



U.S. Department
of Transportation
**Pipeline and Hazardous
Materials Safety
Administration**

1200 New Jersey Avenue SE
Washington DC 20590

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Pipeline Safety

20xx Gas Base Grant Progress Report

for

Please follow the directions listed below:

1. Review the entire document for completeness.
2. Review and have an authorized signatory sign and date page 2.
3. Scan the signed document to your computer and email it to Carrie.Winslow@dot.gov.

FedSTAR Information

Electronic Submission Date:



Pipeline and Hazardous Materials Safety Administration
1200 New Jersey Avenue, SE
Washington DC 20590

OFFICE OF PIPELINE SAFETY

20xx Gas Base Grant Progress Report

Office:

DRAFT

Authorized Signature

Printed Name

Title

Date

PROGRESS REPORT ATTACHMENTS (GAS)

PHMSA Form No. PHMSA F 999-92

Attachment 1: State Jurisdiction and Agent Status over Facilities.

Attachment 2: State Field Inspection Activity

Attachment 3: Facilities Subject to State Safety Jurisdiction

Attachment 4: Pipeline Incidents

Attachment 5: State Compliance Actions

Attachment 6: State Record Maintenance and Reporting

Attachment 7: State Employees Directly Involved in the Pipeline Safety Program

Attachment 8: State Compliance with Federal Regulations

{there is no attachment 9}

Attachment 10: Performance and Damage Prevention Questions

Attachment 1 - Stats on Operators

STATE JURISDICTION AND AGENT STATUS OVER GAS FACILITIES AS OF DECEMBER 31, 20xx

| Operator Type | State Agency Jurisdiction/ Agent Status | | No. of Operators | Operators Inspected | | No. of Inspection Units | Units Inspected | |
|---------------------|--|-----|---------------------|------------------------|---|-------------------------------|-----------------|---|
| | No ¹ | Yes | | # | % | | # | % |
| Distribution | | | | | | | | |
| Private | | | | | | | | |
| Municipal | | | | | | | | |
| Master Meter | | | | | | | | |
| LPG | | | | | | | | |
| Other | | | | | | | | |
| Transmission | | | | | | | | |
| Intrastate | | | | | | | | |
| Interstate | | | | | | | | |
| LNG | | | | | | | | |
| Intrastate | | | | | | | | |
| Interstate | | | | | | | | |
| Gathering Lines | | | | | | | | |
| Offshore Facilities | | | | | | | | |
| Total | | | | | | | | |

¹Codes: A - None in state and does not have jurisdiction;

B - State does not have jurisdictional authority (Provide current status or action being taken to obtain authority in notes section below)

F - No, State is currently not an interstate agent.

X/60105P = Yes, I have Section 60105 (Certification) over some of the operator type (meaning: I have 60105 authority over some, but not all of this operator type and do not have a 60106 agreement with PHMSA to inspect them). These operators are identified in the notes below.

X/IA - Yes, I have Interstate Agent jurisdiction over this type of operator

Distribution "Other" - ie Co-ops, Public Utility Districts, etc.

States should explain any special circumstances

General Instructions - All above facilities should only include facilities as defined by federal pipeline regulations and should not include extended jurisdiction by state regulation.

Attachment 1 Notes:

Attachment 2 - State Inspection Activity

TOTAL STATE FIELD INSPECTION ACTIVITY AS OF DECEMBER 31, 20xx

| Operator Type | Standard Comprehensive | Design, Testing and Construction | On-Site Operator Training | Integrity Management | Operator Qualification | Investigating Incidents or Accidents | Damage Prevention Activities | Compliance Follow-up | Total |
|---------------------|------------------------|----------------------------------|---------------------------|----------------------|------------------------|--------------------------------------|------------------------------|----------------------|-------|
| Distribution | | | | | | | | | |
| Private | | | | | | | | | |
| Municipal | | | | | | | | | |
| Master Meter | | | | | | | | | |
| LPG | | | | | | | | | |
| Other | | | | | | | | | |
| Transmission | | | | | | | | | |
| Intrastate | | | | | | | | | |
| Interstate | | | | | | | | | |
| LNG | | | | | | | | | |
| Intrastate | | | | | | | | | |
| Interstate | | | | | | | | | |
| Other | | | | | | | | | |
| Gathering Lines | | | | | | | | | |
| Offshore Facilities | | | | | | | | | |
| Total | | | | | | | | | |

| | | | | | | | | | |
|---|--|--|--|--|--|--|--|--|--|
| Drug and Alcohol | | | | | | | | | |
| Total Count of Drug and Alcohol Inspections | | | | | | | | | |

