

**API Functional Integration Toolkit**

**Purpose of This Toolkit**

This document is designed to help Enhanced Direct Enrollment (EDE) Auditors ensure that an EDE Entity has successfully integrated on a basic functional level with each of the EDE application programming interfaces (APIs). This document is also designed to help EDE Auditors ensure that an EDE Entity has successfully implemented required UI functionality related to the APIs. Each test case in this toolkit requires integration with multiple EDE APIs. The Auditor must either complete each test case on its own, or work with the EDE Entity to verify the test cases work appropriately. In both scenarios, the Auditor must provide a statement of compliance for each test case.

For each test case, the EDE Entity must correctly call all applicable APIs and yield the applicable expected results after calling each API. The EDE Entity and Auditor must use the test data provided in the Zip file, "EDE End-to-End Test Data." There are nine data sets; column G, "Data Inputs," in the **Test Cases** tab displays which data set to use for each test case.

The EDE Entity must also follow the adjudication instructions on the "Adjudication Instructions" tab when adjudication of a data matching issue (DMI) or special enrollment period (SEP) verification issue (SVI) is required.

**Required Documentation**

The Auditor must provide written confirmation in this toolkit, as part of the Operational Readiness Review (ORR), stating that it confirmed the DE Entity is able to successfully pass the API integration scenarios listed in this toolkit. The Auditor must also provide any required evidence to confirm that the test scenarios were completed successfully, as described in column H, "Required Evidence," in the **Test Cases** tab. The Auditor should name the required evidence files sequentially and clearly identify them as belonging to a specific test case. For example, the Auditor should use this naming structure: TestCaseF0##\_Step#\_Item #\_Document#\_ElementName.png, which would appear as "F001\_5\_1\_1\_person search API.png" in the file submission. In the **Test Cases** tab, the information to populate "TestCaseF0##" can be found in column A, "Step#" can be found in column D, and "Item #" can be found in column H. Auditors should use "Document#" to indicate the sequential order of the documents in the audit submission and "ElementName" to describe the content within the document.

**Note on Version**

It is important to note that this document is subject to change.

**Tabs for Auditor Review**

Tab	Description	How to Review
<b>Test Case Overview</b>	This tab displays an overview of the test scenarios and general assumptions to implement or consider throughout each test case. The Auditor will document the compliance findings for each Test Case in this tab.	The Auditor will use this tab to document compliance with each test case defined in the subsequent tab. The Auditor must carefully examine that the EDE Entity is achieving the expected results for each test case on the <b>Test Cases</b> tab prior to confirming the EDE Entity's compliance with each test case in the columns whose column headings are shaded in yellow or marked with "***."
<b>Test Cases</b>	This tab displays a detailed overview of the test scenarios, required API calls, expected results, and any required evidence.	The Auditor will also use this tab to track compliance. The Auditor must carefully examine the required APIs and expected results for each test case on the <b>Test Cases</b> tab prior to confirming the EDE Entity's compliance with each test case. The Auditor must provide any required evidence described in this tab, to confirm that the test scenarios were completed successfully.
<b>Adjudication Instructions</b>	This tab displays Data Matching Issue (DMI) and Special Enrollment Period Verification Issue (SVI) adjudication instructions.	The Auditor must verify that the entity received the appropriate result for the adjudication test cases.

**Audit Requirements by Tab**

**Tab: Test Case Overview**

In this tab, the Auditor must scroll to the right to complete the last six columns whose column headings are shaded in yellow or marked with "\*\*\*."

Columns & Sections	Description	How to Review
Test Case ID	Test Case ID that corresponds to each case listed on the subsequent tab, <b>Test Cases</b> .	The Auditor must match the Test Case ID in this tab to the corresponding test case in the subsequent tab, <b>Test Cases</b> . The Auditor must use information from both tabs to complete the audit.
Scenario description	Summary of test data for each test case.	The Auditor may use this summary information to inform the audit.
Detailed Scenario Description	Description of each test case.	The Auditor may use this description to inform the audit.
Mapping Test Data Sheet	Test data in the "EDE_End-to-End Test Data" folder that is to be used for a particular scenario.	The Auditor must either use this data to complete each test case on its own, or work with the EDE Entity to verify the test cases work appropriately.

**PRA DISCLOSURE:** According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-NEW, expiration date is XX/XX/20XX. The time required to complete this information collection is estimated to take up to 144,652 hours annually for all direct enrollment entities. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850. \*\*\*\*CMS Disclosure\*\*\*\* Please do not send applications, claims, payments, medical records or any documents containing sensitive information to the PRA Reports Clearance Office. Please note that any correspondence not pertaining to the information collection burden approved under the associated OMB control number listed on this form will not be reviewed, forwarded, or retained. If you have questions or concerns regarding where to submit your documents, please contact Brittany Cain at [Brittany.Cain@cms.hhs.gov](mailto:Brittany.Cain@cms.hhs.gov).

Auditor Compliance Conclusion**	The Auditor must provide a conclusion as to whether the scenario (and corresponding requirements defined in the <b>Test Cases</b> tab) defined in each row is compliant with the CMS requirements. A compliance conclusion should be indicated as "Yes" or "No."	<p>The Auditor will use the requirements in the <b>Test Cases</b> tab to confirm each test case is compliant. For DMI and SVI adjudications, the Auditor must confirm the EDE Entity requested the adjudication and received the expected result for the test case(s). If the test case is compliant, the Auditor must indicate "Yes" in this column. If the row is not compliant, the Auditor must indicate the noncompliance with a "No" in this column.</p> <p><b>There are several required fields in each cell within this column:</b></p> <ul style="list-style-type: none"> <li>- The first required field in the set of rows specific to each test case is "Test Case F0## compliance conclusion: ____." If the results of the test case are compliant, and match the expected results for the test case, the Auditor must indicate "Yes" in this column. If the results of the test case are not compliant, the Auditor must indicate the noncompliance with a "No" in this column.</li> <li>-The subsequent required cells for each test case refer to the Auditor Checklist Items in the "Expected Result and Auditor Checklist Items" column of the <b>Test Cases</b> tab. These Auditor Checklist Items reference the step and the row number from the test case where there is an Auditor checklist item indicated by "Auditor Checklist Item F0## Step ##: Auditor confirms [...]." For example, the first item in the API Functional Integration Test Case 001 (Auditor Checklist Item F001 Step 2a (row 3) is, "Auditor confirms the agent is required to enter the document type and document identifier used for manual ID proofing in the in-person agent/broker flow." After the Auditor verifies this checklist item, the Auditor must document its compliance determination in the corresponding cell and field in the "Auditor Compliance Conclusion" column in the <b>Test Case Overview</b> tab, "Auditor Checklist Item F001 Step 2a (row 3) compliance conclusion:"</li> </ul>
Risks Identified**	The Auditor must detail any compliance risks identified during the audit in this column for each applicable row. Use this column if the Compliance Conclusion was "No" or if the entity resolved a risk prior to audit submission. There are two types of risks: resolved and unresolved. Please document them both here. Do not document a risk if the requirement is compliant and there was no mitigation required.	As the Auditor reviews each test case in its entirety, the Auditor must indicate any compliance risks identified in this column. This includes any compliance risks that the EDE Entity has since resolved. One example finding is that the scenario could not be completed because the Eligibility Determination Notice (EDN) could not successfully be downloaded. An example mitigation strategy would be that the EDE Entity made a system or user interface (UI) change to correct the issue. When the scenario was retested, the EDN was successfully downloaded and the scenario successfully completed.
Risk Level**	<p>Auditors must assign a risk level to each risk it identifies.</p> <p>CMS will take the risk level assigned by the Auditor into consideration when reviewing the audit, but may adjust it if necessary.</p>	<p>The Auditor must assign a risk level of "high" or "low" to each risk. High-risk issues may impact a consumer's eligibility determination, enrollment disposition or status, or legal attestation. High-risk issues may also greatly hinder the consumer experience or impact data collection (e.g., skipping a question that is required for a EDE Entity to ask, but optional for the consumer to answer).</p> <p>Low-risk issues are unlikely to affect a consumer's eligibility determination, enrollment disposition or status, legal attestation, experience (i.e., in a negative or confusing way), or data collection. <b>Note:</b> These risk determinations are applicable for the business audit only and not the privacy and security audit.</p>
Risk Mitigation Strategy**	The Auditor must explain how each risk was mitigated. For example, if the entity was unable to correctly call an API, the Auditor must identify that as a risk and list how the EDE Entity corrected the finding (i.e., mitigated the risk). This field is required for high-risk findings. The Auditor can work with the DE Entity to decide on whether or not to include this for low-risk findings.	As the Auditor identifies compliance risks, the Auditor and EDE Entity will identify a mitigation strategy that will mitigate or eliminate the compliance risk. The Auditor must document that mitigation strategy here. This includes documenting the mitigation strategy for any identified risk that the EDE Entity has resolved. For example, for a scenario that could not be completed because during the "Submit App" step, an error was displayed within the EDE Entity's UI and within the Submit App API Response, an example mitigation strategy would be that the EDE Entity made a system or UI change to correct the issue. When the scenario was retested, the application was successfully submitted and the scenario successfully completed.
Estimated Resolution Date**	Auditors must provide a timeframe for risk resolution (required for unresolved high-risk findings).	CMS recommends Auditors work with the EDE Entity to provide a realistic timeframe of when a risk will be closed or mitigated given other dependencies and their expertise.
Auditor Comments	The Auditor can use this column to provide any additional notes or comments pertaining to each item.	The Auditor can add any comments necessary during the review, but is not required to do so. Business requirements audits should not include comments that describe the Auditor's process for verifying the requirement unless there is a specific issue or concern regarding the requirement that warrants raising a concern.

**Tab: Test Cases**

Columns	Description	Testing Notes
Test Case ID	Test Case ID that corresponds to each test case listed on the previous tab, "Test Case Overview."	
High-level Description	High-level description of each test case.	
Description	Description of each test case.	
Step	Steps to complete each test case, listed numerically.	

High-level Steps	Steps to complete each test case, described in detail.	
Expected Result	The expected result after each step is completed.	
Data Inputs	Data (e.g., test data sheet) the EDE Entity must use to complete each test case at the applicable step. Data sets can be found in the "EDE End-to-End Test Data" folder. For example, for test case "MTST_EDE_E2E_F001," the EDE Entity should use the data set, "F001_MPL-02-A-1081" at step 9.	
Required Evidence	Required evidence to substantiate that the test case was successful.	<p><b>The submission must include:</b></p> <ul style="list-style-type: none"> <li>-<b>Correct results and successful completion of each test case:</b> If an EDE Entity will pursue approval to use both the Consumer pathway and the Agent and Broker pathway, the submission must include documentation reflecting the expected results for each pathway. In other words, the EDE Entity must complete the full test case in both the Agent/Broker and Consumer pathways and submit the required documentation for each pathway. The EDE Entity may not use evidence from one pathway to satisfy the evidence for the other pathway (e.g., using screenshots or API calls from the Consumer pathway application to satisfy the requirement for the Agent/Broker pathway), if the EDE Entity must provide evidence for both pathways.</li> <li>-<b>Successful completion of the DMI and SVI test cases consistent with the Toolkit's instructions.</b></li> <li>-<b>Complete and compliant submission of all required evidence</b> outlined in the "Required Evidence" column, Column H, on the <b>Test Cases</b> tab within the API Functional Integration Toolkit, including the complete header and body for each required API request and response.</li> <li>-<b>JSONs and XML files submitted as required evidence for a Test Case must be raw and unmodified by the EDE Entity.</b></li> <li>-<b>JSON and XML files submitted as required evidence must demonstrate successful OKTA integration.</b> That is, the User ID provided in API request headers, and within Fetch Eligibility/Submit Enrollment request bodies, must be the agent/brokers' FFE User ID. Fetch Eligibility XML files are required when requested if the Entity has integrated with the Fetch Eligibility API.</li> </ul>

**Tab: Adjudication Instructions**

Sections	Description	Testing Notes
DMI and SVI Adjudication Instructions	Instructions for adjudicating DMIs and SVIs.	The Auditor must confirm the EDE Entity requested the adjudication and received the expected result for the test case(s). Note that it is expected that entities complete adjudication prior to submission.
SVI/DMI Adjudication Request	Instructions for formatting the spreadsheet EDE Entities must submit to adjudicate DMIs and SVIs.	

**General Testing Assumptions**

- Entities implementing a consumer flow will complete each scenario via their consumer flow. Entities that are implementing an Agent/Broker (A/B) flow will complete each scenario via their A/B flow, and those that are implementing both a consumer flow and an A/B flow will complete each scenario via both of their flows.
- Step 1 in each test case (consumer account creation) may vary depending upon an EDE Entity's account creation process; some Entities may require consumers to create an account, while other Entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the Entity's site and the ID proofing occurrence is associated with the created account.
- Web-brokers (WBEs) should add the text "DONOTSEND" in Address Line 2 when completing applications, in order to prevent 834s from being sent to issuers.
- IMPORTANT: Mapped test data sheets are provided separately (see description below). Please note that each Entity must change the first name, last name, date of birth, street address, and email address of the applicants within the data provided when creating applications. The demographic data used must also be randomized for each test scenario (i.e. Entities should not use the same first name, last name, etc. for each test scenario). There are a number of issues that Entities may encounter if they don't randomize the demographic data, including SVIs and DMIs not generating.
- Entities are permitted to change the birth dates for the household members so that all household members are QHP-eligible. For example, making a child age 19-25 may prevent the child from being eligible for CHIP; some scenarios indicate when an Entity may want/need to make a child age 19-25.
- Entities are permitted to change the attested income for the scenarios so that all household members are eligible for a QHP, except for scenario 7, income for scenario 7 is provided within steps, and is required to trigger the income DMI.
- If a test case calls for a non-financial assistance (non-FA) application, the income and tax-related attestations in the test data can be ignored.
- The "Did you get help from a navigator or agent/broker?" question can be answered "Yes" for each scenario, and the Entity can include an A/B name and NPN. This is optional.
- Test case MTST\_EDC\_EZE\_F003 requires the Entity to report a change in circumstances (CIC) on an application that wasn't originally created by the Entity. The Entity should email CMS.FEE.EDSupport@accntentrefederal.com, with the subject line, "Request for App IDs: EDE API Test Scenario F003," to obtain existing applications. Upon request, three applications will be provided to the Entity. Additional applications can be requested by the Entity, if needed. Note, the existing applications will be Alabama applications. If an EDE Entity needs non-Alabama applications, that should be noted in the email request.
- Test case MTST\_EDC\_EZE\_F011 requires the Entity to create a 2023 application using a 2022 application. The Entity should email CMS.FEE.EDSupport@accntentrefederal.com, with the subject line, "Request for App IDs: EDE API Test Scenario F011," to obtain 2022 applications. Upon request, three applications will be provided to the Entity. Additional applications can be requested by the Entity, if needed. Note, the 2022 applications will be Arizona applications. If an Entity needs non-Arizona applications, that should be noted in the email request.
- The order of the API calls may vary depending on an Entity's implementation. All API calls should be made however, unless otherwise indicated in the test case.
- For any necessary SVI or DMI adjudications, Entities will follow the instructions on the Adjudication Instructions tab.
- Many of the test scenarios are designed to be completed outside of OE. Accordingly, Entities should complete the scenarios in the test environment when the test environment is not set to OE.

