DEPARTMENT OF HOMELAND SECURITY
Federal Emergency Management Agency

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RIVERINE STRUCTURES FORM (FORM 3)

PAPERWORK BURDEN DISCLOSURE NOTICE

Public reporting burden for this form is estimated to average 3.5 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the needed data, and completing, reviewing, and submitting the form. You are not required to respond to this collection of information unless it displays a valid OMB control number. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing this burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, SW, Washington, DC 20472 , Paperwork Reduction Project (1660-0016). Submission of the form is required to obtain or retain benefits under the National Flood Insurance Program. **Please do not send your completed survey to the above address.**

PAPERWORK BURDEN DISCLOSURE NOTICE

AUTHORITY: The National Flood Insurance Act of 1968, Public Law 90-448, as amended by the Flood Disaster Protection Act of 1973, Public Law 93-234.
PRINCIPAL PURPOSE(S): This information is being collected for the purpose of determining an applicant's eligibility to request changes to National Flood Insurance Program (NFIP) Flood Insurance Rate Maps (FIRM).
ROUTINE USE(S): The information on this form may be disclosed as generally permitted under 5 U.S.C § 552a(b) of the Privacy Act of 1974, as amended. This includes using this information as necessary and authorized by the routine uses published in DHS/FEMA/NFIP/LOMA-1 National Flood Insurance Program (NFIP); Letter of Map Amendment (LOMA) February 15, 2006, 71 FR 7990.
DISCLOSURE: The disclosure of information on this form is voluntary; however, failure to provide the information requested may delay or prevent FEMA from processing a determination regarding a requested change to a (NFIP) Flood Insurance Rate Maps (FIRM).

Flooding Source:

NOTE: Fill out one form for each flooding source studied.

A. GENERAL

Complete the appropriate section(s) for each Structure listed below:

Channelization:	*Complete Section B*
Bridge/Culvert:	*Complete Section C*
Dam:	*Complete Section D*
Levee/Floodwall:	*Complete Section E*
Sediment Transport:	*Complete Section F (if required)*

DESCRIPTION OF MODELED STRUCTURE

1.	Name of Structure:	Type (check one): ☐ Channelization ☐ Bridge/Culvert ☐ Levee/Floodwall ☐ Dam
	Location of Structure:	
	Downstream Limit/Cross Section	Upstream Limit/Cross Section:
2.	Name of Structure:	Type (check one): ☐ Channelization ☐ Bridge/Culvert ☐ Levee/Floodwall ☐ Dam
	Location of Structure:	
	Downstream Limit/Cross Section	Upstream Limit/Cross Section:
3.	Name of Structure:	Type (check one): ☐ Channelization ☐ Bridge/Culvert ☐ Levee/Floodwall ☐ Dam
	Location of Structure:	
	Downstream Limit/Cross Section	Upstream Limit/Cross Section:

NOTE: FOR MORE STRUCTURES, ATTACH ADDITIONAL PAGES AS NEEDED.

B. CHANNELIZATION

Flooding Source:

Name of Structure:

1. HYDRAULIC CONSIDERATIONS

The channel was designated to carry (cfs) and/or the -year flood and/or the % annual-chance.

The design elevation in the channel is based on (check one):

☐ Subcritical flow ☐ Critical flow ☐ Supercritical flow ☐ Energy grade line

If there is the potential for a hydraulic jump at the following locations, check all that apply and attach an explanation of how the hydraulic jump is controlled without affecting the stability of the channel.

☐ Inlet to channel ☐ Outlet to channel ☐ At Drop Structures ☐ At Transitions

☐ Other locations (Specify): _____

2. CHANNEL DESIGN PLANS

Attach the plans of the channelization certified by a registered professional engineer, as described in the instructions.

