Supporting Statement for an Information Collection Request (ICR)   
Under the Paperwork Reduction Act (PRA)

# EXECUTIVE SUMMARY

## Identification of the Information Collection – Title and Numbers

**Title:** Trichloroethylene; Regulation under the Toxic Substances Control Act (TSCA); Proposed Rule (RIN 2070-AK83)

**EPA ICR No.:** 2775.01

**OMB Control No.:** 2070-NEW

**Docket ID No.:** EPA-HQ-OPPT-2020-0642

## Abstract

The Environmental Protection Agency (EPA) proposed a rule under section 6 of the Toxic Substances Control Act (TSCA) to address the unreasonable risk to human health presented by trichloroethylene (TCE). The proposed rule would:

* Prohibit the manufacture (including import), processing, and distribution in commerce of TCE for all uses (including all consumer uses), with longer compliance timeframes for manufacture and processing related to certain uses;
* Prohibit the industrial and commercial use of TCE, with longer compliance timeframes for certain uses;
* Prohibit the manufacture (including import) and processing of TCE as an intermediate for the manufacturing of hydrofluorocarbon 134a (HFC-134a), following an 8.5-year phaseout;
* Prohibit the industrial and commercial use of TCE as a solvent for closed-loop batch vapor degreasing for rayon fabric scouring for end use in solid rocket booster nozzle production by Federal agencies or their contractors, following a 10-year phaseout;
* For Department of Defense (DoD) naval vessels and their systems, and in the maintenance, fabrication, and sustainment for and of such vessels and systems, prohibit the industrial and commercial use of TCE as potting compounds for naval electronic systems and equipment; sealing compounds for high and ultra- high vacuum systems; bonding compounds for materials testing and maintenance of underwater systems and bonding of nonmetallic materials; and cleaning requirements (which includes degreasing using wipes, sprays, solvents and vapor degreasing) for: materials and components required for military ordinance testing; temporary resin repairs in vessel spaces where welding is not authorized; ensuring polyurethane adhesion for electronic systems and equipment repair and installation of elastomeric materials; various naval combat systems, radars, sensors, equipment; fabrication and prototyping processes to remove coolant and other residue from machine parts; machined part fabrications for naval systems; installation of topside rubber tile material aboard vessels; and vapor degreasing required for substrate surface preparation prior to electroplating processes, following a 10-year TSCA section 6(g) exemption;
* Prohibit the manufacture (including import), processing, distribution in commerce, and use of TCE as a processing aid for battery separator manufacturing, following a 10-year TSCA section 6(g) exemption;
* Prohibit the manufacture (including import), processing, distribution in commerce, and use of TCE as a laboratory chemical for essential laboratory activities and some research and development activities, following a 50-year TSCA section 6(g) exemption;
* Prohibit the manufacture (including import), processing, distribution in commerce, and industrial and commercial use of TCE as a solvent in closed loop vapor degreasing necessary for human-rated rocket engine cleaning by NASA and its contractors, following a 7-year TSCA section 6(g) exemption;
* Prohibit the emergency industrial and commercial use of TCE in furtherance of National Aeronautics and Space Administration’s mission for specific conditions which are critical or essential and for which no technically and economically feasible safer alternative is available, following a 10-year TSCA section 6(g) exemption;
* Require strict workplace controls, including compliance with a TCE workplace chemical protection program (WCPP), which would include requirements for an inhalation exposure limit and dermal protection to limit exposure to TCE, for conditions of use with long term phaseouts or time-limited exemptions under TSCA section 6(g);
* Prohibit, due to worker risks, the disposal of TCE to industrial pre-treatment, industrial treatment, or publicly owned treatment works with a 50-year TSCA section 6(g) exemption for cleanup projects;
* Establish recordkeeping and downstream notification requirements.

The information collection activities contained in the proposed rule are:

* Downstream notification requirements through Safety Data Sheets (SDS),
* Information generation related to the WCPP, including recordkeeping and notification requirements, such as:
  + Development of exposure control plans;
  + Exposure level monitoring and related recordkeeping;
  + Development of documentation for a Personal Protective Equipment (PPE) program and related recordkeeping;
  + Development of documentation for a respiratory protection program and related recordkeeping;
  + Development and notification to potentially exposed persons (employees and others in the workplace) about how they can access the exposure control plans, exposure monitoring records, PPE program implementation documentation, and respirator program documentation; and Recordkeeping related to exemptions proposed under TSCA section 6(g) demonstrating compliance with the specific conditions of the exemptions (including compliance with the WCPP).
* Recordkeeping requirements related to a proposed phaseout, demonstrating compliance with the conditions of the phaseout for processing TCE as an intermediate in the manufacture of HFC-134a.