Test Case ID	Scenario Description	Summary of Test Cases along with Data Mapping		Compliance Documentation (Required)					
		Detailed Scenario Description	Mapping Test Data Sheet	Auditor Compliance Conclusion**	Risks Identified**	Risk Level**	Risk Mitigation Strategy**	Estimated Resolution Date**	Auditor Comments
MTST_EDC_EZE_F001	Initial financial assistance (FA) application with no SVI or DMI	User submits an initial FA application for 2 members. Both members are US Citizens and the application has no DMI or SVI	F001_MPL-02-A-1081	Test Case F001 compliance conclusion:  Auditor Checklist Item F001 Step 2a (row 3) compliance conclusion: Auditor Checklist Item F001 Step 2b (row 3) compliance conclusion: Auditor Checklist Item F001 Step 5b (row 6) compliance conclusion: Auditor Checklist Item F001 Step 5c (row 6) compliance conclusion: Auditor Checklist Item F001 Step 5d (row 6) compliance conclusion: Auditor Checklist Item F001 Step 16 (row 17) compliance conclusion:					
MTST_EDC_EZE_F002	CIC FA application with no DMI or SVI	User performs a CIC on an initial FA application existing in the EDE Entity's system. The application has no SVI or DMIs.	Continuation of F001	Test Case F002 compliance conclusion:  Auditor Checklist Item F002 Step 9 (row 27) compliance conclusion:					
MTST_EDC_EZE_F003	CIC with an added member for an application that currently does not exist in EDE Entity's system, and then Batch Auto-Renewal (BAR) opt-out	User claims an initial application that does not exist in EDE Entity's system and performs a CIC by adding a member. The application has no SVI or DMIs. After the CIC, the user opts out of BAR.	F003_MPL-02-A-1011	Test Case F003 compliance conclusion:  Auditor Checklist Item F003 Step 8 (row 35) compliance conclusion: Auditor Checklist Item F003 Step 17 (row 44) compliance conclusion:					
MTST_EDC_EZE_F004	Pick up an existing in-progress FA application and remove a member	User creates a 7 member FA initial application and leaves it in-progress; returns to the application at a later time, removes a member and submits. The application has Past Loss of MEC SVI and pending Plan Selection (PPS).	F004_MPL-07-AC-5158	Test Case F004 compliance conclusion:  Auditor Checklist Item F004 Step 23 (row 69) compliance conclusion:					
MTST_EDC_EZE_F005	Non-SEP CIC with changes to communication preferences and elected APTC	User returns to an existing initial application and performs a non-SEP CIC with changes to communication preferences. He/She changes elected APTC on existing pending enrollment.	Continuation of F004	Test Case F005 compliance conclusion:  Auditor Checklist Item F005 Step 9 (row 80) compliance conclusion:					
MTST_EDC_EZE_F006	Consumer cancels coverage and then revokes permission for the current EDE Entity	Consumer has an existing initial application in an EDE Entity's system. Consumer cancels existing coverage. Consumer then revokes permissions for their current EDE Entity.	Continuation of F005	Test Case F006 compliance conclusion:  Auditor Checklist Item F006 Step 3 (row 83) compliance conclusion:					
MTST_EDC_EZE_F007	Initial FA application with DMI and SVI, where both the DMI and SVI are resolved and pending plans are released; consumer subsequently terminates coverage	User creates an initial FA application for 2 members eligible for QHP and enrolls in pending plans. The application has Income DMI and Past Loss of MEC SVI. Both the SVI and the DMI are resolved and pending plans are released. Consumer subsequently terminates their coverage.	F007_MPL-02-Q-4006	Test Case F007 compliance conclusion:  Auditor Checklist Item F007 Step 16 (row 100) compliance conclusion: Auditor Checklist Item F007 Step 18 (row 102) compliance conclusion: Auditor Checklist Item F007 Step 22 (row 106) compliance conclusion: Auditor Checklist Item F007 Step 24 (row 108) compliance conclusion: Auditor Checklist Item F007 Step 25 (row 109) compliance conclusion:					
MTST_EDC_EZE_F008	Initial non-FA application with each individual member enrolling in a different plan and subsequent	User submits an initial non-FA application for 3 members. The application has no SVI and no DMIs. Each individual member is enrolled into a different plan. User	F008_MPL-04-Q-4010	Test Case F008 compliance conclusion:  Auditor Checklist Item F008 Step 16 (row 125) compliance conclusion:					
MTST_EDC_EZE_F009	Initial non-FA application with an SVI, PPS is cancelled when SVI is expired	User submits an initial non-FA application for 3 members and selects plans. The application has an SVI and no DMI. The SVI is expired and pending plans are cancelled.	F009_MPL-03-A-5019	Test Case F009 compliance conclusion:  Auditor Checklist Item F009 Step 16 (row 152) compliance conclusion:					
MTST_EDC_EZE_F010	Initial non-FA application with an SSN DMI and Citizenship DMI. The Citizenship DMI is expired and applicant becomes ineligible for QHP	User creates an initial 2 member non-FA application eligible for APTC. The primary member has an SSN DMI and a Citizenship DMI. There is no SVI on the application. The Citizenship DMI is expired and the primary becomes ineligible for	F010-TCS-128	Test Case F010 compliance conclusion:  Auditor Checklist Item F010 Step 16 (row 173) compliance conclusion: Auditor Checklist Item F010 Step 20 (row 177) compliance conclusion:					
MTST_EDC_EZE_F011	2023 FA application created from 2022 application	User pre-populates an initial 2023 FA application, using an inactive 2022 non-FA application. The application is eligible for APTC.	F011_MPL-02-AC-5243	Test Case F011 compliance conclusion:  Auditor Checklist Item F011 Step 17 (row 195) compliance conclusion:					
MTST_EDC_EZE_F012	Consumer attests to being an American Indian/Alaskan Native; Phase 1 and Phase 2 EDE Entities redirect consumer from their website to the Classic DE (aka double-redirect) pathway or to	User creates a 3 member initial FA application eligible for APTC. All members attest to being American Indian/Alaska native.	F012_MPL-03-AC-1023	Test Case F012 compliance conclusion:  Auditor Checklist Item F012 Step 2 (row 198) compliance conclusion: Auditor Checklist Item F012 Step 13 (row 200) compliance conclusion: Auditor Checklist Item F012 Step 17 (row 213) compliance conclusion:					

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F001	Initial FA application with no SVI or DMIs	User submits an initial FA application for 2 members. Both members are US citizens and the application has no SVI or DMIs.	Step 1	A consumer account is created on the EDE Entity website.  <b>Note:</b> This step is optional and may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.	<b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>  Consumer pathway testing: Consumer account is created (optional, depending on EDE Entity's implementation).  Agent/Broker pathway testing: Consumer account creation should not be required in the agent/broker workflow.		
MTST_EDE_EZE_F001			Step 2	Consumer is ID proofed.  For the consumer flow, a call is made to the RIDP/FARS API or an equivalent 3rd-party FICAM TFS approved service.  For the in-person agent/broker flow, manual ID proofing is required, but can be simulated for purposes of testing the A/B flow.  For the telephone agent/broker flow, manual ID proofing is not required. For purposes of testing, EDE Entities must simulate manual ID proofing in an in-person agent/broker flow if they have an agent/broker pathway.  <b>Note:</b> Since RIDP/FARS and 3rd-party ID proofing service test harness data will not be in sync with FFM test harness data, this step is optional for consumer pathway testing. EDE Entities are permitted to bypass ID proofing for the consumer pathway in the test environment. EDE Entities that will use the RIDP/FARS services in production however, should note that there is separate RIDP/FARS testing that must be completed through the Hub in order to get approved to use these services; the separate RIDP/FARS testing requirements can be found on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a> .	Consumer is successfully ID proofed.  If using the RIDP/FARS API, a DSH Reference ID will be successfully generated.  <b>Auditor Checklist Item F001 Step 2a:</b> Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to enter the document type and document identifier used for manual ID proofing in the in-person agent/broker flow.  <b>Auditor Checklist Item F001 Step 2b:</b> Agent/Broker pathway testing: <b>Auditor confirms</b> that the EDE Entity's UI requirements for manually ID proofing are in alignment with the "Acceptable Documentation for ID Proofing" document on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a> .	For RIDP/FARS testing, refer to the RIDP/FARS data available on the zONE at the following location: <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>	For agent/broker pathway testing only:  1) UI screenshot showing that the agent/broker is required to enter the document type and document identifier used for manual ID proofing in the in-person agent/broker flow.
MTST_EDE_EZE_F001			Step 3	Call <u>Store ID Proofing Record API</u> to store the ID proofing information.  <b>Note:</b> This step is systematically required only for the consumer and in-person A/B flows.  <b>Note:</b> If consumer pathway ID proofing is bypassed for testing in Step 2, the EDE Entity will still need to store ID proofing data in order to obtain permission for the application; a mock online transaction identifier can be provided in the Store ID Proofing call if the consumer pathway ID proofing was bypassed for testing.	The ID proofing record is successfully stored.		For both consumer pathway and agent/broker pathway testing:  1) Store ID Proofing API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F001			Step 4	Record consumer's permission to act on their behalf within the EDE Entity's UI.  <b>Note:</b> The location of the permission attestation may vary in the EDE Entity's UI for the consumer pathway. For the agent/broker pathway however, this attestation must be presented to the A/B on the Person Search UI page.	EDE Entity records user/consumer permissions.		For both consumer pathway and agent/broker pathway testing:  1) UI screenshot showing that the user is required to attest that permission has been granted to act on the consumer's behalf. Note that for the agent/broker pathway, the agent/broker is required to attest on the Person Search UI page to having the consumer's permission to work on their behalf, and related screenshots should reflect such.
MTST_EDE_EZE_F001			Step 5	Call <u>Person Search API</u> to check if there is an existing application.  <b>Note:</b> Manual searching/claiming will occur for the Agent/Broker flow and backend searching/claiming will occur for consumer flow.	No results are returned from Person Search call.  <b>Auditor Checklist Item F001 Step 5a:</b> Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.  <b>Auditor Checklist Item F001 Step 5b:</b> Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.  <b>Auditor Checklist Item F001 Step 5c:</b> Agent/Broker pathway testing: <b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.  <b>Auditor Checklist Item F001 Step 5d:</b> Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" Section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a> .		For both consumer pathway and agent/broker pathway testing:  1) Person Search API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  For agent/broker pathway testing:  1) UI screenshot showing the error that the agent/broker receives if attempting to proceed with the Person Search without completing the attestation that they have permission to work on the consumer's behalf.
MTST_EDE_EZE_F001			Step 6	Call <u>Create App API</u> to create an application and an Application ID, after user enters basic information by providing household contact information, such as home/mailling address, phone number, and communication preferences.	An Application ID is generated.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F001			Step 7	Call <u>Store Permission API</u> using App ID as input.	Permission is stored successfully.  <b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>		For both consumer pathway and agent/broker pathway testing:  1) Store Permission API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F001			Step 8	Call <u>Add Member API</u> when user adds additional members to the application.  This step is optional, depending on entity implementation. Entities can alternatively add both members during the initial Create App API call.	Members added to the application.		
MTST_EDE_EZE_F001			Step 9	User enters application data for each member within the EDE Entity's UI.  Refer to details in the "Data Inputs" column.  Primary is filing taxes and claiming Member 2 (M2) as dependent.	User is able to proceed with completion of the application.	Refer to data set F001_MPL-02-A-1081  Member 1 attests to a Release from Incarceration SEP.	
MTST_EDE_EZE_F001			Step 10	Call <u>Update App API</u> to store attestations and trigger related flows.  <b>Note:</b> Update App may be called at different times depending upon the EDE Entity's implementation.	Update App API call(s) return(s) "Success."		
MTST_EDE_EZE_F001			Step 11	Call <u>Submit App API</u> when user signs and submits the application.	The Submit App API call returns "Success."		
MTST_EDE_EZE_F001			Step 12	Call <u>Get App API</u> to retrieve the eligibility results.  <b>Note:</b> The <u>Fetch Eligibility API</u> may also be used to obtain Eligibility Results.	Eligibility Results are displayed in the UI.		For agent/broker pathway testing: 1) Fetch Eligibility API request and response. Note: This step is optional, depending on entity implementation. Entities that utilize Fetch Eligibility must provide this evidence.
MTST_EDE_EZE_F001			Step 13	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DSRs ID and the Eligibility Determination Notice (EDN).	Consumer can download EDN from the EDE Entity's website.		
MTST_EDE_EZE_F001			Step 14	Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in the UI.	The API calls return no data. No SVI or DMI messaging is displayed in the UI.		
MTST_EDE_EZE_F001			Step 15	User completes plan shopping and the <u>Submit Enrollment API</u> is called to complete the enrollment.  <b>Note:</b> Plan shopping may occur earlier in the workflow, depending on EDE Entity implementation.	Enrollment is submitted successfully.		For agent/broker pathway testing: 1) Submit Enrollment API request and response
MTST_EDE_EZE_F001			Step 16	Call <u>Get Enrollment API</u> to retrieve enrollment details/status.	Enrollment information is displayed in the UI.  <b>Auditor Checklist Item F001 Step 16:</b> Auditor confirms that the required enrollment data is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on dONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a> .		
MTST_EDE_EZE_F001			Step 17	Call <u>Payment Redirect API</u> to retrieve payment redirect URL and payment redirect SAML.  <b>Note:</b> If the EDE Entity is an issuer, the entity is not required to integrate with the Payment Redirect API if the entity directly hosts a payment service; the issuer would still need to provide evidence that the consumer can make a payment, as described in #1 in the "Required Evidence" column. If the EDE Entity is an issuer and does not offer an option for a consumer to make a binder payment online, the auditor can indicate this in lieu of providing the "Required Evidence" in column H.	Either: 1) Payment redirect occurs for the consumer in the UI, or 2) the consumer isn't given a payment redirect option if they selected a plan with an issuer that doesn't support payment redirect (in which case the Payment Redirect API response won't include a payment redirect URL).  <b>Note:</b> The payment redirect URL returned by the Payment Redirect API will be for the issuer's production environment, and payment redirect to the issuer's payment site may therefore fail from the test environment; this is an acceptable result, however note that the Payment Redirect API should still return a successful response in this scenario.		For both consumer pathway and agent/broker pathway testing:  1) If the user selects a plan that is eligible for payment redirect, UI screenshot showing the payment redirect option in the EDE Entity's UI.  2) Payment Redirect API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response. <b>Note:</b> This is not required if the EDE Entity is an issuer that directly hosts a payment service; the auditor should document this if applicable. This is also not required if the EDE Entity is an issuer that does not offer an online option for binder payments; the auditor should document this if applicable.

