**Public Burden Statement**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number.  The valid OMB control number for this information collection is 1845-NEW.  Public reporting burden for this collection of information is estimated to average 10 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.  The obligation to respond to this collection is required to obtain or retain benefit (Sections 484(t)(B) of the Higher Education Act of 1965, as amended).  If you have any comments concerning the accuracy of the time estimate, suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application or survey, please email [PEP@ed.gov](mailto:PEP@ed.gov).

**ICR Data Collection Items - Questions for School Survey (For PEPs)**

| **Item #** | **ICR Data Collection Item – School Survey – PEP** |
| --- | --- |
| 1 | What is the institution’s six-digit OPEID number? |
| 2 | What is the name of the institution? |
| 3 | Please identify the challenges experienced while administering the prison education program(s) during the most recently completed award year. Check all that apply:   * Partnering with correctional facilities to offer postsecondary education to confined or incarcerated students. * Finding enough confined or incarcerated students who are interested in participating in postsecondary education. * Finding enough confined or incarcerated students who are interested *and eligible* to receive a Pell Grant (if your institution’s PEP(s) rely solely on Pell funding). * Modifying program degree requirements for confined or incarcerated students. * Modifying course content or format for confined or incarcerated students. * Confined or incarcerated students being able to complete the FAFSA. * Confined or incarcerated students being able to obtain other needed documentation for enrollment (such as high school transcript). * Transfer of postsecondary credits earned previously. * Articulation agreements with other postsecondary institutions. * Financial barriers prevent participation in postsecondary programs, even with the Pell Grant. * Maintaining sufficient student enrollment or attendance once classes start. * Confined or incarcerated students leaving the program when they move to other facilities. * Confined or Incarcerated students leaving the program when they are released from prison. * Finding instructors to teach postsecondary courses to confined or incarcerated students. * Technological limitations in correctional facilities, including issues with internet access. * Providing necessary academic resources, such as books, library, or computers. * Communicating with students due to limited access to phones or email. * Interruptions to or cancellation of instruction * Ensuring the safety of instructors * Ensuring the safety of students * Finding adequate classroom space * Confined or incarcerated students leaving the program for reasons such as schedule-conflicts with prison jobs or other mandatory programming * Limitations because the correctional facility is located in a remote area * Implementation of “education holds,” which permit students to avoid transfer to another facility while they are enrolled in the program. * Cultural conflicts or lack of buy-in from correctional staff (e.g. correctional officers) * Modifying existing programs to conform with PPA regulations. Please specify. \_\_\_\_\_\_\_\_\_\_ * No challenges. * Other. Please specify.\_\_\_\_\_\_\_\_\_\_\_ |
| 4 | Based on your response to question #3, what was the institution’s most significant challenge? |
| 5 | Based on your response to question #3, what was the institution’s second most significant challenge? |
| 6 | Based on your response to question #3, what was the institution’s third most significant challenge? |
| 7 | As part of outreach for a PEP, please indicate which of the following activities staff from your postsecondary institution engaged in. Check all that apply.   * Identify/develop postsecondary programs for confined or incarcerated individuals * Provide information about the college and/or admission process for the PEP * Identify/recruit potential confined or incarcerated students for postsecondary programs * Assist potential postsecondary students with completing the FAFSA * Assist potential postsecondary students with obtaining their high school transcripts * Assist potential postsecondary students with obtaining their High School Equivalency or documentation * Assist potential postsecondary students with obtaining documentation of postsecondary credits earned previously * Other, please specify * Don’t know |
| 8 | As part of outreach for a PEP, please indicate which of the following activities staff from your partnering correctional institution engaged in. Check all that apply.   * Identify/develop postsecondary programs for confined or incarcerated individuals * Identify/recruit potential confined or incarcerated students for postsecondary programs * Assist potential postsecondary students with completing the FAFSA * Assist potential postsecondary students with obtaining their high school transcripts * Assist potential postsecondary students with obtaining their High School Equivalency or documentation * Assist potential postsecondary students with obtaining documentation of postsecondary credits earned previously * Other, please specify * Don’t know |
| 9 | In the most recently completed award year, did your institution limit enrollment in the PEP to students who are eligible for Pell?   * Yes * No   If Yes, how many interested people were unable to enroll in the PEP because they did not successfully complete a FAFSA (in the most recently completed award year)?  If No, what type of funding is available for students not eligible for Pell? |
| 10 | What difficulties did applicants face when attempting to complete the FAFSA? Check all that apply Students had trouble obtaining tax documentation for themselves   * Students had trouble obtaining tax documentation for their spouse or parents * Adult Students had trouble obtaining identification documentation (for example those confined or incarcerated under an alias or individuals whose name “change” process is stalled due to being confined or incarcerated) * Students who were juveniles sentenced as adults had trouble obtaining identification documentation * Students who are asylees had trouble obtaining documentation confirming eligible noncitizen status * Students had trouble regaining Title IV eligibility after defaulting on student loans or receiving an overpayment of a Title IV grant * Students lacked Internet access to complete the FAFSA and/or obtain needed paperwork. * Students declined to complete the FAFSA * Other (please specify) |
| 11 | Were you able to enroll all students who expressed interest in the PEP? (Yes/No)  If No,  Why not? Check all that apply:   * Lack of correctional facility staff resources * Lack of college staff resources * Lack of classroom space * Lack of student interest in the specific types of program(s) actually offered * Interested students failed to meet correctional facility criteria for participation * Other. Please describe: |
| 12 | Does the correctional institution prohibit otherwise eligible confined or incarcerated students from enrolling in the PEP? Yes/No - if “Yes”  How does the correctional institution limit participation?  Please check all that apply.   * Categorically-based, all potential student who committed violent infractions during incarceration. * Categorically-based, all potential students who had committed a given number of infractions. * Categorically-based on time until scheduled release. * Categorically-based exclusion on the type of offense. * Individually-based on the specific combination of factors within a given person. * Other please specify: |
| 13 | What types of re-entry and career services does your institution and your correctional facility partner(s)offer to participating students (both while a student is enrolled and post-release)? Check all that apply:   |  |  |  |  | | --- | --- | --- | --- | |  | Your institution | Correctional facility partner(s) | Community organizations or other partners (if applicable) | | Case management | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Referral to social supports | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Job placement and career development assistance | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Advocacy during court/parole hearings | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Academic support services | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Support and assistance for entering college | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Other, please specify | * Yes (specify) * No * Don’t know | * Yes (specify) * No * Don’t know | * Yes (specify) * No * Don’t know |   During the most recently completed award year, what percentage of Pell grant recipients participating in a PEP were provided any of these services? |
| 14 | Does your postsecondary institution, correctional facility, or other entity administer a student satisfaction questionnaire to confined or incarcerated students about the PEP?   * Yes * No   If Yes, please describe the key topics in the questionnaire \_\_\_\_\_\_\_\_  If Yes, are any changes planned or were any changes made in relation to the administration of any PEP based on the results of the questionnaire?   * Yes * No  If Yes, please describe below\_\_\_\_\_\_\_\_\_ |