3. ACCESSORY STRUCTURES

The channelization includes (check one):

☐ Levees [Attach Section E (Levee/Floodwall)] ☐ Drop structures ☐ Superelevated sections ☐ Energy dissipater

☐ Transitions in cross sectional geometry ☐ Debris basin/detention basin [Attach Section D (Dam/Basin)] ☐ Weir

☐ Other (Describe): _____

4. SEDIMENT TRANSPORT CONSIDERATIONS

Are the hydraulics of the channel affected by sediment transport?

☐ Yes (If "Yes," then fill out Section F (Sediment Transport) of Form 3)

☐ No (If "No," then attach your explanation for why sediment transport was not considered)

C. BRIDGE/CULVERT

Flooding Source:

Name of Structure:

1. This revision reflects (check one):

☐ Bridge/Culvert not modeled in the FIS ☐ Modified Bridge/Culvert previously modeled in the FIS

☐ Revised analysis of Bridge/Culvert previously modeled in the FIS

2. Hydraulic model used to analyze the structure (e.g., HEC-RAS, 1-D, or 2-D; unsteady or steady flow conditions):

If different than hydraulic analysis for the flooding source, justify why the hydraulic analysis used for the flooding source could not analyze the structures. Attach justification.

3. Attach plans of the structures certified by a registered professional engineer. The plan detail and information should include the following (check the information that has been provided):

☐ Dimensions (height, width, span, radius, length) ☐ Shape (culverts only) ☐ Material ☐ Beveling and Rounding

☐ Wing Wall Angle ☐ Skew Angle ☐ Distance between Cross Sections ☐ Erosion Protection

☐ Low Chord Elevations - Upstream and Downstream ☐ Top of Road Elevations - Upstream and Downstream

☐ Structure Invert Elevations - Upstream and Downstream ☐ Steam Invert Elevations - Upstream and Downstream

☐ Cross-Section Locations

4. Sediment Transport Considerations

Are the hydraulics of the channel affected by sediment transport?

☐ Yes (If "yes," then fill out Section F (Sediment Transport) of Form 3)

☐ No (If "No," then attach your explanation for why sediment transport was not considered)

D. DAM/BASIN

Flooding Source:

Name of Structure:

1. This request is for (check one):

☐ Existing Dam/Basin ☐ New Dam/Basin ☐ Modification of Existing Dam/Basin

2. The Dam/Basin was designed by (check one):

☐ Federal Agency ☐ State Agency ☐ Private Organization ☐ Local Government Agency

Name of the Agency or Organization: _____

3. The Dam was permitted as (check one):

☐ Federal Dam ☐ State Dam

Provide the permit or identification number (ID) for the dam and the appropriate permitting agency or organization.

Permit or ID Number: _____ Permitting Agency or Organization: _____

a. ☐ Local Government Dam ☐ Private Dam

Provided related drawings, specification and supporting design information.

4. Does the project involve revised hydrology?

☐ Yes (If "Yes," complete the Riverine Hydrology & Hydraulics Form (Form 2)) ☐ No

Was the dam/basin designed using Probable Maximum Flood? (must account for the maximum volume of runoff)

☐ Yes, provide supporting documentation with your completed Form 2

☐ No, provide a written explanation and justification for not using the Probable Maximum Flood

5. Does the submittal include debris/sediment yield analysis?

☐ Yes (If "Yes," then fill out Section F (Sediment Transport))

☐ No (If "No," then attach your explanation for why debris/sediment analysis was not considered)

6. Does the Base Flood Elevation behind the dam/basin or downstream of the dam/basin change?

☐ Yes (If "Yes," complete the Riverine Hydrology & Hydraulics Form (Form 2) and complete the table below) ☐ No

STILLWATER ELEVATION BEHIND THE DAM/BASIN		
RECURRENCE INTERVAL (% ANNUAL CHANCE)	**FIS**	**REVISED**
10-YEAR (10%)		
50-YEAR (2%)		
100-YEAR (1%)		
500-YEAR (0.2%)		
NORMAL POOL ELEVATION		

7. Please attach a copy of the formal Operation and Maintenance Plan.

8. Is the dam in an area where seismic events could cause hazardous condition for the dam?

☐ Yes (If "Yes," provide seismic study conducted on potential ground motion effects on project features) ☐ No

