

SRAENE Substudy: Delivering SRAE Programming to Youth in Juvenile Justice Detention Centers and Foster Care Group Homes

**Formative Data Collections for ACF Research
0970 - 0356**

Supporting Statement

Part A

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**Alternative Supporting Statement Instructions for Information Collections Designed for
Research, Public Health Surveillance, and Program Evaluation Purposes**

Part A

Executive Summary

- **Type of Request:** This Information Collection Request is for a generic information collection under the umbrella generic, Formative Data Collections for ACF Research (0970-0356).
- **Progress to Date:** The sub-study is part of the Sexual Risk Avoidance Education National Evaluation (SRAENE). It builds upon the findings from the Nationwide Study, which is currently taking place (OMB No. 0970-0956; Exp. 10/31/2025).
- **Description of Request:** The purpose of SRAENE is to provide information on the design and implementation of Sexual Risk Avoidance Education (SRAE) programs, the effectiveness of program component refinements, and the ways grant recipients can use data and evidence to improve SRAE programming. SRAENE involves the following three main parts: (1) the Nationwide Descriptive Study of SRAE program implementation and youth outcomes, (2) the Program Components Impact Study (CIS), and (3) data capacity building and local evaluation support for SRAE grant recipients. This request is specific to the Nationwide Study (NWS), which is a substudy of the National Descriptive Study (NDS). The NWS data reveals a subset of grantees and providers who serve youth in juvenile justice detention centers and foster care group homes. The Family and Youth Services Bureau (FYSB), which administers the SRAE grant program, is interested in a deeper understanding of how programs deliver services to youth in these two settings. We propose collecting interview data on program provision with 50 grantees and providers using an online, asynchronous interview platform, Qualboard.

The study team will use the data collected under this generic information collection to better understand program implementation experiences related to serving youth in community settings. Ultimately, the data will inform the development of future descriptive research on the implementation of SRAE programs within juvenile justice detention facilities and foster care group homes. We do not intend for the data collected for the sub-study to be generalized to a broader population. We also do not intend for this information to be used as the principal basis for public policy decisions.

- **Timing:** The goal is to collect information in spring 2023.

Alternative Supporting Statement Instructions for Information Collections Designed for Research, Public Health Surveillance, and Program Evaluation Purposes

A1. Necessity for Collection

Study Background

In February 2018, as part of the federal government's efforts to support youth in making healthy decisions about their relationships and behaviors, Congress reauthorized Title V, Section 510 of the Social Security Act to fund the Sexual Risk Avoidance Education (SRAE) grant program. SRAE grants fund programs that teach adolescents to refrain from sexual activity. The Family and Youth Services Bureau (FYSB), Administration for Children and Families (ACF) of the U.S. Department of Health and Human Services (HHS) administers the program. SRAE programs also provide education on personal responsibility, self-regulation, goal setting, healthy relationships, a focus on the future, and preventing drug and alcohol use. The reauthorization included a requirement to evaluate the SRAE grant program and ACF awarded a contract for the SRAE National Evaluation (SRAENE) in 2018. SRAENE is comprised of two sub-studies, the National Descriptive Study (NDS) and the Program Components Impacts Study (CIS).¹ SRAENE also comprises a third element—which is not a study—that involves the provision of Data and Evaluation Support technical assistance. Under the NDS, ACF is conducting a Nationwide Study (NWS) to learn about program implementation experiences and outcomes of the SRAE grant program.²

This information collection request is designed to extend ACF's learning from the NWS. Specifically, this NWS substudy includes the collection of interview data in spring 2023 to learn about the program implementation experiences of grantees and providers delivering SRAE programming to youth in juvenile justice detention centers and foster care group homes.

A2. Purpose

Purpose and Use

The goal of this proposed formative data collection is to inform the development of a descriptive research study focused on SRAE programming within community settings. Youth involved in community settings, including foster care group homes and juvenile justice detention centers, are more likely to engage in sexual risk behaviors than their counterparts. As such, ACF encourages providers to serve youth involved in community settings through its Notice of Funding Opportunity and tracks the number of some youth served in community settings through the SRAE OMB-approved performance measures (OMB #0970-0536).³ However, little is known about the implementation experiences of SRAE programs that serve these youth and what, if any, supports may be needed. This formative research seeks to address gaps in knowledge about the implementation experiences—including the successes, challenges, and lessons learned--related to providing SRAE programming to youth in juvenile justice detention centers and foster care group homes. The information collected through this study will be used to

¹ An information collection request related to this study will be submitted separately.

