

# NHSN Long Term Care Facility Component—Annual Facility Survey

National Healthcare  
Safety Network

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Instructions for this form can be accessed: <a href="https://www.cdc.gov/nhsn/forms/instr/57.137-toi-annual-facility-survey.pdf">https://www.cdc.gov/nhsn/forms/instr/57.137-toi-annual-facility-survey.pdf</a>		
*Required for saving	Tracking #:	
Facility ID:	*Survey Year:	
*National Provider ID:	State Provider #:	
<b>Facility Characteristics</b>		
*Ownership (check one):		
<input type="checkbox"/> For profit <input type="checkbox"/> Not for profit, including church <input type="checkbox"/> Government (not VA) <input type="checkbox"/> Veterans Affairs		
*Certification (check one):		
<input type="checkbox"/> Dual Medicare/Medicaid <input type="checkbox"/> Medicare only <input type="checkbox"/> Medicaid only <input type="checkbox"/> State only		
*Affiliation (check one):		
<input type="checkbox"/> Independent, free-standing <input type="checkbox"/> Independent, continuing care retirement community		
<input type="checkbox"/> Multi-facility organization (chain) <input type="checkbox"/> Hospital system, attached <input type="checkbox"/> Hospital system, free-standing		
<i>In the previous calendar year:</i>		
*Average daily census: _____		
*Total number of short-stay residents: _____	Average length of stay for short-stay residents: _____	
*Total number of long-stay residents: _____	Average length of stay for long-stay residents: _____	
*Total number of new admissions: _____		
*Number of Beds: _____ *Number of Pediatric Beds (age <21): _____		
*Indicate which of the following primary service types are provided by your facility. On the day of this survey, indicate the number of residents receiving those services (list only one service type per resident, i.e. total should sum to resident census on day of survey completion):		
<u>Primary Service Type</u>	<u>Service provided?</u>	<u>Number of residents</u>
a. Long-term general nursing:	<input type="checkbox"/>	_____
b. Long-term dementia:	<input type="checkbox"/>	_____
c. Skilled nursing/Short-term (subacute) rehabilitation:	<input type="checkbox"/>	_____
d. Long-term psychiatric (non-dementia):	<input type="checkbox"/>	_____
e. Ventilator:	<input type="checkbox"/>	_____
f. Bariatric:	<input type="checkbox"/>	_____
g. Hospice/Palliative:	<input type="checkbox"/>	_____
h. Other:	<input type="checkbox"/>	_____
<p>Assurance of Confidentiality: The voluntarily provided information obtained in this surveillance system that would permit identification of any individual or institution is collected with a guarantee that it will be held in strict confidence, will be used only for the purposes stated, and will not otherwise be disclosed or released without the consent of the individual, or the institution in accordance with Sections 304, 306 and 308(d) of the Public Health Service Act (42 USC 242b, 242k, and 242m(d)).</p> <p>Public reporting burden of this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC, Reports Clearance Officer, 1600 Clifton Rd., MS D-74, Atlanta, GA 30333, ATTN: PRA (0920-0666).</p>		
CDC 57.137 (Front) Rev <b>EOY Release?</b>		Continued >>

## Long Term Care Facility Component—Annual Facility Survey

### Facility Microbiology Laboratory Practices

\*1. Does your facility have its own laboratory that performs microbiology/antimicrobial susceptibility testing?

Yes  No

If No, where is your facility's antimicrobial susceptibility testing performed? (check one)

Affiliated medical center, within same health system  Medical center, contracted locally  
 Commercial referral laboratory

\*2. Indicate whether your facility screens new admissions for any of the following multidrug-resistant organisms (MDROs): (check all that apply)

We do not screen new admissions for MDROs

Methicillin-resistant *Staphylococcus aureus* (MRSA)

If checked, indicate the specimen types sent for screening: (check all that apply)

Nasal swabs  Wound swabs  Sputum  Other skin site

Vancomycin-resistant *Enterococcus* (VRE)

If checked, indicate the specimen types sent for screening: (check all that apply)

Rectal swabs  Wound swabs  Urine

Multidrug-resistant gram-negative rods (includes carbapenemase resistant Enterobacteriaceae; multidrug-resistant *Acinetobacter*, etc.)