Attachment 2 Notes

Attachment 3 - List of Operators

GAS FACILITIES SUBJECT TO STATE SAFETY JURISDICTION AS OF DECEMBER 31, 20xx

| Operator Business Name Operator ID Address | Distribution (Operator type & Inspection Units) | | | | | Transmission (Operator type & Inspection Units) | | LNG(Operator type & Inspection Units) | | Other (Operator type & Inspection Units) | |
|--|--|-----------|--------------|-----|-------|--|------------|--|------------|---|------------------------------------|
| | Private | Municipal | Master Meter | LPG | Other | Intrastate | Interstate | Intrastate | Interstate | Gathering Lines (Jurisdictional) | Offshore Facilities (State Waters) |
| | | | | | | | | | | | |

| | Distribution (Operator type & Inspection Units) | | | | | Transmission (Operator type & Inspection Units) | | LNG(Operator type & Inspection Units) | | Other (Operator type & Inspection Units) | |
|---------------------------------------|--|-----------|--------------|-----|-------|--|------------|--|------------|---|------------------------------------|
| | Private | Municipal | Master Meter | LPG | Other | Intrastate | Interstate | Intrastate | Interstate | Gathering Lines (Jurisdictional) | Offshore Facilities (State Waters) |
| Inspection Unit totals by type | | | | | | | | | | | |

Total Operators

Attachment 3 Notes:

Attachment 4 - Incidents/Accidents

SIGNIFICANT⁴ GAS INCIDENTS/ACCIDENTS JANUARY 1, THROUGH DECEMBER 31, 20xx

| Date of Incident | Location - City/County/etc. | System Type | Injuries # | Fatalities # | Property Damage ³ \$ | Operator Cause Code ¹ | State Cause Code ¹ |
|------------------|-----------------------------|-------------|------------|--------------|---------------------------------|----------------------------------|-------------------------------|
|------------------|-----------------------------|-------------|------------|--------------|---------------------------------|----------------------------------|-------------------------------|

Name of Operator:

Operator ID:

Summary²

¹High Level Cause Codes: A - Corrosion failure; B - Natural Force Damage; C - Excavation Damage; D - Other Outside Force Damage; E - Pipe, Weld or Joint Failure; F - Equipment Failure; G - Incorrect Operation; H - Other Incident Cause; IP - Investigation Pending;

²Please include a summary or report of the state agency's investigation of each of the above incidents.

³Interstate agents should use the 191.3 Incident definition for listing incidents investigated on interstate facilities.

Attachment 4 Notes

Attachment 5 - Stats on Compliance Actions

STATE COMPLIANCE ACTIONS -- CALENDAR YEAR (CY) 20xx

| Probable Violation Categories | Intrastate | Interstate |
|-------------------------------|------------|------------|
|-------------------------------|------------|------------|

Number carried over from all previous CY's

Number Found During CY

Number submitted for DOT action [60106 Agreement agent only]

Number corrected during CY (including carry over from previous year(s))

Number to be corrected at end of CY (including carry over)

Number of Compliance Actions Taken

(see definition)

Civil Penalties

Number assessed during CY

Dollars assessed during CY

Number collected during CY

Dollars collected during CY

¹**Do not double count for a related series of actions.**

Attachment 5 Notes

Attachment 6 - List of Records Kept

GAS STATE RECORD MAINTENANCE AND REPORTING DURING CY 20xx

Records Maintained by the State Agency

Reports Required from Operators

Attachment 6 Notes

Attachment 7 - Staffing

STATE EMPLOYEES DIRECTLY INVOLVED IN THE GAS PIPELINE SAFETY PROGRAM DURING CY 20xx

| Name/Title | % Time | # Months | Qual. Cat. |
|------------|-----------|-------------|---------------|
| | | | |
| | | | |

Summary

| <u>Employee Type</u> | <u>No. of Staff</u> | <u>Person-Years</u> |
|-----------------------------|---------------------|---------------------|
| Supervisor | | |
| Damage Prevention/Technical | | |
| Inspectors/Investigators | | |
| Clerical/Administrative | | |
| Total | | |

Attachment 7 Notes

Attachment 8 - Compliance with Federal Regulations

STATE COMPLIANCE WITH FEDERAL REQUIREMENTS AS OF DECEMBER 31, 2020

| No. | Effective Date | Impact | Adoption Date | AdoptionStatus |
|--|----------------------------|---|---------------|---------------------------------|
| 1 | | Maximum Penalties Substantially same as DOT (\$222,504/\$2,225,034). State must adopt minimum penalties of at least (\$100,000/\$1,000,000). Indicate actual amount in notes. | 08/2008 | Adopted (\$100,000/\$1,000,000) |
| Note ¹ | | | | |
| 2 | Part 192 Amendments | | | |
| 1-115 | Pre 2011 | [All applicable amendments prior to and including 2010] | | |
| Note ¹ Adoption of all amendments prior to 2011 | | | | |
| 116 - 76 FR 5494 | 4/4/2011 | Mechanical Fitting Failure Reporting Requirements | | |
| Note ¹ | | | | |
| 117-76 FR 35130 | 8/15/2011 | Control Room Management/Human Factors | | |
| Note ¹ | | | | |
| 118 - 78 FR 58897 | 10/25/2013 | Administrative Procedures, Updates, and Technical Corrections (Not applicable to States) | | |
| Note ¹ | | | | |
| 119 - 80 FR 168 | 3/6/2015 | Periodic Updates of Regulatory References to Technical Standards and Miscellaneous Edits | | |
| Note ¹ | | | | |