The proposed rule would require that records be retained for 5 years from the date of generation.

### Summary Annual Burden and Costs

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Information Collection** | **Number of Respondents** | **Annual Number of Responses** | **Responses per Respondent** | **Annual Time Burden (Hours)** | **Annual Cost Burden (Dollars)** |
| Rule Familiarization | 22,113 | 7,331 | .33 | 7,405 | $704,565 |
| Downstream Notification (SDS) | 2 | 1 | 1 | 1 | $126 |
| Develop Exposure Control Program | 51 | 51 | 1 | 85 | $6,074 |
| Respiratory Monitoring, Recordkeeping, and Notifications | 51 | 113 | 2.2 | 4873 | $1,028,454 |
| **Total Respondent** | 22,113 | 7,496 |  | 12,364 | $1,739,220 |
| **Total Agency** |  |  |  | - | - |

Note: Responses per respondent have been rounded,

# SUPPORTING STATEMENT

## 1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

Under section 6(a) of TSCA (15 U.S.C. § 2605(a)) (**Attachment 1**), if EPA determines after risk evaluation that a chemical substance presents an unreasonable risk of injury to health or the environment, without consideration of costs or other non-risk factors, including an unreasonable risk to a potentially exposed or susceptible subpopulation identified as relevant to the risk evaluation, under the conditions of use, EPA must by rule apply one or more requirements to the extent necessary so that the chemical substance or mixture no longer presents such risk. Section 6(a) authorizes EPA to:

* 1. Prohibit or restrict manufacture, processing, or distribution in commerce,
  2. Prohibit or restrict the manufacture, processing, or distribution in commerce of the chemical substance above a specified concentration,
  3. Require minimum warnings or instructions with respect to use, distribution, or disposal,
  4. Require manufacturers or processors to make and retain records,
  5. Prohibit or regulate any manner of commercial use,
  6. Prohibit or regulate any manner of disposal, and/or
  7. Require manufacturers or processors to give notice of the unreasonable risk of injury, and to recall products if required.

EPA proposes to:

1. Prohibit the manufacture (including import), processing, and distribution in commerce of TCE for all conditions of use (including all consumer uses), with longer compliance timeframes for manufacture and processing related to certain uses;
2. Prohibit the industrial and commercial use of TCE, with longer compliance timeframes for certain uses;
3. Prohibit the manufacture (including import) and processing of TCE as an intermediate for the manufacturing of hydrofluorocarbon 134a (HFC-134a), following an 8.5-year phaseout;
4. Prohibit the industrial and commercial use of TCE as a solvent for closed-loop batch vapor degreasing for rayon fabric scouring for end use in solid rocket booster nozzle production by Federal agencies and their contractors, following a 10-year phaseout;
5. For Department of Defense (DoD) naval vessels and their systems, and in the maintenance, fabrication, and sustainment for and of such vessels and systems, prohibit the industrial and commercial use of TCE as potting compounds for naval electronic systems and equipment; sealing compounds for high and ultra-high vacuum systems; bonding compounds for materials testing and maintenance of underwater systems and bonding of nonmetallic materials; and cleaning requirements (which includes degreasing using wipes, sprays, solvents and vapor degreasing) for: materials and components required for military ordinance testing; temporary resin repairs in vessel spaces where welding is not authorized; ensuring polyurethane adhesion for electronic systems and equipment repair and installation of elastomeric materials; various naval combat systems, radars, sensors, equipment; fabrication and prototyping processes to remove coolant and other residue from machine parts; machined part fabrications for naval systems; installation of topside rubber tile material aboard vessels; and vapor degreasing required for substrate surface preparation prior to electroplating processes, following a 10-year TSCA section 6(g) exemption;
6. Prohibit the manufacture (including import), processing, distribution in commerce, and use of TCE as a processing aid for battery separator manufacturing following a 10-year TSCA section 6(g) exemption;
7. Prohibit the manufacture (including import), processing, distribution in commerce, and use of TCE as a laboratory chemical for essential laboratory activities and some research and development activities, following a 50-year TSCA section 6(g) exemption;
8. Prohibit the manufacture (including import), processing, distribution in commerce, and industrial and commercial use of TCE as a solvent in closed loop vapor degreasing necessary for human-rated rocket engine cleaning by NASA and its contractors, following a 7-year TSCA section 6(g) exemption;
9. Prohibit the emergency industrial and commercial use of TCE in furtherance of National Aeronautics and Space Administration’s mission for specific conditions which are critical or essential and for which no technically and economically feasible safer alternative is available, following a 10-year TSCA section 6(g) exemption;
10. Require strict interim workplace controls, including compliance with a TCE workplace chemical protection program (WCPP), which would include requirements for an inhalation exposure limit and dermal protection to limit exposure to TCE, for conditions of use with long term phaseouts or time-limited exemptions under TSCA section 6(g);
11. Prohibit the disposal of TCE to industrial pre-treatment, industrial treatment, or publicly owned treatment works with a 50-year TSCA section 6(g) exemption for cleanup projects;
12. Establish recordkeeping and downstream notification requirements.

