

PART B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

1. Describe (including a numerical estimate) the potential respondent universe and any sampling or other respondent selection methods to be used.

The Federal Audit Clearinghouse (FAC) collection effort is mandatory and sampling is not performed. No sampling methods are used. The respondent universe potentially includes all U.S. states and territories (all 50 states, the District of Columbia, the Commonwealth of Puerto Rico, the Marshall Islands, the Virgin Islands, Guam, American Samoa, Palau, the Federated States of Micronesia, and the Commonwealth of the Northern Mariana Islands), local governments, Indian tribes and tribal organizations, institutions of higher education, and nonprofit organizations that expend \$750,000 or more in federal awards during their fiscal period. Respondents who meet submission requirements in accordance with 2 CFR Part 200 (or Uniform Guidance) are required by law to submit the FAC data collection form (SF-SAC) and reporting package to the FAC within 30 days after receipt of the auditor’s report(s) or nine months after the end of the fiscal period – whichever is earliest. Because it is impossible for the FAC to fully pre-determine which non-federal entities may meet the federal award expenditure reporting threshold at any given time, it is impossible to determine a more specific universe. Without a specific universe, no efforts can be undertaken to boost response rates. Consequences for non-response are handled by federal awarding agencies, not by the FAC.

Type of Entity	Avg. Responses
INDIAN TRIBE OR TRIBAL ORGANIZATION	834
INSTITUTION OF HIGHER EDUCATION	1,628
LOCAL GOVERNMENT	19,873
NON-PROFIT	22,338
STATE	836
UNKNOWN	340
(blank)	1
Grand Total	45,850

2. Describe the procedures for the collection of information, including:

All data elements are collected via a web application designed by GSA staff and contractors, thus eliminating the need for mass mailings to individual respondents. Per CFR 200.512, after a respondent’s fiscal period ends, a senior level representative from

the entity accesses the web application to submit the required information and certify its accuracy. The auditor that completed the reporting package also accesses the web application to enter any required data and certify its accuracy. No statistical methodology, sample selection, or estimation procedures are performed. Additionally, as of 2008, the FAC does not accept paper submissions.

3. Describe methods to maximize response rates and to deal with issues of nonresponse.

Respondents are required by law to submit this data to the FAC. No sampling methods are used. Consequences for non-response are handled by federal awarding agencies and not by the FAC.

4. Describe any tests of procedures or methods to be undertaken.

Auditing experts and federal agency representatives have reviewed all questions on the Form as well as all applicable instructions for accuracy and significance. The FAC conducts internal testing of the data collection form and system and utilizes input from users to minimize burden and improve utility over time.

5. Provide the name and telephone number of individuals consulted on statistical aspects of the design and the name of the agency unit, contractor(s), grantee(s), or other person(s) who will actually collect or analyze the information for the agency.

Matt Jadud, Product Lead
207-333-7111

List of Attachments

- I. Form SF-SAC and Instructions
- II. Screener Questions
- III. Summary of Comments and Responses