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F002	CIC FA application with no SVI or DMIs	User performs a CIC on an initial FA application existing in the EDE Entity's system. The application has no SVI or DMIs.	Step 1	<p>Log in (if applicable) to an existing user account from test case MTST_EDE_EZE_F001 and call <a href="#">Person Search API</a> to retrieve the linked application.</p> <p>Note: This step may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.</p> <p>Note: Manual searching/claiming will occur for the Agent/Broker flow and backend searching/claiming will occur for consumer flow.</p>	<p>Existing application linked to the consumer is displayed.</p> <p>Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.</p> <p><b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" Section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>		
MTST_EDE_EZE_F002			Step 2	Call <a href="#">Get App API</a> to retrieve the application details.	Consumer is presented with the pre-populated application.		
MTST_EDE_EZE_F002			Step 3	<p>User reports a life change and proceeds through application. User updates/changes previously attested income amount and <a href="#">Update App API</a> is called to trigger related flows. Income should be such that the consumers are still QHP eligible.</p> <p>Note: Update App may be called at different times depending upon the EDE Entity's implementation.</p>	<p>User is able to proceed with completion of the application.</p> <p>Update App API call(s) return(s) "Success."</p>		
MTST_EDE_EZE_F002			Step 4	Call <a href="#">Submit App API</a> when user signs and submits the application.	The Submit App API call returns "Success."		
MTST_EDE_EZE_F002			Step 5	<p>Call <a href="#">Get App API</a> to retrieve the eligibility results.</p> <p>Note: The <a href="#">Fetch Eligibility API</a> may also be used to obtain Eligibility Results.</p>	Eligibility Results are displayed in the UI.		
MTST_EDE_EZE_F002			Step 6	Call <a href="#">Metadata Search API</a> and <a href="#">Notice retrieval API</a> to retrieve the applicable DSR ID and the new EDN.	Consumer can download EDN from the EDE Entity's website.		
MTST_EDE_EZE_F002			Step 7	Call <a href="#">Get DMI API</a> and <a href="#">Get SVI API</a> to retrieve and display any applicable DMI and SVI information in the UI.	The API calls return no data. No SVI or DMI messaging is displayed in the UI.		
MTST_EDE_EZE_F002			Step 8	<p>User completes plan shopping and the <a href="#">Submit Enrollment API</a> is called to complete the enrollment.</p> <p>Note: Plan shopping may occur earlier in the workflow, depending on EDE Entity implementation.</p>	Enrollment is submitted successfully.		
MTST_EDE_EZE_F002			Step 9	Call <a href="#">Get Enrollment API</a> to retrieve the updated enrollment details/status.	<p>Updated enrollment information is displayed in the UI.</p> <p><b>Auditor Checklist Item F002 Step 9: Auditor confirms</b> that the required enrollment data is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p>		
MTST_EDE_EZE_F003	Pick up an existing application and report a CIC with an added member, and then opt out of BAR	User claims an initial application that does not exist in EDE Entity's system and performs a CIC with an added member. The application has no SVI or DMIs. After the CIC, the user opts out of BAR.	Step 1	<p>ISAVE submits an initial 1 member FA application via the Call Center Representative (CCR) portal with a large current income sequence number.</p> <p>Note: This step is not completed by the EDE Entity, however applications must be requested by the EDE Entity, as per the, "Data Inputs."</p>	An application is created.	<p>Test case MTST_EDE_EZE_F003 requires the EDE Entity to report a CIC on an application that wasn't originally created by the EDE Entity. The EDE Entity should email CMS.FFE.EDESUPPORT@accenturefederal.com with the subject line, "Request for App IDs: EDE API Test Scenario F003," to obtain existing applications. Upon request, three applications will be provided to the EDE Entity. Additional applications can be requested by the EDE Entity, if needed.</p> <p>Note: The existing applications will be Alabama applications. If an EDE Entity needs non-Alabama applications, that should be noted in the email request.</p>	

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F003			Step 2	<p>A consumer account is created on the EDE Entity website.</p> <p><b>Note:</b> This step is optional and may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.</p>	<p>Consumer pathway testing: Consumer account is created (optional, depending on EDE Entity's implementation).</p> <p>Agent/Broker pathway testing: Consumer account creation should not be required in the agent/broker workflow.</p>		
MTST_EDE_EZE_F003			Step 3	<p>Consumer is ID proofed.</p> <p>For the consumer flow, a call is made to the RIDP/FARS API or an equivalent 3rd-party FICAM TFS approved service.</p> <p>For the in-person agent/broker flow, manual ID proofing is required, but can be simulated for purposes of testing the A/B flow.</p> <p>For the telephone agent/broker flow, manual ID proofing is not required. For purposes of testing, EDE entities must simulate manual ID proofing in an in-person agent/broker flow if they have an agent/broker pathway.</p> <p><b>Note:</b> Since RIDP/FARS and 3rd-party ID proofing service test harness data will not be in sync with FFM test harness data, this step is optional for consumer pathway testing. EDE Entities are permitted to bypass ID proofing for the consumer pathway in the test environment. EDE Entities that will use the RIDP/FARS services in production however, should note that there is separate RIDP/FARS testing that must be completed through the Hub in order to get approved to use these services; the separate RIDP/FARS testing requirements can be found on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p>	<p>Consumer is successfully ID proofed.</p> <p>If using the RIDP/FARS API, a DSH Reference ID will be successfully generated.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to enter the document type and document identifier used for manual ID proofing in the in-person agent/broker flow.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> that the EDE Entity's UI requirements for manually ID proofing are in alignment with the "Acceptable Documentation for ID Proofing" document on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: in Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor reviewed this functionality. The Auditor must complete the review described in this cell (see the two "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 2a and Step 2b. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor must document this discrepancy as a risk for this test case. The Auditor must document risks in the "Test Case Overview" tab where the Auditor is documenting its compliance determinations.</p>	<p>For RIDP/FARS testing, refer to the RIDP/FARS data available on the zONE at the following location: <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a></p>	
MTST_EDE_EZE_F003			Step 4	<p>Call <u>Store ID Proofing Record API</u> to store the ID proofing information.</p> <p><b>Note that the Date of Birth for the existing member will be provided when applications are requested in Step 1.</b></p> <p><b>Note:</b> This step is systematically required only for the consumer and in-person A/B flows.</p> <p><b>Note also:</b> If consumer pathway ID proofing is bypassed for testing in Step 3, the EDE Entity will still need to store ID proofing data in order to obtain permission for the application; a mock online transaction identifier can be provided in the Store ID Proofing call if the consumer pathway ID proofing was bypassed for testing.</p>	<p>The ID proofing record is successfully stored.</p>		
MTST_EDE_EZE_F003			Step 5	<p>Record consumer's permission to act on their behalf within the EDE Entity's UI.</p> <p><b>Note:</b> The location of the permission attestation may vary in the EDE Entity's UI for the consumer pathway. For the agent/broker pathway however, this attestation must be presented to the A/B on the Person Search UI page.</p>	<p>EDE Entity records user/consumer permissions.</p>		
MTST_EDE_EZE_F003			Step 6	<p>Call <u>Person Search API</u> by using a combination of SSN and Date of Birth to check if there is an existing application. <b>Note that the SSN and DOB for the existing member will be provided when applications are requested in Step 1.</b></p> <p><b>Note:</b> Manual searching/claiming will occur for Agent/Broker flow and backend searching/claiming will occur for consumer flow.</p>	<p>Existing application details are displayed and/or existing application is claimed.</p> <p>Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.</p> <p><b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: in Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>		



Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F003			Step 7	Call <u>Store Permission API</u> using App ID as input.	Permission is stored successfully.  <b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>		
MTST_EDE_EZE_F003			Step 8	User reports a life change and <u>Get App API</u> is called to retrieve details for the existing application.	Consumer is presented with the pre-populated application.  <b>Auditor Checklist Item F003 Step 8: Auditor confirms the UI only displays the original consumer and is pre-populated with the original consumer's previous attestations.</b>		For both consumer pathway and agent/broker pathway testing:  1) UI screenshot(s) showing the entity's eligibility application (test names, addresses, and household information) is pre-populated with the information the consumer already provided on the existing eligibility application.  2) Get App API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F003			Step 9	Request financial assistance and call <u>Add Member API</u> to add a new member to the application	Member is added to the application and the Add Member API call returns "Success."		For both consumer pathway and agent/broker pathway testing:  1) Add Member API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F003			Step 10	User enters application data for each member within the EDE Entity's UI.  Refer to details in the "Data Inputs" column.	User is able to proceed with completion of the application.	Refer to data set F003_MPL-02-A-1011  Member 1 attests to a Release from Incarceration SEP and Member 2 attests to a Permanent Move SEP.	
MTST_EDE_EZE_F003			Step 11	Call <u>Update App API</u> to store attestations and trigger related flows.  <b>Note:</b> Update App may be called at different times depending upon the EDE Entity's implementation.	Update App API call(s) return(s) "Success."		
MTST_EDE_EZE_F003			Step 12	Call <u>Submit App API</u> when user signs and submits the application.	The Submit App API call returns "Success."		
MTST_EDE_EZE_F003			Step 13	Call <u>Get App API</u> to fetch the updated eligibility results.  <b>Note:</b> The <u>Fetch Eligibility API</u> may also be used to obtain Eligibility Results.	Eligibility Results are displayed in the UI.		
MTST_EDE_EZE_F003			Step 14	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DSRS ID and the new EDN.	Consumer can download EDN from the EDE Entity's website.		
MTST_EDE_EZE_F003			Step 15	Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in the UI.	The API calls return no data. No SVI or DMI messaging is displayed in the UI.		
MTST_EDE_EZE_F003			Step 16	User completes plan shopping and the <u>Submit Enrollment API</u> is called to complete the enrollment.  <b>Note:</b> Plan shopping may occur earlier in the workflow, depending on EDE Entity implementation.	Enrollment is submitted successfully.		For both consumer pathway and agent/broker pathway testing:  1) Screenshot(s) of post-enrollment confirmation page(s).
MTST_EDE_EZE_F003			Step 17	Call <u>Get Enrollment API</u> to retrieve the enrollment details/status.	Enrollment information is displayed in the UI.  <b>Auditor Checklist Item F003 Step 17: Auditor confirms that the required enrollment data is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</b>		
MTST_EDE_EZE_F003			Step 18	Call <u>Payment Redirect API</u> to retrieve payment redirect URL and payment redirect SAML.  <b>Note:</b> If the EDE Entity is an issuer, the entity is not required to integrate with the Payment Redirect API if the entity directly hosts a payment service; the user would be routed to the issuer-hosted payment service directly in this case. If the EDE Entity is an issuer and does not offer an option for a consumer to make a binder payment online, the entity does not need to complete this step; the auditor should document this, if applicable.	Either: 1) Payment redirect occurs for the consumer in the UI, or 2) the consumer isn't given a payment redirect option if they selected a plan with an issuer that doesn't support payment redirect (in which case the Payment Redirect API response won't include a payment redirect URL).  <b>Note:</b> The payment redirect URL returned by the Payment Redirect API will be for the issuer's production environment, and payment redirect to the issuer's payment site may therefore fail from the test environment; this is an acceptable result, however note that the Payment Redirect API should still return a successful response in this scenario.		
MTST_EDE_EZE_F003			Step 19	Call <u>Update Policy API</u> to opt out of BAR.  <b>Note:</b> In production, BAR opt-out can only occur during a configurable period identified via the System Reference Data API. In the test environment, reference data is set to allow BAR opt-out all year.	Consumer is able to successfully opt out of BAR.		For both consumer pathway and agent/broker pathway testing:  1) Screenshots reflecting the location of the BAR opt-out functionality in the EDE Entity's UI and any related messaging displayed in the EDE Entity's UI.  2) Update Policy API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F004	Pick up an existing in-progress application, and remove a member	User creates a 7 member initial FA application and leaves it in-progress, then returns to the application at a later time and removes a member and submits the application. The application has a Past Loss of MEC SVI and a Pending Plan Selection (PPS).	Step 1	A consumer account is created on the EDE Entity website.  <b>Note:</b> This step is optional and may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.	<b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>  Consumer pathway testing: Consumer account is created (optional, depending on EDE Entity's implementation).  Agent/Broker pathway testing: Consumer account creation should not be required in the agent/broker workflow.		
MTST_EDE_EZE_F004			Step 2	Consumer is ID proofed.  For the consumer flow, a call is made to the RIDP/FARS API or an equivalent 3rd-party FICAM TFS approved service.  For the in-person agent/broker flow, manual ID proofing is required, but can be simulated for purposes of testing the A/B flow.  For the telephone agent/broker flow, manual ID proofing is not required. For purposes of testing, EDE Entities must simulate manual ID proofing in an in-person agent/broker flow if they have an agent/broker pathway.  <b>Note:</b> Since RIDP/FARS and 3rd-party ID proofing service test harness data will not be in sync with FFM test harness data, this step is optional for consumer pathway testing. EDE Entities are permitted to bypass ID proofing for the consumer pathway in the test environment. EDE Entities that will use the RIDP/FARS services in production however, should note that there is separate RIDP/FARS testing that must be completed through the Hub in order to get approved to use these services; the separate RIDP/FARS testing requirements can be found on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a> .	Consumer is successfully ID proofed.  If using the RIDP/FARS API, a DSH Reference ID will be successfully generated.  Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to enter the document type and document identifier used for manual ID proofing in the in-person agent/broker flow.  Agent/Broker pathway testing: <b>Auditor confirms</b> that the EDE Entity's UI requirements for manually ID proofing are in alignment with the "Acceptable Documentation for ID Proofing" document on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a> .  Please note: In Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the two "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 2a and Step 2b. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.	For RIDP/FARS testing, refer to the RIDP/FARS data available on the zONE at the following location:  <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>	
MTST_EDE_EZE_F004			Step 3	Call <u>Store ID Proofing Record API</u> to store the ID proofing information.  <b>Note:</b> This step is systematically required only for the consumer and in-person A/B flows.  <b>Note also:</b> If consumer pathway ID proofing is bypassed for testing in Step 2, the EDE Entity will still need to store ID proofing data in order to obtain permission for the application; a mock online transaction identifier can be provided in the Store ID Proofing call if the consumer pathway ID proofing was bypassed for testing.	The ID proofing record is successfully stored.		
MTST_EDE_EZE_F004			Step 4	Record consumer's permission to act on their behalf within the EDE Entity's UI.  <b>Note:</b> The location of the permission attestation may vary in the EDE Entity's UI for the consumer pathway. For the agent/broker pathway however, this attestation must be presented to the A/B on the Person Search UI page.	EDE Entity records user/consumer permissions.		
MTST_EDE_EZE_F004			Step 5	Call <u>Person Search API</u> to check if there is an existing application.  <b>Note:</b> Manual searching/claiming will occur for Agent/Broker flow and backend searching/claiming will occur for consumer flow.	No results are returned from person search call. Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer. Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page. <b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation. Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" Section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a> . Please note: In Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.		
MTST_EDE_EZE_F004			Step 6	Call <u>Create App API</u> to create an application and an application ID when user enters basic information by providing household contact information such as home/mailling address, phone number, and communication preferences.	An application ID is generated.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F004			Step 7	Call <u>Store Permission API</u> using App ID as input.	Permission is stored successfully.  <b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>		
MTST_EDE_EZE_F004			Step 8	Call <u>Add Member API</u> when user adds additional 6 members to the application.  This step is optional, depending on entity implementation. Entity can alternatively add all members during the initial Create App API call.	Members are added to the application.		
MTST_EDE_EZE_F004			Step 9	User enters application data for each member within the EDE Entity's UI (refer to data inputs column) and leaves the application in-progress after completing income section.	User is able to proceed with completion of the application up until the point the user leaves the application.	Refer to data set F004_MPL-07-AC-5158  All members attest to a Pass Lost of MEC SVI.	
MTST_EDE_EZE_F004			Step 10	Call <u>Update App API</u> to store attestations and trigger related flows.  <b>Note:</b> Update App may be called at different times depending upon the EDE Entity's implementation.	Update App API call(s) return(s) "Success."		
MTST_EDE_EZE_F004			Step 11	User logs out and then logs back into the EDE Entity's website at a later time (or closes browser and then opens new browser window, returning to EDE Entity's website).  <b>Note:</b> This step may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.	User is able to login to the account successfully.		For both consumer pathway and agent/broker pathway testing:  1) Screenshot of <i>application</i> status (not enrollment/policy status).
MTST_EDE_EZE_F004			Step 12	Call <u>Person Search API</u> to check if there is an existing application.  <b>Note:</b> Manual searching/claiming will occur for Agent/Broker flow and backend searching/auto-claiming will occur for consumer flow.	Existing in-progress application is displayed.  Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.  Agent/broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.  <b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.  Agent/broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" section of the EDE API Companion Guide available on xONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edc-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edc-documents-and-materials</a> .  Please note: In Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.		
MTST_EDE_EZE_F004			Step 13	Call <u>Get App API</u> to retrieve application details.	User is able to resume the in-progress application. Previously entered data is pre-populated in the application.		
MTST_EDE_EZE_F004			Step 14	Navigate to the Household section to remove a member previously added.	User is able to navigate the household section and is successfully able to remove a member.		
MTST_EDE_EZE_F004			Step 15	Call <u>Remove Member API</u> to remove a member from the application.	One of the members is removed successfully from the application.		For both consumer pathway and agent/broker pathway testing:  1) Remove Member API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F004			Step 16	Attest to Past Loss of MEC SVI for all remaining members.	User is able to proceed with completion of the application and is able to successfully attest to a Past Loss of MEC SVI for all remaining members.		
MTST_EDE_EZE_F004			Step 17	Call <u>Update App API</u> to store attestations and trigger related flows.  <b>Note:</b> Update App may be called at different times depending upon the EDE Entity's implementation.	Update App API call(s) return(s) "Success."		
MTST_EDE_EZE_F004			Step 18	Call <u>Submit App API</u> when user signs and submits the application.	The Submit App API call returns "Success."		
MTST_EDE_EZE_F004			Step 19	Call <u>Get App API</u> to fetch the eligibility results.  <b>Note:</b> The <u>Fetch Eligibility API</u> may also be used to obtain Eligibility Results.	Eligibility results are displayed in the UI. None of the members are determined Medicaid/CHIP eligible.		
MTST_EDE_EZE_F004			Step 20	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DSRs ID and the Eligibility Determination Notice (EDN).	Consumer can download EDN from the EDE Entity's website.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F004			Step 21	Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in the UI.	Past Loss of MEC SVI messaging is displayed in the UI. There are no DMIs on the application.		For both consumer pathway and agent/broker pathway testing:  (1) Screenshot of <u>application</u> status (not enrollment/policy status)
MTST_EDE_EZE_F004			Step 22	User completes plan shopping and the <u>Submit Enrollment API</u> is called to complete the enrollment. <b>The user elects only a portion of the available Max APTC.</b>  <b>Note:</b> Plan shopping may occur earlier in the workflow, depending on EDE Entity implementation.	Enrollment is submitted successfully.		For both consumer pathway and agent/broker pathway testing:  1) UI screenshot(s) showing the plan selection(s). Note: This is not asking for screenshots of the QHP shopping page(s) but the selected QHPs. If the EDE Entity only displays the selected QHPs within the QHP shopping page(s), then a screenshot of the QHP shopping page(s) showing the selected QHPs is sufficient.  2) UI screenshot showing the user electing only a portion of the available Max APTC.  3) Submit Enrollment API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F004			Step 23	Call <u>Get Enrollment API</u> to retrieve the enrollment information.	Pended Plan Selection information is displayed in the UI.  <b>Auditor Checklist Item F004 Step 23:</b> Auditor confirms that the required enrollment data is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a> .		
MTST_EDE_EZE_F004			Step 24	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DSRs ID and the Pended Plan Selection Clock Notice.	Consumer can download Pended Plan Selection Clock Notice from the EDE Entity's website.		For both consumer pathway and agent/broker pathway testing:  1) Copy of the Pended Plan Selection Clock Notice.  2) Screenshot of the UI that displays where a consumer can see all of their notices.  3) Metadata Search API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  4) Notice Retrieval API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F004			Step 25	Call <u>Get SVI API</u> to retrieve updated SVI information for display in the UI.	UI displays a timer for submitting supporting documents for Past Loss of MEC SVI.		For both consumer pathway and agent/broker pathway testing:  1) Get SVI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  2) UI screenshot(s) showing the display of the Loss of MEC SVI messaging as provided by the SVI API including (1) the SVI status, (2) deadline for each applicable applicant, (3) initial document upload page, and (4) any other screenshots that constitute the SVI document upload functionality.

