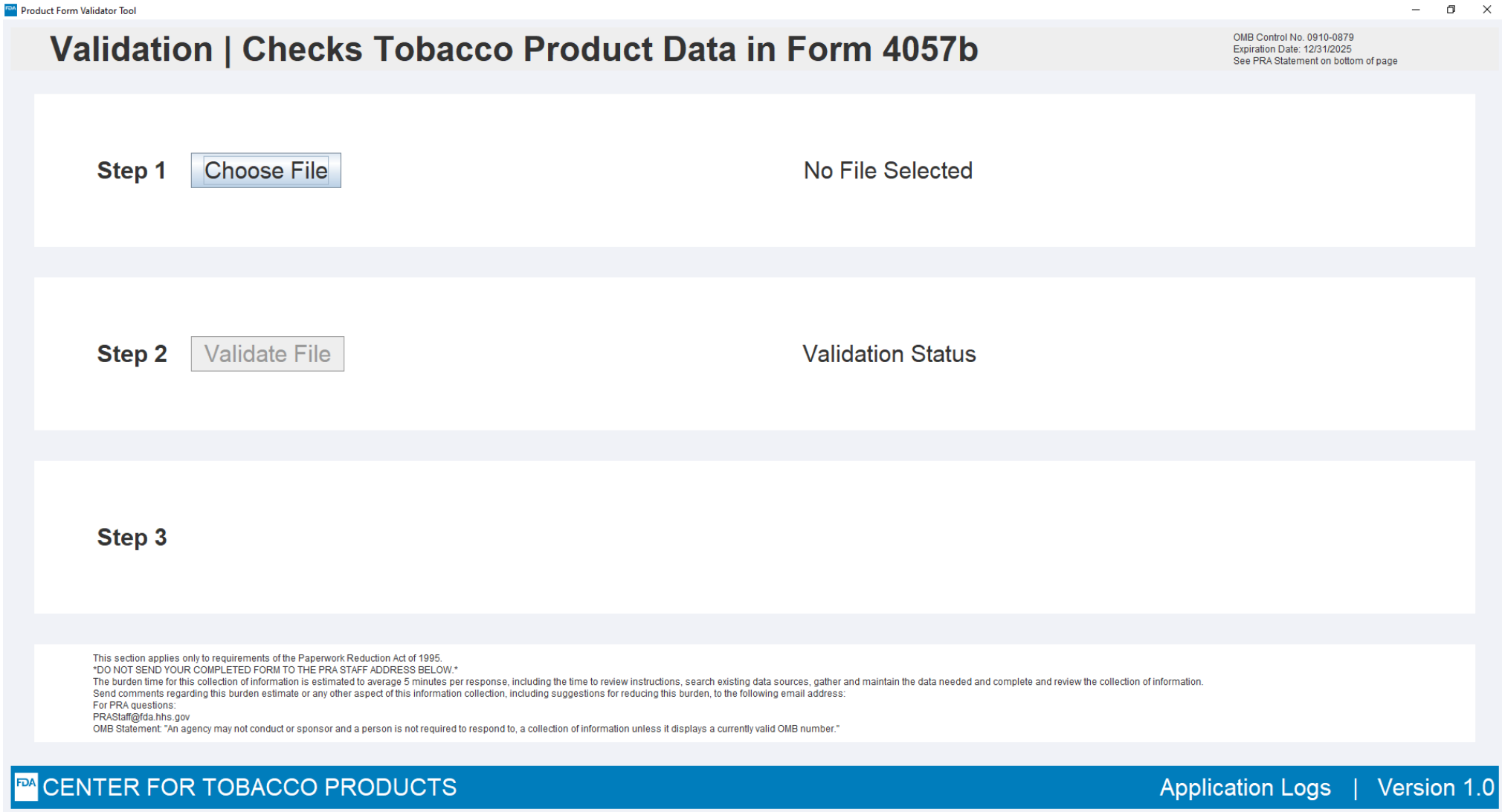
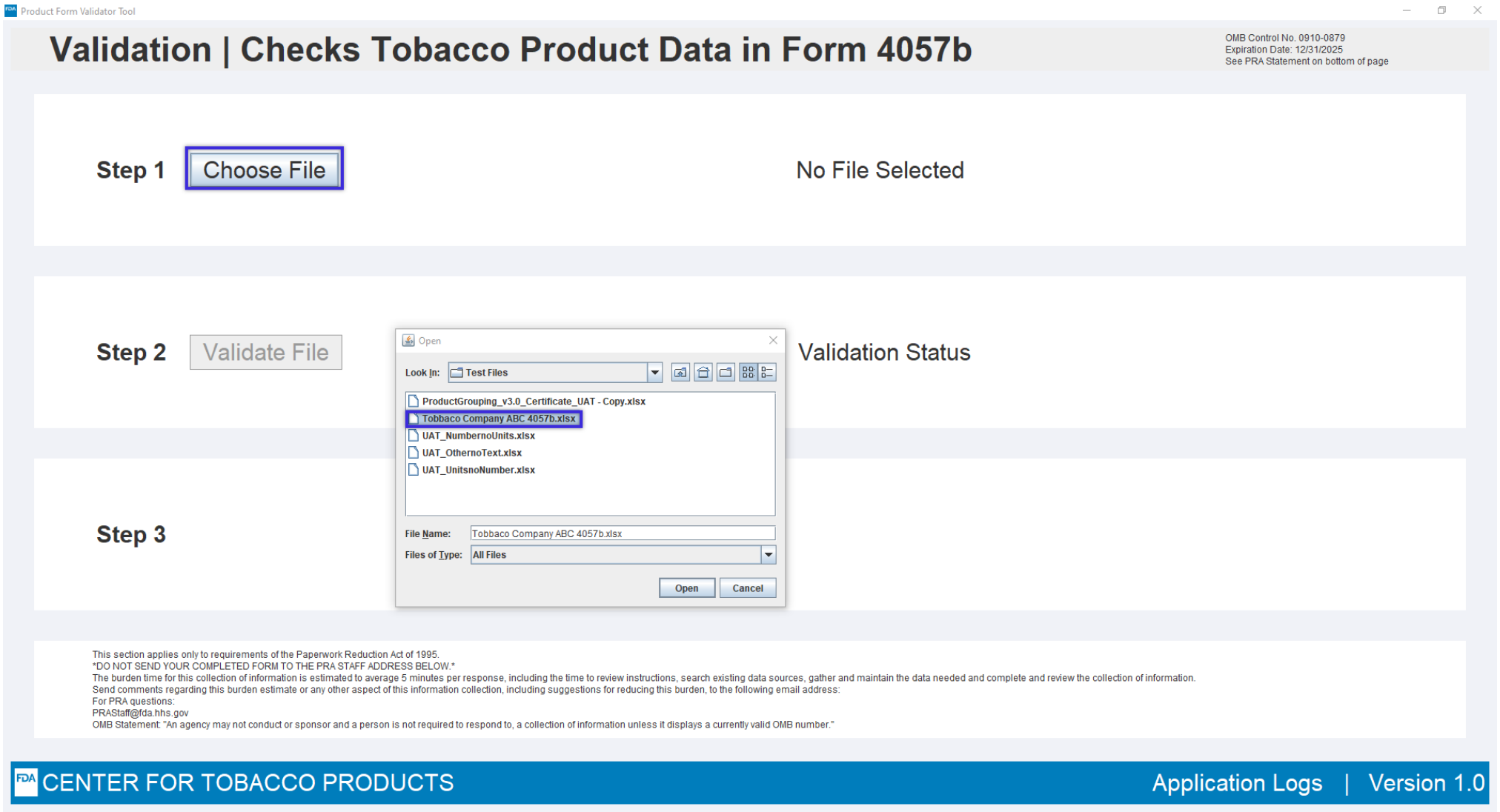
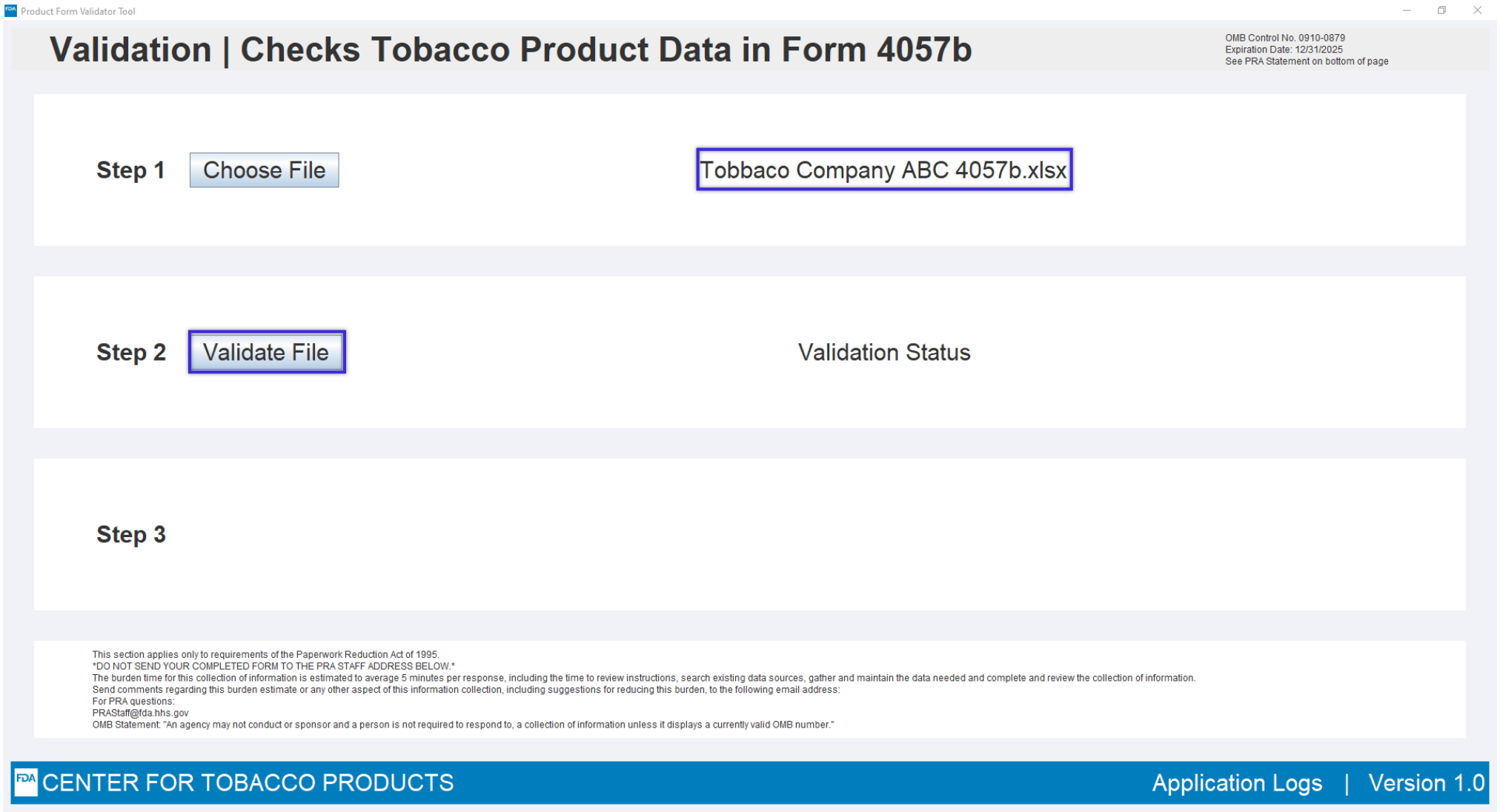
1. Initial Screen