## 2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the Agency has made of the information received from the current collection.

The information collection activities covered by this ICR are necessary to mitigate the unreasonable risk from TCE.

Downstream Notification. Without the downstream notification requirement, there is a greater likelihood that longer term prohibitions on certain uses of TCE could be diverted to the uses that would be prohibited more immediately, or that users would buy or use materials that they do not realize are subject to the restrictions in the final rule. This would result in continuation of the risk that EPA has determined to be unreasonable. Downstream notification would be carried out by updates to the relevant SDS and is necessary for effective implementation and enforcement of the rule as it provides a record of notification on use restrictions throughout the supply chain. Downstream notification would be required for manufacturers, processors, and distributors in commerce of TCE, who would provide notice to companies downstream upon shipment of TCE about the prohibitions. The information submitted to downstream companies through the SDS would provide knowledge and awareness of the restrictions to these companies.

Information generation related to the WCPP, including recordkeeping, and notification requirements. EPA has authority under section 6 of TSCA to require recordkeeping related to the regulatory requirements imposed by EPA. This is especially important where, as here, such records are needed for effective implementation and enforcement of the TSCA section 6 rule to eliminate unreasonable risk. Information collection activities for such records required by the TCE WCPP would provide potentially exposed persons in a workplace with clear and necessary information and would provide EPA with a necessary evidential mechanism for effective enforcement. The regulated entities would develop, compile, and retain records that are necessary for implementing the exposure controls of the WCPP, provide workplace notification to potentially exposed persons, and serve as a reference for EPA or authorized entities. These records include WCPP records, general business records such as invoices or bills-of-lading, exposure monitoring records, exposure control plan records, and records related to exemptions. For some entities, these records would also include documentation related to the exemptions proposed under TSCA section 6(g), demonstrating compliance with the specific conditions of the exemption. These records demonstrate that regulated entities are in compliance with the requirements in this rule. Compliance with the WCPP and conditions of the exemption are required to reduce the unreasonable risk to human health identified by EPA for TCE. These recordkeeping requirements are also necessary to permit the EPA to conduct its enforcement activities and to ensure compliance within the regulated community.

Information generation related to a proposed phaseout, including recordkeeping. Similar to the recordkeeping requirements for the interim WCPP, information collection activities for such records needed to demonstrate compliance with a phaseout are needed for effective implementation and enforcement of the TSCA section 6 rule. These records would provide documentation of appropriate reduction or attempts at reduction of TCE processed by manufacturers of HFC-134a and would provide EPA with a necessary evidential mechanism for effective enforcement of the phase-out. These records would include production volume records establishing a baseline annual volume of TCE processed as an intermediate and subsequent production volume records on documenting production volume decreases in accordance with the schedule in the proposed rule.

EPA. This information collection activity will ensure the availability of information to EPA upon inspection. The proposed rule would not establish requirements that result in the submission of information to EPA.

## 3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.

The proposed rule would not establish reporting requirements, so no information would be submitted to EPA. Therefore, there is no need for any technology facilitation under the proposed rule related to the information collection activities. The recordkeeping requirement does not specify a particular technology or method of retaining the required information.

