

**APPLICATION FOR RECOGNITION TO PRACTICE BEFORE THE UNITED STATES PATENT AND TRADEMARK OFFICE UNDER 37 CFR § 11.14(c) BY A FOREIGN RESIDENT**

<b>1A. LEGAL NAME</b>  <input type="checkbox"/> Mr. <input type="checkbox"/> Ms.	<b>Last Name</b>	<b>First Name</b>	<b>Middle Name</b>	<b>FOR USPTO USE ONLY</b>
<b>1B. ADDRESS</b> (street, bldg., suite, etc.) This address will be used for official correspondence.	Employer, corporation, law firm, U.S. Government agency. Indicate if student or unemployed.			
<b>1C. CITY</b>		<b>1D. STATE</b>	<b>1E. COUNTRY</b>	<b>1F. ZIP CODE</b>
<b>1G. PHONE NUMBER</b> (daytime)		<b>1H. E-MAIL</b> (primary)		<b>1I. E-MAIL</b> (secondary)
<b>2. CITIZENSHIP</b> (country)		<b>3. DATE OF BIRTH</b> (month, day, year)		<b>4. PLACE OF BIRTH</b> (City, State, Country)

You are required to update the foregoing information promptly upon any change. Check all the following that apply.

**5. APPLICATION FEE: (PLEASE REFER TO THE GENERAL REQUIREMENTS BULLETIN AND 37 CFR § 11.7)**

- Enclosed is the non-refundable \$110.00 application fee set forth in 37 CFR § 1.21(a)(1)(i).
- OR
- Enclosed is the \$1,680.00 fee set forth in 37 CFR § 1.21(a)(10).

**6. PREVIOUSLY APPLIED FOR RECOGNITION:**

- I previously applied for recognition to practice before the United States Patent and Trademark Office in trademark matters or received from the Office of Enrollment and Discipline an evaluation of my qualifications. Enclosed is my application fee under 5.

Date of Previous Application: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ Name on Application, if different \_\_\_\_\_

**7. BASIS FOR RECOGNITION:**

- I am in good standing before the Trademark Office of: (list all that apply)

---



---



---

**8. BAR MEMBERSHIP:**

- I am an active attorney in good standing in each of the following courts or jurisdictions. Please provide the corresponding bar membership numbers and enclose a proof of your status as an attorney.

---



---



---

**BACKGROUND INFORMATION:** Candor and truthfulness are significant elements of fitness relevant to practice before the United States Patent and Trademark Office. You should, therefore, provide the Office of Enrollment and Discipline with all available information, however unfavorable, even if its relevance is in doubt, with regard to the questions asked below. For each question answered "YES," provide a detailed statement setting forth all relevant facts and dates along with verified copies of relevant documents. Your responses must be updated, as necessary, prior to your registration. Any documents, evidence or proofs previously filed in a prior application need not be resubmitted unless your response to a question must be changed. Failure to disclose the requested information may result in denial of registration or in disciplinary proceedings, should you become registered. See 37 CFR §§ 11.7(a)(2)(ii), 11.19, and 11.801.

9.  YES  NO Have you ever been disciplined, reprimanded, suspended, expelled, or agreed to surrender a license or have any charges ever been proffered against you in connection with your practice before any Federal or State court, municipal bureau, commission, office, or agency of any kind or character?
10.  YES  NO Have you ever been arrested, charged, or held by Federal, State, or other law enforcement authorities for any violation of any Federal or State law, or any country or municipal law, regulation, or ordinance? (Do not include any misdemeanor before your 16th birthday or traffic violations for which the fine was \$100 or less.)
11.  YES  NO Have you ever been disciplined, reprimanded, suspended, expelled, or asked to resign or withdraw from any educational institution, or have you resigned or withdrawn from any such institution in time to avoid a request to resign or in time to avoid discipline, reprimand, suspension, or expulsion for conduct involving dishonesty, fraud, misrepresentation, or deceit?
12.  YES  NO Have you ever been disciplined, reprimanded, or suspended in any job for conduct involving dishonesty, fraud, misrepresentation, deceit, or for any violation of Federal or State laws or regulations?
13.  YES  NO Have you ever been fired or discharged from any job, or have you been asked to resign or quit for conduct involving dishonesty, fraud, misrepresentation, deceit, or any violation of Federal or State laws or regulations?
14.  YES  NO Have you ever resigned or quit a job when you were under investigation or inquiry for conduct which could have been considered as involving dishonesty, fraud, misrepresentation, deceit, or violation of Federal or State laws or regulations, or after receiving notice or been advised of possible investigation, inquiry, or disciplinary action for such conduct?
15.  YES  NO Have you ever been discharged from military service under conditions "other than honorable," or by reason of the sentence of a Court Martial or being dropped from the rolls?
16.  YES  NO Are you delinquent on any State or Federal debt? (Include delinquencies arising from Federal or State taxes, loans, overpayment of benefits, and other debts to the U.S. Government and defaults on Federally guaranteed or insured loans such as student and home mortgage loans.)

**Upon the basis of the foregoing information and any attached documents, I hereby apply for recognition to practice in trademark matters before the United States Patent and Trademark Office. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001.**

17. Signature of Applicant

Date

MAIL COMPLETED APPLICATION TO:

**MAIL STOP OED, UNITED STATES PATENT AND TRADEMARK OFFICE, P. O. BOX 1450, ALEXANDRIA, VA 22313-1450**

### **PRA Act Statement**

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995, unless the information collection has a currently valid OMB Control Number. The OMB Control Number for this information collection is 0651-0012. Public burden for this form is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to the Chief Administrative Officer, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 or email [InformationCollection@uspto.gov](mailto:InformationCollection@uspto.gov).

### **Privacy Act Statement**

The United States Patent and Trademark Office (USPTO) collects this information under authority of 5 CFR 339.205. The information in this system of records is used to manage biographical information, personal and professional qualifications, character and fitness report, investigations of an applicant's suitability or eligibility for registration to practice before the USPTO, undertakings of former patent examiners, current address, and status information. The information you provide is protected from disclosure to third parties in accordance with the Privacy Act.

However, routine uses of this information may include disclosure to the following: Routine uses will include publishing and disseminating a public roster including an address of record, law firm or company affiliation, telephone number, and registration number of the active registered individuals on the USPTO Web site, to law enforcement and investigation in the event that the system of records indicates a violation or potential violation of law; to a Federal, state, local, or international agency, in response to its request; to an agency, organization, or individual for the purpose of performing audit or oversight operations as authorized by law to contractors and their agents, grantees, experts, consultants, and others performing or working on a contract, service, grant, cooperative agreement, or other work assignment for the U.S. Patent and Trademark Office, when necessary to accomplish an agency function related to this system of records; to the Department of Justice for Freedom of Information Act (FOIA) assistance; to members of congress working on behalf of an individual; to the Office of Personnel Management (OPM) for personnel research purposes; to National Archives and Records Administration for inspection of records. Failure to provide any part of the requested information may result in an inability to process requests for access and information. The applicable Privacy Act System of Records Notice for this information is COMMERCE/PAT-TM-1 Attorneys and Agents Registered to Practice Before the Office available at Federal Register /Vol. 78, No. 53 /Tuesday, March 19, 2013 /Notices  
<https://www.federalregister.gov/documents/2013/03/19/2013-06254/privacy-act-of-1974-system-of-records>