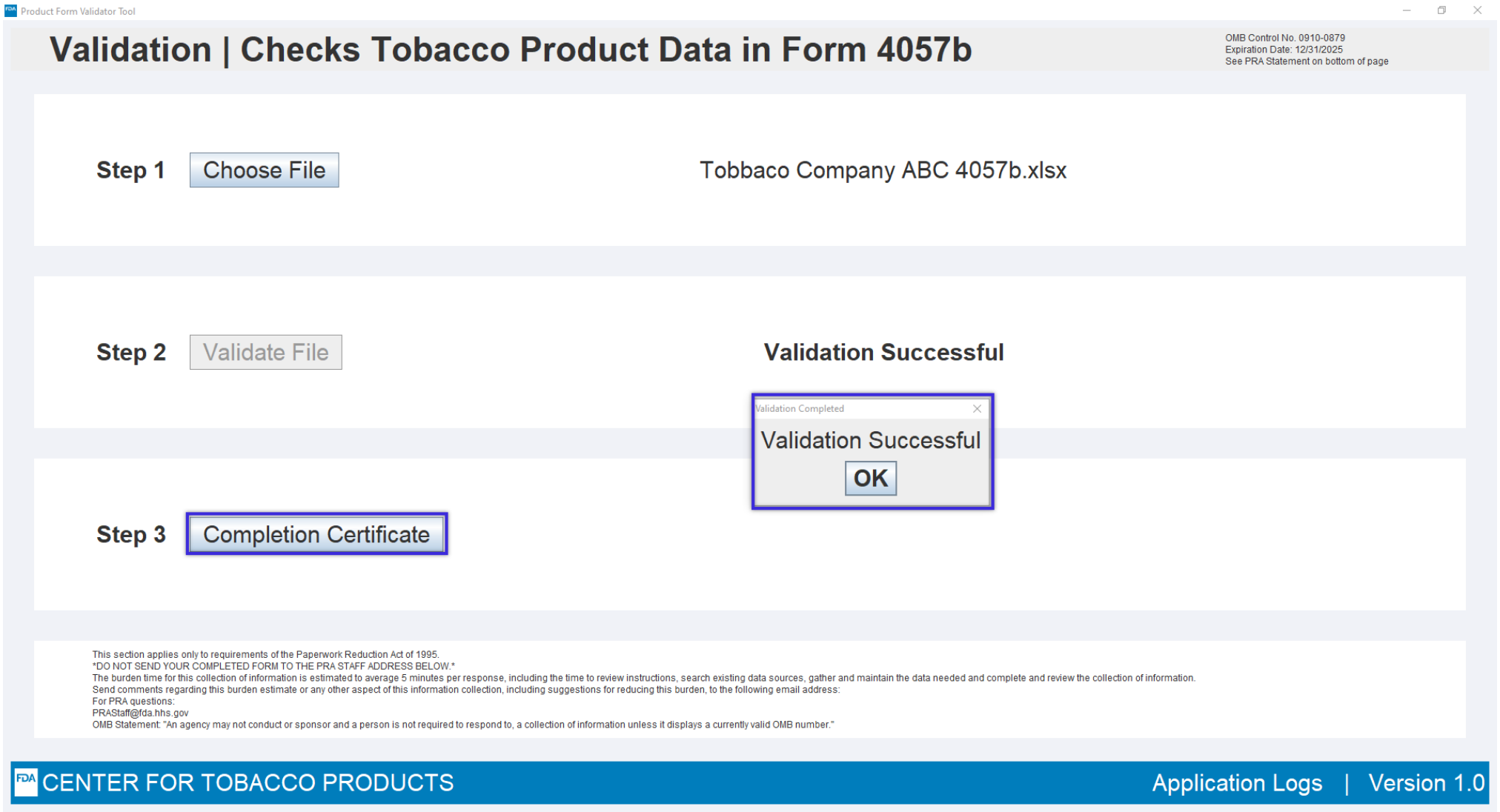
2.Choose File



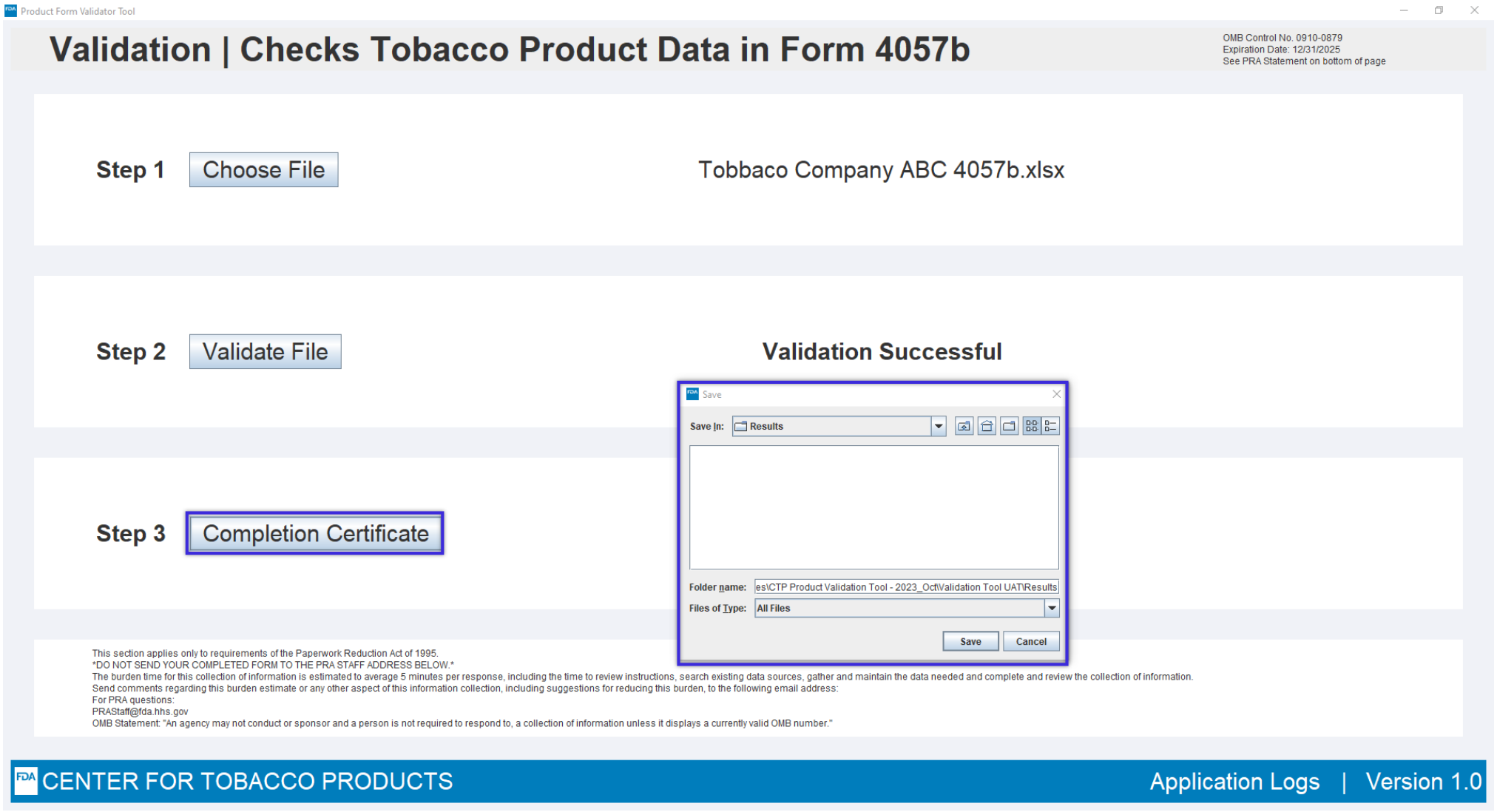
3. Validate File



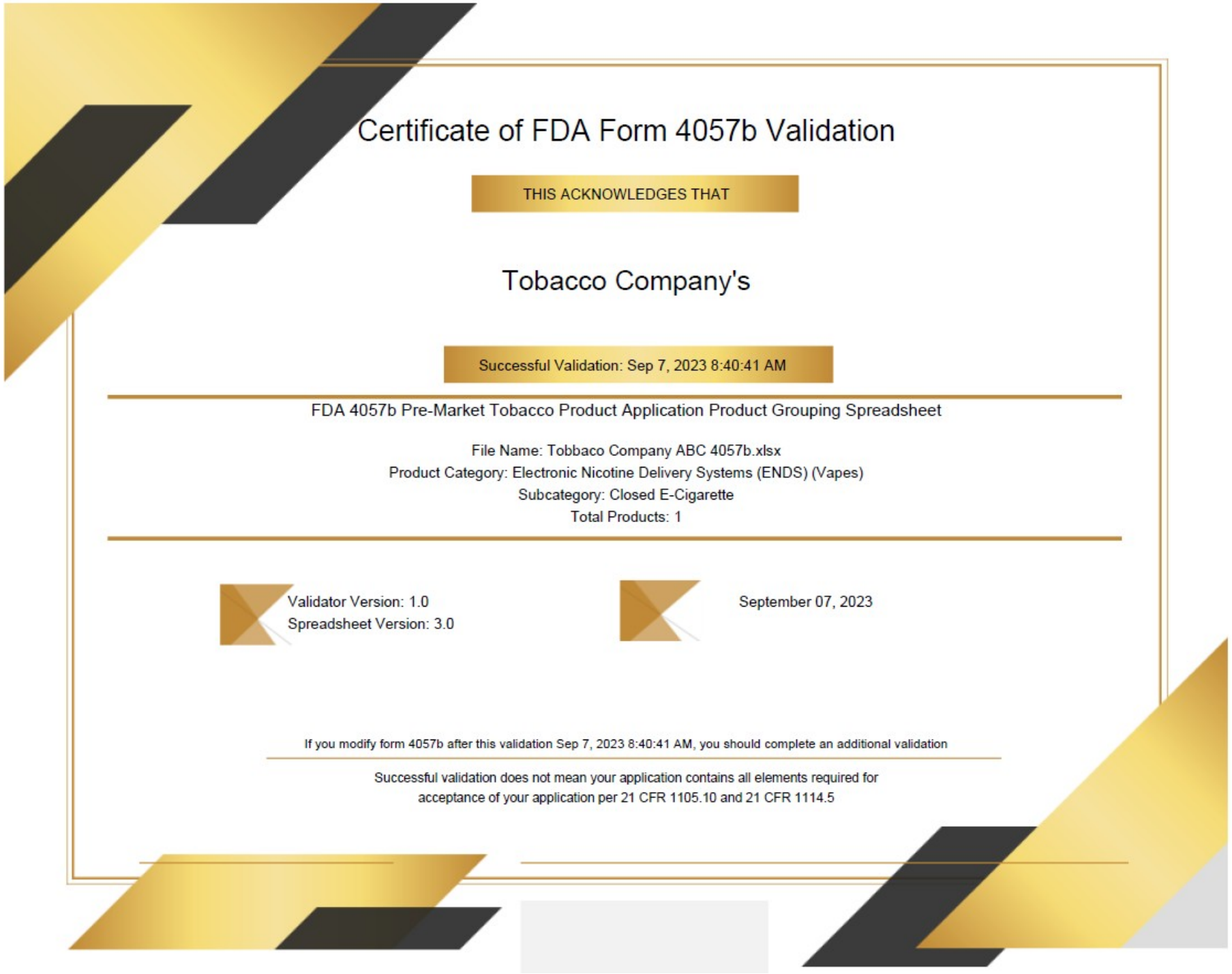
4. Validation Successful; Certificate Available



5. Save Certificate



6. Completion Certificate



Certificate of FDA Form 4057b Validation

THIS ACKNOWLEDGES THAT

Tobacco Company's

Successful Validation: Sep 7, 2023 8:40:41 AM

FDA 4057b Pre-Market Tobacco Product Application Product Grouping Spreadsheet

File Name: Tobbaco Company ABC 4057b.xlsx
Product Category: Electronic Nicotine Delivery Systems (ENDS) (Vapes)
Subcategory: Closed E-Cigarette
Total Products: 1