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F005	Non-SEP CIC	User returns to an existing initial application and performs a non-SEP CIC with changes to communication preferences. User also changes elected APTC on existing Pended Plan Selection.	Step 1	<p>Log in to an existing user account from test case MTST_EDE_EZE_F004 and call <a href="#">Person Search API</a> to retrieve the existing application.</p> <p>Note: This step may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.</p> <p>Note: Manual searching/claiming will occur for Agent/Broker flow and backend searching/auto-claiming will occur for consumer flow.</p>	<p>Existing application linked to the consumer is displayed.</p> <p>Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.</p> <p><b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" Section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>		
MTST_EDE_EZE_F005			Step 2	Call <a href="#">Get App API</a> to retrieve the application details.	The application is pre-populated in the EDE Entity's UI.		
MTST_EDE_EZE_F005			Step 3	<p>Report a life change and update the communication preferences on the application. <b>Do not make any other changes.</b></p> <p>Call <a href="#">Update App API</a> to store attestations and trigger related flows.</p> <p>Note: Update App may be called at different times depending upon the EDE Entity's implementation.</p>	<p>User is successfully able to make the application update to the communication preferences.</p> <p>Update App API call(s) return(s) "Success."</p>		
MTST_EDE_EZE_F005			Step 4	Call <a href="#">Submit App API</a> when user signs and submits the application.	The Submit App API call returns "Success."		
MTST_EDE_EZE_F005			Step 5	<p>Call <a href="#">Get App API</a> to retrieve the updated eligibility results.</p> <p>Note: The <a href="#">Fetch Eligibility API</a> may also be used to obtain Eligibility Results.</p>	Eligibility Results are displayed in the UI.		
MTST_EDE_EZE_F005			Step 6	Call <a href="#">Metadata Search API</a> and <a href="#">Notice retrieval API</a> to retrieve the applicable DSRs ID and the new EDN.	Consumer can download EDN from the EDE Entity's website.		
MTST_EDE_EZE_F005			Step 7	Call <a href="#">Get DMI API</a> and <a href="#">Get SVI API</a> to retrieve and display any applicable DMI and SVI information in the UI.	<p>Past Loss of MEC SVI details are returned/displayed.</p> <p>There are no DMIs on the application.</p>		
MTST_EDE_EZE_F005			Step 8	User elects to remain in the same plan during plan shopping and the <a href="#">Submit Enrollment API</a> is called to complete the enrollment. User elects to use all of the available Max APTC.	Enrollment is submitted successfully.		For both consumer pathway and agent/broker pathway testing:
MTST_EDE_EZE_F005			Step 9	Call <a href="#">Get Enrollment API</a> to retrieve the updated enrollment details/status.	<p>Updated Pended Plan Selection information is displayed in the UI.</p> <p><b>Auditor Checklist Item F005 Step 9: Auditor confirms</b> that the required enrollment data is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p>		<p>1) UI screenshot(s) showing the plan selection(s). Note: This is for both consumer pathway and agent/broker pathway testing.</p> <p>1) UI screenshot(s) showing the <u>enrollment</u> status as pended.</p>
MTST_EDE_EZE_F006	Consumer cancels coverage and revokes permission	Consumer has an existing initial application in an EDE Entity's system. Consumer cancels their existing coverage. Consumer then revokes permission for their current EDE Entity.	Step 1	<p>Log in to an existing user account from test case MTST_EDE_EZE_F004.</p> <p>Note: This step may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.</p>	User can access the account.		
MTST_EDE_EZE_F006			Step 2	User retrieves details for the existing Past Loss of MEC SVI. A corresponding call is made to the <a href="#">Get SVI API</a> to retrieve the data.	Past Loss of MEC SVI details are returned/displayed in the EDE Entity's UI.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F006			Step 3	Call <u>Update Policy API</u> to cancel existing coverage.	<p>Existing coverage is cancelled.</p> <p><b>Auditor Checklist Item F006 Step 3:</b> Auditor confirms the EDE Entity's UI only allows the user to select a cancellation date that is equal to, or one day prior, to the coverage start date.</p>		<p>For both consumer pathway and agent/broker pathway testing:</p> <p>1) Screenshots reflecting the location of the cancellation functionality in the EDE Entity's UI, along with any related messaging displayed in the EDE Entity's UI.</p> <p>2) Update Policy API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.</p>
MTST_EDE_EZE_F006			Step 4	Call <u>Revoke Permission API</u> when user revokes permission in the EDE Entity's UI.  <b>Note:</b> This step does not need to be completed for the agent/broker pathway, and is only applicable to the consumer pathway.	The Revoke Permission API call returns "Success."		<p>For consumer pathway testing only:</p> <p>1) Revoke Permission API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.</p> <p>2) UI screenshot showing the option to revoke permission within the EDE Entity's UI.</p>
MTST_EDE_EZE_F007	Resolve SVI and DMI and subsequently terminate coverage	User creates an initial FA application for 2 members eligible for a QHP and enrolls the members in a Pended Plan Selection. The application has an Income DMI and Past Loss of MEC SVI. Both the SVI and the DMI are resolved and the Pended Plan Selection becomes a policy. Consumer subsequently terminates their existing coverage.	Step 1	<p>A consumer account is created on the EDE Entity website.</p> <p><b>Note:</b> This step is optional and may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.</p> <p><b>IMPORTANT:</b> As per the assumptions in the Test Case Overview tab, please note that each entity must change the first name, last name, date of birth, street address and email address of the applicants within the data provided when creating applications. The demographic data used must also be randomized for each test scenario (i.e. you shouldn't use the same first name, last name, etc. for each test scenario). There are a number of issues that entities may encounter if they don't randomize the demographic data, <b>including SVIs and DMIs not generating.</b></p>	<p>Consumer pathway testing: Consumer account is created (optional, depending on EDE Entity's implementation).</p> <p>Agent/Broker pathway testing: Consumer account creation should not be required in the agent/broker workflow.</p>		
MTST_EDE_EZE_F007			Step 2	<p>Consumer is ID proofed.</p> <p>For the consumer flow, a call is made to the RIDP/FARS API or an equivalent 3rd-party FICAM TFS approved service.</p> <p>For the in-person agent/broker flow, manual ID proofing is required, but can be simulated for purposes of testing the A/B flow.</p> <p>For the telephone agent/broker flow, manual ID proofing is not required. For purposes of testing, EDE Entities must simulate manual ID proofing in an in-person agent/broker flow if they have an agent/broker pathway.</p> <p><b>Note:</b> Since RIDP/FARS and 3rd-party ID proofing service test harness data will not be in sync with FFM test harness data, this step is optional for consumer pathway testing. EDE Entities are permitted to bypass ID proofing for the consumer pathway in the test environment. EDE Entities that will use the RIDP/FARS services in production however, should note that there is separate RIDP/FARS testing that must be completed through the Hub in order to get approved to use these services; the separate RIDP/FARS testing requirements can be found on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p><b>Please note:</b> In Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the two "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 2a and Step 2b. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>	<p>Consumer is successfully ID proofed.</p> <p>If using the RIDP/FARS API, a DSH Reference ID will be successfully generated.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to enter the document type and document identifier used for manual ID proofing in the in-person agent/broker flow.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> that the EDE Entity's UI requirements for manually ID proofing are in alignment with the "Acceptable Documentation for ID Proofing" document on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p>	<p>For RIDP/FARS testing, refer to the RIDP/FARS data available on the zONE at the following location: <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a></p>	
MTST_EDE_EZE_F007			Step 3	<p>Call <u>Store ID Proofing Record API</u> to store the ID proofing information.</p> <p><b>Note:</b> This step is systematically required only for the consumer and in-person A/B flows.</p> <p><b>Note also:</b> If consumer pathway ID proofing is bypassed for testing in Step 2, the EDE Entity will still need to store ID proofing data in order to obtain permission for the application; a mock online transaction identifier can be provided in the Store ID Proofing call if the consumer pathway ID proofing was bypassed for testing.</p>	The ID proofing record is successfully stored.		
MTST_EDE_EZE_F007			Step 4	<p>Record consumer's permission to act on their behalf within the EDE Entity's UI.</p> <p><b>Note:</b> The location of the permission attestation may vary in the EDE entity's UI for the consumer pathway. For the agent/broker pathway however, this attestation must be presented to the A/B on the Person Search UI page.</p>	EDE Entity records user/consumer permissions.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE _ F007			Step 5	<p>Call <a href="#">Person Search API</a> to check if there is an existing application.</p> <p><b>Note:</b> Manual searching/claiming will occur for Agent/Broker flow and backend searching/claiming will occur for consumer flow.</p>	<p>No results are returned from Person Search call.</p> <p>Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.</p> <p><b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" Section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>		
MTST_EDE_EZE _ F007			Step 6	<p>Call <a href="#">Create App API</a> to create an application and an Application ID when user enters basic information by providing household contact information such as home/mailing address, phone number, and communication preferences.</p>	An Application ID is generated.		
MTST_EDE_EZE _ F007			Step 7	<p>Call <a href="#">Store Permission API</a> using App ID as input.</p>	Permission is stored successfully.		
MTST_EDE_EZE _ F007			Step 8	<p>Call <a href="#">Add Member API</a> when user adds additional members to the application.</p> <p>This step is optional, depending on entity implementation. Entity can alternatively add all members during the initial Create App API call.</p>	Members are added to the application.		
MTST_EDE_EZE _ F007			Step 9	<p>User enters application data for each member within the EDE Entity's UI and provides an income of \$47000 per year to generate Income DMI.</p> <p>Refer to data in the "Data Inputs" column.</p> <p>EDE Entity may need to make the child age 19-25 for this scenario, to prevent a Medicaid/CHIP eligibility determination.</p>	User is able to proceed with completion of the application.	Refer to data set F007_MPL-02-Q-4006	Member 1 attests to a Past Loss of MEC SVI
MTST_EDE_EZE _ F007			Step 10	<p>Call <a href="#">Update App API</a> to store attestations and trigger related flows.</p> <p><b>Note:</b> Update App may be called at different times depending upon the EDE Entity's implementation.</p>	Update App API call(s) return(s) "Success."		
MTST_EDE_EZE _ F007			Step 11	<p>Call <a href="#">Submit App API</a> when user signs and submits the application.</p>	The Submit App API call returns "Success."		
MTST_EDE_EZE _ F007			Step 12	<p>Call <a href="#">Get App API</a> to fetch the eligibility results.</p> <p><b>Note:</b> The <a href="#">Fetch Eligibility API</a> may also be used to obtain Eligibility Results.</p>	Eligibility Results are displayed in the UI.		
MTST_EDE_EZE _ F007			Step 13	<p>Call <a href="#">Metadata Search API</a> and <a href="#">Notice retrieval API</a> to retrieve the applicable DSRs ID and the Eligibility Determination Notice (EDN).</p>	Consumer can download EDN from the EDE Entity's website.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F007			Step 14	Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in the UI.	Past Loss of MEC SVI and Income DMI messaging are displayed in the UI.  <b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>		For both consumer pathway and agent/broker pathway testing:  1) Get DMI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  2) Get SVI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  3) UI screenshot showing the display of the Income DMI messaging, as provided by the DMI API including (1) the DMI status, (2) deadline for each applicable applicant, (3) initial document upload page, and (4) any other screenshots that constitute the DMI document upload functionality (note: this required evidence is similar to Requirement 2 in the Communications Toolkit).  4) UI screenshot showing the display of the Loss of MEC SVI messaging. The Entity must provide evidence that the UI displays the SVI, status, and deadline from the Get SVI API response for each applicable applicant.
MTST_EDE_EZE_F007			Step 15	User completes plan shopping and the <u>Submit Enrollment API</u> is called to complete the enrollment.  <b>Note: Plan shopping may occur earlier in the workflow, depending on EDE Entity implementation.</b>	Enrollment transaction is completed successfully and UI reflects that the plan selection is pending.		
MTST_EDE_EZE_F007			Step 16	Call <u>Get Enrollment API</u> to retrieve and display enrollment details.	Pending Plan Selection information is displayed in UI.  <b>Auditor Checklist Item F007 Step 16: Auditor confirms that the required Pending Plan Selection Information is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</b>		For both consumer pathway and agent/broker pathway testing:  1) UI screenshot showing the Pending Plan Selection information displayed in the UI (i.e., plan status [pending] and the associated data: QHP ID, Plan Name, Start Date, End Date, Total Premium, Applied APTC, Individual Responsibility Amount, and Enrollees).  2) Get Enrollment API request and response.
MTST_EDE_EZE_F007			Step 17	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DSRIS ID and the Pending Plan Selection Clock Notice.	Consumer can download Pending Plan Selection Clock Notice from the EDE Entity's website.		
MTST_EDE_EZE_F007			Step 18	Call <u>Document Upload API</u> to upload supporting documents for Income DMI and Past Loss of MEC SVI. For purposes of testing, the documents can be "mock" documents.	User is able to successfully upload supporting documentation.  <b>Auditor Checklist Item F007 Step 18: Auditor confirms that the Document Upload API request includes the required metadata in accordance with guidance in Section 8.2 Uploading a Document of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</b>		For both consumer pathway and agent/broker pathway testing:  1) UI screenshot showing the document upload option in the UI.  2) Document Upload API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F007			Step 19	ISAVE resolves the Past Loss of MEC SVI and Income DMI.  <b>Note: This requires the EDE Entity to submit an adjudication request to <a href="mailto:issuerassistancetesting@bah.com">issuerassistancetesting@bah.com</a>, following the separate adjudication instructions on the Adjudication Instructions tab. Note that DMI and SVI adjudication will generally occur within 24-48 business hours (during weekdays) of submission.</b>	The SVI and DMI are resolved successfully and the Pending Plan Selection is released.		
MTST_EDE_EZE_F007			Step 20	Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in the UI.	Past Loss of MEC SVI status reflects that it has been resolved in the EDE Entity's UI. Income DMI status reflects that it has been resolved in the EDE Entity's UI.		For both consumer pathway and agent/broker pathway testing:  1) Get DMI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  2) Get SVI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  3) UI screenshot showing the display of the Income DMI messaging. The Entity must provide evidence that the UI displays the DMI, status, and deadline from the Get DMI API response.  4) UI screenshot showing the display of the Loss of MEC SVI messaging. The Entity must provide evidence that the UI displays the SVI, status, and deadline from the Get SVI API response for each applicable applicant.



Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F007			Step 21	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DSRs IDs, as well as both the SVI Resolution notice and the DMI Resolution notice.	Consumer can download an SVI Resolution notice and a DMI Resolution notice from the EDE Entity's website.  <b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>		For both consumer pathway and agent/broker pathway testing:  1) Copy of the SVI Resolution Notice.  2) Copy of the DMI Resolution Notice.  3) Screenshot of the UI that displays where a consumer can see all of their notices.  4) Metadata Search API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  5) Notice Retrieval API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F007			Step 22	Call <u>Get Enrollment API</u> to retrieve the updated enrollment status.	EDE Entity's UI reflect the updated enrollment status (Pended Plan Selection is now a policy).  <b>Auditor Checklist Item F007 Step 22: Auditor confirms that the required Policy Information is displayed in the UI in accordance with guidance in Section 9.1.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</b>		For both consumer pathway and agent/broker pathway testing:  1) UI screenshot showing the enrollment information displayed in the UI (i.e., the enrollment displays in the UI with the updated enrollment status and the UI includes data associated with the policy [i.e. CHP ID, Plan Name, Start Date, End Date, Total Premium, Applied APTC, Individual Responsibility Amount, and Enrollees]).  2) Get Enrollment API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F007			Step 23	Verify that the following <u>Event-Based Processing API</u> events have been received, and that the corresponding emails have been generated for the consumer:  1) Application submitted event. 2) DMI created event.  <b>Note:</b> The Event-Based Processing API is required for DMIs and optional for SVIs.  <b>Note:</b> The Get App API may be used to retrieve any additional metadata that is necessary for email communication (i.e. consumer's name, email address, preferred written language, etc.).	1) Application submitted event received, and corresponding email is generated and sent to the consumer. 2) DMI created event received, and corresponding email is generated and sent to the consumer.		For both consumer pathway and agent/broker pathway testing (if applicable, based on notes in Column E):  1) Event-Based Processing API request(s) and response(s), which must be raw/unmodified and include the complete header and body for each required API request and response.  2) Copies of the generated emails.
MTST_EDE_EZE_F007			Step 24	Call <u>Update Policy API</u> to terminate existing coverage, effective 12/31 of the applicable coverage year.	Existing coverage is terminated, effective 12/31 of the applicable coverage year.  <b>Auditor Checklist Item F007 Step 24: Auditor confirms the EDE Entity's UI only allows the user to select a termination date that is the current or a prospective date, and a date that is equal to or prior to 12/31 of the applicable coverage year.</b>		For both consumer pathway and agent/broker pathway testing:  1) Screenshots reflecting the location of the termination functionality in the EDE Entity's UI, along with any related messaging displayed in the EDE Entity's UI (including the updated display of the terminated enrollment status and end date).
MTST_EDE_EZE_F007			Step 25	Call <u>Update Policy API</u> to terminate existing coverage, effective any date prior to 12/31 of the applicable coverage year.	Existing coverage is terminated, effective a date prior to 12/31 of the applicable coverage year.  <b>Auditor Checklist Item F007 Step 25: Auditor confirms the EDE Entity's UI only allows the user to select a termination date that is the current or a prospective date, and a date that is equal to or prior to 12/30 of the applicable coverage year.</b>		For both consumer pathway and agent/broker pathway testing:  1) Screenshots reflecting the location of the termination functionality in the EDE Entity's UI, along with any related messaging displayed in the EDE Entity's UI (including the updated display of the terminated enrollment status and end date).  2) Update Policy API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F008	Initial non-FA application with each individual member enrolling in a different plan, and subsequent CIC application/enrollment subject to Plan Category Limitations.	User submits an initial non-FA application for 3 members. The application has no SVI and no DMIs. Each individual member is enrolled into a different plan. User then reports a CIC on a subsequent day and adds a newly adopted child; during enrollment the initial 3 members are restricted to existing plan selection, and only the new member can select from all available plans in the EDE Entity's UI.	Step 1	A consumer account is created on the EDE Entity's website.  <b>Note:</b> This step is optional and may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.	Consumer pathway testing: Consumer account is created (optional, depending on EDE Entity's implementation).  Agent/Broker pathway testing: Consumer account creation should not be required in the agent/broker workflow.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F008			Step 2	<p>Consumer is ID proofed.</p> <p>For the consumer flow, a call is made to the RIDP/FARS API or an equivalent 3rd-party FICAM TFS approved service.</p> <p>For the in-person agent/broker flow, manual ID proofing is required, but can be simulated for purposes of testing the A/B flow.</p> <p>For the telephone agent/broker flow, manual ID proofing is not required. For purposes of testing, EDE Entities must simulate manual ID proofing in an in-person agent/broker flow if they have an agent/broker pathway.</p> <p><b>Note:</b> Since RIDP/FARS and 3rd-party ID proofing service test harness data will not be in sync with FFM test harness data, this step is optional for consumer pathway testing. EDE Entities are permitted to bypass ID proofing for the consumer pathway in the test environment. EDE Entities that will use the RIDP/FARS services in production however, should note that there is separate RIDP/FARS testing that must be completed through the Hub in order to get approved to use these services; the separate RIDP/FARS testing requirements can be found on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p>	<p>Consumer is successfully ID proofed.</p> <p>If using the RIDP/FARS API, a DSH Reference ID will be successfully generated.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to enter the document type and document identifier used for manual ID proofing in the in-person agent/broker flow.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> that the EDE Entity's UI requirements for manually ID proofing are in alignment with the "Acceptable Documentation for ID Proofing" document on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the two "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 2a and Step 2b. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>	<p>For RIDP/FARS testing, refer to the RIDP/FARS data available on the zONE at the following location:</p> <p><a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a></p>	
MTST_EDE_EZE_F008			Step 3	<p>Call <u>Store ID Proofing Record API</u> to store the ID proofing information.</p> <p><b>Note:</b> This step is systematically required only for the consumer and in-person A/B flows.</p> <p><b>Note also:</b> If consumer pathway ID proofing is bypassed for testing in Step 2, the EDE Entity will still need to store ID proofing data in order to obtain permission for the application; a mock online transaction identifier can be provided in the Store ID Proofing call if the consumer pathway ID proofing was bypassed for testing.</p>	<p>The ID proofing record is successfully stored.</p>		
MTST_EDE_EZE_F008			Step 4	<p>Record consumer's permission to act on their behalf within the EDE Entity's UI.</p> <p><b>Note:</b> The location of the permission attestation may vary in the EDE Entity's UI for the consumer pathway. For the agent/broker pathway however, this attestation must be presented to the A/B on the Person Search UI page.</p>	<p>EDE Entity records user/consumer permissions.</p>		
MTST_EDE_EZE_F008			Step 5	<p>Call <u>Person Search API</u> to check if there is an existing application.</p> <p><b>Note:</b> Manual searching/claiming will occur for the Agent/Broker flow and backend searching/claiming will occur for consumer flow.</p>	<p>No results are returned from Person Search call.</p> <p>Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.</p> <p><b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" Section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>		
MTST_EDE_EZE_F008			Step 6	<p>Call <u>Create App API</u> to create an application and an Application ID, after user enters basic information by providing household contact information, such as home/mailling address, phone number, and communication preferences.</p>	<p>An Application ID is generated.</p>		
MTST_EDE_EZE_F008			Step 7	<p>Call <u>Store Permission API</u> using App ID as input.</p>	<p>Permission is stored successfully.</p>		
MTST_EDE_EZE_F008			Step 8	<p>Call <u>Add Member API</u> when user adds additional members to the application.</p> <p>This step is optional, depending on entity implementation. Entities can alternatively add both members during the initial Create App API call.</p>	<p>Members added to the application.</p>		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F008			Step 9	User enters application data for each member within the EDE Entity's UI.  Refer to details in the "Data Inputs" column.	User is able to proceed with completion of the application.  <b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>	Refer to data set F008_MPL-04-Q-4010  <b>Member 3 (newborn child) must be exactly 60 days old, which will result in a Newborn SEP. Note, if the child's date of birth does not meet this requirement, the expected outcome of the test scenario may not be achieved.</b>  <b>Application data should only be entered for Members 1, 2, and 3. Application data for Member 4 should not be entered until Step 20.</b>	
MTST_EDE_EZE_F008			Step 10	Call <u>Update App API</u> to store attestations and trigger related flows.  <b>Note:</b> Update App may be called at different times depending upon the EDE Entity's implementation.	Update App API call(s) return(s) "Success."		
MTST_EDE_EZE_F008			Step 11	Call <u>Submit App API</u> when user signs and submits the application.	The Submit App API call returns "Success."		
MTST_EDE_EZE_F008			Step 12	Call <u>Get App API</u> to retrieve the eligibility results.  <b>Note:</b> The <u>Fetch Eligibility API</u> may also be used to obtain Eligibility Results.	Eligibility Results are displayed in the UI.		
MTST_EDE_EZE_F008			Step 13	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DSR ID and the Eligibility Determination Notice (EDN).	Consumer can download EDN from the EDE Entity's website.		
MTST_EDE_EZE_F008			Step 14	Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in the UI.	The API calls return no data. No SVI or DMI messaging is displayed in the UI.		
MTST_EDE_EZE_F008			Step 15	User completes plan shopping and the <u>Submit Enrollment API</u> is called to complete the enrollment. Each member of the household enrolls into a different plan.  <b>Note:</b> Plan shopping may occur earlier in the workflow, depending on EDE Entity implementation.	Enrollment is submitted successfully.		For both consumer pathway and agent/broker pathway testing:  1) UI screenshot(s) showing the plan selections. Note: This is not asking for screenshots of the QHP shopping page(s) but the selected QHPs. If the EDE Entity only displays the selected QHPs within the QHP shopping page(s), then a screenshot of the QHP shopping page(s) showing the selected QHPs is sufficient.  2) Submit Enrollment API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  3) UI Screenshot(s) showing all post-enrollment pages.
MTST_EDE_EZE_F008			Step 16	Call <u>Get Enrollment API</u> to retrieve enrollment details/status.	Enrollment information is displayed in the UI. UI reflects 3 separate enrollment groups, with each member being enrolled in a different plan.  <b>Auditor Checklist Item F008 Step 16: Auditor confirms that the required enrollment data is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</b>		For both consumer pathway and agent/broker pathway testing:  1) UI screenshot showing the enrollment information displayed in the UI (i.e., plan status and the associated data: QHP ID, Plan Name, Start Date, End Date, Total Premium, Applied APTC, Individual Responsibility Amount, and Enrollees).  2) UI screenshot showing instructions to the consumer on how to make any necessary updates to their FFE application, including reporting CICs or supporting consumers during SEPs outside of the OEP.  3) Get Enrollment API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F008			Step 17	User logs out of the EDE Entity's website (or closes browser window).  <b>Note: The remaining steps must be completed on a subsequent day. If the EDE Entity attempts to complete the remaining steps the same day, the expected results will not be achieved.</b>	User successfully logs out of the EDE Entity's website (or closes the browser window).		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F008			Step 18	<p>User returns to the existing account to report a CIC.</p> <p>Log in (if applicable) to an existing user account from test case MTST_EDE_EZE_F001 and call <a href="#">Person Search API</a> to retrieve the linked application.</p> <p><b>Note:</b> This step may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.</p> <p><b>Note:</b> Manual searching/claiming will occur for the Agent/Broker flow and backend searching/claiming will occur for consumer flow.</p>	<p>Existing application linked to the consumer is displayed.</p> <p>Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.</p> <p><b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" Section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>		
MTST_EDE_EZE_F008			Step 19	<p>Call <a href="#">Get App API</a> to retrieve the application details.</p>	Consumer is presented with the pre-populated application.		
MTST_EDE_EZE_F008			Step 20	<p>User reports a life change to add a newly adopted child. Call <a href="#">Add Member API</a> when user adds adopted child (Member 4) to the application.</p>	Member 4 (adopted child) is added to the application.	Refer to data set F008_MPL-04-Q-4010	User attests to Adoption SEP for Member 4.
MTST_EDE_EZE_F008			Step 21	<p>User proceed with completion of the application. User attests to Member 4 being newly adopted in the last 60 days. No other changes are made to the application. Call <a href="#">Update App API</a> to store attestations and trigger related flows.</p> <p><b>Note:</b> Update App may be called at different times depending upon the EDE Entity's implementation.</p>	User is able to proceed with completion of the application.		
MTST_EDE_EZE_F008			Step 22	<p>Call <a href="#">Submit App API</a> when user signs and submits the application.</p>	The Submit App API call returns "Success."		
MTST_EDE_EZE_F008			Step 23	<p>Call <a href="#">Get App API</a> to retrieve the eligibility results.</p> <p><b>Note:</b> The <a href="#">Fetch Eligibility API</a> may also be used to obtain Eligibility Results.</p>	Eligibility Results are displayed in the UI.		
MTST_EDE_EZE_F008			Step 24	<p>Call <a href="#">Metadata Search API</a> and <a href="#">Notice retrieval API</a> to retrieve the applicable DSRs ID and the new EDN.</p>	Consumer can download EDN from the EDE Entity's website.		
MTST_EDE_EZE_F008			Step 25	<p>Call <a href="#">Get DMI API</a> and <a href="#">Get SVI API</a> to retrieve and display any applicable DMI and SVI information in the UI.</p>	The API calls return no data. No SVI or DMI messaging is displayed in the UI.		
MTST_EDE_EZE_F008			Step 26	<p>User completes plan shopping and the <a href="#">Submit Enrollment API</a> is called to complete the enrollment. During plan shopping, EDE Entity's UI reflects that Members 1, 2, and 3 are restricted to their existing plans in their separate enrollment groups; EDE Entity's UI reflects that Member 4 can select from any available plan. Member 4 either selects their own plan, or chooses to enroll with one of the existing enrollment groups (given QHP business rules allow such).</p> <p><b>Note:</b> Plan shopping may occur earlier in the workflow, depending on EDE Entity implementation.</p> <p><b>Note also:</b> Plan Category Limitations guidance can be found in the DE API Specs available on zONE at <a href="https://zone.cms.gov/document/direct-enrollment-de-documents-and-materials">https://zone.cms.gov/document/direct-enrollment-de-documents-and-materials</a>.</p>	<p>During plan shopping, EDE Entity's UI reflects that Members 1, 2, and 3 are restricted to their existing plans in their separate enrollment groups; EDE Entity's UI reflects that Member 4 can select from any available plan.</p> <p>Enrollment is submitted successfully.</p>		<p>For both consumer pathway and agent/broker pathway testing:</p> <p>1) UI screenshot(s) showing the plan selections for all members. Note: This is not asking for screenshots of the QHP shopping page(s) but the selected QHPs. If the EDE Entity only displays the selected QHPs within the QHP shopping page(s), then a screenshot of the QHP shopping page(s) showing the selected QHPs is sufficient.</p> <p>2) UI screenshot(s) showing that Members 1, 2, and 3 are restricted to their existing plans in their separate enrollment groups while Member 4 can select from any plan.</p> <p>3) Submit Enrollment API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.</p>
MTST_EDE_EZE_F008			Step 27	<p>Call <a href="#">Get Enrollment API</a> to retrieve the updated enrollment details/status.</p>	Updated enrollment information is displayed in the UI.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F009	Non-FA application with SVI. PPS is cancelled when SVI is expired.	User submits an initial non-FA application for 3 members and selects plans. The application has an SVI and no DMI. The SVI is expired and Pended Plan Selections are cancelled.	Step 1	<p>A consumer account is created on the EDE Entity website.</p> <p><b>Note:</b> This step is optional and may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.</p> <p><b>IMPORTANT:</b> As per the assumptions on the Test Case Overview tab, please note that each entity must change the first name, last name, date of birth, street address and email address of the applicants within the data provided when creating applications. The demographic data used must also be randomized for each test scenario (i.e. you shouldn't use the same first name, last name, etc. for each test scenario). There are a number of issues that entities may encounter if they don't randomize the demographic data, <b>including SVIs and DMIs not generating.</b></p>	<p>Consumer pathway testing: Consumer account is created (optional, depending on EDE Entity's implementation).</p> <p>Agent/Broker pathway testing: Consumer account creation should not be required in the agent/broker workflow.</p>		
MTST_EDE_EZE_F009			Step 2	<p>Consumer is ID proofed.</p> <p>For the consumer flow, a call is made to the RIDP/FARS API or an equivalent 3rd-party FICAM TFS approved service.</p> <p>For the in-person agent/broker flow, manual ID proofing is required, but can be simulated for purposes of testing the A/B flow.</p> <p>For the telephone agent/broker flow, manual ID proofing is not required. For purposes of testing, EDE Entities must simulate manual ID proofing in an in-person agent/broker flow if they have an agent/broker pathway.</p> <p><b>Note:</b> Since RIDP/FARS and 3rd-party ID proofing service test harness data will not be in sync with FFM test harness data, this step is optional for consumer pathway testing. EDE Entities are permitted to bypass ID proofing for the consumer pathway in the test environment. EDE Entities that will use the RIDP/FARS services in production however, should note that there is separate RIDP/FARS testing that must be completed through the Hub in order to get approved to use these services; the separate RIDP/FARS testing requirements can be found on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p>	<p>Consumer is successfully ID proofed.</p> <p>If using the RIDP/FARS API, a DSH Reference ID will be successfully generated.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to enter the document type and document identifier used for manual ID proofing in the in-person agent/broker flow.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> that the EDE Entity's UI requirements for manually ID proofing are in alignment with the "Acceptable Documentation for ID Proofing" document on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the two "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 2a and Step 2b. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>	<p>For RIDP/FARS testing, refer to the RIDP/FARS data available on the zONE at the following location: <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a></p>	
MTST_EDE_EZE_F009			Step 3	<p>Call <b>Store ID Proofing Record API</b> to store the ID proofing information.</p> <p><b>Note:</b> This step is systematically required only for the consumer and in-person A/B flows.</p> <p><b>Note also:</b> If consumer pathway ID proofing is bypassed for testing in Step 2, the EDE Entity will still need to store ID proofing data in order to obtain permission for the application; a mock online transaction identifier can be provided in the Store ID Proofing call if the consumer pathway ID proofing was bypassed for testing.</p>	The ID proofing record is successfully stored.		
MTST_EDE_EZE_F009			Step 4	<p>Record consumer's permission to act on their behalf within the EDE Entity's UI.</p> <p><b>Note:</b> The location of the permission attestation may vary in the EDE Entity's UI for the consumer pathway. For the agent/broker pathway however, this attestation must be presented to the A/B on the Person Search UI page.</p>	EDE Entity records user/consumer permissions.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F009			Step 5	<p>Call <u>Person Search API</u> to check if there is an existing application.</p> <p><b>Note:</b> Manual searching/claiming will occur for Agent/Broker flow and backend searching/claiming will occur for consumer flow.</p>	<p>No results are returned from Person Search call.</p> <p>Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.</p> <p><b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" Section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>		
MTST_EDE_EZE_F009			Step 6	<p>Call <u>Create App API</u> to create an application and an Application ID when user enters basic information by providing household contact information such as home/mailling address, phone number, and communication preferences.</p>	An Application ID is generated.		
MTST_EDE_EZE_F009			Step 7	<p>Call <u>Store Permission API</u> using App ID as input.</p>	Permission is stored successfully.		
MTST_EDE_EZE_F009			Step 8	<p>Call <u>Add Member API</u> when user adds additional members to the application.</p> <p>This step is optional, depending on entity implementation. Entity can alternatively add all members during the initial Create App API call.</p>	Members are added to the application.		
MTST_EDE_EZE_F009			Step 9	<p>User chooses a non-FA flow and enters application data for each member within the EDE Entity's UI and attests to a Past Loss of MEC SEP for the primary member. (Refer to data in the "Data Inputs" column).</p>	User is able to proceed with completion of the application.	Refer to data set F009_MPL-03-A-5019	Member 1 attests to a Past Loss of MEC SEP
MTST_EDE_EZE_F009			Step 10	<p>Call <u>Update App API</u> to store attestations and trigger related flows.</p> <p><b>Note:</b> Update App may be called at different times depending upon the EDE Entity's implementation.</p>	Update App API call(s) return(s) "Success."		
MTST_EDE_EZE_F009			Step 11	<p>Call <u>Submit App API</u> when user signs and submits the application.</p>	The Submit App API call returns "Success."		
MTST_EDE_EZE_F009			Step 12	<p>Call <u>Get App API</u> to fetch the eligibility results.</p> <p><b>Note:</b> The <u>Fetch Eligibility API</u> may also be used to obtain Eligibility Results.</p>	Eligibility Results are displayed in the UI.		
MTST_EDE_EZE_F009			Step 13	<p>Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DSRs ID and retrieve the Eligibility Determination Notice (EDN).</p>	Consumer can download EDN from the EDE Entity's website.		
MTST_EDE_EZE_F009			Step 14	<p>Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in the UI.</p>	Past Loss of MEC SVI is displayed in the UI. There is no DMI on the application.		<p>For both consumer pathway and agent/broker pathway testing:</p> <p>1) Get DMI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.</p> <p>2) Get SVI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.</p> <p>3) UI screenshot showing the display of the Past Loss of MEC SVI messaging. The Entity must provide evidence that the UI displays the SVI, status, and deadline from the Get SVI API response for each applicable applicant.</p>
MTST_EDE_EZE_F009			Step 15	<p>User completes plan shopping and the <u>Submit Enrollment API</u> is called to complete the enrollment.</p> <p><b>Note:</b> Plan shopping may occur earlier in the workflow, depending on EDE Entity implementation.</p>	Enrollment transaction is completed successfully and UI reflects that the plan selection is pending.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F009			Step 16	Call <u>Get Enrollment API</u> to retrieve and display enrollment details.	<p>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</p> <p>Pended Plan Selection information is displayed in the UI.</p> <p><b>Auditor Checklist Item F009 Step 16: Auditor confirms that the required enrollment data is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</b></p>		
MTST_EDE_EZE_F009			Step 17	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DSR ID and the Pended Plan Selection Clock Notice.	Consumer can download Pended Plan Selection Clock Notice from the EDE Entity's website.		
MTST_EDE_EZE_F009			Step 18	<p>No supporting documents are uploaded for the Past Loss of MEC SVI and the timer expires. ISAVE runs the Expire SVI API for the Past Loss of MEC SVI.</p> <p>Note: This requires the EDE Entity to submit an adjudication request to <a href="mailto:issuerassistancetesting@bah.com">issuerassistancetesting@bah.com</a>, following the separate adjudication instructions on the, "Adjudication Instructions," tab. Note, DMI and SVI adjudication will generally occur within 24-48 business hours (during weekdays) of submission.</p>	The Past Loss of MEC SVI is expired and Pended Plan Selections are cancelled.		
MTST_EDE_EZE_F009			Step 19	Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in the UI.	Past Loss of MEC SVI status reflects the SVI is expired. There is no DMI on the application.		<p>For both consumer pathway and agent/broker pathway testing:</p> <ol style="list-style-type: none"> <li>1) Get DMI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.</li> <li>2) Get SVI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.</li> <li>3) UI screenshot showing the display of the Past Loss of MEC SVI messaging. The Entity must provide evidence that the UI displays the SVI, status, and deadline from the Get SVI API response for each applicable applicant.</li> </ol>
MTST_EDE_EZE_F009			Step 20	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DSR ID and the SVI Expiration Notice.	Consumer can download the SVI Expiration Notice from the EDE Entity's website.		<p>For both consumer pathway and agent/broker pathway testing:</p> <ol style="list-style-type: none"> <li>1) Copy of the SVI Expiration Notice.</li> <li>2) Screenshot of the UI that displays where a consumer can see all of their notices.</li> <li>3) Metadata Search API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.</li> <li>4) Notice Retrieval API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.</li> </ol>
MTST_EDE_EZE_F009			Step 21	Call <u>Get Enrollment API</u> to retrieve the updated enrollment status.	Enrollment status reflects that any plans have been cancelled.		<p>For both consumer pathway and agent/broker pathway testing:</p> <ol style="list-style-type: none"> <li>1) UI screenshot showing any plans that have been cancelled (i.e., the screenshot must demonstrate the UI displays an enrollment status (i.e. cancelled) for any plans that have been cancelled).</li> <li>2) Get Enrollment API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.</li> </ol>
MTST_EDE_EZE_F010	Non-FA application with an SSN DMI and Citizenship DMI. There is no SVI on the application. The Citizenship DMI is expired.	User creates an initial 2-member non-FA application eligible for QHP. The primary member has an SSN DMI and a Citizenship DMI. There is no SVI on the application. When the Citizenship DMI is expired the primary member becomes ineligible for a QHP and the second member is re-enrolled into the existing plan.	Step 1	<p>A consumer account is created on the EDE Entity website.</p> <p>Note: This step is optional and may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.</p> <p><b>IMPORTANT:</b> As per the assumptions on the Test Case Overview tab, please note that each entity must change the first name, last name, date of birth, street address and email address of the applicants within the data provided when creating applications. The demographic data used must also be randomized for each test scenario (i.e. you shouldn't use the same first name, last name, etc. for each test scenario). There are a number of issues that entities may encounter if they don't randomize the demographic data, <b>including SVIs and DMIs not generating.</b></p>	<p>Consumer pathway testing: Consumer account is created (optional, depending on EDE Entity's implementation).</p> <p>Agent/Broker pathway testing: Consumer account creation should not be required in the agent/broker workflow.</p>		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F010			Step 2	<p>Consumer is ID proofed.</p> <p>For the consumer flow, a call is made to the RIDP/FARS API or an equivalent 3rd-party FICAM TFS approved service.</p> <p>For the in-person agent/broker flow, manual ID proofing is required, but can be simulated for purposes of testing the A/B flow.</p> <p>For the telephone agent/broker flow, manual ID proofing is not required. For purposes of testing, EDE Entities must simulate manual ID proofing in an in-person agent/broker flow if they have an agent/broker pathway.</p> <p><b>Note:</b> Since RIDP/FARS and 3rd-party ID proofing service test harness data will not be in sync with FFM test harness data, this step is optional for consumer pathway testing. EDE Entities are permitted to bypass ID proofing for the consumer pathway in the test environment. EDE Entities that will use the RIDP/FARS services in production however, should note that there is separate RIDP/FARS testing that must be completed through the Hub in order to get approved to use these services; the separate RIDP/FARS testing requirements can be found on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p>	<p>Consumer is successfully ID proofed.</p> <p>If using the RIDP/FARS API, a DSH Reference ID will be successfully generated.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to enter the document type and document identifier used for manual ID proofing in the in-person agent/broker flow.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> that the EDE Entity's UI requirements for manually ID proofing are in alignment with the "Acceptable Documentation for ID Proofing" document on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the two "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 2a and Step 2b. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>	<p>For RIDP/FARS testing, refer to the RIDP/FARS data available on the zONE at the following location:</p> <p><a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a></p>	
MTST_EDE_EZE_F010			Step 3	<p>Call <u>Store ID Proofing Record API</u> to store the ID proofing information.</p> <p><b>Note:</b> This step is systematically required only for the consumer and in-person A/B flows.</p> <p><b>Note also:</b> If consumer pathway ID proofing is bypassed for testing in Step 2, the EDE Entity will still need to store ID proofing data in order to obtain permission for the application; a mock online transaction identifier can be provided in the Store ID Proofing call if the consumer pathway ID proofing was bypassed for testing.</p>	<p>The ID proofing record is successfully stored.</p>		
MTST_EDE_EZE_F010			Step 4	<p>Record consumer's permission to act on their behalf within the EDE Entity's UI.</p> <p><b>Note:</b> The location of the permission attestation may vary in the EDE Entity's UI for the consumer pathway. For the agent/broker pathway however, this attestation must be presented to the A/B on the Person Search UI page.</p>	<p>EDE Entity records user/consumer permissions.</p>		
MTST_EDE_EZE_F010			Step 5	<p>Call <u>Person Search API</u> to check if there is an existing application.</p> <p><b>Note:</b> Manual searching/claiming will occur for Agent/Broker flow and backend searching/claiming will occur for consumer flow.</p>	<p>No results are returned from Person Search call.</p> <p>Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.</p> <p><b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" Section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>		
MTST_EDE_EZE_F010			Step 6	<p>Call <u>Create App API</u> to create an application and an Application ID when user enters basic information by providing household contact information such as home/mailling address, phone number, and communication preferences.</p>	<p>An Application ID is generated.</p>		
MTST_EDE_EZE_F010			Step 7	<p>Call <u>Store Permission API</u> using App ID as input.</p>	<p>Permission is stored successfully.</p>		
MTST_EDE_EZE_F010			Step 8	<p>Call <u>Add Member API</u> when user adds additional members to the application.</p> <p>This step is optional, depending on entity implementation. Entity can alternatively add all members during the initial Create App API call.</p>	<p>Members are added to the application.</p>		



Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F010			Step 9	User chooses a non-FA flow and enters application data for each member within the EDE Entity's UI and attests to a Release from Incarceration SEP for the second member. The primary applicant has an SSN DMI and a Citizenship DMI.  Refer to data in the "Data Inputs" column.	User is able to proceed with completion of the application.  <b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>	Refer to data set F010-TCS-128  Member 2 attests to a Release from Incarceration SEP.	
MTST_EDE_EZE_F010			Step 10	Call <u>Update App API</u> to store attestations and trigger related flows.  <b>Note:</b> Update App may be called at different times depending upon the EDE Entity's implementation.	Update App API call(s) return(s) "Success."		
MTST_EDE_EZE_F010			Step 11	Call <u>Submit App API</u> when user signs and submits the application.	The Submit App API call returns "Success."		
MTST_EDE_EZE_F010			Step 12	Call <u>Get App API</u> to fetch the eligibility results.  <b>Note:</b> The <u>Fetch Eligibility API</u> may also be used to obtain Eligibility Results.	Eligibility Results are displayed in the UI.		
MTST_EDE_EZE_F010			Step 13	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DRSR ID and the Eligibility Determination Notice (EDN).	Consumer can download the EDN from the EDE Entity's website.		
MTST_EDE_EZE_F010			Step 14	Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in the UI.	SSN and Citizenship DMIs are displayed in the UI. There is no SVI on the application.		For both consumer pathway and agent/broker pathway testing:  1) Get DMI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  2) Get SVI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  3) UI screenshot showing the display of the SSN DMI and Citizenship DMI messaging. The Entity must provide evidence that the UI displays the DMI, status, and deadline from the Get DMI API response.
MTST_EDE_EZE_F010			Step 15	User completes plan shopping and the <u>Submit Enrollment API</u> is called to complete the enrollment. The applicants enroll together in the same plan.  <b>Note:</b> Plan shopping may occur earlier in the workflow, depending on EDE Entity implementation.	Enrollment is submitted successfully.		
MTST_EDE_EZE_F010			Step 16	Call <u>Get Enrollment API</u> to retrieve and display enrollment details.	Plan information is displayed in the UI.  <b>Auditor Checklist Item F010 Step 16: Auditor confirms that the required enrollment data is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</b>		
MTST_EDE_EZE_F010			Step 17	No supporting documents are uploaded for the Citizenship DMI and the timer expires. ISAVE expires the Citizenship DMI which results in the eligibility being redetermined and the enrollment being updated.  <b>Note:</b> This requires the EDE Entity to submit an adjudication request to issuerassistance@bah.com, following the separate adjudication instructions on the, "Adjudication Instructions," tab. Note, DMI and SVI adjudication will generally occur within 24-48 business hours (during weekdays) of submission.	The Citizenship DMI is expired and the primary applicant is ineligible for QHP.  Eligibility is redetermined and only the second member is re-enrolled in the same plan.		
MTST_EDE_EZE_F010			Step 18	Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in the UI.	Citizenship DMI status reflects that it is expired. There is no SVI on the application.		For both consumer pathway and agent/broker pathway testing:  1) Get DMI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  2) Get SVI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  3) UI screenshot showing the display of the Citizenship DMI messaging. The Entity must provide evidence that the UI displays the DMI, status, and deadline from the Get DMI API response.

