deemed appropriate by the CDFI Fund to determine whether the Recipient is noncompliant with its BEA Program Award Agreement or CDFI/ NACA, CDFI RRP or SDLP Assistance Agreement.

