U.S. Department of the Interior
PRIVACY THRESHOLD ANALYSIS

The DI-4012 Privacy Threshold Analysis (PTA) form helps Department of the Interior (DOI) Privacy Officials determine whether a new or revised Privacy Impact Assessment (PIA) is required in accordance with the E-Government Act, OMB policy, and the DOI PIA Guide, and identify other related compliance requirements for the collection, maintenance, storage, use, processing, sharing or disposal of personally identifiable information (PII) and any activities that may have privacy implications or otherwise impact individual privacy. See the DOI PTA Guide for guidance on completing the PTA. PTAs must be completed and submitted to the appropriate Bureau/Office Associate Privacy Officer for review and compliance determination. Bureau/Office Associate Privacy Officers are responsible for overseeing and managing PTAs for their organizations.

Section 1. General Information

Question	Response
A. Project, Program or System Name: *Provide the name for the project, program or system for the PTA, which may be an IT system, a project title, program area, information collection, or other technology.*	Central Flyway Online Goose Harvest Assessment
B. Bureau or Office: *Enter the bureau or office for the project, program or system.*	USGS
C. Program or Office: *Provide the name of the program or office within the bureau/office.*	Northern Prairie Wildlife Research Center
D. Project/Program Manager or System Owner: *Enter the name, title, phone number, and email address of the Program Manager or System Owner.*	Name: Jay VonBank Title: Research Ecologist Phone: (701) 368-0177 E-mail: jvonbank@usgs.gov
E. Routing Information: *Indicate whether this is a new or updated PTA and enter the date the PTA was completed and submitted to the APO for privacy determination.*	_X__ New PTA ___ Updated PTA Date of Submission: 01/05/2024

Section 2. Project, Program or System Summary

Question	Response
A. General description of the project, program or system. *Please provide a description of the project or program, including any background information to help understand the project, program or system. If this is an updated PTA, please describe the changes that triggered the update to this PTA. Please also indicate if the PTA is being updated as part of its annual review.*	In an effort to address current known biases in goose harvest data collection, our research project aims to test the efficacy of a new online data submission platform; streamline multiple concurrent surveys into one centralized survey; and reduce the cost and time of compiling and analyzing survey data for federal and state agencies who use these data to inform management decisions. We are proposing the development and research testing of an online, mobile-friendly, voluntary self-submission data platform for hunters to enumerate and speciate their own goose harvest. No PII is collected. The goals are to develop and evaluate the platform, and compare resulting data streams with current paper and parts collection surveys to evaluate accuracy and bias in harvest and population estimates of Central Flyway goose species.
B. What is the technology or format used for the Project, Program or System? *Select all types of technology or sources that apply for the PII that will be collected from or maintained on individuals, including methods such as a paper or electronic form or survey, website collection, social media, or interviews over the phone or in person.*	___ IT System _X__ New Project or Program _X__ Website _X__ Web Form, Survey or Information Collection ___ Third-Party Website ___ Social Media Application ___ SharePoint _X__ Mobile Application ___ Closed Circuit Television (CCTV) ___ Paper-based Collection ___ Other: *Describe.*
C. Does this project, program or system involve a form, survey or information collection? *If this project, program or system uses a form, survey, or other information collection, select "Yes" and describe the specific method or format used. Include titles and numbers or other identifying information.*	_X__ Yes: The online, mobile friendly platform allows hunters to enumerate and speciate the geese they harvest each hunt. Data input from hunters includes date and area the hunt occurred, the number of total geese harvested, and the number of each species and age harvested. Each hunter is assigned a random id at first login, so no PII is collected. ____ No
D. Is Office of Management and Budget (OMB) approval required for the collection? *OMB approval is required for certain collections of information from ten or more members of the public under the Paperwork Reduction Act.*	_X_ Yes: This is a new collection that will be submitted to OMB after approval and 30-day FRN submission. ____ No

