

Post-Exam Federal Credit Union Pilot Surveys – Draft Questions

Survey Monkey will use simple Yes/No responses for the following questions, except for the last one which will be a comment box.

Pilot Post Exam Survey Questions	Survey		
	1	2	3
Notification of the examination was provided to management at least four weeks in advance of the start of the on-site exam work. (Please note, examiners are permitted to provide less than four weeks advance notice in some limited circumstances.)	X		
The <i>Exam Notification and Items Requested Letter</i> included the names of the field supervisor (Supervisory Examiner or Director of Special Actions) and Examiner-in-Charge assigned to your credit union.		X	
The examiner consulted with management concerning time frames for providing requested pre-exam data and information.			X
Requests for pre-exam data and information, such as the items needed list, avoided duplicative requests.	X		X
The exam review areas included those that pose the highest risk to your institution.		X	
The final exam report was delivered at the exit meeting with the CEO or designated credit union staff, or at the joint conference with the credit union's board of directors (if one was held), or if changes resulted from either of these meetings, was delivered within 10 business days thereafter.	X		
A reference to the applicable law, NCUA Rule and Regulation, Generally Accepted Accounting Principles, or other binding standard or requirement was provided for all Document of Resolution items included in the final examination report.		X	
The written examination report documented and explained the examiner's final conclusions and determinations. This includes CAMEL and Risk Ratings, any Documents of Resolution, any Examiner's Findings, and any other concerns or conclusions expressed in the written report.			X
Examiner's Findings, Documents of Resolution, and any other concerns were discussed with credit union management (CEO or designated credit union staff) prior to being presented to the Board of Directors.	X		X
The examiner held an exit meeting with the CEO or designated credit union staff to discuss conclusions from the examination.		X	
The examiner offered to hold a Joint Conference – that is, a meeting with the credit union's board of directors - to discuss conclusions from the examination.	X		
The examiner offered to keep management (CEO or designated credit union staff) updated throughout the exam.		X	
The credit union was made aware of its appeal rights.			X
What questions or types of questions would you like the NCUA to include in a future, post-exam survey?	X	X	X