E. LEVEE/FLOODWALL

1. SYSTEM ELEMENTS

A. This Levee/Floodwall analysis is based on (check one):

☐ Upgrading of an existing levee/floodwall system ☐ A newly constructed levee/floodwall system

☐ Reanalysis of an existing levee/floodwall system

B. Levee elements and locations are (check one):

☐ Embankment/floodwall reaches and locations Stationed _____ to _____

☐ Structured floodwall Stationed _____ to _____

☐ Other (Describe): _____ Stationed _____ to _____

E. LEVEE/FLOODWALL (Continued)

1. SYSTEM ELEMENTS (Continued)

C. Floodwall Type (check one):

☐ Monolithic cast-in reinforced concrete ☐ Reinforced concrete masonry block ☐ Sheet piling
☐ Other (Describe): _____

D. Has this levee/floodwall system been certified by a Federal agency to provide protection from the base flood?

☐ Yes ☐ No

If "Yes," by which agency? _____

If "Yes," then no other sections of this form needed to be completed.

E. Attach certified drawings containing the following information (indicate drawing sheet numbers):

1. Plan of the levee embankment and floodwall structures. Sheet Numbers: _____
2. A profile of the levee/floodwall system showing the Base Flood Elevation (BFE), levee and/or wall crest and foundation, and closure locations for the total levee system. Sheet Numbers: _____
3. A profile of the levee/floodwall system showing the Base Flood Elevation (BFE), levee and/or wall crest and foundation, and closure locations for the total levee system. Sheet Numbers: _____
4. A layout detail for the embankment protection measures. Sheet Numbers: _____
5. Location, layout, and size and shape of the levee embankment features, foundation treatment, Floodwall structure, closure structures, and pump stations. Sheet Numbers: _____

2. FREEBOARD

The minimum freeboard provided above the BFE is:

Riverine

3.0 feet or more at the downstream end and throughout	☐ Yes	☐ No
3.5 feet or more at the upstream end	☐ Yes	☐ No
4.0 feet within 100 feet upstream of all structures and/or constrictions	☐ Yes	☐ No

Coastal

1.0 foot above the height of the one percent wave associated with the 1%-annual-chance stillwater surge elevation or maximum wave runup (whichever is greater).	☐ Yes	☐ No
2.0 feet above the 1%-annual-chance stillwater surge elevation	☐ Yes	☐ No

Please note, occasionally exceptions are made to the minimum freeboard requirement. If an exception is requested, attach documentation addressing Paragraph 65.10(b)(1)(ii) of the NFIP Regulations.

If "No" is answered to any of the above, please attach an explanation.

Is there an indication from historical records that ice-jamming can affect the BFE? ☐ Yes ☐ No

3. CLOSURES

Openings through the levee system (check one):

☐ Exists ☐ Does not exist

If opening exists, list all closures:

CHANNEL STATION	LEFT OR RIGHT BANK	OPENING TYPE	HIGHEST ELEVATION FOR OPENING INVERT	TYPE OF CLOSURE DEVICE

(Extend table on an added sheet as needed and reference)

NOTE: *Geotechnical and geologic data.*

In addition to the required detailed analysis reports, data obtained during field and laboratory investigations and used in the design analysis for the following system features should be submitted in a tabulated summary form.

E. LEVEE/FLOODWALL (Continued)

4. EMBANKMENT PROTECTION

A. The maximum levee slope land side is:

B. The maximum levee slope flood side is:

C. The range of velocities along the levee during the base flood is: (min) to (max)

D. Embankment material is protected by (describe what kind):

E. Riprap Design Parameters (check one):

☐ Velocity ☐ Tractive Stress

Attach references

REACH	SIDESLOPE	FLOW DEPTH	VELOCITY	CURVE OR STRAIGHT	STONE RIPRAP			DEPTH OF TOEDOWN
					D_{100}	D_{50}	THICKNESS	
Sta to								
Sta to								
Sta to								
Sta to								
Sta to								
Sta to								

(Extend table on an added sheet as needed and reference each entry)

F. Is a bedding/filter analysis and design attached?

☐ Yes ☐ No

G. Describe the analysis used for other kinds of protection used (include copies of the design analysis):

Attach engineering analysis to support construction plans.