## 4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.

EPA’s collection pursuant to the TSCA section 6(a) regulations for this rulemaking do not duplicate any other information collection activity. TSCA requires that when EPA determines that a chemical substance presents unreasonable risk that EPA address by rule the unreasonable risk of injury to health or the environment and apply requirements to the extent necessary so the chemical no longer presents unreasonable risk. EPA is requiring information to ensure the elimination of unreasonable risk. Because there are no existing statutes that have established precedence in the regulation of TCE with criteria similar to the authorities granted under TSCA, the information collection activity is not a duplication. Lastly, while this collection activity required by EPA is similar to those of other Federal agencies such as OSHA, EPA is setting a lower exposure threshold than the OSHA PEL; in this way, some entities who were not previously required to maintain certain records under the OSHA standard may be subject to recordkeeping requirements in order to demonstrate compliance with the rulemaking under TSCA, which aims to address the unreasonable risk identified by EPA. The requirements of this rulemaking would also include regulated entities where OSHA requirements are not applicable (e.g., public sector workers not covered by an OSHA State plan, and self-employed workers). Thus, these are unprecedented and EPA-specific collection activity guidelines for the regulation of TCE under TSCA and therefore has no duplicative requirements.

## 5. If the collection of information impacts small businesses or other small entities, describe the methods used to minimize burden.

At the recommendation of the Small Business Review Panel, EPA: is requesting comment on the extent to which a regulation under TSCA section 6(a) could minimize requirements, such as testing and monitoring protocols, recordkeeping, and reporting requirements, which may exceed those already required under OSHA’s regulations for TCE. In addition, EPA is requesting comment on reasonable compliance timeframes for small businesses, including timeframes for reformulation of products or processes containing TCE; implementation of new engineering or administrative controls; changes to labels, SDSs, and packaging; implementation of new PPE, including training and monitoring practices; and supply chain management challenges. EPA is also requesting comment on the feasibility of entities complying with and monitoring for a potential ECEL of either 0.004 ppm or 0.0011 ppm, including on information on potential costs that could be incurred using strategies to meet the requirements of such a standard, such as engineering, administrative, or prescriptive controls and how feasible it would be for entities to implement these strategies in their operations. Furthermore, EPA is requesting comment on a training and certification program for commercial users, and on a *de minimis* level in the case of an impurity in products. EPA also requests comment on whether to allow the use of TCE by entities that could, based on demonstrated ability through monitoring data, meet the ECEL under a workplace chemical protection program; and on whether the use of TCE in a closed-loop vapor degreasing system, when combined with requirements of a potential workplace chemical protection program, could meet the ECELs for TCE. EPA also requests comment on establishing differing compliance or reporting requirements or timetables that take into account the limited resources available to small entities.

## 6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

Under section 6(a) of TSCA (15 U.S.C. § 2605(a)), if EPA determines after risk evaluation that a chemical substance presents an unreasonable risk of injury to health or the environment, without consideration of costs or other non-risk factors, including an unreasonable risk to a potentially exposed or susceptible subpopulation identified as relevant to the risk evaluation, under the conditions of use, EPA must by rule apply one or more requirements, (see #1 above) to the extent necessary so that the chemical substance or mixture no longer presents such risk. EPA has authority under section 6 of TSCA to require recordkeeping related to the regulatory requirements imposed by EPA. This is important where, as here, such records and reports are necessary for effective implementation and enforcement of the section 6 rule.

Due to the nature of the triggering events that initiate information collection activities under the proposed rule (i.e., the exposure of potentially exposed persons, consumers, and bystanders to unreasonable risk) a shorter timeframe for record retention is not feasible. The information collection activities covered by this ICR are necessary in order to ensure the effective mitigation of unreasonable risk from TCE. Due to EPA’s determination that TCE presents an unreasonable risk, the proposed risk management rule involves information collection activities that are intended to ensure that TCE does not present an unreasonable risk, thus any associated burdens to the regulated entities are necessary for the implementation of a TSCA section 6(a) rulemaking. Should the records in this information collection activity not be maintained nor be made accessible in accordance with the proposed rulemaking, effective implementation of the prohibitions, exemptions, and interim WCPP would be compromised. EPA would not be able to determine if unreasonable risk is mitigated, leading to the possibility of injury and hinderance of investigative efforts by the regulated entity and by EPA.

## 7. Explain any special circumstances that require the collection to be conducted in a manner:

## requiring respondents to report information to the agency more often than quarterly;

## requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;

## requiring respondents to submit more than an original and two copies of any document;

## requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records, for more than three years;

## in connection with a statistical survey, that is not designed to produce valid and reliable results that can be generalized to the universe of study;

## requiring the use of a statistical data classification that has not been reviewed and approved by OMB;

## that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or

## requiring respondents to submit proprietary trade secrets, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.

This proposed rulemaking and information collection activity would require that regulated entities retain records for a duration of 5 years from the date of its inception such as downstream notification. EPA has tailored this timeframe to coincide with the statute of limitations for civil penalty enforcement (28 U.S.C. 2842). EPA expects that 5-year retention of records for a WCPP and, as relevant, for exemption documentation, is necessary for effective implementation and enforcement of this rulemaking.