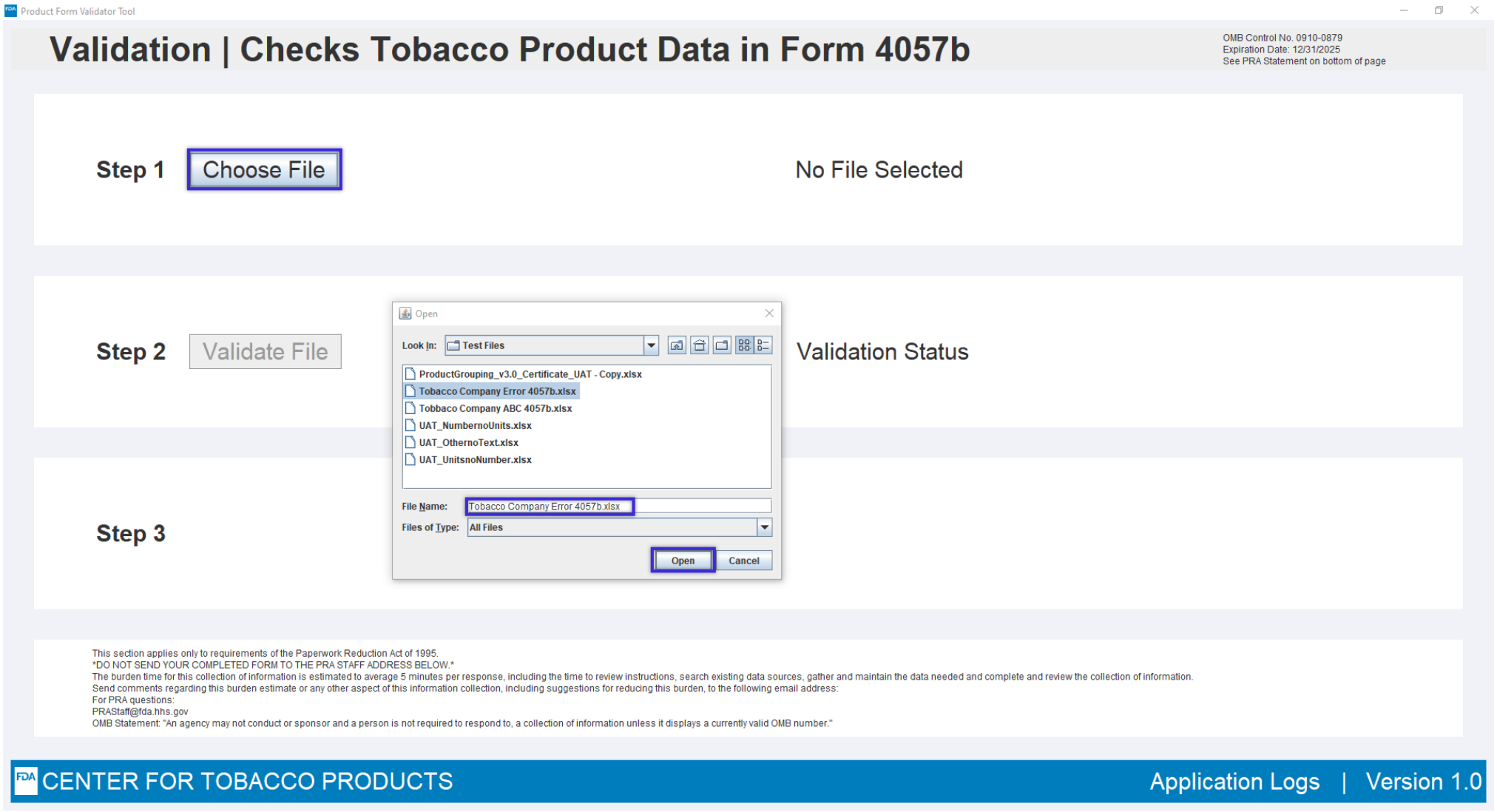
Validator Version: 1.0
Spreadsheet Version: 3.0

September 07, 2023

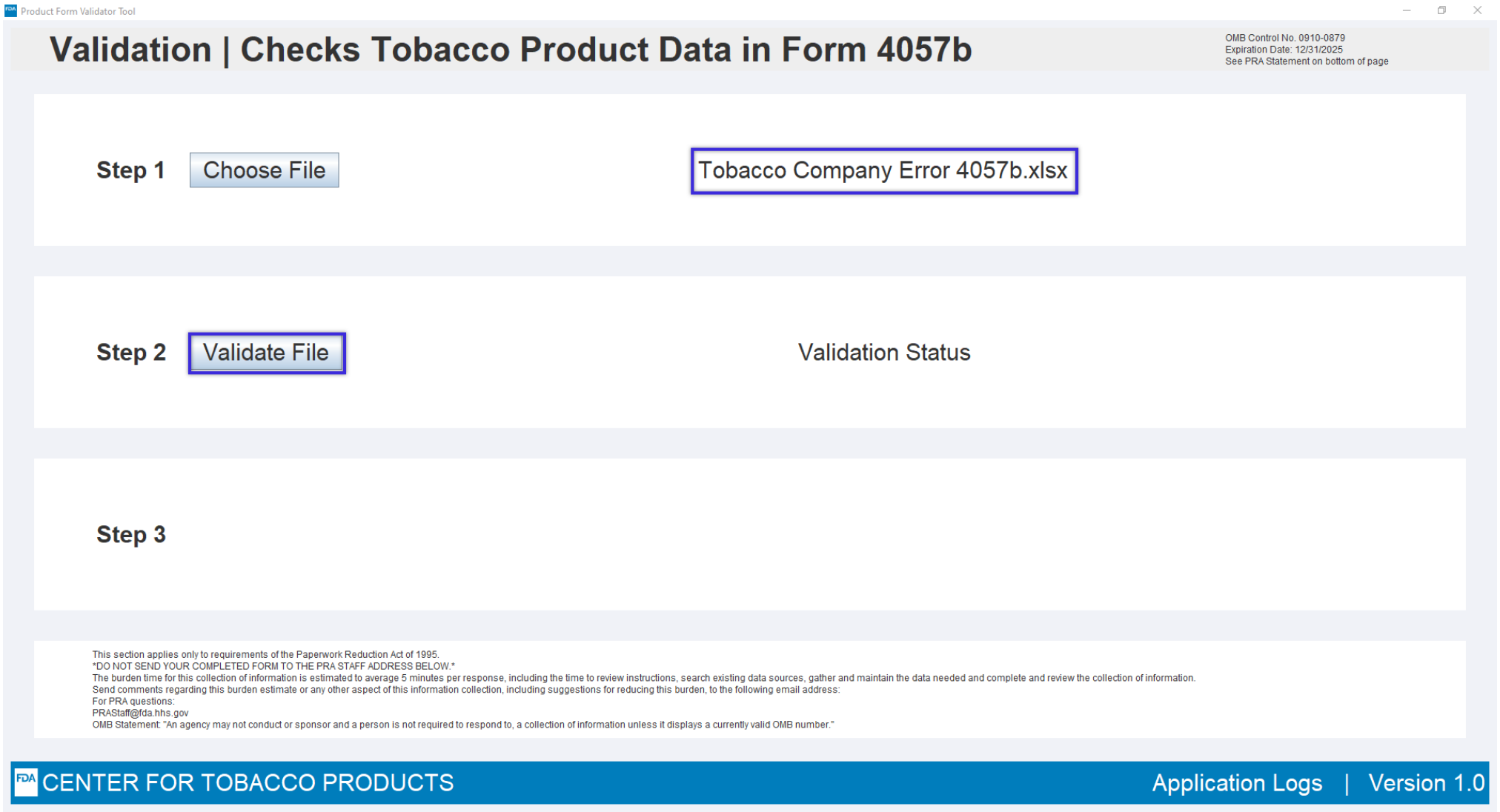
If you modify form 4057b after this validation Sep 7, 2023 8:40:41 AM, you should complete an additional validation

Successful validation does not mean your application contains all elements required for acceptance of your application per 21 CFR 1105.10 and 21 CFR 1114.5