² The National Descriptive Study is divided into two substudies: (1) the Early Implementation Study (ICR reference #201904-0970-007), which described SRAE grantees' plans for programming, and (2) the Nationwide Study (NWS; ICR reference #202209-0970-002).

³ The performance measures data do not provide a complete count of the number of youth served in community settings. Grantees are instructed to only count youth are served in the primary setting in cases where providers operate their SRAE program in more than one setting.

Alternative Supporting Statement Instructions for Information Collections Designed for Research, Public Health Surveillance, and Program Evaluation Purposes

inform future research on delivering SRAE programming to youth engaged in the justice and child welfare systems. Data collected through this formative study will provide foundational information about how grantees serve youth in these settings, including specific challenges they have faced and successful strategies they have identified. From this information, ACF can identify specific areas that merit future research and/or technical assistance. In addition, the findings from this formative study can provide context for a future analysis of whether specific features of implementation in these settings are more strongly associated with youth outcomes than others. Finally, the study findings will inform future technical assistance offerings to grantees and providers implementing SRAE programs in these settings. In particular, ACF can share the lessons learned and successful strategies identified in the study with other grantees, as well as provide additional support around common challenges faced by grantees working in these settings.

This proposed information collection meets the following goals of ACF's generic clearance for formative data collections for research and evaluation (0970-0356):

- Inform the development of research.
- Maintain a research agenda that is rigorous and relevant.
- Ensure that research products are as current as possible.
- Inform the provision of technical assistance.

The information collected is meant to contribute to the body of knowledge on ACF programs. It is not intended to be used as the principal basis for a decision by a federal decision-maker and is not expected to meet the threshold of influential or highly influential scientific information.

Guiding Questions

This formative research phase is guided by three primary questions to improve ACF's understanding of how SRAE programs are implemented in juvenile justice and foster care group home settings:

1. How did grantees and providers implement SRAE programs in juvenile justice detention centers and foster care group homes? In particular, how did they:
 - a. Identify a curriculum and customize programming for youth in these settings
 - b. Recruit, enroll, and engage youth
 - c. Train and support staff delivering the program
 - d. Build partnerships
2. To what extent did grantees and providers utilize different strategies when serving youth in juvenile justice detention centers and foster care group homes compared to when they served youth in other settings, like schools?
3. What successes, challenges, and lessons learned have grantees and providers experienced with their programs?

Study Design

To gather sufficient information on the array of implementation experiences for the formative study, the study team will identify up to 75 grantees and providers serving youth in juvenile justice detention centers and foster care group homes. Providers will be selected for the study based on their responses

**Alternative Supporting Statement Instructions for Information Collections Designed for
Research, Public Health Surveillance, and Program Evaluation Purposes**

to already collected survey data from the NWS that providers are required to complete as part of the terms of their grant agreement.⁴ These data sources ask providers about the populations they serve and the settings in which they serve youth. Using provider responses to those existing data sources allows the study team to identify and recruit the providers that fit the objectives of this proposed study.

After confirming the potential sample with ACF, the study team will invite grantees and providers to participate in an interview, released via email in batches, until 50 grantees complete.⁵ The 50 respondents will complete a one-hour virtual interview in spring 2023 (Instrument 1. Interview Protocol for SRAE Grantees and Providers Delivering SRAE Programming to Youth in Juvenile Justice Detention Centers and Foster Care Group Homes). During the interview, will ask respondents to discuss their implementation experiences serving youth in these settings. The interviews will take place on the online platform QualBoard, a chat board platform that imposes less of a burden than other interview methods,⁶ as it permits respondents to complete the interview asynchronously, at a time most convenient for them.

Table A.1 includes a study design summary, including respondents, content, purpose, mode and duration of the data collection. To understand program implementation experiences, data collection focuses on the grantees and providers who serve youth in the two settings of interest.

Table A.1. Study design summary

Instruments	Participant, content, purpose of collection	Mode and duration
Instrument 1. Interview protocol for SRAE grantees and providers delivering SRAE programming to youth in juvenile justice detention centers and foster care group homes	<p>Respondents: Grantee and providers</p> <p>Content: Grantee and provider experiences delivering SRAE programming to youth in juvenile justice detention centers and foster care group homes.</p> <p>Purpose: To determine implementation experiences among those who serve youth in the two settings of interest, including successes, challenges, and lessons learned.</p>	<p>Mode: Web</p> <p>Duration: 60 minutes total</p>

Other Data Sources and Uses of Information

The study team will not utilize other data sources.