| 15 | List the federal correctional facilities in which your institution partnered to offer an eligible PEP to confined or incarcerated students (include city and state). |
| 16 | List the state correctional facilities in which your institution partnered to offer an eligible PEP to confined or incarcerated students (include city and state where facility is located). |
| 17 | List the local jail(s) in which your institution partnered to offer an eligible PEP to confined or incarcerated students (include city and state where each facility is located). |
| 18 | List the juvenile justice facilities in which your institution partnered to offer an eligible PEP to confined or incarcerated students (include city and state where facility is located) |
| 19 | List any other facilities (such as private facilities contracted by a State) in which your institution partnered to offer an eligible PEP to confined incarcerated students (please describe the type of facility and include city and state where facility is located). |
| 20 | How many programs does your institution offer for PEP? |
| 21 | What are the names of the PEP programs and the degrees/certificate offered/CIP Codes? (Insert names of programs and the degree/certificate offered/CIP codes for each). |
| 22 | Of the programs offered in #21 above, provide the following information for the program with the **most** students enrolled:  Name of Program:  Degree offered: select from picklist   * Certificate * Stackable certificates that can lead to 2-year or 4-year degree * Associate degree * Bachelor’s degree   Provide the name and location of correctional facility  Does the program have a waiting list?   * Yes * No   If yes, how many students are on the waiting list?  If yes, provide a reason for waiting list (select from picklist)   * Spacing (physical) * Enrollment limitations * Staffing * Program not ready to be offered * Other (please specify)   Does the program have enrollment restrictions?   * Yes * No   If yes, please provide a listing of these restrictions below  \_\_\_\_\_\_\_\_  If yes, are the restrictions imposed by (select from below)   * Institution * Correctional Facility * Both   Compared with the program (or course of study) offered on campus to students not confined or incarcerated, which of the following modifications did the institution make to the program in order to offer it as a PEP? Please check all that apply.   * Decrease online instruction. * Increase online instruction. * Less frequent class meetings. * More frequent class meetings. * Decrease requirements for completion. * Increase requirements for completion. * Divide courses into shorter modules. * Divide courses into longer modules. * No modifications made. * This program is not offered on campus to students not confined or incarcerated. * Other. Please specify.   Which of the following factors influenced the decision to offer the PEP?  Please check all that apply.   * Could be implemented onsite in the correctional facility * Could be feasibly delivered online * Enough student interest * Students were likely to have the necessary prerequisites * Instructors available to teach the classes * High labor-market demand * Program aligns with institutional mission and vision * Other. Please specify.   How is postsecondary instruction delivered to confined or incarcerated students in this program? Select all that apply.   * Face-to-face * Online * Other, please describe:   How are confined or incarcerated students enrolled in this program taught?   * Cohort model (a group of students move through the program together) * Individual students at different points on their educational trajectories * A cohort model for some programs and individual trajectories for other programs * Other, please describe:   How does the tuition and fees charged to confined or incarcerated students enrolled in this program compare to tuition and fees charged to regular students on campus:   * Higher * Lower * The same |
| 23 | Of the programs offered in question 21 above provide the following information for the program with the **2nd most** students enrolled, as applicable:  Name of Program:  Degree offered: select from picklist   * Certificate * Stackable certificates that can lead to 2-year or 4-year degree * Associate degree * Bachelor’s degree   Provide the name and location of correctional facility  Does the program have a waiting list?   * Yes * No   If yes, how many students are on the waiting list?  If yes, provide a reason for waiting list (select from picklist)   * Spacing (physical) * Enrollment limitations * Staffing * Program not ready to be offered * Other (please specify)   Does the program have enrollment restrictions?   * Yes * No   If yes, are the restrictions imposed by (select from picklist)   * Institution * Correctional Facility * Both   Compared with the program (or course of study) offered on campus to students not confined or incarcerated, which of the following modifications did the institution make to the program in order to offer it as a PEP? Please check all that apply.   * Decrease online instruction. * Increase online instruction. * Less frequent class meetings. * More frequent class meetings. * Decrease requirements for completion. * Increase requirements for completion. * Divide courses into shorter modules. * Divide courses into longer modules. * No modifications made. * This program is not offered on campus to students not confined or incarcerated. * Other. Please specify.   Which of the following factors influenced the decision to offer the program?  Please check all that apply.   * Could be implemented onsite in the correctional facility * Could be feasibly delivered online * Enough student interest * Students were likely to have the necessary prerequisites * Instructors available to teach the classes * High labor-market demand * Program aligns with institutional mission and vision * Other. Please specify.   How is postsecondary instruction delivered to confined or incarcerated students in this program? Select all that apply.   * Face-to-face * Online * Other, please describe:   How are confined or incarcerated students enrolled in this program taught?   * Cohort model (a group of students move through the program together) * Individual students at different points on their educational trajectories * A cohort model for some programs and individual trajectories for other programs * Other, please describe:   How does tuition and fees charged to confined or incarcerated students enrolled in this program compare to tuition and fees charged to regular students on campus:   * Higher * Lower * The same |
| 24 | Of the programs offered in question 21 above, provide the following information for the program with the **3rd most** students enrolled:  Name of Program:  Degree offered: select from picklist   * Certificate * Stackable certificates that can lead to 2-year or 4-year degree * Associate degree * Bachelor’s degree   Provide the name and location of correctional facility  Does the program have a waiting list?   * Yes * No   If yes, how many students are on the waiting list?  If yes, provide a reason for waiting list (select from picklist)   * Spacing (physical) * Enrollment limitations * Staffing * Program not ready to be offered * Other (please specify)   Does the program have enrollment restrictions?   * Yes * No   If yes, are the restrictions imposed by (select from picklist)   * Institution * Correctional Facility * Both   Compared with the program (or course of study) offered on campus to students not confined or incarcerated, which of the following modifications did the institution make to the program in order to offer it as a PEP? Please check all that apply.   * Decrease online instruction. * Increase online instruction. * Less frequent class meetings. * More frequent class meetings. * Decrease requirements for completion. * Increase requirements for completion. * Divide courses into shorter modules. * Divide courses into longer modules. * No modifications made. * This program is not offered on campus to students not confined or incarcerated. * Other. Please specify.   Which of the following factors influenced the decision to offer the program?  Please check all that apply.   * Could be implemented onsite in the correctional facility * Could be feasibly delivered online * Enough student interest * Students were likely to have the necessary prerequisites * Instructors available to teach the classes * High labor-market demand * Program aligns with institutional mission and vision * Other. Please specify.   How is postsecondary instruction delivered to confined or incarcerated students in this program? Select all that apply.   * Face-to-face * Online * Other, please describe:   How are confined or incarcerated students enrolled in this program taught?   * Cohort model (a group of students move through the program together) * Individual students at different points on their educational trajectories * A cohort model for some programs and individual trajectories for other programs * Other, please describe:   How does tuition and fees charged to confined or incarcerated students enrolled in this program compare to tuition and fees charged to regular students on campus:   * Higher * Lower * The same |