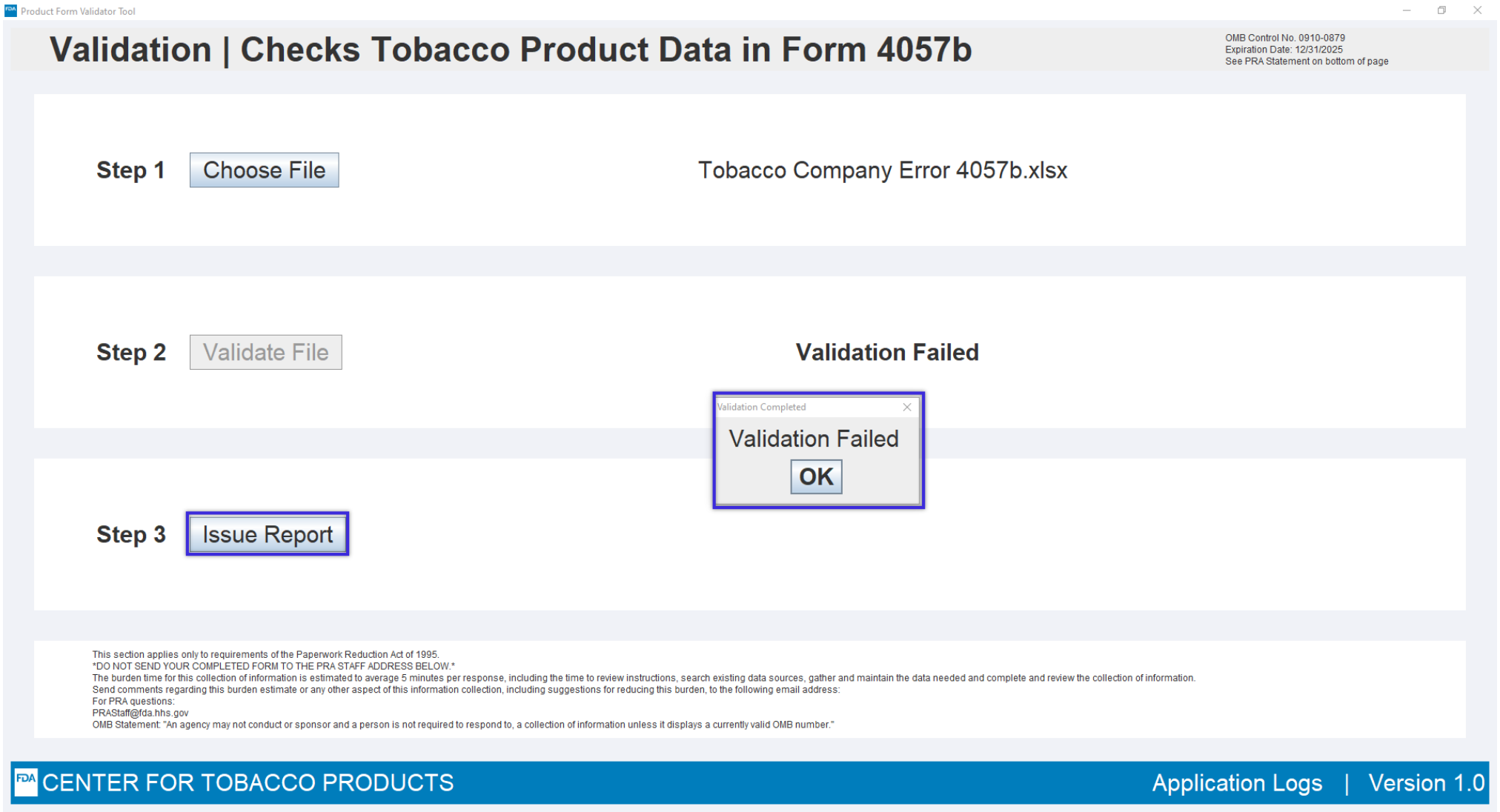
7. Select Bad File



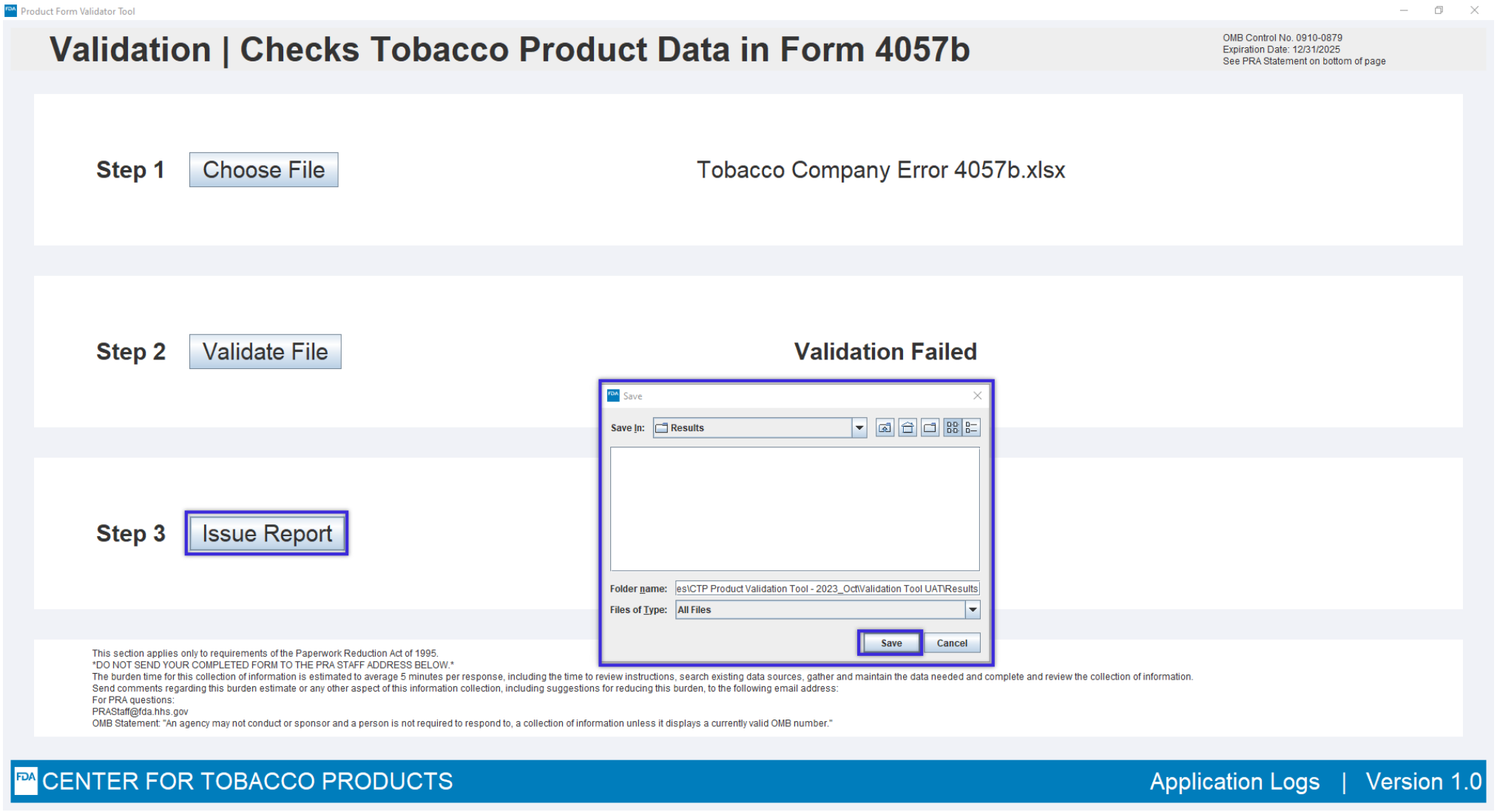
8. Validate Bad File



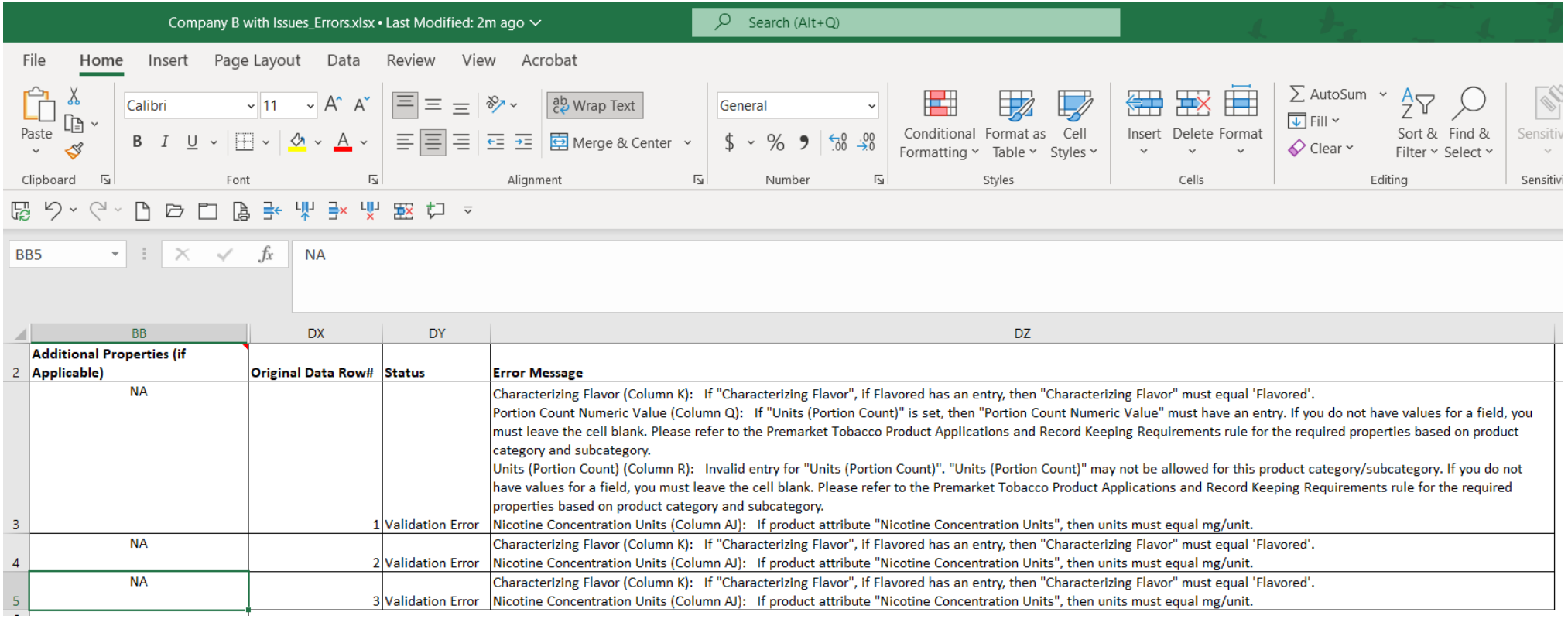
9. Validation Failed; Issue Report Available