## 8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency’s notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken in response to the comments. Specifically address comments received on cost and hour burden.

## Describe efforts to consult with persons outside EPA to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

## Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years - even if the collection of information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.

The proposed rulemaking serves as the public notice for this ICR. Interested parties should submit comments referencing Docket ID No. EPA-HQ-OPPT-2020-0642 to the address listed at the end of this document. EPA will address any comments received from OMB or the public concerning the information collection activities contained in the rule, and the agency’s response, when developing the final rule.

EPA has engaged in significant consultation and outreach with the regulated community and other affected entities during development of the proposed rulemaking. Key opportunities to obtain public input on the availability and type of data that should be required, frequency of monitoring, and methods for carrying out downstream notification include the outreach meetings with small entity representatives held as part of the Small Business Advocacy Review (SBAR) Panel; presentations to small business stakeholders and the general public in December 2020; and discussions with representatives from different industries, non-governmental organizations, technical experts and users of TCE. A list of external meetings held during the development of this proposed rule is in the docket. The purpose of these discussions was to create awareness and educate stakeholders and regulated entities on the provisions for risk management required under section 6(a) of TSCA; obtain input from manufacturers, processors, distributors, users, academics, advisory councils, and members of the public health community about uses of TCE; identify workplace practices, engineering controls, administrative controls, PPE, and industrial hygiene plans currently in use or feasibly adoptable to reduce exposure to TCE under the conditions of use; generate potential risk reduction strategies; and understand the type of recordkeeping, notifications, and reporting already ongoing (**Attachment 4**).

## 9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

This collection does not provide any payment or gift to respondents.

## 10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy. If the collection requires a systems of records notice (SORN) or privacy impact assessment (PIA), those should be cited and described here.

EPA will not be collecting any information. Therefore, confidential information will not be submitted to EPA.

## 11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

The information collection activities do not include questions of a sensitive nature.

## 12. Provide estimates of the hour burden of the collection of information. The statement should:

## Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally, estimates should not include burden hours for customary and usual business practices.

## If this request for approval covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens.

## Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead, this cost should be included under ‘Annual Cost to Federal Government’.

EPA’s *Economic Analysis of the Proposed Regulation of Trichloroethylene (TCE) Under TSCA* (U.S. EPA, 2023) provides the detailed methodology for estimating the number of respondents (**Attachment 3**).

The paperwork burden and associated costs include the activity types listed below. Note that not all entities would incur burden or costs from these activities because they may already be meeting the requirements as part of their usual business practices.