5. EMBANKMENT AND FOUNDATION STABILITY

A. Identify locations and describe the basis for selection of critical location for analysis:

☐ Overall height: STA: _____ , height _____ ft.

☐ Limiting foundation soil strength: Strength ϕ = _____ degrees, c = _____ psf

Slope: SS = _____ (h) to _____ (v)

(Repeat as needed on an added sheet for additional locations)

B. Specify the embankment stability analysis methodology used (e.g., circular arc, sliding block, infinite slope, etc.):

C. Summary of stability analysis results:

D. Was a seepage analysis for the embankment performed?

☐ Yes ☐ No

If "Yes," describe methodology used:

E. LEVEE/FLOODWALL (Continued)

5. EMBANKMENT AND FOUNDATION STABILITY (Continued)

F. Were uplift pressures at the embankment landside toe checked?

☐ Yes ☐ No

G. Were seepage exit gradients checked for piping potential?

☐ Yes ☐ No

H. The duration of the base flood hydrograph against the embankment is: _____ hours

Attach engineering analysis to support construction plans.

6. FLOODWALL AND FOUNDATION STABILITY

A. Was a stability analysis performed?

☐ Yes ☐ No

B. Design water elevations = _____

Design wave height and period = ft. and sec.

C. Was the foundation bearing or pile capacity checked?

☐ Yes ☐ No

E. Level of Design ☐ is, ☐ is not provided.

If provided, attach explanation and supporting documentation. Attach engineering analysis to support construction plans.

7. SETTLEMENT

A. Has anticipated potential settlement been determined and incorporated into the specified construction elevations to maintain the established freeboard margin?

☐ Yes ☐ No

B. The computed settlement range is ft. to ft.

C. Settlement of the levee crest is determined to be primarily from:

☐ Foundation consolidation ☐ Embankment compression ☐ Other (Describe): _____

D. Differential settlement of floodwalls ☐ has ☐ has not been accommodated in the structural design and construction.

Attach engineering analysis to support construction plans.

8. INTERIOR DRAINAGE

A. Specify size of each interior watershed:

Drainage to pressure conduit: _____ acres
Drainage to ponding area: _____ acres

B. Relationship Established:

Ponding elevation vs. storage	☐ Yes	☐ No
Ponding elevation vs. gravity flow	☐ Yes	☐ No
Differential head vs. gravity flow	☐ Yes	☐ No

C. The river flow duration curve is enclosed:

☐ Yes ☐ No

D. Specify the discharge capacity of the head pressure conduit: cfs.

E. LEVEE/FLOODWALL (Continued)

8. INTERIOR DRAINAGE (Continued)

E. Which flooding conditions were analyzed?

Gravity flow (Interior Watershed)	☐ Yes	☐ No
Common storm (River Watershed)	☐ Yes	☐ No
Historical ponding probability	☐ Yes	☐ No
Coastal wave overtopping	☐ Yes	☐ No

If "No" for any of the above, attach explanation.

F. Interior drainage has been analyzed based on joint probability of interior and exterior flooding and the capacities of pumping and outlet facilities to provide the established level of design.

☐ Yes ☐ No

If "No," attach explanation.

G. The rate of seepage through the levee system for the base flood is: cfs.

H. The length of levee system used to drive this seepage rate in item G: ft.

I. Will pumping station be used for interior drainage?

☐ Yes ☐ No

If "Yes," include the number of pumping stations: _____

For each pumping station, list:

	PUMPING STATION #1	PUMPING STATION #2
The number of pumps		
The ponding storage capacity		
The maximum pumping rate		
The maximum pumping head		
The pumping starting elevation		
The pumping stopping elevation		
Is the discharge facility protected?		
Is there a flood warning plan?		
How much time is available between the trigger to operate the pump station and potential flooding?		