| 25 | Has your institution collected information about whether a participant has transferred to another correctional facility (note the institution is required to collect transfer and release data from the oversight entity according to 34 CFR 668.239(c)?   * Yes * No (please indicate when this information will be collected to comply with this requirement)   Do you currently track students’ academic progress after they are transferred?   * Yes, but only if the participant remains enrolled in our school * Yes, even if the participant is no longer enrolled in our school * No   If you answered “no”, what are the biggest barriers to collecting this information? |
| 26 | Has your institution collected information about when a participant is released from incarceration (note the institution is required to collect transfer and release data from the oversight entity according to 34 CFR 668.239(c).?   * Yes * No (please indicate when this information will be collected to comply with this requirement) |
| 27 | Does your institution currently collect information on any of the following post-release outcomes?   |  |  |  |  | | --- | --- | --- | --- | |  | Yes | No | If yes, what is the data source? | | Attainment of a postsecondary degree or credential |  |  | * Participant survey * Institutional records * National Student Clearinghouse * State education records * U.S. Department of Education records * Other (specify) \_\_\_\_\_\_\_ * I don’t know | | Continued enrollment in postsecondary education |  |  | * Participant survey * Institutional records * National Student Clearinghouse * State education records * U.S. Department of Education records * Other (specify) \_\_\_\_\_\_\_ * I don’t know | | Credit transfer from prison education program to post-release postsecondary program |  |  | * Participant survey * Institutional records * State education records * Other (specify) \_\_\_\_\_\_\_ * I don’t know | | Employment |  |  | * Participant survey * State unemployment insurance records * Other (specify) \_\_\_\_\_\_\_ * I don’t know | | Earnings |  |  | * Participant survey * State unemployment insurance records * Other (specify) \_\_\_\_\_\_\_ * I don’t know | | Recidivism |  |  | * Participant survey * Correctional facility records * State law enforcement database * Local law enforcement database * Other (specify) \_\_\_\_\_\_\_ * I don’t know | |
| 28 | Please provide a brief description of the information you provided to prospective students on each of the following:   1. Post-release work opportunities (including potential challenges related to professional licensure) 2. Credit transfer opportunities (including into new programs and post-release) 3. The impact of transferring between prison facilities 4. Lifetime eligibility restrictions of the Pell Grant |
| 29 | What was the process that the institution used in disseminating information on Fresh Start? (i.e., How were students notified of the Fresh Start Initiative?)   * Provided counseling * Provided written materials * Did not provide additional information on Fresh Start * Did not know about Fresh Start * Other. Please specify. |
| 30 | Did your institution partner with other entities to provide any part of any eligible PEP?   * Yes * No   If Yes, which of the following describes your partnership? Select all that apply.   * Partnership with other Title IV institutions providing instruction * Partnership with other Title IV institutions providing financial aid * Partnership with other Title IV institutions providing services other than instruction (i.e. career, employment, housing) * Partnership with non-Title IV entity providing instruction. * Partnership with non-Title IV entity institutions processing financial aid (i.e. third-party servicer). * Partnership with non-Title IV entity providing services other than instruction (i.e. career, employment, housing) * Other (please explain) |
| 31 | How does your institution recruit students for your prison education program(s)? (check all that apply)   * Establishing strong relationships with Adult Basic Education and high school equivalency instructors and administrators * Incorporating information on their programs into the prison intake process * Involving current and former students in recruitment efforts, such as during recruitment sessions or by sharing information with their peers. * Other (please explain) |
| 32 | Does your institution allow students who are not confined or incarcerated to enroll in PEPs?   * Yes * No |
| 33 | The Department is interested in the type of participation in PEP(s) that is allowed (if any) for individuals who are **not** currently confined or incarcerated.  Please read each of the descriptions and check all that apply to your PEP(s).   * Individuals who are not currently confined or incarcerated are not allowed to participate in our PEP(s) * Individuals who were formerly confined or incarcerated are allowed to complete individual courses they began while confined or incarcerated after their release * Individuals who were formerly confined or incarcerated are allowed to complete programs they began while confined or incarcerated after their release * Individuals who were formerly confined or incarcerated are allowed to enroll in new programs after their release * Individuals who were not previously confined or incarcerated are allowed to enroll in at least one of our PEP(s) * Individuals who are not currently confined or incarcerated but are employed by the correctional facility   Other please specify: |
| 34 | Regardless of whether or not non-incarcerated individuals are enrolled in your PEP(s), what benefits do you see coming from such enrollment? Check all that apply:   * Fostering meaningful relationships between incarcerated individuals and the community * Providing equity and access to programs * Promoting Diversity of Ideas and Learning * Other please specify: |
| 35 | Explain how the experience, credentials, and rates of turnover or departure of instructors for the prison education program compare to other programs at the institution. |
| 36 | The Best Interest of Students determination is required for **all** PEPs. For the PEP with the **most** students enrolled, what criteria did the institution/oversight entity consider when it performed the Best Interest Determination for the program required under [34 CFR 668.241](https://www.ecfr.gov/current/title-34/section-668.241)? (check all that apply)   * **Best Interest Determination has NOT been made yet (program has not been in operation for two years)**   **Required**   * Instruction Quality: Whether the experience, credentials, and rates of turnover or departure of instructors for the prison education program are substantially similar to other programs at the institution, accounting for the unique geographic and other constraints of prison education programs * Transferability of Credits: Whether the transferability of credits for courses available to confined or incarcerated individuals and the applicability of such credits toward related degree or certificate programs is substantially similar to those at other similar programs at the institution, accounting for the unique geographic and other constraints of prison education programs * Advising: Whether the prison education program's offering of relevant academic and career advising services to participating confined or incarcerated individuals, while they are confined or incarcerated, in advance of reentry, and upon release, is substantially similar to offerings to a student who is not a confined or incarcerated individual and who is enrolled in, and may be preparing to transfer from, the same institution, accounting for the unique geographic and other constraints of prison education programs * Program Completion: Whether the institution ensures that all formerly confined or incarcerated individuals are able to fully transfer their credits and continue their programs at any location of the institution that offers a comparable program, including by the same mode of instruction   **Optional**   * Recidivism: Whether the rates of recidivism, which do not include any recidivism by the student after a reasonable number of years of release and which only include new felony convictions, defined as each sentence of imprisonment exceeding one year and one month (see United States Sentencing Guideline [section 4A1.1(a)](https://www.ecfr.gov/current/title-34/section-4A1.1#p-4A1.1(a))), meet thresholds set by the oversight entity * Program Completion: Whether the rates of completion reported by the Department, which do not include any students who were transferred across facilities and which account for the status of part-time students, meet thresholds set by the oversight entity with input from relevant stakeholders * Education Continuation: Whether the rate of confined or incarcerated individuals continuing their education post-release, as determined by the percentage of students who reenroll in higher education reported by the Department, meets thresholds established by the oversight entity with input from relevant stakeholders * Job Placement: Whether job placement rates in the relevant field for such individuals meet any applicable standards required by the accrediting agency for the institution or program or a State where the institution is authorized. If no job placement rate standard applies to prison education programs offered by the institution, the oversight entity may define, and the institution may report, a job placement rate, with input from relevant stakeholders * Earnings: Earnings for such individuals, which could include measuring such earnings against a threshold established by the oversight entity * Other: Other indicators pertinent to program success as determined by the oversight entity (please list other indicators) |