* Rule familiarization
* The 51 facilities that would be subject to a WCPP with associated PRA burdens and costs are assumed to incur an initial cost of $284 for a 3-hour burden (Industrial Hygienist labor) associated with rule familiarization. This results in an annual average burden and cost of 51 hours and $4,832. The 22,062 facilities that would be subject to prohibition with associated PRA burdens and costs are assumed to incur an initial cost of $95 for a 1-hour burden (Manufacturing/Managerial labor) associated with rule familiarization.
* Downstream notification
  + Each person who processes or distributes in commerce TCE or TCE-containing products for any use would be required, prior to or concurrent with the shipment, notify companies to whom TCE is shipped, in writing, of the prohibitions and restrictions on its use. It is assumed that 2 respondents (manufacturers, import, and repackage facilities) accomplish this by modifying the SDS to note the restrictions, and the burden associated with the downstream notification requirements, including the related recordkeeping, is 2 hours (Manufacturing/Managerial labor), with an associated labor cost of $189. This results in an annual average burden and cost of 1.3 hours and $126. Shipment records are assumed to be kept as part of ordinary business practices, and therefore no incremental burden is estimated for this requirement.
* WCPP
  + Under the proposed rule, the 51 facilities that would comply with the rule through a WCPP until the eventual prohibition would be required to develop exposure control plans, monitor exposure levels, maintain records of this monitoring, and provide employees with information about how they can access the exposure control plans, exposure monitoring records, PPE program implementation documentation, and respirator program documentation. The estimated costs and burdens are as follows:
* The estimated burden and costs for the respiratory exposure monitoring plan and conducting exposure monitoring (generating the exposure monitoring results) depend on the TCE levels determined by the monitoring and are described in Chapter 7 of the economic analysis of the proposed rule (certified industrial hygienist and technical specialist labor).
  + - The estimated burden and costs for recordkeeping related to respiratory exposure monitoring depend on the TCE levels determined by the monitoring and are described in Chapter 7 of the economic analysis of the proposed rule (Manufacturing/Managerial labor).
    - The estimated burden and costs for notifications related to exposure monitoring (notifying potentially exposed workers; providing them with access to exposure control plans, exposure monitoring records, PPE program implementation documentation, and respirator program documentation) depend on the TCE levels determined by the monitoring and are described in Chapter 7 of the economic analysis of the proposed rule (Manufacturing/Managerial labor).
  + Under the proposed rule, 51 facilities complying with the rule through a WCPP would be doing so as part of a phaseout or time-limited exemption. For those firms continuing to use TCE under a proposed exemption under TSCA section 6(g), Documentation related to exemptions would be required to maintain their compliance with the terms of the exemption and would include usual business records demonstrating that their manufacturing (including import), processing, or use of TCE is for lead-acid and lithium battery separator manufacturing or laboratory use.
* Information related to proposed phase outs
  + Under the proposed rule, 2 facilities complying with the rule through a phase-out for processing TCE as an intermediate to manufacture HFC-134a would be required to maintain records that document appropriate reduction or attempts at reduction of use of TCE. Documentation related to production volumes would be considered usual business records.

The estimated burden and cost of recordkeeping related to demonstrating that the end use is in rocket booster nozzle production for Federal agencies or their contractors, and records that demonstrate that a final pre-launch test of rocket booster nozzles without using TCE was completed using an alternative to TCE in the production of rocket booster nozzles for Federal agencies and their contractors are described in the economic analysis of the proposed rule.

The table below presents the labor rates used to estimate the costs of the labor burdens under the ICR.

| **Industry Wage Rates (2022$)** | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Labor Category** | **Data Series** | **Date** | **Wage ($/hour)** | **Fringe Benefit** | **Total Compen-sation** | **Overhead as % of Total Compen-sation1** | **Overhead** | **Hourly Loaded Wages** |
| **(a)** | **(b)** | **(c) =(b)+(a)** | **(d)** | **(e)=(c)\*(d)** | **(f)=(c)+(e)** |
| Manufacturing/  Managerial | BLS ECEC, Private Manufacturing industries, “Mgt, Business, and Financial”2 | Dec-22 | $54.29 | $24.66 | $78.95 | 20% | $15.79 | $94.74 |
| Manufacturing/ Production Worker | BLS ECEC, Private Manufacturing Industries, “Production occupations”2 | Dec-22 | $21.79 | $11.63 | $33.42 | 20% | $6.68 | $40.10 |
| Transportation and Public Utilities/  Managerial | BLS *ECEC*, Trade, Transportation, and Utilities Industries*,* “Mgt, Business, and Financial” 2 | Dec-22 | $54.12 | $21.82 | $75.94 | 20% | $15.19 | $91.13 |
| Transportation and Public Utilities/  Maintenance and Repair Worker | BLS *ECEC*, Trade, Transportation, and Utilities Industries*,* “Installation, maintenance, and repair"2 | Dec-22 | $31.08 | $15.29 | $46.37 | 20% | $9.27 | $55.64 |
| Services/  Managerial | BLS ECEC, Service-providing Industries, Management, professional, and related occupations, “Mgt, Business, and Financial” | Dec-22 | $54.77 | $24.99 | $79.76 | 20% | $15.95 | $95.71 |
| Services/  Maintenance and Repair Worker | BLS ECEC, Service-providing Industries, Natural resources, construction, and maintenance occupations, “Installation, maintenance, and repair" | Dec-22 | $28.39 | $13.15 | $41.54 | 20% | $8.31 | $49.85 |
| Certified Industrial Hygienist | Wage*: BLS OEWS Occupational Health & Safety Specialists (19-5011)* Fringes as percent of wage: BLS ECEC, Private Manufacturing industries, “Professional and related occupations” 3,4 | May-22 | $39.47 | $19.96 | $59.43 | 20% | $11.89 | $71.32 |
| Technical Specialist | Wage*: BLS OEWS Occupational Health & Safety Technicians (19-5012)* Fringes as percent of wage: BLS ECEC, Private Manufacturing industries, “Professional and related occupations” 3,4 | May-22 | $30.40 | $15.38 | $45.78 | 20% | $9.16 | $54.93 |
| Vapor Degreasing Technician | Wage*: BLS OEWS Plant and Systems Operators (51-8000)* Fringes as percent of wage: BLS ECEC, Manufacturing industry 3,4 | May-22 | $33.85 | $17.12 | $50.97 | 20% | $10.19 | $61.16 |
| Senior Engineer and Technical Advisor (vapor degreasing) | Wage*: BLS OEWS Architectural and Engineering Managers (11-9041)* Fringes as percent of wage: BLS ECEC, Manufacturing industry 3,4 | May-22 | $78.52 | $39.71 | $118.23 | 20% | $23.65 | $141.88 |
| 1 An overhead rate of 20% is used based on assumptions in *Handbook on Valuing Changes in Time Use Induced by Regulatory Requirements and Other U.S. EPA Actions* (EPA 2020g).  2 Source: *Employer Costs for Employee Compensation Historical Supplementary Tables, National Compensation Survey: December 2022* (BLS 2023b).  3 Source: *Occupational Employment Statistics* *(Occupational Employment and Wage Statistics*) for May 2022 (BLS 2023c).  4 Fringe benefits are not reported in the BLS OEWS (BLS 2023c). It is therefore is assumed that fringes as a percentage of wages are 50.58%, based on the percentage for Private Manufacturing Industries, “Professional and related” in the BLS ECEC (BLS 2023b). | | | | | | | | |