10. Save Issue Report

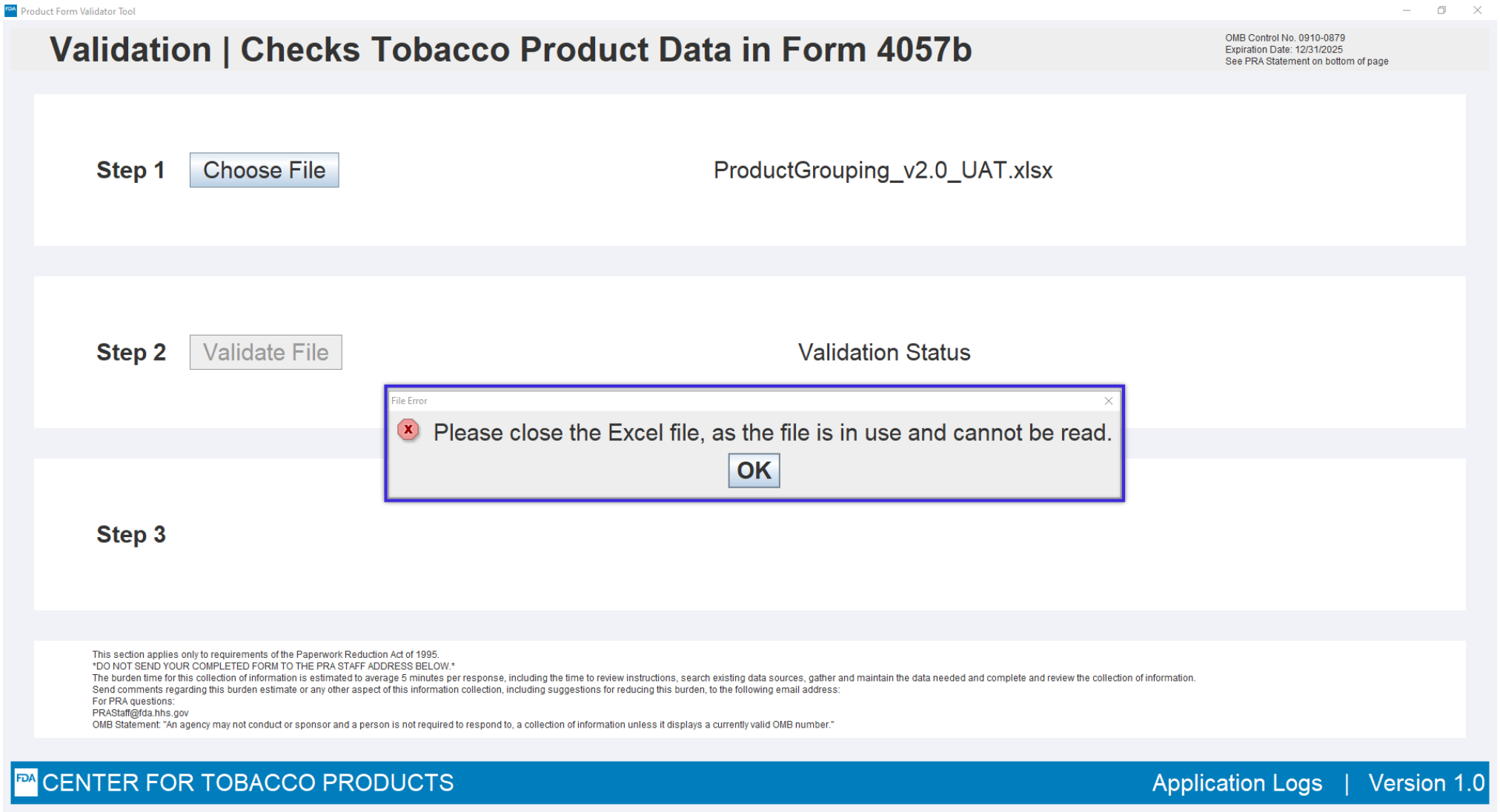


11. Sample Issue Report

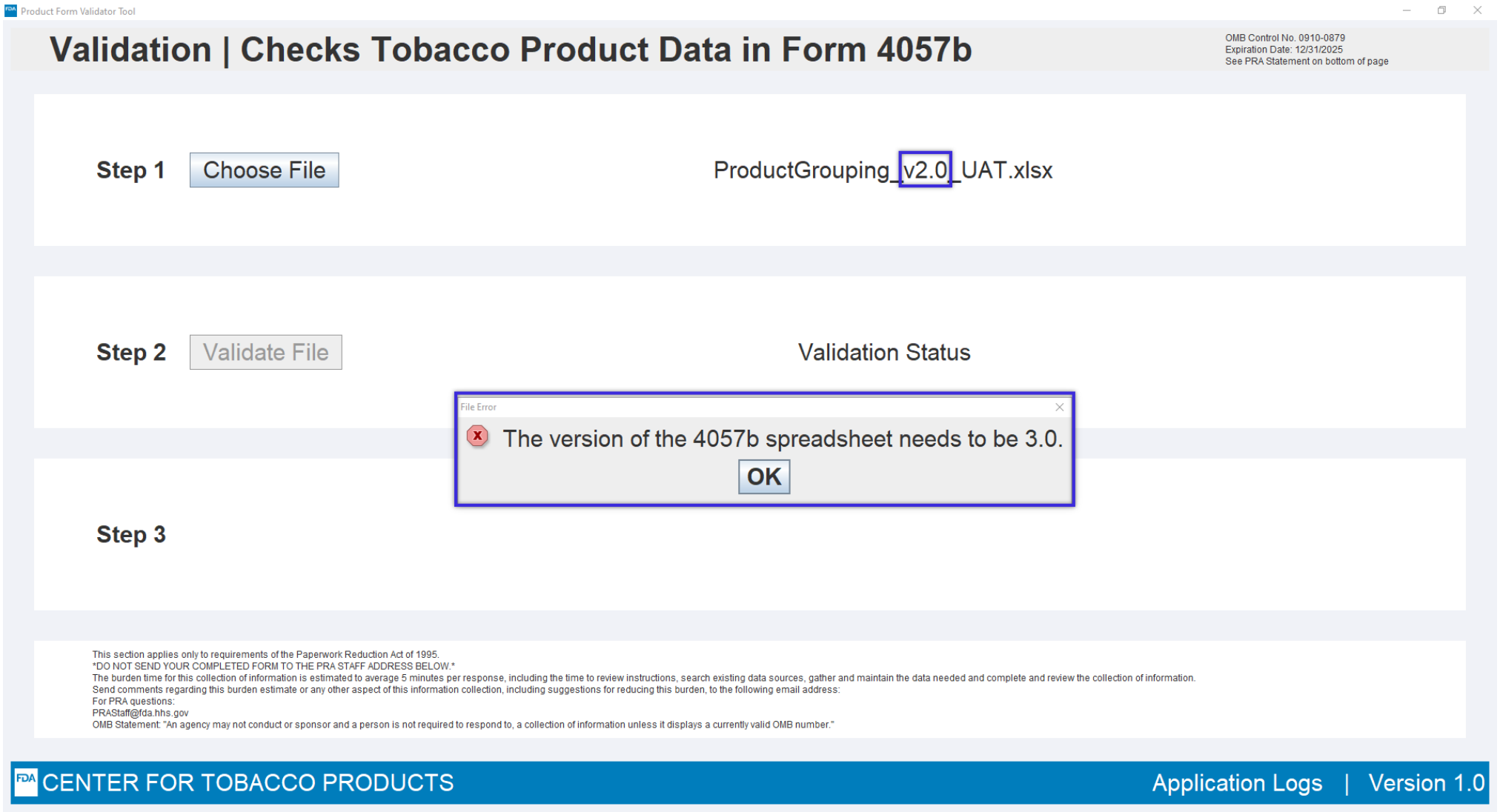


	BB	DX	DY	DZ
2	**Additional Properties (if Applicable)**	**Original Data Row#**	**Status**	**Error Message**
3	NA	1	Validation Error	Characterizing Flavor (Column K): If "Characterizing Flavor", if Flavored has an entry, then "Characterizing Flavor" must equal 'Flavored'. Portion Count Numeric Value (Column Q): If "Units (Portion Count)" is set, then "Portion Count Numeric Value" must have an entry. If you do not have values for a field, you must leave the cell blank. Please refer to the Premarket Tobacco Product Applications and Record Keeping Requirements rule for the required properties based on product category and subcategory. Units (Portion Count) (Column R): Invalid entry for "Units (Portion Count)". "Units (Portion Count)" may not be allowed for this product category/subcategory. If you do not have values for a field, you must leave the cell blank. Please refer to the Premarket Tobacco Product Applications and Record Keeping Requirements rule for the required properties based on product category and subcategory. Nicotine Concentration Units (Column AJ): If product attribute "Nicotine Concentration Units", then units must equal mg/unit.
4	NA	2	Validation Error	Characterizing Flavor (Column K): If "Characterizing Flavor", if Flavored has an entry, then "Characterizing Flavor" must equal 'Flavored'. Nicotine Concentration Units (Column AJ): If product attribute "Nicotine Concentration Units", then units must equal mg/unit.
5	NA	3	Validation Error	Characterizing Flavor (Column K): If "Characterizing Flavor", if Flavored has an entry, then "Characterizing Flavor" must equal 'Flavored'. Nicotine Concentration Units (Column AJ): If product attribute "Nicotine Concentration Units", then units must equal mg/unit.