| 37 | The Best Interest of Students determination is required for **all** PEPs. For the PEP with the **2nd** **most** students enrolled, what criteria did the institution/oversight entity consider when it performed the Best Interest Determination for the program required under [34 CFR 668.241](https://www.ecfr.gov/current/title-34/section-668.241)? (check all that apply)   * **Best Interest Determination has NOT been made yet (program has not been in operation for two years)**   **Required**   * Instruction Quality: Whether the experience, credentials, and rates of turnover or departure of instructors for the prison education program are substantially similar to other programs at the institution, accounting for the unique geographic and other constraints of prison education programs * Transferability of Credits: Whether the transferability of credits for courses available to confined or incarcerated individuals and the applicability of such credits toward related degree or certificate programs is substantially similar to those at other similar programs at the institution, accounting for the unique geographic and other constraints of prison education programs * Advising: Whether the prison education program's offering of relevant academic and career advising services to participating confined or incarcerated individuals, while they are confined or incarcerated, in advance of reentry, and upon release, is substantially similar to offerings to a student who is not a confined or incarcerated individual and who is enrolled in, and may be preparing to transfer from, the same institution, accounting for the unique geographic and other constraints of prison education programs * Program Completion: Whether the institution ensures that all formerly confined or incarcerated individuals are able to fully transfer their credits and continue their programs at any location of the institution that offers a comparable program, including by the same mode of instruction   **Optional**   * Recidivism: Whether the rates of recidivism, which do not include any recidivism by the student after a reasonable number of years of release and which only include new felony convictions, defined as each sentence of imprisonment exceeding one year and one month (see United States Sentencing Guideline [section 4A1.1(a)](https://www.ecfr.gov/current/title-34/section-4A1.1#p-4A1.1(a))), meet thresholds set by the oversight entity * Program Completion: Whether the rates of completion reported by the Department, which do not include any students who were transferred across facilities and which account for the status of part-time students, meet thresholds set by the oversight entity with input from relevant stakeholders * Education Continuation: Whether the rate of confined or incarcerated individuals continuing their education post-release, as determined by the percentage of students who reenroll in higher education reported by the Department, meets thresholds established by the oversight entity with input from relevant stakeholders * Job Placement: Whether job placement rates in the relevant field for such individuals meet any applicable standards required by the accrediting agency for the institution or program or a State where the institution is authorized. If no job placement rate standard applies to prison education programs offered by the institution, the oversight entity may define, and the institution may report, a job placement rate, with input from relevant stakeholders * Earnings: Earnings for such individuals, which could include measuring such earnings against a threshold established by the oversight entity * Other: Other indicators pertinent to program success as determined by the oversight entity (please list other indicators) |
| 38 | The Best Interest of Students determination is required for **all** PEPs. For the PEP with the **3rd most** students enrolled, what criteria did the institution/oversight entity consider when it performed the Best Interest Determination for the program required under [34 CFR 668.241](https://www.ecfr.gov/current/title-34/section-668.241)? (check all that apply)   * **Best Interest Determination has NOT been made yet (program has not been in operation for two years)**   **Required**   * Instruction Quality: Whether the experience, credentials, and rates of turnover or departure of instructors for the prison education program are substantially similar to other programs at the institution, accounting for the unique geographic and other constraints of prison education programs * Transferability of Credits: Whether the transferability of credits for courses available to confined or incarcerated individuals and the applicability of such credits toward related degree or certificate programs is substantially similar to those at other similar programs at the institution, accounting for the unique geographic and other constraints of prison education programs * Advising: Whether the prison education program's offering of relevant academic and career advising services to participating confined or incarcerated individuals, while they are confined or incarcerated, in advance of reentry, and upon release, is substantially similar to offerings to a student who is not a confined or incarcerated individual and who is enrolled in, and may be preparing to transfer from, the same institution, accounting for the unique geographic and other constraints of prison education programs * Program Completion: Whether the institution ensures that all formerly confined or incarcerated individuals are able to fully transfer their credits and continue their programs at any location of the institution that offers a comparable program, including by the same mode of instruction   **Optional**   * Recidivism: Whether the rates of recidivism, which do not include any recidivism by the student after a reasonable number of years of release and which only include new felony convictions, defined as each sentence of imprisonment exceeding one year and one month (see United States Sentencing Guideline [section 4A1.1(a)](https://www.ecfr.gov/current/title-34/section-4A1.1#p-4A1.1(a))), meet thresholds set by the oversight entity * Program Completion: Whether the rates of completion reported by the Department, which do not include any students who were transferred across facilities and which account for the status of part-time students, meet thresholds set by the oversight entity with input from relevant stakeholders * Education Continuation: Whether the rate of confined or incarcerated individuals continuing their education post-release, as determined by the percentage of students who reenroll in higher education reported by the Department, meets thresholds established by the oversight entity with input from relevant stakeholders * Job Placement: Whether job placement rates in the relevant field for such individuals meet any applicable standards required by the accrediting agency for the institution or program or a State where the institution is authorized. If no job placement rate standard applies to prison education programs offered by the institution, the oversight entity may define, and the institution may report, a job placement rate, with input from relevant stakeholders * Earnings: Earnings for such individuals, which could include measuring such earnings against a threshold established by the oversight entity * Other: Other indicators pertinent to program success as determined by the oversight entity (please list other indicators) |

**ICR Data Collection Items - Questions for School Survey (For Revised Second Chance Pell Experiment)**

**Public Burden Statement**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number.  The valid OMB control number for this information collection is 1845-NEW.  Public reporting burden for this collection of information is estimated to average 10 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.  The obligation to respond to this collection is required to obtain or retain benefit (Section 487A(b) of the Higher Education Act of 1965, as amended).  If you have any comments concerning the accuracy of the time estimate, suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application or survey, please contact the Experimental Sites Initiative ([experimentalsites@ed.gov](mailto:experimentalsites@ed.gov)) directly.