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F010			Step 19	Call <a href="#">Metadata Search API</a> and <a href="#">Notice retrieval API</a> to retrieve the applicable DSRs ID and the DMI Clock Expiration Notice.	Consumer is able to retrieve the DMI Clock Expiration Notice via the EDE Entity's UI.  <b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>		For both consumer pathway and agent/broker pathway testing:  1) Copy of the DMI Clock Expiration Notice.  2) Screenshot of the UI that displays where a consumer can see all of their notices.  3) Metadata Search API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  4) Notice Retrieval API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F010			Step 20	Call <a href="#">Get Enrollment API</a> to retrieve the updated enrollment status.	Initial enrollment status reflects that it has been cancelled/terminated. New enrollment details are displayed, with only the second member being enrolled in coverage.  <b>Auditor Checklist Item F010 Step 20: Auditor confirms that the required enrollment data is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on xONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</b>		For both consumer pathway and agent/broker pathway testing:  1) UI screenshot showing that the initial enrollment has been cancelled/terminated, and that a new enrollment has been created with only the second member being enrolled in coverage (i.e. the screenshot must demonstrate the UI displays enrollment statuses for two distinct policy activities, one that is cancelled displaying two members, and one that is active displaying one member).  2) Get Enrollment API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.
MTST_EDE_EZE_F010			Step 21	Verify that the following <a href="#">Event-Based Processing API</a> events have been received, and that the corresponding emails have been generated for the consumer:  1) Application submitted event. 2) DMI created event. 3) DMII expired event.  <b>Note:</b> The Event-Based Processing API is required for DMIs and optional for SVIs.  <b>Note:</b> The Get App API may be used to retrieve any additional metadata that is necessary for email communication (i.e. consumer's name, email address, preferred written language, etc.).	1) Application submitted event received, and corresponding email is generated and sent to the consumer. 2) DMI created event received, and corresponding email is generated and sent to the consumer. 3) DMI expired event received, and corresponding email is generated and sent to the consumer.		For both consumer pathway and agent/broker pathway testing (if applicable, based on notes in Column E):  1) Event-Based Processing API request(s) and response(s), which must be raw/unmodified and include the complete header and body for each required API request and response.  2) Copies of the generated emails.
MTST_EDE_EZE_F011	Create 2023 app from 2022 app	User pre-populates an initial 2023 FA application, using an inactive 2022 non-FA application. The application is eligible for APTC.	Step 1	ISAVE creates and submits a 2022 non-FA application for 2 members.  <b>Note:</b> This step is not completed by the EDE Entity, however applications must be requested by the EDE Entity, as per the instructions in the "Data Inputs" column.	An application is created.	Test case MTST_EDE_EZE_F011 requires the entity create a 2023 application using a 2022 application. The entity should email CMS.FFE.EDESupport@accenturefederal.com, with the subject line, "Request for App IDs: EDE API Test Scenario F011," to obtain 2022 applications. Upon request, three applications will be provided to the EDE Entity. Additional applications can be requested by the EDE Entity, if needed.  <b>Note:</b> The 2022 applications will be Arizona applications. If an EDE Entity needs non-Arizona applications, that should be noted in the email request.	
MTST_EDE_EZE_F011			Step 2	A consumer account is created on the EDE Entity website.  <b>Note:</b> This step is optional and may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.	Consumer pathway testing: Consumer account is created (optional, depending on EDE Entity's implementation).  Agent/Broker pathway testing: Consumer account creation should not be required in the agent/broker workflow.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F011			Step 3	<p>Consumer is ID proofed.</p> <p>For the consumer flow, a call is made to the RIDP/FARS API or an equivalent 3rd-party FICAM TFS approved service.</p> <p>For the in-person agent/broker flow, manual ID proofing is required, but can be simulated for purposes of testing the A/B flow.</p> <p>For the telephone agent/broker flow, manual ID proofing is not required. For purposes of testing, EDE Entities must simulate manual ID proofing in an in-person agent/broker flow if they have an agent/broker pathway.</p> <p><b>Note:</b> Since RIDP/FARS and 3rd-party ID proofing service test harness data will not be in sync with FFM test harness data, this step is optional for consumer pathway testing. EDE Entities are permitted to bypass ID proofing for the consumer pathway in the test environment. EDE Entities that will use the RIDP/FARS services in production however, should note that there is separate RIDP/FARS testing that must be completed through the Hub in order to get approved to use these services; the separate RIDP/FARS testing requirements can be found on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p>	<p>Consumer is successfully ID proofed.</p> <p>If using the RIDP/FARS API, a DSH Reference ID will be successfully generated.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to enter the document type and document identifier used for manual ID proofing in the in-person agent/broker flow.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> that the EDE Entity's UI requirements for manually ID proofing are in alignment with the "Acceptable Documentation for ID Proofing" document on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the two "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 2a and Step 2b. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>	<p>For RIDP/FARS testing, refer to the RIDP/FARS data available on the zONE at the following location: <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a></p>	
MTST_EDE_EZE_F011			Step 4	<p>Call <u>Store ID Proofing Record API</u> to store the ID proofing information.</p> <p><b>Note:</b> This step is systematically required only for the consumer and in-person A/B flows.</p> <p><b>Note also:</b> If consumer pathway ID proofing is bypassed for testing in Step 3, the EDE Entity will still need to store ID proofing data in order to obtain permission for the application; a mock online transaction identifier can be provided in the Store ID Proofing call if the consumer pathway ID proofing was bypassed for testing.</p>	The ID proofing record is successfully stored.		
MTST_EDE_EZE_F011			Step 5	<p>Record consumer's permission to act on their behalf within the EDE Entity's UI.</p> <p><b>Note:</b> The location of the permission attestation may vary in the EDE Entity's UI for the consumer pathway. For the agent/broker pathway however, this attestation must be presented to the A/B on the Person Search UI page.</p>	EDE Entity records user/consumer permissions.		
MTST_EDE_EZE_F011			Step 6	<p>Call Person Search API to check if there is an existing 2023 application.</p> <p><b>Note:</b> Manual searching/claiming will occur for Agent/Broker flow and backend searching/claiming will occur for consumer flow.</p>	<p>Consumer only has a 2022 application. Consumer or agent/broker is required to use a pre-populated 2023 application that is created in Step 7 and prepopulated in Step 9, and is not allowed to create a new 2023 application.</p> <p>Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.</p> <p><b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" Section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>		
MTST_EDE_EZE_F011			Step 7	<p>Call Create App from Prior Year App API to create an application for the 2023 coverage year.</p>	A 2023 Application ID is created.		<p>For both consumer pathway and agent/broker pathway testing:</p> <p>1) Create App from Prior Year App API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.</p>
MTST_EDE_EZE_F011			Step 8	<p>Call <u>Store Permission API</u> using App ID as input.</p>	Permission is stored successfully.		
MTST_EDE_EZE_F011			Step 9	<p>Call <u>Get App API</u> to retrieve the existing application data, which will then be pre-populated in the UI for the consumer.</p>	User is presented with a pre-populated 2023 application.		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F011			Step 10	User completes the application, requesting financial assistance. User attests to an APTC-eligible income.	User successfully updates and completes the pre-populated 2023 application.  <b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>	Refer to data set F011_MPL-02-AC-5243	
MTST_EDE_EZE_F011			Step 11	Call <u>Update App API</u> to store attestations and trigger related flows.  <b>Note:</b> Update App may be called at different times depending upon the EDE Entity's implementation.	Update App API call(s) return(s) "Success."		
MTST_EDE_EZE_F011			Step 12	Call <u>Submit App API</u> when user signs and submits the application.	The Submit App API call returns "Success."		
MTST_EDE_EZE_F011			Step 13	Call <u>Get App API</u> to retrieve the updated eligibility results.  <b>Note:</b> The <u>Fetch Eligibility API</u> may also be used to obtain Eligibility Results.	Eligibility Results are displayed in the UI.		
MTST_EDE_EZE_F011			Step 14	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DSRS ID and the EDN.	Consumer can download the EDN from the EDE Entity's website.		
MTST_EDE_EZE_F011			Step 15	Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in the UI.	The API calls return no data. No SVI or DMI messaging is displayed in the UI.		
MTST_EDE_EZE_F011			Step 16	User completes plan shopping and the <u>Submit Enrollment API</u> is called to complete the enrollment.  <b>Note:</b> Plan shopping may occur earlier in the workflow, depending on EDE Entity implementation.	Enrollment is submitted successfully.		
MTST_EDE_EZE_F011			Step 17	Call <u>Get Enrollment API</u> to retrieve the enrollment details.	Plans details are displayed.  <b>Auditor Checklist Item F011 Step 17: Auditor confirms</b> that the required enrollment data is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a> .		
MTST_EDE_EZE_F011			Step 18	Call <u>Payment Redirect API</u> to retrieve payment redirect URL and payment redirect SAML.  <b>Note:</b> If the EDE Entity is an issuer, the entity is not required to integrate with the Payment Redirect API if the entity directly hosts a payment service; the user would be routed to the issuer-hosted payment service directly in this case. If the EDE Entity is an issuer and does not offer an option for a consumer to make a binder payment online, the entity does not need to complete this step; the auditor should document this, if applicable.	Either: 1) Payment redirect occurs for the consumer in the UI, or 2) the consumer isn't given a payment redirect option if they selected a plan with an issuer that doesn't support payment redirect (in which case the Payment Redirect API response won't include a payment redirect URL).  <b>Note:</b> The payment redirect URL returned by the Payment Redirect API will be for the issuer's production environment, and payment redirect to the issuer's payment site may therefore fail from the test environment; this is an acceptable result, however note that the Payment Redirect API should still return a successful response in this scenario.		
MTST_EDE_EZE_F012	AI/AN scenario - Phase 1 and Phase 2 EDE Entities redirect user to Classic DE or to HealthCare.gov, and Phase 3 EDE Entities enroll the consumer.	User creates a 3 member initial FA application eligible for APTC. All members attest to being American Indian/Alaskan Native. All members on the application have an AI/AN DMI.  Phase 1 and Phase 2 EDE Entities screen the user out of their EDE pathway and redirect the user to HealthCare.gov or the Classic DE (aka double-redirect) pathway to complete the application.  Phase 3 EDE Entities allow the user to continue with application and complete the enrollment.	Step 1	A consumer account is created on the EDE Entity website.  <b>Note:</b> This step is optional and may vary depending upon a EDE Entity's implementation. Some entities may require consumers to create an account, while other entities may not. Note that consumers are only required to complete ID proofing once as long as they are required to create an account on the entity's site and the ID proofing occurrence is associated with the created account.	Consumer pathway testing: Consumer account is created (optional, depending on EDE Entity's implementation).  Agent/Broker pathway testing: Consumer account creation should not be required in the agent/broker workflow.		
MTST_EDE_EZE_F012			Step 2	<b>This step is applicable to only Phase 1 and Phase 2 EDE Entities. Phase 3 EDE Entities should skip this step.</b>  User completes the screener on the Phase 1 or Phase 2 EDE site. User indicates all members are American Indians.	Phase 1 and Phase 2 EDE Entities screen the user out at this point and redirect them to HealthCare.gov or the Classic DE (aka double-redirect) pathway.  <b>Auditor Checklist Item F012 Step 2: Auditor confirms</b> the redirect messaging displayed in the UI aligns with Requirement 4, Phase-Specific Requirements in the EDE Communications Toolkit contained in the EDE Business Requirements Toolkits zip file.  <b>Phase 1 and Phase 2 EDE Entities do not need to complete any additional steps for this test scenario.</b>		For both consumer pathway and agent/broker pathway testing ( <b>Phase 1 and Phase 2 EDE Entities only</b> ):  1) UI screenshot(s) reflecting any messaging or redirect that occurs when the consumer is screened out of the EDE pathway.