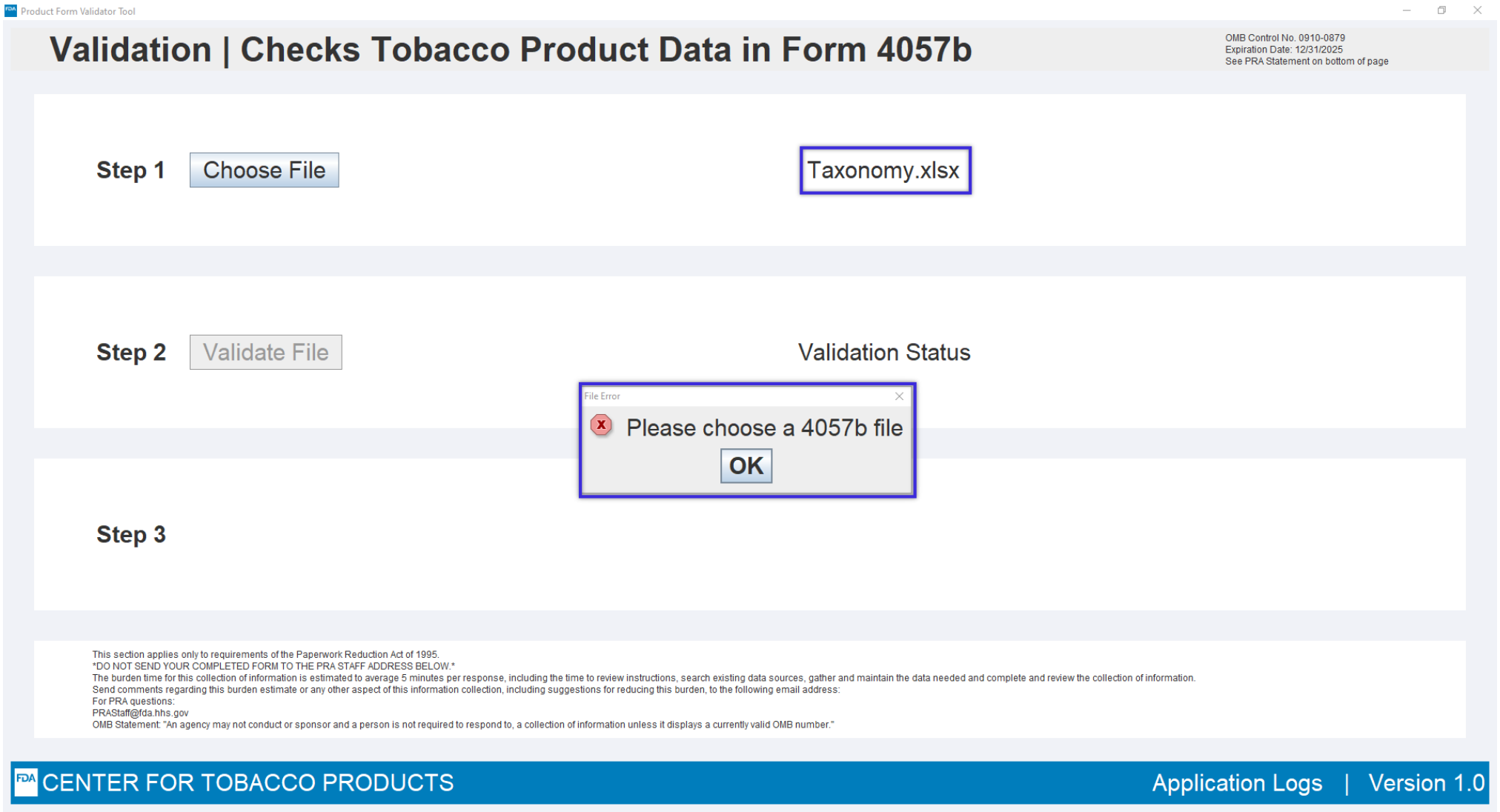
12. Error Message: File in Use



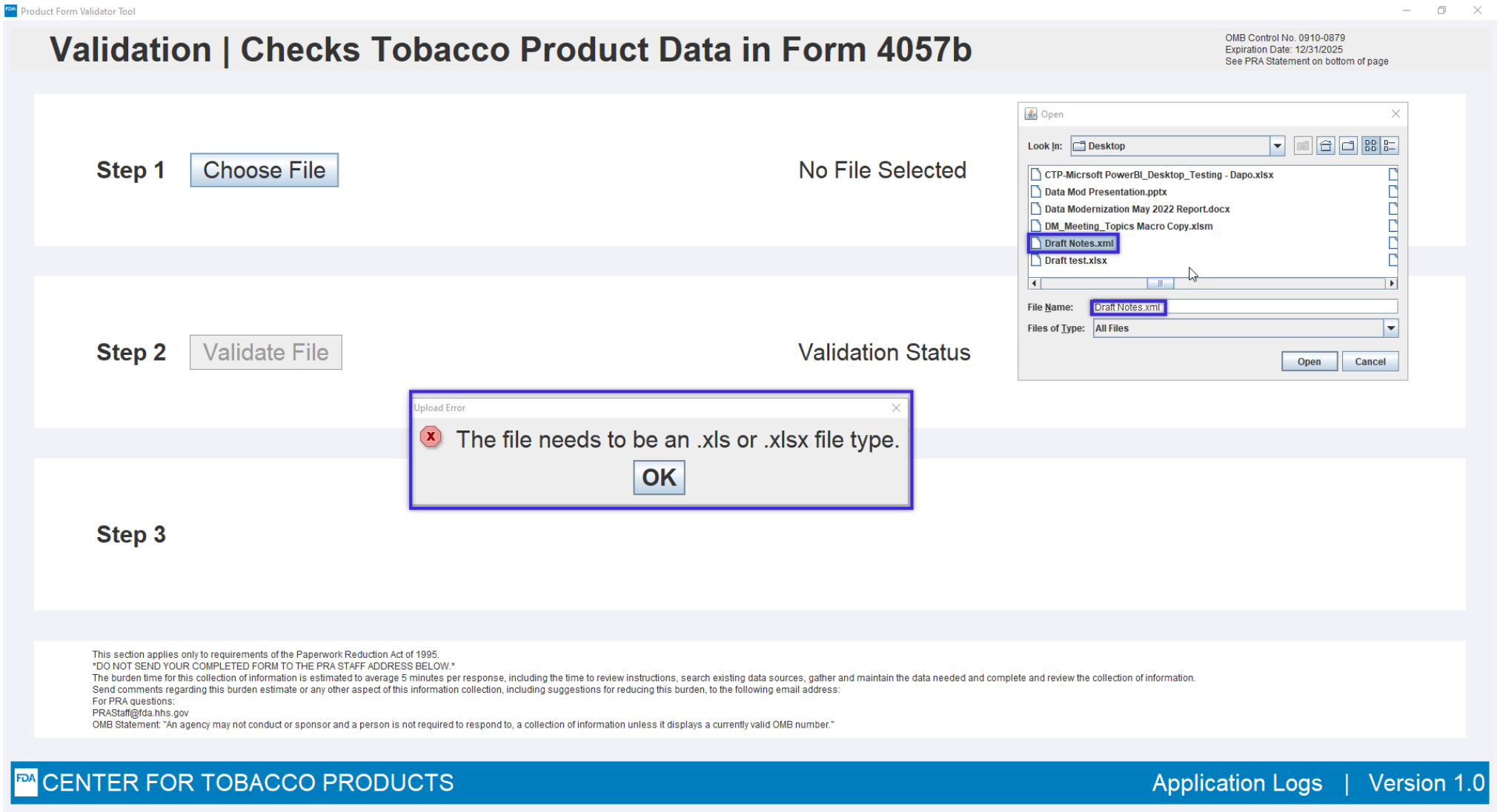
13. Error Message: Wrong 4057b Version



14. Error Message: Not 4057b Excel File



15. Error Message: Wrong File Type



16. Sample Application Log

Product Form Validator Tool

Validation | Checks Tobacco Product Data in Form 4057b

OMB Control No. 0910-0879
Expiration Date: 12/31/2025
See PRA Statement on bottom of page

Step 1 Choose File

Step 2 Validate File

Step 3

This section applies only to requirements of the Paperwork Reduction Act of 1995.
DO NOT SEND YOUR COMPLETED FORM TO THE PRA STAFF ADDRESS BELOW.
The burden time for this collection of information is estimated to average 5 minutes per response, including the time to
Send comments regarding this burden estimate or any other aspect of this information collection, including suggestion
For PRA questions:
PRAStaff@fda.hhs.gov
OMB Statement: "An agency may not conduct or sponsor and a person is not required to respond to, a collection of infor

```
07-09-2023 08:17:31 INFO  ValidatorApplication:23 - Application initialized
07-09-2023 08:32:26 INFO  ParsingManager:50 - Start processing spreadsheet
07-09-2023 08:32:27 INFO  SpreadsheetParser:103 - Category Code is: END
07-09-2023 08:32:27 INFO  SpreadsheetParser:105 - SubCategory Code is: ECC
07-09-2023 08:32:27 INFO  SpreadsheetParser:107 - Other Category is: null
07-09-2023 08:32:27 INFO  SpreadsheetParser:109 - Other SubCategory is: null
07-09-2023 08:32:27 INFO  SpreadsheetParser:111 - Other Version is: Version: 3.0
07-09-2023 08:32:27 INFO  SpreadsheetParser:114 - Name is: Tobacco Company
07-09-2023 08:32:27 INFO  ParsingExecutor:96 - Done processing spreadsheet
07-09-2023 08:38:04 INFO  ParsingManager:50 - Start processing spreadsheet
07-09-2023 08:38:04 INFO  SpreadsheetParser:103 - Category Code is: END
07-09-2023 08:38:04 INFO  SpreadsheetParser:105 - SubCategory Code is: ECC
07-09-2023 08:38:04 INFO  SpreadsheetParser:107 - Other Category is: null
07-09-2023 08:38:04 INFO  SpreadsheetParser:109 - Other SubCategory is: null
07-09-2023 08:38:04 INFO  SpreadsheetParser:111 - Other Version is: Version: 3.0
07-09-2023 08:38:04 INFO  SpreadsheetParser:114 - Name is: Tobacco Company
07-09-2023 08:38:04 INFO  ParsingExecutor:96 - Done processing spreadsheet
07-09-2023 08:45:44 INFO  ParsingManager:50 - Start processing spreadsheet
07-09-2023 08:45:44 INFO  SpreadsheetParser:103 - Category Code is: OTH