A3. Use of Information Technology to Reduce Burden

The study team plans to use information technology wherever possible. Mathematica will collect qualitative information from the interviews via QualBoard, a chat board platform. This platform imposes less of a burden than other interview methods, as it permits respondents to complete the interview asynchronously, at a time most convenient for them. Respondents may also complete parts of the interview, as their time allows, and the platform saves their responses automatically. The qualitative

⁴ The NWS survey is approved and conducted under OMB Control No: 0970-0956.

⁵ We expect one respondent per organization.

⁶ Opendakker, R. "Advantages and Disadvantages of Four Interview Techniques in Qualitative Research." *Qualitative Research in Ibero America*, vol. 7, no. 4, 2006, p. 7.

Alternative Supporting Statement Instructions for Information Collections Designed for Research, Public Health Surveillance, and Program Evaluation Purposes

data from QualBoard is stored in multiple formats, including as a Word-based interview transcript. During data collection, the study team can easily review incoming data and message the respondents through the platform with probes or follow-up questions. Boards will remain open for responses 24 hours a day. The study team will monitor the boards during the day and evening hours, so prompting for missing responses can occur quickly. This will allow respondents to make immediate corrections and reduce the need for data cleaning follow-up contacts with the respondents after the interview.⁷

A4. Use of Existing Data: Efforts to reduce duplication, minimize burden, and increase utility and government efficiency

None of the instruments ask for information that can be reliably obtained through other sources.

A5. Impact on Small Businesses

The grantees and providers participating in the study are small, non-profit organizations or state agencies. The SRAENE study team will request information required only for the intended use. We will minimize burden for respondents by restricting the interview length to the minimum necessary, conducting interviews at times convenient for the respondents, and not requiring additional record-keeping on the part of the grantees and providers.

A6. Consequences of Less Frequent Collection

This is a one-time data collection.

A7. Now subsumed under 2(b) above and 10 (below)

A8. Consultation

Federal Register Notice and Comments

In accordance with the Paperwork Reduction Act of 1995 (Pub. L. 104-13) and Office of Management and Budget (OMB) regulations at 5 CFR Part 1320 (60 FR 44978, August 29, 1995), ACF published two notices in the Federal Register announcing the agency's intention to request an OMB review of the overarching generic clearance for formative information collection. This first notice was published on November 3, 2020, Volume 85, Number 213, page 69627, and provided a sixty-day period for public comment. The second notice was published on January 11, 2021, Volume 86, Number 6, page 1978, and provided a thirty-day period for public comment. ACF did not receive any substantive comments.

Consultation with Experts Outside of the Study

No experts will be consulted for this sub-study.

A9. Tokens of Appreciation

No tokens of appreciation for study participants are planned for this data collection.

⁷ Opendakker, R. "Advantages and Disadvantages of Four Interview Techniques in Qualitative Research." *Qualitative Research in Ibero America*, vol. 7, no. 4, 2006, pp. 7.

**Alternative Supporting Statement Instructions for Information Collections Designed for
Research, Public Health Surveillance, and Program Evaluation Purposes**

A10. Privacy: Procedures to protect privacy of information, while maximizing data sharing

Personally Identifiable Information

This request comprises the collection of information about organizations, not individuals. No personally identifiable information will be collected.

Assurances of Privacy

Information collected will be kept private to the extent permitted by law. Respondents will be informed of all planned uses of data and that their information will be kept private to the extent permitted by law. The contractor will also notify respondents that although participation in SRAE evaluation efforts is a condition of their grant, they may choose not to respond to specific questions.

Only the study team and respondents will have access to the project QualBoard. Respondents will receive an invitation to the study QualBoard via email. The invitation will contain the respondent's username and a link to the password protected interview webpage. Once on the webpage, respondents will set up their password to complete the interview.

Data Security and Monitoring

The contractor has developed a Data Safety and Monitoring Plan that assesses all protections of respondents' information. The contractor will ensure that all of its employees, subcontractors (at all tiers), and employees of each subcontractor who perform work under this contract or subcontract are trained in data privacy issues and comply with the above requirements. All study team staff involved in the project will receive training in: (1) limitations of disclosure; (2) safeguarding the physical work environment; and (3) storing, transmitting, and destroying data securely. All Mathematica staff sign the Mathematica Confidentiality Agreement, complete online security awareness training when hired, and receive annual refresher training thereafter. Additionally, staff at QualBoard adhere to all pertinent federal and state laws governing privacy.

As specified in its contract, the contractor will use encryption that complies with the Federal Information Processing Standard (Security Requirements for Cryptographic Module, as amended) to protect all sensitive information during storage and transmission. The contractor will securely generate and manage encryption keys to prevent unauthorized decryption of information in accordance with the Federal Information Processing Standard. In addition, the contractor has a plan for minimizing to the extent possible the inclusion of sensitive information on paper records as well as for protecting any paper records, field notes, or other documents that contain sensitive information, thereby ensuring secure storage and limits on access.