Will the operation be automatic?

☐ Yes ☐ No

If the pumps are electric, are there backup power sources?

☐ Yes ☐ No

Include a copy of supporting documentation of data and analysis. Provide a map showing the flooded area and maximum ponding elevations for all interior watersheds that result in flooding.

9. OTHER DESIGN CRITIERIA

A. The following items have been addressed as stated:

Liquefaction ☐ is ☐ is not a problem
Hydrocompaction ☐ is ☐ is not a problem
Heave differential movement due to soils of high shrink/swell ☐ is ☐ is not a problem

B. For each of these problems, state the basic facts and corrective action taken:

Attach supporting documentation.

E. LEVEE/FLOODWALL (Continued)

9. OTHER DESIGN CRITIERIA (Continued)

C. If the levee/floodwall is new or enlarged, will the structure adversely impact flood levels and/or flow velocities waterside of the structure?

☐ Yes ☐ No

D. Sediment Transport Considerations

Was sediment transport considered?

☐ Yes (If "Yes," then fill out Section F (Sediment Transport) of Form 3)

☐ No (If "No," then attach your explanation for why sediment transport was not considered)

10. OPERATIONAL PLAN AND CRITIERIA

A. Are the planned/installed works in full compliance with Part 65.10 of the NFIP Regulations?

☐ Yes ☐ No

B. Does the operation plan incorporate all the provisions for closure devices as required in Paragraph 65.10(c)(1) of the NFIP regulations?

☐ Yes ☐ No

C. Does the operation plan incorporate all the provisions for interior drainage as required in Paragraph 65.10(c)(2) of the NFIP regulations?

☐ Yes ☐ No

If "No" for any of the above, please attach supporting documentation.

11. MAINTENANCE PLAN

Please attach a copy of the formal Maintenance Plan for the levee/floodwall.

12. OPERATIONAL AND MAINTENANCE PLAN

Please attach a copy of the formal Operations and Maintenance Plan for the levee/floodwall.

CERTIFICATION OF THE LEVEE DOCUMENTATION

This certification is to be signed and sealed by a licensed registered professional engineer authorized by law to certify elevation information data, hydrologic and hydraulic analysis, and any other supporting information as per NFIP regulations paragraph 65.10(e) and as described in the MT-2 Forms Instructions. All documents submitted in support of this request are correct to the best of my knowledge. I understand that any false statement may be punishable by fine or imprisonment under Title 18 of the United States Code, Section 1001.

Certifier's Name: _____ License No.: _____ Expiration Date: _____

Company Name: _____ Telephone No.: _____ Fax No.: _____

Signature: _____ Date: _____

E-mail Address: _____

F. SEDIMENT TRANSPORT

Flooding Source:

Name of Structure:

If there is any indication from historical records that sediment transport (including scour and deposition) can affect the Base Flood Elevation (BFE); and/or based on the stream morphology, vegetative cover, development of the watershed and bank conditions, there is a potential for debris and sediment transport (including scour and deposition) to affect the BFEs, then provide the following information along with the supporting documentation:

Sediment load associated with the base flood discharge: Volume _____ acres-feet.

Debris load associated with the base flood discharge: Volume _____ acres-feet.

Sediment transport rate _____ (percent concentration by volume)

Method used to estimate sediment transport: _____

F. SEDIMENT TRANSPORT (Continued)

Most sediment transport formulas are intended for a range of hydraulic conditions and sediment sizes; attach a detailed explanation for using the selected method.

Method used to estimate scour and/or deposition: _____

Method used to revise hydraulic or hydrologic analysis (model) to account for sediment transport: _____

Please note that bulked flows are used to evaluate the performance of a structure during the base flood; however, FEMA does not map BFEs based on bulked flows.

If a sediment analysis has not been performed, an explanation as to why sediment transport (including scour and deposition) will not affect the BFEs or structures must be provided.