Section 3. Personally Identifiable Information

Question	Response
A. What categories of individuals does the Project, Program or System collect, maintain, store, use, process, disseminate or dispose of PII? Please select all that apply. *Indicate whether the project, program or system collects, contains, uses or disseminates PII information about members of the general public, Federal employees, contractors, or volunteers; or if the system does not contain any information that is identifiable to individuals (e.g., statistical, geographic, financial).*	___ Federal employees ___ Contractors __X_ Members of the public ___ Other: *Describe.* __ This project, program or system does not collect, maintain or process PII
B. What specific information about individuals will be created, collected, used, processed, maintained or disseminated? *Describe all the types of information about individuals that will be created, collected, used, processed, maintained, or disseminated. Include any new information that may be created, such as an analysis or report. Describe the purpose of that collection or use of information.*	The only PII collected is an email address to establish a login profile. Hunters are assigned a random ID upon first login, so no specific information about the hunters themselves are created, collected, used, processed, maintained, or disseminated. Using the random hunter ID, we will collect their hunt and harvest data (described above) which will be used to estimate total and species-specific harvest information at the state and flyway levels
C. Is information retrieved by a unique identifier assigned to the individual? *Describe how information is retrieved for the project, program or system.*	_X__ Yes: At first login, a random hunter ID is assigned to the respondent profile, and information unique to that respondent is collected based on their login each time they enter data. ___ No
D. Is the information covered by a System of Records Notice (SORN)? *The Privacy Act requires that agencies publish a SORN in the Federal Register upon the establishment of a new, or modification of an existing, system of records. For new SORNS being developed, select "Yes" and provide a detailed explanation. For existing systems of records, identify the applicable government-wide, DOI, or bureau SORN(s) that covers the system of records with the SORN identifier and Federal Register citation.*	___ Yes _X__ No
E. Does the Project, Program or System collect or use Social Security Numbers (SSNs)? *List the statutory and regulatory authority and Executive Orders that authorizes the collection or use of SSNs to meet an official program mission or goal.*	___ Yes _X__ No

Question	Response
F. Does this Project, Program or System receive or share PII with any other internal DOI programs or systems? *Indicate whether PII will be shared with or from internal organizations within DOI.*	___ Yes _X__ No
G. Does this Project, Program or System receive or share PII with any external (non-DOI) partners or systems? *Indicate whether PII will be shared with agencies or organizations external to DOI. This could be other federal, state and local government agencies, private sector entities, contractors or other external third parties. For Privacy Act systems, identify the applicable SORN and describe how an accounting of the disclosure is maintained.*	___ Yes _X__ No
H. Is this external sharing pursuant to new or existing information sharing agreement (Memorandum of Understanding, Memorandum of Agreement, Letters of Intent, etc.)? *Information sharing agreements are generally documented in Memorandums of Understanding, Memorandums of Agreement, Letters of Intent, or other documents, and outline the purpose, roles of the parties, data ownership, authorized uses, policy, scope, standards, procedures, practices, limitations on re-dissemination, and safeguard requirements for the transfer, maintenance and disposal of PII to ensure all parties understand and adhere to the mutually established terms of the agreement.*	___ Yes ___ No N/A

Section 4. PTA Review and Recommendation

Question	Response
Privacy Compliance Determination: *This determination is to be completed by the Associate Privacy Officer (APO). See DOI PTA Guide, DOI PIA Guide, related Federal laws and policies, and Departmental guidance on privacy requirements.*	_X__ PTA is sufficient at this time ___ Privacy Impact Assessment (PIA) required ___ Adapted PIA required ___ System of Records Notice (SORN) required ___ Notice of Proposed Rulemaking/Final Rule required ___ Computer Matching Notice required ___ Computer Matching Agreement required ___ Privacy Act Statement required ___ Privacy Notice required ___ Specialized role-based training required ___ Information sharing agreement required ___ Further privacy compliance review is required ___ Refer for Security Compliance Assessment ___ Refer for Information Collection Clearance Review ___ Refer for Records Review ___ Other: *Describe.*
Bureau Associate Privacy Officer Comments: *Provide comments or additional guidance on privacy compliance requirements.*	PTA is sufficient for the purpose of this survey. Personal information collected is limited to the respondent's email address. All responses are anonymized through the use of a random ID number. No other PII is requested or required. Completion of the survey is voluntary. There is no impact to the individual for opting out of this survey. If additional PII elements are collected, the information collection must be reassessed to ensure the records remain in compliance with Federal privacy regulations and Departmental policies.
Associate Privacy Officer Name:	Cozenja M. Berry
Bureau/Office:	U. S. Geological Survey
Date:	January 5, 2024
DOI Privacy Office Comments	