The project's QualBoard data will automatically be archived 90 days after the project closes and will be permanently deleted 180 days after the project closes.

**Alternative Supporting Statement Instructions for Information Collections Designed for
Research, Public Health Surveillance, and Program Evaluation Purposes**

A11. Sensitive Information⁸

There are no sensitive questions in this data collection.

A12. Burden

Explanation of Burden Estimates

In Table A.2 we summarize the estimated reporting burden and costs for the data collection instrument. The interview time includes time for respondents to review the instructions and participate in a virtual interview on Qualboard. The study team expects the total annual burden to be 50 hours for this information collection request. To calculate the total annual burden, we estimate that 50 grantees and/or providers who serve youth in the two settings of interest will participate in the interview. The interview is estimated to take 60 minutes and will occur virtually. There will be one round of interviews in spring 2023.

Estimated Annualized Cost to Respondents

The study team expects the total annual cost to be \$2,185 for all instruments in the current information collection request. The Occupational Employment Statistics (2021) from the Bureau of Labor Statistics have been used to estimate the average hourly wage for the participants of this study and derive total annual costs. For the instrument listed in Table A.2, the study team calculated the total annual cost by multiplying the annual burden hours by the average hourly wage. The mean hourly wage for social scientists and related workers (Occupational Code 19-3099) of \$43.70⁹ was used for the grantees and providers who complete the interview.

Table A.2. Total burden requested under this information collection

Instrument	No. of participants (total over request period)	No. of responses per participant (total over request period)	Avg. burden per response (hours)	Total/annual burden (hours)	Avg. hourly wage rate	Total annual participant cost
Instrument 1. Interview Protocol for SRAE Grantees and Providers Delivering SRAE Programming to Youth in	50	1	1	50	\$43.70	\$2,185

⁸ Examples of sensitive topics include (but not limited to): social security number; sex behavior and attitudes; illegal, anti-social, self-incriminating and demeaning behavior; critical appraisals of other individuals with whom respondents have close relationships, e.g., family, pupil-teacher, employee-supervisor; mental and psychological problems potentially embarrassing to respondents; religion and indicators of religion; community activities which indicate political affiliation and attitudes; legally recognized privileged and analogous relationships, such as those of lawyers, physicians and ministers; records describing how an individual exercises rights guaranteed by the First Amendment; receipt of economic assistance from the government (e.g., unemployment or WIC or SNAP); immigration/citizenship status.

⁹ U.S. Bureau of Labor Statistics. "May 2021 National Occupational Employment and Wage Estimates." Available at <https://www.bls.gov/oes/current/oes193099.htm>.

**Alternative Supporting Statement Instructions for Information Collections Designed for
Research, Public Health Surveillance, and Program Evaluation Purposes**

Juvenile Justice Detention Centers and Foster Care Group Homes		
Estimated total annual burden	50	\$2,185

A13. Costs

There are no additional costs to respondents.

A14. Estimated Annualized Costs to the Federal Government

The estimated total cost to the federal government for this study is \$60,000 (Table A.3). This includes the costs for collection and processing the data, conducting analysis, and preparing reports.

**Alternative Supporting Statement Instructions for Information Collections Designed for
Research, Public Health Surveillance, and Program Evaluation Purposes**

Table A.3. Estimated total cost by category

Cost category	Estimated costs
Fieldwork	\$ 40,000
Analysis and reporting	\$ 20,000
Total/annual costs over the request period	\$ 60,000

A15. Reasons for changes in burden

This is for an individual information collection under the umbrella formative generic clearance for ACF research (0970-0356).

A16. Timeline

Table A.4 contains the timeline for data collection, analysis, and reporting activities for the NWS sub-study. The study team expects to collect data in spring 2023, followed by analysis and reporting in summer 2023.

Table A.4. Schedule for data collection and reporting

Activity	Timing ^a
Grantee and Provider Interviews ^a	Late spring 2023
Data Analysis	Summer 2023
Reporting	Late summer 2023

^aAfter obtaining OMB approval.

A17. Exceptions

No exceptions are necessary for this information collection.

Attachments

Appendices

Appendix A: Study Notification and Reminder Materials

Instruments

Instrument 1. Interview Protocol for SRAE Grantees and Providers Delivering SRAE Programming to Youth in Juvenile Justice Detention Centers and Foster Care Group Homes