

SUPPORTING STATEMENT

1110-0005

Age, Sex, Race, and Ethnicity of Persons Arrested Under 18 Years of Age and Age, Sex Race, and Ethnicity of Persons Arrested 18 Years of Age and Over

Part A. Justification

1. Necessity of Information Collection

Under the authority of Title 34, United States Code (U.S.C), Section (§) 41303, and 28 U.S.C. § 534, the FBI was designated by the Attorney General to acquire, collect, classify, and preserve national data on federal criminal offenses, criminal identification, crime, and other records from federal, state, county, city, tribal, and territorial law enforcement agencies (LEAs) throughout the country as part of the FBI's Uniform Crime Reporting (UCR) Program.

Forms 1-708a and 1-708, *Age, Sex, Race, and Ethnicity (ASRE) of Persons Arrested Under 18 Years of Age (Juvenile)* and *ASRE of Persons Arrested 18 Years of Age and Over (Adult)*, respectively, provide the UCR Program with a record of the total number of persons arrested, cited, or summoned for criminal acts in all of the Part I and Part II crime classes and furnishes the ASRE characteristics of persons arrested.

This information collection is necessary for the FBI to maintain a database and serve as the national clearinghouse for the collection and dissemination of arrest data and to ensure publication in *Crime in the United States (CIUS)* and on the FBI's Crime Data Explorer (CDE).

2. Needs and Uses

Arrest data serve as a valuable resource to federal, state, county, city, tribal, and territorial LEAs and academia, other government agencies, media, and the public. Arrest data are available on the FBI's CDE and upon request. The information is an invaluable tool for researchers, statistical analysts, and others interested in learning about the characteristics associated with arrests reported to the FBI's UCR Program. Examples are shown below.

- a. Law enforcement uses the UCR Program's data for administration, operation, management, and to determine effectiveness and placement of task forces.
- b. Juvenile justice systems throughout the United States request ASRE information to determine what various laws, restrictions, and arrest practices have on arrest rates and the involvement of juveniles in crime. Since the definition of juvenile varies from state-to-state, it is necessary to have age-specific information.

3. Use of Information Technology

All participants in the FBI's UCR Program submit arrest data electronically via web services or through the UCR Dashboard using a flat file data specification or Microsoft Excel Summary Workbook. The collection of UCR crime data begins at the local agency level when the law enforcement officers submit administrative and operational data to record management personnel from hardcopy or electronic incident reports. The local agency record managers then compile and submit the crime data to their state UCR programs. Many state UCR programs have a centralized repository and have established electronic communications with the LEAs throughout their state, as well as the FBI's UCR Program. This link allows for information technology interaction within the required electronic data submission formats.

4. Efforts to Identify Duplication

This information collection is authorized under 34 U.S.C. § 41303 and 28 U.S.C. § 534. The FBI is the only agency in the United States collecting extensive national data on persons arrested.

5. Minimizing Burden on Small Businesses

This information will have no significant impact on small businesses. The law enforcement community requested crime statistics be collected monthly since police records are processed by calendar month. However, the FBI minimizes burden on small LEAs by allowing them to submit data quarterly, twice a year, or once a year. Although monthly is recommended, agencies can submit data at intervals which minimize the burdens of the agency upon approval by the UCR Program.

6. Consequences of Not Conducting or Less Frequent Collection

LEAs use UCR data to track crime, place task forces, adjust staffing levels, and deploy officers where needed. The UCR data are used for administration, operation, management, and to determine effectiveness of task forces. Agencies justify staffing levels and officer counts compared to other LEAs to receive additional staffing levels or equipment. Some agencies use the crime statistics and staffing levels of other LEAs to justify their own crime statistics and staffing levels to obtain funding.

7. Special Circumstances

ASRE data are collected/received from the FBI's UCR Program participants monthly. Monthly reports/submissions should be received by the seventh day after the close of each month. Annual deadlines are designated to collect/assess receipt of monthly

submissions. There are times when special circumstances may cause an agency to request an extension. The UCR Program has the authority to grant these extensions.

8. Public Comments and Consultations

The 60- and 30-day notices were submitted and published in the *Federal Register* with no public comments received.

9. Provision of Payments or Gifts to Respondents

The FBI's UCR Program does not provide any payments or gifts to respondents.

10. Assurance of Confidentiality

This information collection does not contain personally identifiable information which may reveal the identity of an individual. The data are obtained from public agencies and are, therefore, available in the public domain. The FBI's UCR Program does not assure confidentiality.

11. Justification for Sensitive Questions

The information collection does not seek information of a sensitive nature.

12. Estimate of Respondent's Burden

The estimated burden hours on the respondents for this data collection are as follows:

Number of Summary Reporting System (SRS) agencies reporting 1-12 months: 6,390

Frequency of Responses: Monthly

Total Annual Responses: 64,112

Minutes per Response: 12 minutes (1-708a)

15 minutes (1-708)

Annual Burden (Hours): 28,851

SRS, 2020					
Number of Months Submitted	Number of Agencies	Number of Responses	12-Minute Burden (1-708)	15-Minute Burden (1-708)	Total Burden (Minutes)
1 month	169	169	2,028	2,535	4,563
2 months	155	310	3,720	4,650	8,370
3 months	121	363	4,356	5,445	9,801
4 months	166	664	7,968	9,960	17,928
5 months	195	975	11,700	14,625	26,325
6 months	273	1,638	19,656	24,570	44,226
7 months	195	1,365	16,380	20,475	36,855
8 months	242	1,936	23,232	29,040	52,272
9 months	253	2,277	27,324	34,155	61,479
10 months	287	2,870	34,440	43,050	77,490
11 months	463	5,093	61,116	76,395	137,511
12 months	3,871	46,452	557,424	696,780	1,254,204
<i>Total</i>	<i>6,390</i>	<i>64,112</i>	<i>769,344</i>	<i>961,680</i>	<i>1,731,024</i>