If checked, indicate the specimen types sent for screening: (check all that apply)

Rectal swabs  Wound swabs  Sputum  Urine

*Candida Auris* (C.Auris)

If checked, indicate the specimen types sent for screening: (check all that apply)

Skin (axilla/groin)  Nares  Other site

\*3. What is the primary testing method for *C. difficile* used most often by your facility's laboratory or the outside laboratory where your facility's testing is performed? (check one)

Enzyme immunoassay (EIA) for toxin

GDH plus NAAT (2-step algorithm)

Cell cytotoxicity neutralization assay

GDH plus EIA for toxin, followed by NAAT for discrepant results

Nucleic acid amplification test (NAAT) (e.g., PCR, LAMP)

Culture (*C. difficile* culture followed by detection of toxins)

NAAT plus EIA, if NAAT positive (2-step algorithm)

Other (specify): \_\_\_\_\_

Glutamate dehydrogenase (GDH) antigen plus EIA for toxin (2-step algorithm)

("Other" should not be used to name specific laboratories, reference laboratories, or the brand names of *C. difficile* tests; most methods can be categorized accurately by selecting from the options provided. Please ask your laboratory, refer to the Tables of Instructions for this form, or conduct a search for further guidance on selecting the correct option to report.)

\*4. Does your laboratory provide a report summarizing the percent of antibiotic resistance seen in common organisms identified in cultures sent from your facility (often called an antibiogram)?

Yes  No

If Yes, how often is this summary report or antibiogram provided to your facility? (check one)

Once a year  Every 2 years  Other (specify): \_\_\_\_\_

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### Infection Prevention and Control Practices

\*5. Total staff hours per week dedicated to infection prevention and control activity in facility: \_\_\_\_\_

a. Total hours per week performing surveillance: \_\_\_\_\_

b. Total hours per week for infection prevention and control activities other than surveillance: \_\_\_\_\_

\*6. Is it a policy in your facility that use of gowns/gloves are required for care of residents infected or colonized with MRSA? (Check one)

Yes, all infected and colonized residents with MRSA

Yes, only those with certain characteristics that make them high-risk for transmission (e.g., wounds, , presence of an indwelling device)

No

\*7. Is it a policy in your facility that use of gowns/gloves are required for care of residents infected or colonized with VRE? (Check one)

Yes, all infected and colonized residents with VRE

Yes, only those with certain characteristics that make them high-risk for transmission (e.g., wounds, , presence of an indwelling device)

No

\*8. Is it a policy in your facility that use of gowns/gloves are required for care of residents infected or colonized with CRE? (Check one)

Yes, all infected and colonized residents with CRE

Yes, only those with certain characteristics that make them high-risk for transmission (e.g., wounds, , presence of an indwelling device)

No

\*9. Is it a policy in your facility that use of gowns/gloves are required for care of residents infected or colonized with ESBL-producing or extended spectrum cephalosporin resistant Enterobacteriaceae? (Check one)

Yes, all infected and colonized residents with ESBL

Yes, only those with certain characteristics that make them high-risk for transmission (e.g., wounds, , presence of an indwelling device)

No

\*10. When a resident colonized or infected with an MDRO is transferred to another facility, does your facility communicate the resident's MDRO status to the receiving facility at the time of transfer?  Yes  No

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### Infection Prevention and Control Practices (continued)

\*11. Among residents with an MDRO admitted to your facility from other healthcare facilities, what percentage of the time does your facility receive information from the transferring facility about the resident's MDRO status? \_\_\_\_\_%

### Antibiotic Stewardship Practices

\*12. Are there one or more individuals responsible for the impact of activities to improve use of antimicrobials at your facility?  Yes  No

If Yes, what is the position of the individual(s)? (select all that apply)

- Medical director  Director of Nursing  Infection Preventionist  
 Consultant Pharmacist  Other (please specify): \_\_\_\_\_

\*13. Does your facility have a policy that requires prescribers to document an indication for all antimicrobials in the medical record or during order entry?  Yes  No

If Yes, has adherence to the policy to document an indication been monitored?  Yes  No

\*14. Does your facility provide treatment recommendations for common infections based on national guidelines to assist with antimicrobial decision making?  Yes  No

If Yes, has adherence to facility-specific treatment recommendations been monitored?  Yes  No