| **Item #** | **ICR Data Collection Item – School Survey – Revised Second Chance Pell Experiment** |
| --- | --- |
| 1 | What is the institution’s six-digit OPEID number? |
| 2 | What is the name of the institution? |
| 3 | Please identify the challenges experienced while administering the revised Second Chance Pell Experiment during the most recently completed award year. Check all that apply:   * Partnering with correctional facilities to offer postsecondary education to confined or incarcerated students. * Finding enough confined or incarcerated students who are interested in participating in postsecondary education. * Finding enough confined or incarcerated students who are interested *and eligible* to receive a Pell Grant (if your institution’s experiment program(s) rely solely on Pell funding). * Modifying program degree requirements for confined or incarcerated students. * Modifying course content or format for confined or incarcerated students. * Confined or incarcerated students being able to complete the FAFSA. * Confined or incarcerated students being able to obtain other needed documentation for enrollment (such as high school transcript). * Transfer of postsecondary credits earned previously. * Articulation agreements with other postsecondary institutions. * Financial barriers prevent participation in postsecondary programs, even with the Pell Grant. * Maintaining sufficient student enrollment or attendance once classes start. * Confined or incarcerated students leaving the program when they move to other facilities. * Confined or incarcerated students leaving the program when they are released from prison. * Finding instructors to teach postsecondary courses to confined or incarcerated students. * Technological limitations in correctional facilities, including issues with internet access. * Providing necessary academic resources, such as books, library, or computers. * Communicating with students due to limited access to phones or email. * Interruptions to or cancellation of instruction * Ensuring the safety of instructors * Ensuring the safety of students * Finding adequate classroom space * Confined or incarcerated students leaving the program for reasons such as schedule-conflicts with prison jobs or other mandatory programming * Limitations because the correctional facility is located in a remote area * Implementation of “education holds,” which permit students to avoid transfer to another facility while they are enrolled in the program. * Cultural conflicts or lack of buy-in from correctional staff (e.g. correctional officers) * Modifying existing programs to conform with PPA regulations. Please specify. \_\_\_\_\_\_\_\_\_\_ * No challenges. * Other. Please specify.\_\_\_\_\_\_\_\_\_\_\_ |
| 4 | Based on your response to question #3, what was the institution’s most significant challenge? |
| 5 | Based on your response to question #3, what was the institution’s second most significant challenge? |
| 6 | Based on your response to question #3, what was the institution’s third most significant challenge? |
| 7 | During the most recently completed award year, were there any unanticipated benefits associated with your institution’s continued participation in the Second Chance Pell Experiment and related efforts to comply with PEP regulations?   * Yes * No   If yes, please briefly describe the unanticipated benefits. |
| 8 | During the most recently completed award year, were there any unanticipated negative consequences associated with your postsecondary institution’s continued participation in the Second Chance Pell Experiment and related efforts to comply with PEP regulations?   * Yes * No   If yes, please briefly describe the negative consequences and the specific regulatory requirement pertaining to the PEP application process that was difficult to implement. |
| 9 | As part of outreach for a student’s potential participation in the Second Chance Pell experiment, please indicate which of the following activities staff from your postsecondary institution engaged in. Check all that apply:   * Identify/develop postsecondary programs for confined or incarcerated individuals * Provide information about the college and/or admission process for the program under the experiment * Identify/recruit potential confined or incarcerated students for postsecondary programs * Assist potential postsecondary students with completing the FAFSA * Assist potential postsecondary students with obtaining their high school transcripts * Assist potential postsecondary students with obtaining their High School Equivalency or documentation * Assist potential postsecondary students with obtaining documentation of postsecondary credits earned previously * Other, please specify * Don’t know |
| 10 | As part of outreach for a student’s potential participation in the Second Chance Pell experiment, please indicate which of the following activities staff from your partnering correctional institution engaged in. Check all that apply   * Identify/develop postsecondary programs for confined or incarcerated individuals * Identify/recruit potential confined or incarcerated students for postsecondary programs * Assist potential postsecondary students with completing the FAFSA * Assist potential postsecondary students with obtaining their high school transcripts * Assist potential postsecondary students with obtaining their High School Equivalency or documentation * Assist potential postsecondary students with obtaining documentation of postsecondary credits earned previously * Other, please specify * Don’t know |
| 11 | In the most recently completed award year did your institution limit participation in a program under the experiment to students who are eligible for Pell?   * Yes * No   If Yes, how many interested people were unable to enroll in the program because they did not successfully complete a FAFSA (in the most recently completed award year)?  If No, what type of funding is available for students not eligible for Pell? |
| 12 | What difficulties did applicants face when attempting to complete the FAFSA? Check all that apply:   * Students had trouble obtaining tax documentation for themselves * Students had trouble obtaining tax documentation for their spouse or parents * Adult Students had trouble obtaining identification documentation (for example those confined or incarcerated under an alias or individuals whose name “change” process is stalled due to being confined or incarcerated) * Students who were juveniles sentenced as adults had trouble obtaining identification documentation * Students who are asylees had trouble obtaining documentation confirming eligible noncitizen status * Students had trouble regaining Title IV eligibility after defaulting on student loans or receiving an overpayment of a Title IV grant * Students lacked Internet access to complete the FAFSA and/or obtain needed paperwork. * Students declined to complete the FAFSA * Other (please specify) |
| 13 | Were you able to enroll all students who expressed interest and were eligible to participate in Second Chance Pell? (Yes/No)  If no  Why not? Check all that apply:   * Lack of correctional facility staff resources * Lack of college staff resources * Lack of classroom space * Lack of student interest in the specific types of program(s) actually offered * Other. Please describe: |
| 14 | Does the correctional institution prohibit otherwise eligible confined or incarcerated students from participating in the experiment? Yes/No - if “Yes”  How does the correctional institution limit participation?  Please check all that apply.   * Categorically-based, all potential student who committed violent infractions during incarceration. * Categorically-based, all potential students who had committed a given number of infractions. * Categorically-based on time until scheduled release. * Categorically-based exclusion on the type of offense * Individually-based on the specific combination of factors within a given person. * Other please specify: |
| 15 | What types of re-entry and career services does your institution and your correctional facility partner(s)offer to participating students (both while a student is enrolled and post-release)? Check all that apply:   |  |  |  |  | | --- | --- | --- | --- | |  | Your institution | Correctional facility partner(s) | Community organizations or other partners (if applicable) | | Case management | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Referral to social supports | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Job placement and career development assistance | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Advocacy during court/parole hearings | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Academic support services on campus | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Support and assistance for entering college | * Yes * No * Don’t know | * Yes * No * Don’t know | * Yes * No * Don’t know | | Other, please specify | * Yes (specify) * No * Don’t know | * Yes (specify) * No * Don’t know | * Yes (specify) * No * Don’t know |   During the most recently completed award year, what percentage of Pell Grant recipients participating in a program under the experiment were provided any of these services? |
| 16 | Does your postsecondary institution, correctional facility, or other entity administer a student satisfaction questionnaire to confined or incarcerated students about the Second Chance Pell education program?   * Yes * No   If yes, please describe the key topics in the questionnaire. \_\_\_\_\_\_\_\_  If yes, were or any changes planned or were any changes made in relation to the administration of the Second Chance Pell education program based on the results of the questionnaire?   * Yes * No   If yes, please describe below\_\_\_\_\_\_\_\_\_ |