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The table below presents the summary of the average annual burden hours and costs per facility associated with the proposed option. See Chapter 7 of the economic analysis for a more detailed description of how the time burden and wage rates were estimated. The burden and cost estimates provided reflect the figures provided in the accompanying Information Collection Request (ICR) for the rule.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Summary of Three-Year Average Incremental Burden Hours and Costs for Primary Option** | | | | | | | |
| **Activity** | **Number of Respondents** | **Average Annual Responses Per Respondent** | **Average Annual Burden Per Respondent** | **Average Annual Total Labor Burden** | **Average Annual Total Labor Costs (2022$)** | **Average Annual Total Non-Labor Costs (2022$)** | **Average Annual Total Costs (2022$)** |
| Agency Burden | - | - | - | - | - | - | - |
| Rule Familiarization (WCPP firms) | 51 | 0.33 | 1.00 | 51 | $4,832 | - | $4,832 |
| Rule Familiarization (prohibition firms) | 22,062 | 0.33 | 0.33 | 7,354 | $699,733 | - | $699,733 |
| Downstream Notification (SDS) | 2 | 1 | 0.67 | 1 | $126 | - | $126 |
| Develop Exposure Control Program | 51 | 1 | 1.67 | 85 | $6,074 | - | $6,074 |
| Respiratory Monitoring | 51 | 2.22 | 76.48 | 3,901 | $222,019 | $749,609 | $971,628 |
| Respiratory Recordkeeping | 51 | 2.22 | 15.70 | 801 | $47,100 | - | $47,100 |
| Respiratory Notifications | 51 | 2.22 | 3.36 | 171 | $9,726 | - | $9,726 |
| **All Activities** | **22,113** | **-** | **0.56** | **12,364** | **$989,611** | **$749,609** | **$1,739,220** |

## 13. Provide an estimate for the total annual cost burden to respondents or recordkeepers resulting from the collection of information. (Do not include the cost of any hour burden already reflected on the burden worksheet).

## The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life) and a total operation and maintenance and purchase of services component. The estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s), and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities.

## If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collections services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10), utilize the 60-day pre-OMB submission public comment process and use existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.

## Generally, estimates should not include purchases of equipment or services, or portions thereof, made: (1) prior to October 1, 1995, (2) to achieve regulatory compliance with requirements not associated with the information collection, (3) for reasons other than to provide information or keep records for the government, or (4) as part of customary and usual business or private practices.