07-09-2023 08:45:44 INFO  SpreadsheetParser:105 - SubCategory Code is: OTHR
07-09-2023 08:45:44 INFO  SpreadsheetParser:107 - Other Category is: null
07-09-2023 08:45:44 INFO  SpreadsheetParser:109 - Other SubCategory is: null
07-09-2023 08:45:44 INFO  SpreadsheetParser:111 - Other Version is: Version: 3.0
07-09-2023 08:45:44 INFO  SpreadsheetParser:114 - Name is: UAT
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #1
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #2
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #3
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #4
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #5
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #6
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #7
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #8
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #9
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #10
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #11
07-09-2023 08:45:45 INFO  ProductRequestHandler:122 - xxx Spreadsheet row validation occurred on data row #12
07-09-2023 08:45:45 INFO  ParsingExecutor:149 - Done processing issues spreadsheet
07-09-2023 08:45:45 INFO  ParsingExecutor:96 - Done processing spreadsheet
07-09-2023 08:50:02 INFO  ParsingManager:50 - Start processing spreadsheet
07-09-2023 08:51:36 INFO  ParsingExecutor:96 - Done processing spreadsheet
07-09-2023 08:51:44 INFO  ParsingManager:50 - Start processing spreadsheet
07-09-2023 08:51:45 INFO  SpreadsheetParser:103 - Category Code is: END
07-09-2023 08:51:45 INFO  SpreadsheetParser:105 - SubCategory Code is: ECC
07-09-2023 08:51:45 INFO  SpreadsheetParser:107 - Other Category is: null
07-09-2023 08:51:45 INFO  SpreadsheetParser:109 - Other SubCategory is: null
07-09-2023 08:51:45 INFO  SpreadsheetParser:111 - Other Version is: Version: 2.0
07-09-2023 08:51:45 INFO  SpreadsheetParser:114 - Name is: Tobacco Company
07-09-2023 08:51:45 ERROR ParsingExecutor:75 - general error: Previous import version not allowed. Please use new version
07-09-2023 08:52:33 INFO  ParsingExecutor:96 - Done processing spreadsheet
07-09-2023 08:52:42 INFO  ParsingManager:50 - Start processing spreadsheet
07-09-2023 08:52:42 ERROR ParsingExecutor:75 - general error: Introduction sheet not found from spreadsheet file [null]
07-09-2023 08:53:35 INFO  ParsingExecutor:96 - Done processing spreadsheet
```

Save to file

CENTER FOR TOBACCO PRODUCTS

Application Logs | Version 1.0