| 17 | List the federal correctional facilities in which your institution partnered to offer postsecondary education to confined or incarcerated students for this experiment?  Which of the listed correctional facilities will your institution partner with in offering prison education programs (PEP)(s)?  *NOTE: For all listed Second Chance Pell* correctional facilities NOT listed as PEP partners: please indicate briefly why partnership is not continuing |
| 18 | List the state correctional facilities in which your institution partnered to offer an eligible title IV program under the experiment to confined or incarcerated students (include city and state) where facility is located).   Which of the listed correctional facilities will your institution partner with in offering prison education programs (PEP)(s)?  *NOTE: For all listed Second Chance Pell* correctional facilities NOT listed as PEP partners: please indicate briefly why partnership is not continuing |
| 19 | How many programs does your institution offer under the experiment? |
| 20 | What are the names of the experiment programs and the degrees/certificate offered/CIP Codes? (Insert names of programs and the degree/certificate offered/CIP codes for each. For each program, please indicate whether or not that program will be converted to a PEP under the new provisions)  Conditional Logic: For ALL non-transitioning program(s), what is the postsecondary institution’s plan of Action. |
| 21 | For each academic program that **will not** continue under PEP, what is the main factor in deciding not to continue offering under PEP?   * Could not be implemented onsite in the correctional facility * Could not be feasibly delivered online * Not enough student interest * Students did not have the necessary prerequisites * Instructors not available to teach the classes * Other. Please specify. |
| 22 | Of the programs entered in question 20, provide the following information for the program with the **most** students enrolled:  Name of Program:  Degree offered: select from picklist   * Certificate * Stackable certificates that can lead to 2-year or 4-year degree * Associate degree * Bachelor’s degree   Provide the name and location of correctional facility:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Does the program have a waiting list?   * Yes * No   If yes, how many students are on the waiting list?  If yes, provide a reason for waiting list (select from picklist)   * Spacing (physical) * Enrollment limitations * Staffing * Program not ready to be offered * Other (please specify)   Does the program have enrollment restrictions?   * Yes * No   If yes, please provide a listing of these restrictions below  \_\_\_\_\_\_\_\_  If yes, are the restrictions imposed by (select from below)   * Institution * Correctional Facility * Both   Compared with the program (or course of study) offered on campus to students not confined or incarcerated, which of the following modifications did the institution make to the program in order to offer as a program under Second Chance Pell?  Please check all that apply.   * Decrease online instruction. * Increase online instruction. * Less frequent class meetings. * More frequent class meetings. * Decrease requirements for completion. * Increase requirements for completion. * Divide courses into shorter modules. * Divide courses into longer modules. * No modifications made. * This program is not offered on campus to students not confined or incarcerated. * Other. Please specify.   Which of the following factors influenced the decision to offer the program?  Please check all that apply.   * Could be implemented onsite in the correctional facility * Could be feasibly delivered online * Enough student interest * Students were likely to have the necessary prerequisites * Instructors available to teach the classes * High labor-market demand * Program aligns with institutional mission and vision * Other. Please specify.   How is postsecondary instruction delivered to confined or incarcerated students in this program? Select all that apply.   * Face-to-face * Online * Other, please describe:   How are confined or incarcerated students enrolled in this program taught?   * Cohort model (a group of students move through the program together) * Individual students at different points on their educational trajectories * A cohort model for some programs and individual trajectories for other programs * Other, please describe:   How does tuition and fees charged to confined or incarcerated students enrolled in this program compare to tuition and fees charged to regular students on campus:   * Higher * Lower * The same |
| 23 | Of the programs entered in question 20, provide the following information for the program with the **2nd most** students enrolled, as applicable:  Name of Program:  Degree offered: select from picklist   * Certificate * Stackable certificates that can lead to 2-year or 4-year degree * Associate degree * Bachelor’s degree   Provide the name and location of correctional facility  Does the program have a waiting list?   * Yes * No   If yes, how many students are on the waiting list?  If yes, provide a reason for waiting list (select from picklist)   * Spacing (physical) * Enrollment limitations * Staffing * Program not ready to be offered * Other (please specify)   Does the program have enrollment restrictions?   * Yes * No   If yes, please provide a listing of these restrictions below  \_\_\_\_\_\_\_\_  If yes, are the restrictions imposed by (select from picklist)   * Institution * Correctional Facility * Both   Compared with the program (or course of study) offered on campus to students not confined or incarcerated, which of the following modifications did the institution make to the program in order to offer the program under the Second Chance Pell experiment? Please check all that apply.   * Decrease online instruction. * Increase online instruction. * Less frequent class meetings. * More frequent class meetings. * Decrease requirements for completion. * Increase requirements for completion. * Divide courses into shorter modules. * Divide courses into longer modules. * No modifications made. * This program is not offered on campus to students not confined or incarcerated. * Other. Please specify.   Which of the following factors influenced the decision to initially offer the program?  Please check all that apply.   * Could be implemented onsite in the correctional facility * Could be feasibly delivered online * Enough student interest * Students were likely to have the necessary prerequisites * Instructors available to teach the classes * High labor-market demand * Program aligns with institutional mission and vision * Other. Please specify.   How is postsecondary instruction delivered to confined or incarcerated students in this program? Select all that apply.   * Face-to-face * Online * Other, please describe:   How are confined or incarcerated students enrolled in this program taught?   * Cohort model (a group of students move through the program together) * Individual students at different points on their educational trajectories * A cohort model for some programs and individual trajectories for other programs * Other, please describe:   How does tuition and fees charged to confined or incarcerated students enrolled in this program compare to tuition and fees charged to regular students on campus:   * Higher * Lower * The same |
| 24 | Of the programs entered in question 20, provide the following information for the program with the **3rd most** students enrolled:  Name of Program:  Degree offered: select from picklist   * Certificate * Stackable certificates that can lead to 2-year or 4-year degree * Associate degree * Bachelor’s degree   Provide the name and location of correctional facility  Does the program have a waiting list?   * Yes * No   If yes, how many students are on the waiting list?  If yes, provide a reason for waiting list (select from picklist)   * Spacing (physical) * Enrollment limitations * Staffing * Program not ready to be offered * Other (please specify)   Does the program have enrollment restrictions?   * Yes * No   If yes, please provide a listing of these restrictions below  \_\_\_\_\_\_\_\_    If yes, are the restrictions imposed by (select from below)   * Institution * Correctional Facility * Both   Compared with the program (or course of study) offered on campus to students not confined or incarcerated, which of the following modifications did the institution make to the program in order to offer it as a program under the Second Chance Pell experiment?  Please check all that apply.   * Decrease online instruction. * Increase online instruction. * Less frequent class meetings. * More frequent class meetings. * Decrease requirements for completion. * Increase requirements for completion. * Divide courses into shorter modules. * Divide courses into longer modules. * No modifications made. * This program is not offered on campus to students not confined or incarcerated. * Other. Please specify.   Which of the following factors influenced the decision to initially offer the program?  Please check all that apply.   * Could be implemented onsite in the correctional facility * Could be feasibly delivered online * Enough student interest * Students were likely to have the necessary prerequisites * Instructors available to teach the classes * High labor-market demand * Program aligns with institutional mission and vision * Other. Please specify.   How is postsecondary instruction delivered to confined or incarcerated students in this program? Select all that apply.   * Face-to-face * Online * Other, please describe:   How are confined or incarcerated students enrolled in this program taught?   * Cohort model (a group of students move through the program together) * Individual students at different points on their educational trajectories * A cohort model for some programs and individual trajectories for other programs * Other, please describe:   How does the tuition and fees charged to confined or incarcerated students enrolled in this program compare to tuition and fees charged to regular students on campus:   * Higher * Lower * The same |