There are ongoing monitoring costs incurred by respondents associated with monitoring equipment,[[1]](#footnote-3) laboratory analysis, and shipping costs. These costs are expected to vary depending on the extent to which monitoring results are below or above the existing chemical exposure limit (ECEL). EPA’s *Economic Analysis of the Proposed Regulation of Trichloroethylene (TCE) Under TSCA* (U.S. EPA, 2023) describes these cost estimates in detail. These ongoing non-labor costs are summarized in the table below.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Paperwork Non-Labor Cost Associated with Respiratory Monitoring** | | | | | | |
| **Threshold** | **Number of Respondents** | **Number of Workers** | **Annual Per Respondent Non-Labor Costs (excludes costs estimated on a per-worker basis)** | **Annual Per-Worker Non-Labor Cost** | **Average Annual Per-Respondent Cost** | **Average Annual Total Cost** |
| **Respondents with three years of WCPP** | | | | | | |
| <Action Level  (1 event in first year) | 1.43 | 1.4 | - | $362 | - | $517 |
| Between Action Level and ECEL  (2 events per year) | 1.43 | 1.4 | - | $724 | - | $1,034 |
| 1 to <10 times the ECEL  (4 events per year) | 1.83 | 19.2 | - | $1,448 | $15,227 | $27,843 |
| 10 to <25 times the ECEL  (4 events per year) | 1.92 | 22.8 | - | $1,448 | $17,244 | $33,085 |
| 25 to <50 times the ECEL  (4 events per year) | 2.01 | 23.4 | - | $1,448 | $16,861 | $33,867 |
| 50 to <1,000 times the ECEL  (4 events per year) | 4.48 | 107.3 | - | $1,448 | $34,688 | $155,354 |
| 1,000 to <10,000 times the ECEL  (4 events per year) | 6.91 | 143.4 | - | $1,448 | $$30,053 | $207,627 |
| **Respondents with one year of WCPP** | | | | | | |
| <Action Level  (1 event in first year) | - | - | - | $121 | - | - |
| Between Action Level and ECEL  (2 events per year) | - | - | - | $241 | - | - |
| 1 to <10 times the ECEL  (4 events per year) | 0.29 | 5.5 | - | $483 | $9,177 | $2,661 |
| 10 to <25 times the ECEL  (4 events per year) | 1.74 | 33.1 | - | $483 | $9,177 | $15,968 |
| 25 to <50 times the ECEL  (4 events per year) | 4.06 | 77.1 | - | $483 | $9,177 | $37,259 |
| 50 to <1,000 times the ECEL  (4 events per year) | 22.04 | 420.5 | - | $483 | $9,216 | $203,1116 |
| 1,000 to <10,000 times the ECEL  (4 events per year) | 2.87 | 64.8 | - | $483 | $10,900 | $31,284 |
| **All Respondents** | **51** | **920** | - | - | **161,721** | **$749,609** |

## 14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies may also aggregate cost estimates from Items 12, 13, and 14 in a single table.

There will be no agency collection activities under the proposed rule. There will only be third-party notification and recordkeeping requirements.

## 15. Explain the reasons for any program changes or adjustments reported in hour or cost burden.

This is a new, rule-related information collection. Therefore, the reported burden reflects a program change.

## 16. For collections whose results will be published, outline the plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.

Not applicable.

## 17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

Not applicable.

## 18. Explain each exception to the certification statement identified in “Certification for Paperwork Reduction Act Submissions.”

EPA does not request an exception to the certification of this information collection.

# SUPPLEMENTAL INFORMATION

PRA Burden Statement

This collection of information is approved by OMB under the Paperwork Reduction Act, 44 U.S.C. 3501 et seq. (OMB Control No. 2070-NEW). Responses to this collection of information are mandatory for certain persons, as specified at 40 CFR Part(s) 751. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The public reporting and recordkeeping burden for this collection of information is estimated to be 1.6 hour(s) per response. Send comments on the Agency’s need for this information, the accuracy of the provided burden estimates and any suggested methods for minimizing respondent burden to the Regulatory Support Division Director, U.S. Environmental Protection Agency (2821T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

# LIST OF ATTACHMENTS

The attachments listed below can be found in the docket for this ICR or by using the hyperlink that is provided in the list below. The docket for this ICR is accessible electronically through [regulations.gov](https://www.regulations.gov/document/EPA-HQ-OPPT-2021-0415-0095) using Docket ID Number: EPA-HQ-OPPT-2020-0642.

|  |  |
| --- | --- |
| **Ref.** | **Title (hyperlink)** |
| 1. | TSCA section 6 (15 U.S.C. 2605) |
| 2. | Proposed Rule |
| 3. | Economic Analysis |
| 4. | Stakeholder Meeting Index |

1. Monitoring equipment is assumed to be rented, so it is an ongoing cost rather than a capital cost. [↑](#footnote-ref-3)