| | | |
|--|--|--|
| 120 - 80 FR 12779 | 10/1/2015 | Miscellaneous Changes to Pipeline Safety Regulations (Part 192.305 DELAYED) |
| Note ¹ | | |
| 121 - 81 FR 70989 | 4/14/2017 | Expanding the Use of Excess Flow Valves in Gas Distribution Systems to Applications Other Than Single-Family Residences |
| Note ¹ | | |
| 122 - 81 FR 91860 | 1/18/2017 | Safety of Underground Natural Gas Storage Facilities |
| Note ¹ | | |
| 123 - 82 FR 7972 | 3/24/2017 | Operator Qualification, Cost Recovery, Accident and Incident Notification, and Other Pipeline Safety Changes |
| Note ¹ | | |
| 124 - 83 FR 58694 | 1/22/2019 | Use of Plastic Piping Systems in the Transportation of Natural and Other Gas |
| Note ¹ | | |
| 125 - 84 FR 52180 | 7/1/2020 | Pipeline Safety: Safety of Gas Transmission Pipelines: MAOP Reconfirmation, Expansion of Assessment Requirements, and Other Related Amendments |
| Note ¹ | | |
| 3 | Part 193 Amendments (applicable only where state has jurisdiction over LNG) | |
| 1-23 | Pre 2011 | [All applicable amendments prior to and including 2010] |
| Note ¹ Adoption of all amendments prior to 2011 | | |
| 24 - 78 FR 58897 | 10/25/2013 | Administrative Procedures, Updates, and Technical Corrections |
| Note ¹ | | |
| 25 - 80 FR 168 | 3/6/2015 | Periodic Updates of Regulatory References to Technical Standards and Miscellaneous Edits |
| Note ¹ | | |
| 4 | Part 199 - Drug Testing | |
| Note ¹ | | |
| 5 | Part 199 Amendments | |

| | | |
|---------------------------------------|------------|---|
| 1-24 Note ¹ | Pre 2011 | [All applicable amendments prior to and including 2010] Adoption of all amendments prior to 2011 |
| 25 - 78 FR 58897 Note ¹ | 10/25/2013 | Administrative Procedures, Updates, and Technical Corrections |
| 26 - 80 FR 168 Note ¹ | 3/6/2015 | Periodic Updates of Regulatory References to Technical Standards and Miscellaneous Edits |
| 27 - 82 FR 7972 Note ¹ | 3/24/2017 | Operator Qualification, Cost Recovery, Accident and Incident Notification, and Other Pipeline Safety Changes |
| 28 - 84 FR 16770 Note ¹ | 4/23/2019 | Conforming Amendments and Technical Corrections to Department Rules Implementing the Transportation Industry Drug Testing Program |

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| | |
|-------------------------|---|
| a. Note ¹ | Mandatory coverage of areas having pipeline facilities |
| b. Note ¹ | Qualification for operation of one-call system |
| c. Note ¹ | Mandatory excavator notification of one-call center |
| d. Note ¹ | State determination whether calls to center are toll free |
| e. Note ¹ | Mandatory intrastate pipeline operator participation |
| f. Note ¹ | Mandatory operator response to notification |

g. Mandatory notification of excavators/public

Note¹ N

h. Civil penalties/injunctive relief substantially same as DOT

Note¹

'If Adoption Status is No, Please provide an explanation

State Attendance at 2020 NAPS Regional Meeting:

Frequency of General Legislative Session: Annually

Attachment 8 Notes

Attachment 10 - Performance and Damage Prevention Questions

CALENDAR YEAR (CY) 20xx

Planned Performance: What are your Planned Annual and Long-term goals for your Pipeline Safety Program?

Past Performance: What did the Pipeline Safety Program accomplish during the subject year (to this document) to contribute toward the program's annual and long-term goals?

1. Has the state or agency reviewed the Damage Prevention Assistance Program (DPAP) document in the last twelve months?

2. Has the state or agency developed or is in the process of developing a plan to address the nine elements contained in the PIPES Act of 2006 for an effective State Damage Prevention Program?

If yes to question 2, where does the state or agency stand on implementation of the nine elements contained in the PIPES Act of 2006? Please provide a description of how the state or agency has or will meet each element. If not, please provide a brief passage explaining the reasons why the state or agency has not.

Attachment 10 Notes