| 25 | **PEP Program Milestone – (Must be complete by the end of year 1—June 30, 2024):**   * For each program under the experiment transitioning to an eligible PEP, do you have a written agreement with a correctional partner (that includes at a minimum, the oversight entity’s permission to offer the PEP at the correctional facility, and assurances that the oversight entity will provide the following: the best interest determination for the proposed program within the first 2 years of the program’s approval, and transfer and release data for students enrolled in the approved program(s) to the institution during the existence of the PEP?   + Yes   + No   If No, when will this agreement be in place? |
| 26 | **PEP Program Milestone – (Must be complete by the end of year 2—June 30, 2025)**  For each program transitioning to an eligible PEP,   * Please provide the description of the proposed program(s), including the educational credential offered (degree level or certificate) and the field of study as required by [34 CFR 668.238(b)(1)](https://www.ecfr.gov/current/title-34/subtitle-B/chapter-VI/part-668/subpart-P/section-668.238) or indicate not determined yet. If not determined yet, provide a status of this requirement and when it will be determined.   **PEP Program Milestone – (Must be complete by the end of Year 2)**   * For each program transitioning to an eligible PEP, have you received approval from the oversight entity to offer the program(s) at the correctional facility?   + - Yes   + No   If No, please provide a status of when your institution plans to receive approval from the oversight entity(ies) to offer the program(s) at the facility.   * Has your institution received accreditor approval to offer the PEP(s)?   + Yes   + No   If Yes, please provide the name of the accreditor:  If No, please provide a status of when your institution plans to receive accreditor approval for programs to be offered under the PEP provisions:   * Have you established a documented methodology and benchmarks that the oversight entity will use in approving the prison education program and how all of the information was/will be collected? * Yes * No   If No, when will this methodology be established?   * When applying for a PEP, the institution will be required to provide information about the services to be offered to admitted students, including orientation, tutoring, academic and career advising, and reentry counseling to support successful reentry into the community. Has your institution determined the types of services to be provided?   + Yes   + No   If No, when will the institution make this determination?   * Has your institution determined the date on which it plans to submit the PEP applications to the Department for all experiment programs it plans to offer under PEP (the date must be on or before January 1, 2026)? * Yes * No   If Yes, provide the name of each program and the date the institution expects to submit the PEP application for each program.  If No, what is the institution’s plan to ensure that all programs offered under the experiment that it plans to offer under the PEP provisions are submitted in a timeframe that will allow sufficient time for approval of the program(s) under the PEP provisions before the experiment ends on June 30, 2026?   * Please describe the institution’s plan for programs offered under the experiment that it does not plan to offer under PEP. If students are enrolled in any of those programs, please provide your plan for those students. |
| 27 | Does your institution have information about whether a participant has transferred to another correctional facility, even if they are no longer participating in one of your programs of study (please note that collecting transfer and release data will be a requirement under PEP)?   * Yes, but only if the participant remains enrolled in our school * Yes, even if the participant is no longer enrolled in our school * No   If you answered “No,” what are the biggest barriers to collecting this information?  If yes, do you currently track students’ academic progress after they are transferred?   * Yes, but only if the participant remains enrolled in our school * Yes, even if the participant is no longer enrolled in our school * No  1. If you answered “No” , what are the biggest barriers to collecting this information? |
| 28 | Does your institution collect information about when a participant is released from confinement or incarceration (Please note that collecting transfer and release data will be a requirement under PEP)?   * Yes * No |
| 29 | If you answered “yes” to question 29 does your institution currently collect information on any of the following post-release outcomes?   |  |  |  |  | | --- | --- | --- | --- | |  | Yes | No | If yes, what is the data source? | | Attainment of a postsecondary degree or credential |  |  | * Participant survey * Institutional records * National Student Clearinghouse * State education records * U.S. Department of Education records * Other (specify) \_\_\_\_\_\_\_ * I don’t know | | Continued enrollment in postsecondary education |  |  | * Participant survey * Institutional records * National Student Clearinghouse * State education records * U.S. Department of Education records * Other (specify) \_\_\_\_\_\_\_ * I don’t know | | Credit transfer from prison education program to post-release postsecondary program |  |  | * Participant survey * Institutional records * State education records * Other (specify) \_\_\_\_\_\_\_ * I don’t know | | Employment |  |  | * Participant survey * State unemployment insurance records * Other (specify) \_\_\_\_\_\_\_ * I don’t know | | Earnings |  |  | * Participant survey * State unemployment insurance records * Other (specify) \_\_\_\_\_\_\_ * I don’t know | | Recidivism |  |  | * Participant survey * Correctional facility records * State law enforcement database * Local law enforcement database * Other (specify) \_\_\_\_\_\_\_ * I don’t know | |
| 30 | If you answered “no” to question 29, what are the biggest barriers to collecting this information? \_\_\_\_\_\_\_ |
| 31 | In the most recently completed award year, when was your institution actively participating in the experiment? Select all that apply.   * Summer (Header) * Fall * Spring * Summer (Trailer) * None of the above * Other: \_\_\_\_\_\_\_\_\_ |
| 32 | Please provide a brief description of the information you provided to prospective students on each of the following:   1. Second Chance Pell and the nature of the experiment 2. Post-release work opportunities (including potential challenges related to professional licensure) 3. Credit transfer opportunities (including into new programs and post-release) 4. The impact of transferring between prison facilities 5. Lifetime eligibility restrictions of the Pell Grant |
| 33 | What was the process that the institution used in disseminating information on Fresh Start? (i.e. How were students notified of the Fresh Start Initiative?   * Provided counseling * Provided written materials * Did not provide additional information on Fresh Start * Did not know about Fresh Start * Other. Please specify. |
| 34 | How does your institution recruit students for programs offered under the experiment?   * Establishing strong relationships with Adult Basic Education and high school equivalency instructors and administrators * Incorporating information on their programs into the prison intake process * Involving current and former students in recruitment efforts, such as during recruitment sessions or by sharing information with their peers. * Other (please explain) |
| 35 | Did your institution partner with another Title IV eligible institution to provide any part of any eligible program under the experiment?   * Yes * No   If Yes, please describe the partnership addressing the role each institution plays in the program(s) and whether or not each institution provides instruction. |

**ICR Student level Data collection items (PEP)**

| **Item Number** | **ICR Data Collection Item – COD Template – PEP** |
| --- | --- |
| **1** | What is the award year in which the school is reporting for the student? |
| **2** | What is the institution’s OPE ID? |
| **3** | What is the Student’s Social Security Number (SSN)? |
| **4** | What is the Student’s Last Name? |
| **5** | What is the Student’s First Name? |
| **6** | Did this student benefit from the Fresh Start Initiative?   * “Y” for Yes * “N” for No * “U” for Unknown |
| **7** | What is the name of the prison education program (PEP) in which the student is enrolled? |
| **8** | What is the CIP code for the program in which the student is enrolled? |
| **9** | Is the student enrolled in the PEP a confined or incarcerated student?   * Yes * No   If No, why did the student enroll in the PEP?   * Student was released prior to finishing the program * Student was not confined or incarcerated but chose to enroll in the PEP * Other (please explain) |
| **10** | Prior to enrollment in the PEP, what was the applicant’s past postsecondary experience? Select from picklist:   1. Applicant had not completed any postsecondary coursework 2. Applicant completed some postsecondary coursework 3. Applicant received certificate 4. Applicant received Associate’s Degree 5. Applicant received Bachelor’s Degree or higher (and not eligible for Pell) 6. Other. Please specify |
| **11** | What is the grade level of the applicant at the beginning of the award year? |
| **12** | What is the grade level of the applicant at the end of the award year? |