13. Estimate of Cost Burden

There are no direct costs for law enforcement to participate in the FBI's UCR Program other than the time to respond. With the renewal of this collection, respondents are not expected to incur any capital, start-up, or system maintenance costs associated with this information collection. Costs to agency records management systems are very difficult to obtain. Vendors do not divulge costs because charges differ from agency to agency and many costs are built into vendors' contracts. Depending on the contracts, changes mandated by law are included within the original contract with no other addition costs. However, over \$100,000 in annual maintenance costs have been projected.

14. Cost to Federal Government

The following is a cost module for the UCR Program provided by the FBI's Criminal Justice Information Services (CJIS) Division, Resources Management Section, Fee Programs Unit for 2020. The information is based on prior collection activity as well as those anticipated for both the National Incident-Based Reporting System (NIBRS) and SRS. The cost module does not separate the costs between the two methods of collecting UCR data.

Data Collection and Processing Costs, Fiscal Year 2020		
Activity	Cost	Full-Time Equivalent
Manage Projects	\$136,422.83	0.77
Perform Research and Analysis	\$248,971.41	1.46
Produce Publications	\$101,490.58	0.71

Data Collection and Processing Costs, Fiscal Year 2020—continued

Activity	Cost	Full-Time Equivalent
Provide Editing Services/Support	\$201,781.15	1.22
Provide Supervisory Review/Oversight	\$35,087.25	0.19
Provide Writing Services/Support	\$179,648.95	1.09
Conduct Assessment/Perform Analysis	\$91,131.52	0.45
Deliver Curriculum - External Customers	\$224,874.50	0.91
Develop Curriculum - External Customers	\$232,560.74	1.13
Perform and oversee Information Technology (IT) Service Management activities	\$89,747.05	0.65
Perform organization IT Management and Strategic Planning	\$29,193.42	0.18
Perform the Client Management function	\$98,372.14	0.64
Provide and administer Databases and Database services	\$156,234.60	1.01
Provide and administer Middleware services	\$114,732.49	0.94
Provide and maintain Servers	\$51,570.68	0.41
Provide and maintain UNIX operating systems	\$26,097.18	0.23
Provide Application Development services	\$637,425.54	4.64
Provide Application Support and Operations services	\$106,185.25	0.84
Support and Manage IT Programs, Product Initiatives	\$200,321.45	1.38
Provide Application Development services	\$18,787.17	0.13
Conduct UCR Audits	\$471,586.24	3.75
Deliver Curriculum - External Customer	\$166,650.42	1.18
Develop Curriculum - External Customer	\$166,650.42	1.18
Support CJIS Advisory Policy Board (APB)	\$26,534.28	0.20
Conduct Liaison, Education, and Promotion	\$446,011.63	3.56
Develop and Manage Policy	\$346,293.24	2.89
Perform Administrative and Human Resource tasks	\$786,846.88	6.56
Perform APB tasks	\$199,920.81	1.53
Perform Budget, Strategic Planning, and Program Control	\$264,364.78	1.77
Perform Duties as the Agile Product Owner	\$410,981.42	3.31
Perform Other Agile Duties	\$249,750.88	1.72
Support the Crime in the United States publication	\$1,018,058.46	9.02
Support the FBI's CDE	\$89,490.54	0.61
Support the Hate Crimes Statistics publication	\$426,491.16	3.57
Support the Law Enforcement Officers Killed and Assaulted publication	\$408,565.98	3.48
Support the National Use-of-Force Data Collection	\$171,314.98	1.41
Define and oversee Compliance within IT infrastructure	\$41,059.01	0.30
Perform IT Finance functions	\$35,946.65	0.20
Select and oversee vendors via the IT Vendor Management process	\$35,946.65	0.20
Support and Manage IT Programs, Product Initiatives	\$136,355.22	1.05
<i>Grand Total</i>	<i>\$8,879,455.55</i>	<i>66.47</i>

15. Reason for Change in Burden

Although having transitioned to a NIBRS-only data collection on January 1, 2021, the UCR Program has opted for the continued collection of additional incidents from those agencies still collecting data under SRS. Renewing the *ASRE of Persons Arrested Under 18 Years of Age (Juvenile)* and *ASRE of Persons Arrested 18 Years of Age and Over (Adult)* data collection will also allow LEAs to submit updates to previously reported incidents. The decrease in burden to state programs and local LEAs is expected to continue as more agencies transition to NIBRS. Due to the transition, the UCR Program intends to shift a large portion of these operational and administrative burden hours to the NIBRS collection.

16. Anticipated Publication Plan and Schedule

Published data are derived from submissions to the FBI’s UCR Program from federal, state, county, city, tribal, and territorial LEAs. Data will be published annually.

Process	Month
Request missing data from agencies	February-March, following year
Deadline to submit data	End of March
Data processing/analysis	July (current year)-April (following year)
Publication date	September, following year

17. Display of Expiration Date

All information collected will display the office of Management and Budget (OMB) clearance number and expiration date on the Microsoft Excel Summary Workbook.

18. Exception to the Certification Statement

The FBI’s CJIS Division does not request an exception to the certification of this information collection.