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F012			Step 3	<p>Consumer is ID proofed.</p> <p>For the consumer flow, a call is made to the RIDP/FARS API or an equivalent 3rd-party FICAM TFS approved service.</p> <p>For the in-person agent/broker flow, manual ID proofing is required, but can be simulated for purposes of testing the A/B flow.</p> <p>For the telephone agent/broker flow, manual ID proofing is not required. For purposes of testing, EDE Entities must simulate manual ID proofing in an in-person agent/broker flow if they have an agent/broker pathway.</p> <p><b>Note:</b> Since RIDP/FARS and 3rd-party ID proofing service test harness data will not be in sync with FFM test harness data, this step is optional for consumer pathway testing. EDE Entities are permitted to bypass ID proofing for the consumer pathway in the test environment. EDE Entities that will use the RIDP/FARS services in production however, should note that there is separate RIDP/FARS testing that must be completed through the Hub in order to get approved to use these services; the separate RIDP/FARS testing requirements can be found on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p>	<p>Consumer is successfully ID proofed.</p> <p>If using the RIDP/FARS API, a DSH Reference ID will be successfully generated.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to enter the document type and document identifier used for manual ID proofing in the in-person agent/broker flow.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> that the EDE Entity's UI requirements for manually ID proofing are in alignment with the "Acceptable Documentation for ID Proofing" document on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the two "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 2a and Step 2b. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 2a and Step 2b, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>	<p>For RIDP/FARS testing, refer to the RIDP/FARS data available on the zONE at the following location: <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a></p>	
MTST_EDE_EZE_F012			Step 4	<p>Call <u>Store ID Proofing Record API</u> to store the ID proofing information.</p> <p><b>Note:</b> This step is systematically required only for the consumer and in-person A/B flows.</p> <p><b>Note also:</b> If consumer pathway ID proofing is bypassed for testing in Step 2, the EDE Entity will still need to store ID proofing data in order to obtain permission for the application; a mock online transaction identifier can be provided in the Store ID Proofing call if the consumer pathway ID proofing was bypassed for testing.</p>	<p>The ID proofing record is successfully stored.</p>		
MTST_EDE_EZE_F012			Step 5	<p>Record consumer's permission to act on their behalf within the EDE Entity's UI.</p> <p><b>Note:</b> The location of the permission attestation may vary in the EDE Entity's UI for the consumer pathway. For the agent/broker pathway however, this attestation must be presented to the A/B on the Person Search UI page.</p>	<p>EDE Entity records user/consumer permissions.</p>		
MTST_EDE_EZE_F012			Step 6	<p>Call <u>Person Search API</u> to check if there is an existing application.</p> <p><b>Note:</b> Manual searching/claiming will occur for Agent/Broker flow and backend searching/claiming will occur for consumer flow.</p>	<p>No results are returned from Person Search call.</p> <p>Consumer pathway testing: <b>Auditor confirms</b> the EDE Entity's UI does not have a consumer facing Person Search option. This should be a backend search in the consumer flow, that isn't exposed directly to the consumer.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the agent is required to attest to having the consumer's permission to work on their behalf on the Person Search page. For the agent/broker pathway, the permission attestation must be located on the Person Search page.</p> <p><b>Auditor also confirms</b> the agent/broker isn't able to proceed with the Person Search until the necessary attestation is provided, and that the agent/broker receives an applicable error when attempting to proceed with the Person Search without completing the necessary attestation.</p> <p>Agent/Broker pathway testing: <b>Auditor confirms</b> the Person Search UI option meets the requirements outlined in the "EDE Person Search" Section of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a>.</p> <p>Please note: In Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor already reviewed the functionality in this cell. The Auditor must review the functionality and complete the assessment described in this cell (i.e., the four "Auditor confirms..." items). However, the Auditor does not need to re-document that the functionality described in the "Auditor confirms..." clauses works as expected if the functionality operates consistent with the Auditor's compliance determination for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d. However, if the functionality does not operate consistent with the Auditor's compliance determination as documented for Auditor Checklist Items F001 Step 5a, Step 5b, Step 5c, and Step 5d, the Auditor must document this discrepancy as a risk for this test case in the Test Case Overview tab.</p>		
MTST_EDE_EZE_F012			Step 7	<p>Call <u>Create App API</u> to create an application and an Application ID when user enters basic information by providing household contact information such as home/mailling address, phone number, and communication preferences.</p>	<p>An Application ID is generated.</p>		
MTST_EDE_EZE_F012			Step 8	<p>Call <u>Store Permission API</u> using App ID as input.</p>	<p>Permission is stored successfully.</p>		
MTST_EDE_EZE_F012			Step 9	<p>Call <u>Add Member API</u> when user adds additional members to the application.</p> <p>This step is optional, depending on entity implementation. Entity can alternatively add all members during the initial Create App API call.</p>	<p>Members are added to the application.</p>		

Test Case ID	High-level Description	Description	Step	High-Level Steps	Expected Result and Auditor Checklist Items	Data Inputs	Required Evidence
MTST_EDE_EZE_F012			Step 10	User chooses an FA flow and enters application data for each member within the EDE Entity's UI.  Refer to data in the "Data Inputs" column.  EDE Entity may need to make the child age 19-25 for this scenario, to prevent a Medicaid/CHIP eligibility determination.	User is able to proceed with completion of the application.  <b>Note: Auditor Checklist Items compliance results must be documented on the Test Case Overview tab.</b>	Refer to data set F012_MPL-03-AC-1023  Member 1 attests to a QSEHRA SEP.	
MTST_EDE_EZE_F012			Step 11	Call <u>Update App API</u> to store attestations and trigger related flows.  <b>Note:</b> Update App may be called at different times depending upon the EDE Entity's implementation.	Update App API call(s) return(s) "Success."		
MTST_EDE_EZE_F012			Step 12	Call <u>Submit App API</u> when user signs and submits the application.	The Submit App API call returns "Success."		
MTST_EDE_EZE_F012			Step 13	Call <u>Get App API</u> to fetch the eligibility results.  <b>Note:</b> The <u>Fetch Eligibility API</u> may also be used to obtain Eligibility Results.	Eligibility Results are displayed in the UI.  QSEHRA-specific messaging is displayed on the eligibility results page. Alternatively, the QSEHRA-specific messaging is displayed as part of the plan shopping/confirmation experience.  <b>Auditor Checklist Item F012 Step 13: Auditor confirms</b> that appropriate QSEHRA messaging is displayed in the UI in accordance with guidance in Appendix F of the DE API Specs available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a> .		For both consumer pathway and agent/broker pathway testing:  1) UI screenshot showing the display of the appropriate QSEHRA-specific messaging.
MTST_EDE_EZE_F012			Step 14	Call <u>Metadata Search API</u> and <u>Notice retrieval API</u> to retrieve the applicable DRS ID and the Eligibility Determination Notice (EDN).	Consumer can download the EDN from the EDE Entity's website.		
MTST_EDE_EZE_F012			Step 15	Call <u>Get DMI API</u> and <u>Get SVI API</u> to retrieve and display any applicable DMI and SVI information in UI.	The SVI API returns no results. The DMI API returns an AI/AN DMI for all members, which is reflected in the EDE Entity's UI.		For both consumer pathway and agent/broker pathway testing:  1) Get DMI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  2) Get SVI API request and response, which must be raw/unmodified and include the complete header and body for each required API request and response.  3) UI screenshot showing the display of the AI/AN DMI messaging. The Entity must provide evidence that the UI displays the DMI, status, and deadline from the Get DMI API response.
MTST_EDE_EZE_F012			Step 16	User completes plan shopping and the <u>Submit Enrollment API</u> is called to complete the enrollment.  <b>Note:</b> Plan shopping may occur earlier in the workflow, depending on EDE Entity implementation.	Enrollment is submitted successfully.		For both consumer pathway and agent/broker pathway testing:  1) Screenshot(s) of post-enrollment confirmation page(s).
MTST_EDE_EZE_F012			Step 17	Call <u>Get Enrollment API</u> to retrieve the enrollment details.	Plan details are displayed in UI.  <b>Auditor Checklist Item F012 Step 17: Auditor confirms</b> that the required enrollment data is displayed in the UI in accordance with guidance in Section 9.1.1 UI Display of Get Enrollment Data of the EDE API Companion Guide available on zONE at <a href="https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials">https://zone.cms.gov/document/enhanced-direct-enrollment-edo-documents-and-materials</a> .		
MTST_EDE_EZE_F012			Step 18	Call <u>Payment Redirect API</u> to retrieve payment redirect URL and payment redirect SAML.  <b>Note:</b> If the EDE Entity is an issuer, the entity is not required to integrate with the Payment Redirect API if the entity directly hosts a payment service; the user would be routed to the issuer-hosted payment service directly in this case. If the EDE Entity is an issuer and does not offer an option for a consumer to make a binder payment online, the entity does not need to complete this step; the auditor should document this, if applicable.	Either: 1) Payment redirect occurs for the consumer in the UI, or 2) the consumer isn't given a payment redirect option if they selected a plan with an issuer that doesn't support payment redirect (in which case the Payment Redirect API response won't include a payment redirect URL).  <b>Note:</b> The payment redirect URL returned by the Payment Redirect API will be for the issuer's production environment, and payment redirect to the issuer's payment site may therefore fail from the test environment; this is an acceptable result, however note that the Payment Redirect API should still return a successful response in this scenario.		

**DMI and SVI Adjudication Instructions:**

1. For scenarios where DMI or SVI adjudication is required, entities will need to send an adjudication request to [issuereassistanctesting@bah.com](mailto:issuereassistanctesting@bah.com). Note that DMI and SVI adjudication will generally occur within 24-48 business hours (during weekdays) of submission.
2. Entities should use the subject line, "EDE DMI/SVI Adjudication Request."
3. Entities should include a spreadsheet with the details included in the columns to the right of this box. Entities will need to add the Application IDs and applicable testing environment in the columns highlighted yellow.

SVI/ DMI ADJUDICATION REQUEST				
EZE Scenario ID	Application ID	Testing Environment (i.e. UAT0, IMP1A, IMPL2, etc.)	Name of the SVI/DMI to be Adjudicated	SVI/DMI Adjudication Request
Scenario # F007			Past Loss of MEC SVI and Income DMI	Resolve Past Loss of MEC SVI and Income DMI
Scenario # F009			Past Loss of MEC SVI	Expire Past Loss of MEC SVI
Scenario # F010			Citizenship DMI	Expire Citizenship DMI