| **13** | How much Pell was awarded to the student in the current award year? |
| **14** | How much Pell was disbursed to the student in the current award year? |
| **15** | What is the total Non Title IV Grant or Scholarship Aid awarded to the student in the current award year? |
| **16** | What is the total Non Title IV Grant or Scholarship Aid disbursed to the student in the current award year? |
| **17** | What is the Total Title IV Aid other than Pell awarded to the student in the current award year? |
| **18** | What is the Total Title IV Aid other than Pell disbursed to the student in the current award year? |
| **19** | What is the Pell Cost of Attendance for the student? |
| **20** | What is the Primary Mode of Instruction for the program in which the student is enrolled? Select From picklist:   1. In-person 2. Distance Ed 3. Correspondence |
| **21** | What is the Secondary Mode of Instruction for the program in which the student is enrolled? Select From picklist:   1. In-person 2. Distance Ed 3. Correspondence 4. Not applicable – No Secondary Mode of Instruction |
| **22** | How many credits did the student attempt? |
| **23** | How many credits did the student earn? |
| **24** | What is the Student’s Cumulative GPA? |
| **25** | What is the student’s enrollment status at the beginning of the current award year? Select from picklist:  N = not enrolled  F = full-time  T = ¾ time  H = half time  L = less than half time |
| **26** | What is the student’s enrollment status at the end of the current award year? Select from picklist:  N = not enrolled  F = full-time  T = ¾ time  H = half time  L = less than half time |
| **27** | Please provide the credential and degree completion status for the student. Select from picklist:   1. Received Credential 2. Received Associate’s Degree 3. Received Bachelor’s Degree 4. Student Still Enrolled 5. Student Withdrew |
| **28** | If student received a credential or degree, provide the name of the degree or credential received. |
| **29** | Which of the following best describes the student’s status at the end of the current award year? (Select from picklist)   * Remains confined or incarcerated at the same correctional institution * Confined or incarcerated at a different correctional institution * Transferred to a halfway institution * Released from incarceration * Other (please specify) |
| **30** | During the most recently completed award year, was this student transferred to another facility?   * “Y” for Yes * “N” for No   If Yes, please enter the date the student was transferred and the name of the facility. |
| **31** | During the most recently completed award year was this student released? If Yes, what was the release date? (select from picklist)   * “Y” for Yes * “N” for No * “U” for Unknown |
| **32** | If the student was released during this award year, did the student continue postsecondary education after release? (select from picklist)   * “Y” for Yes * “N” for No * “U” for Unknown |
| **33** | If the student was released during this award year, what is the name and OPE ID of the school in which the student enrolled after release?  Enter OPE ID:   * If Unknown, enter U |
| **34** | Were credits transferred to this new school when the student was released? (select from picklist)   * “Y” for Yes * “N” for No * “U” for Unknown |
| **35** | How many credits were transferred to this school?  Enter Number of credits:   * If Unknown, enter U |
| **36** | Was the student placed in a job upon release? (select from picklist)   * “Y” for Yes * “N” for No * “U” for Unknown |
| **37** | Is the job related to the degree or credential earned? (select from picklist)   * “Y” for Yes * “N” for No * “U” for Unknown |
| **38** | What are the hourly earnings for the job in which the student was placed?  Enter hourly Earnings:   * If Unknown, enter U |
| **39** | Prior to enrolling in the PEP, was the student enrolled in a postsecondary program while confined or incarcerated? Select from picklist:   * “Y” for Yes * “N” for No * “U” for Unknown |
| **40** | In the current award year, did the student enroll in a program that is not an eligible PEP at the current institution? Select from picklist:   * “Y” for Yes * “N” for No * “U” for Unknown |
| **41** | In the current award year, did the student enroll in a program that is not an eligible PEP at another institution? Select from picklist:   * “Y” for Yes * “N” for No * “U” for Unknown |

**ICR Student level Data collection items (Revised Second Chance Pell Experiment)**

| **Item Number** | **ICR Data Collection Item – COD Template – Revised Second Chance Pell Experiment** |
| --- | --- |
| **1** | What is the award year in which the school is reporting for the student? |
| **2** | What is the institution’s OPE ID? |
| **3** | What is the Student’s Social Security Number (SSN)? |
| **4** | What is the Student’s Last Name? |
| **5** | What is the Student’s First Name? |
| **6** | Did this student benefit from the Fresh Start Initiative?   * “Y” for Yes * “N” for No * “U” for Unknown |
| **7** | What is the Name of the program under the experiment in which the student is enrolled? |
| **8** | What is the CIP code for the program in which the student is enrolled? |
| **9** | Prior to enrollment in the experiment, what was the applicant’s past postsecondary experience? Select from picklist:   * Applicant had not completed any postsecondary coursework * Applicant completed some postsecondary coursework * Applicant received certificate * Applicant received Associate’s Degree * Other. Please specify |
| **10** | What is the grade level of the applicant at the beginning of the award year? |
| **11** | What is the grade level of the applicant at the end of the award year? |
| **12** | How much Pell was awarded to the student in the current award year? |
| **13** | How much Pell was disbursed to the student in the current award year? |
| **14** | What is the total Non Title IV Grant or Scholarship Aid awarded to the student in the current award year? |
| **15** | What is the total Non Title IV Grant or Scholarship Aid disbursed to the student in the current award year? |
| **16** | What is the Total Title IV Aid other than Pell awarded to the student in the current award year? |
| **17** | What is the Total Title IV Aid other than Pell disbursed to the student in the current award year? |
| **18** | What is the Pell Cost of Attendance for the student? |
| **19** | What is the Primary Mode of Instruction for the program in which the student is enrolled? Select From picklist:   1. In-person 2. Distance Ed 3. Correspondence |
| **20** | What is the Secondary Mode of Instruction for the program in which the student is enrolled? Select From picklist:   1. In-person 2. Distance Ed 3. Correspondence 4. Not applicable – No Secondary Mode of Instruction |
| **21** | How many credits did the student attempt? |
| **22** | How many credits did the student earn? |
| **23** | What is the Student’s Cumulative GPA? |
| **24** | What is the student’s enrollment status at the beginning of the current award year? Select from picklist:  N = not enrolled  F = full-time  T = ¾ time  H = half time  L = less than half time |
| **25** | What is the student’s enrollment status at the end of the current award year? Select from picklist:  N = not enrolled  F = full-time  T = ¾ time  H = half time  L = less than half time |
| **26** | Please provide the credential and degree completion status for the student. Select from picklist:   * Received Credential * Received Associate’s Degree * Received Bachelor’s Degree * Student Still Enrolled * Student Withdrew |
| **27** | If student received a credential or degree, provide the name of the degree or credential received. |
| **28** | Which of the following best describes the student’s status at the end of the current award year? (Select from picklist)   * Remains confined or incarcerated at the same correctional institution * Confined or incarcerated at a different correctional institution * Transferred to a halfway institution * Released from incarceration * Other (please specify) |
| **29** | During the most recently completed award year, was this student transferred to another facility?   * “Y” for Yes * “N” for No * “U” for Unknown   If Yes, please enter the date the student was transferred and the name of the facility. |
| **30** | During the most recently completed award year was this student released? If Yes, what was the release date? (select from picklist)   * “Y” for Yes * “N” for No * “U” for Unknown |
| **31** | If the student was released during this award year, did the student continue postsecondary education after release? (select from picklist)   * “Y” for Yes * “N” for No * “U” for Unknown |
| **32** | If the student was released during this award year, what is the name and OPE ID of the school in which the student enrolled after release?  Enter OPE ID:   * If Unknown, enter U |
| **33** | Were credits transferred to this school when the student was released? (select from picklist)   * “Y” for Yes * “N” for No * “U” for Unknown |
| **34** | How many credits were transferred to this school?  Enter Number of credits:   * If Unknown, enter U |
| **35** | Was the student placed in a job upon release? (select from picklist)   * “Y” for Yes * “N” for No * “U” for Unknown |
| **36** | Is the job related to the degree or credential earned? (select from picklist)   * “Y” for Yes * “N” for No * “U” for Unknown |
| **37** | What are the hourly earnings for the job in which the student was placed?  Enter hourly Earnings:   * If Unknown, enter U |
| **38** | Prior to enrolling in the program under the experiment, was the student enrolled in a postsecondary program while confined or incarcerated? Select from picklist:   * “Y” for Yes * “N” for No * “U” for Unknown |