\*15. Is there a formal procedure for performing a follow-up assessment 2-3 days after a new antimicrobial start to determine whether the antimicrobial is still indicated and appropriate (e.g. antibiotic time out)?  Yes  No

\*16. Is there a formal procedure for reviewing courses of antimicrobial therapy and communicating with prescribers on antimicrobial selection, dosing, or duration of therapy (i.e., audit and feedback) at your facility?  Yes  No

\*17. Does your facility have a system for tracking antimicrobial use?  
If yes, what is the source of the antimicrobial use report provided?  Yes  No

- Pharmacy services  Electronic Health Records  
 Manual reporting (i.e., facility infection control log)  Other (please specify): \_\_\_\_\_

\*18. Has your facility provided education to clinicians and other facility staff on improving antimicrobial use in the past 12 months?  Yes  No

\*19. Does your facility have a written statement of support from leadership that supports efforts to improve antimicrobial use?  Yes  No

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### Antibiotic Stewardship Practices (continued)

- \*20. Are antimicrobial use and resistance data reviewed by leadership in quality assurance/performance improvement committee meetings?  Yes  No
- \*21. Does your facility have access to individual(s) with antimicrobial stewardship expertise (e.g., consultant pharmacist trained in antimicrobial stewardship, stewardship team at referral hospital, external infectious disease/stewardship consultant)?  Yes  No

### Electronic Health Record Utilization

\*22. Indicate whether any of the following are available in an electronic health record (check all that apply):

- |  |  |
|--|--|
| <input type="checkbox"/> Microbiology lab culture and antimicrobial susceptibility results | <input type="checkbox"/> Medication orders       |
| <input type="checkbox"/> Medication administration record                                  | <input type="checkbox"/> Resident vital signs    |
| <input type="checkbox"/> Resident admission notes  | <input type="checkbox"/> Resident progress notes |
| <input type="checkbox"/> Resident transfer or discharge notes                              | <input type="checkbox"/> None of the above       |

### Facility Water Management and Monitoring Program

23. Have you ever conducted a facility risk assessment to identify where *Legionella* and other opportunistic waterborne pathogens (e.g. *Pseudomonas*, *Acinetobacter*, *Burkholderia*, *Stenotrophomonas*, nontuberculous mycobacteria, and fungi) could grow and spread in the facility water system (e.g., piping infrastructure)?  Yes  No

If Yes, when was the most recent assessment conducted? (Check one)

- |  |   |
|--|---|
| <input type="checkbox"/> ≤ 1 year ago  | <input type="checkbox"/> >1 and ≤ 3 years ago |
| <input type="checkbox"/> > 3 years ago |   |

24. Does your facility have a water management program to prevent the growth and transmission of *Legionella* and other opportunistic waterborne pathogens?  Yes  No

If Yes, who is represented on the team? (Check all that apply)

- |   |   |  |   |
|---|---|--|---|
| <input type="checkbox"/> Facility Administrator | <input type="checkbox"/> Nursing Leadership (e.g., DON or ADON) | <input type="checkbox"/> Consultant                    | <input type="checkbox"/> Facilities Manager/ Engineer |
| <input type="checkbox"/> Maintenance Staff      | <input type="checkbox"/> Infection Preventionist                | <input type="checkbox"/> Risk/Quality Management Staff | <input type="checkbox"/> Medical Director             |
| <input type="checkbox"/> Equipment/ Chemical    | <input type="checkbox"/> Other (specify): _____                 |  |   |

25. Do you regularly monitor the following parameters in your building's water system? (Check all that apply)

Disinfectant (such as residual chlorine)  Yes  No

If Yes, do you have a plan for corrective actions when disinfectant levels are not within acceptable limits as determined by your water management program?  Yes  No

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Temperature	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
If Yes, do you have a plan for corrective actions when temperatures are not within acceptable limits as determined by your water management program?			<input type="checkbox"/> Yes	<input type="checkbox"/> No
Heterotrophic plate counts	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
If Yes, do you have a plan for corrective actions when heterotrophic plate counts are not within acceptable limits as determined by your water management program?			<input type="checkbox"/> Yes	<input type="checkbox"/> No
Specific tests for <i>Legionella</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
If Yes, do you have a plan for corrective actions when specific tests for <i>Legionella</i> are not within acceptable limits as determined by your water management program?			<input type="checkbox"/> Yes	<input type="checkbox"/> No