



United States

Consumer Product Safety Commission

eFiling Beta Pilot Q&A Session

February 16th, 2023

Disclaimer: This presentation was prepared by CPSC Staff and may not necessarily reflect the views of the Commission.

Welcome! 

Importers and Brokers

Please complete polling questions to sign-in for today's session.

Polling Question

What is your name and the name of the company you are representing?

Meeting Agenda

- eFiling Program Overview
- Certificate Data Elements for eFiling
- eFiling (PGA Message Set) Options
- eFiling Trade Industry Benefits
- Role of Importers
- eFiling IT Development
- eFiling Product Registry Components
 - Creating a Business Account & Product Collections
 - Inviting Users to Collaborate
 - Adding Products to a Collection
 - Editing Products to a Collection
 - Importer Participation Process
- Discussion

eFiling Program Overview

What is eFiling?



eFiling is a CPSC initiative that **modernizes how certificate data is processed** by enabling importers of regulated consumer products to electronically file (eFile) certain data elements from a certificate of compliance with U.S. Customs and Border Protection (CBP) and CPSC, via a Partner Government Agency (PGA) Message Set.

Why eFiling?



Currently, CPSC only asks for certificate data once a product has been identified for exam and, therefore, cannot use certificate data in **its risk assessment and targeting** in advance of a shipment arriving. Once rulemaking is completed, importers of regulated consumer products will **electronically file certain certificate of compliance data** elements with CPSC via the PGA Message Set at Entry, or at Entry Summary if both Entry and Entry Summary are filed together.

eFiling Program Timeline



Certificate Data Elements for eFiling

Identification of the finished product

Each consumer product safety rule to which the finished product has been certified

Date when the finished product was manufactured

Place where the finished product was manufactured, produced, or assembled

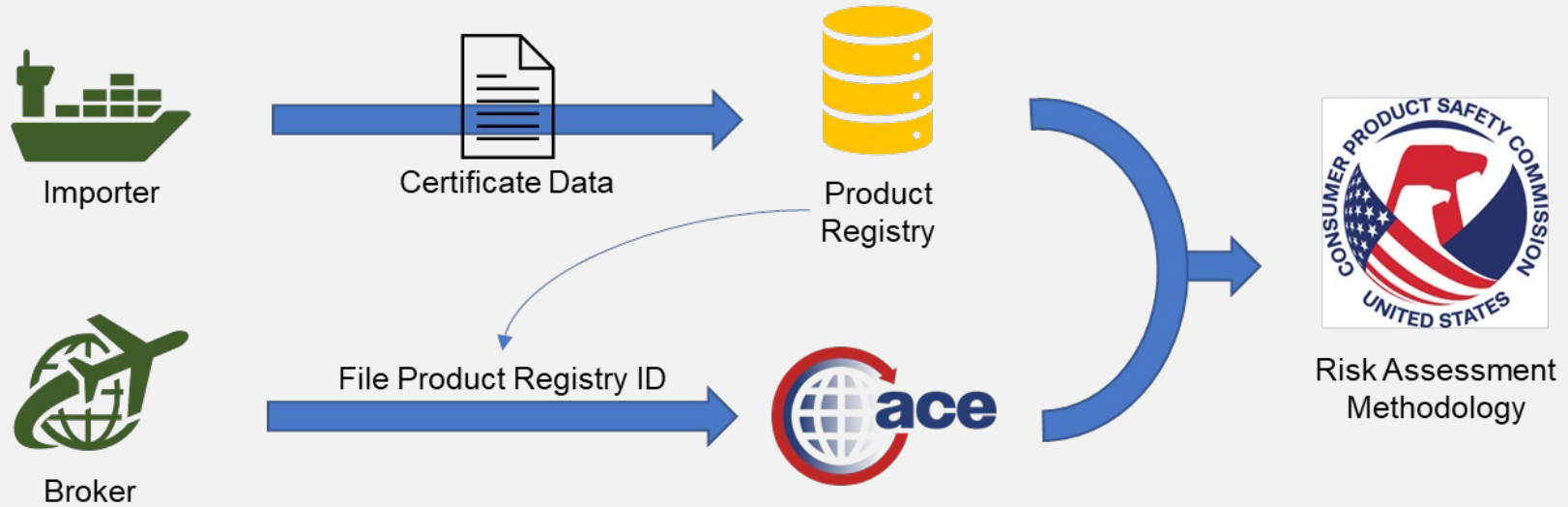
Date when the finished product was most recently tested for compliance

Parties on whose testing a certificate depends

A check box indicating that a required certificate currently exists for the finished product

eFiling (PGA Message Set) Options




Option 1: Reference Message Set



Option 2: Full Message Set



eFiling Trade Industry Benefits

1	 Shorter Review Clock	<ul style="list-style-type: none">• Beta Pilot participants will benefit from a shorter 2-Way Messaging Clock• Air and Truck Shipments: 4 business hours after entry is filed• Ocean Shipments: 8 business hours after entry is filed
2	 Reduction in Risk Score	<ul style="list-style-type: none">• Beta Pilot participants may see a reduction of their risk score over time based on the amount of data provided• Reductions in Risk Scores may result in reduced hold times and fewer exams which may reduce costs to the importer
3	 No Disruptions to Operations During the Pilot	<ul style="list-style-type: none">• Normal operations will continue with no extra scrutiny or holds during the Beta Pilot• If an exam is needed during the Beta Pilot, participants' holds will be prioritized

eFiling Trade Industry Benefits

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**Greater Focus
on Higher Risk
Products**

- CPSC's increased focus on higher risk shipments will **result in fewer holds to check for certificates**
- Holds will be more likely **performed for substantial violations** rather than for certificates as certificate data will be provided ahead of time
- Fewer holds may result in **reduced costs to the importer**

5



**Access to CPSC
Staff During
the Pilot**

- CPSC Staff will be readily available **to answer product regulation questions** during the Beta Pilot

6



**Inform
Rulemaking**

- Feedback provided by participants during the Beta Pilot **will be used to inform rulemaking** for full implementation of eFiling

Role of Importers

Importers play an essential role in the success of the eFiling process through the **oversight and filing** of their data for testing in the Beta Pilot. Specific importer responsibilities are highlighted below:



Identify a broker partner, if not a self-filer



Work with broker partner to identify CPSC regulated products that will be included in the Beta Pilot



Manage certificate data in an electronic format that can be transmitted into the Full Message Set or the Product Registry with broker support

Importer Participation Process

Phase I: Participant Onboarding and Training



1
Notify CPSC of interest
to participate



2
Identify a Broker
partner
(if applicable)



3
Complete Beta Pilot
Onboarding & System
Training



Phase II: Beta Pilot Program Participation



6
Provide periodic
feedback to CPSC



5
File PGA
Message Set



4
Enter certificate data in
the Product Registry
(optional)

eFiling IT Development



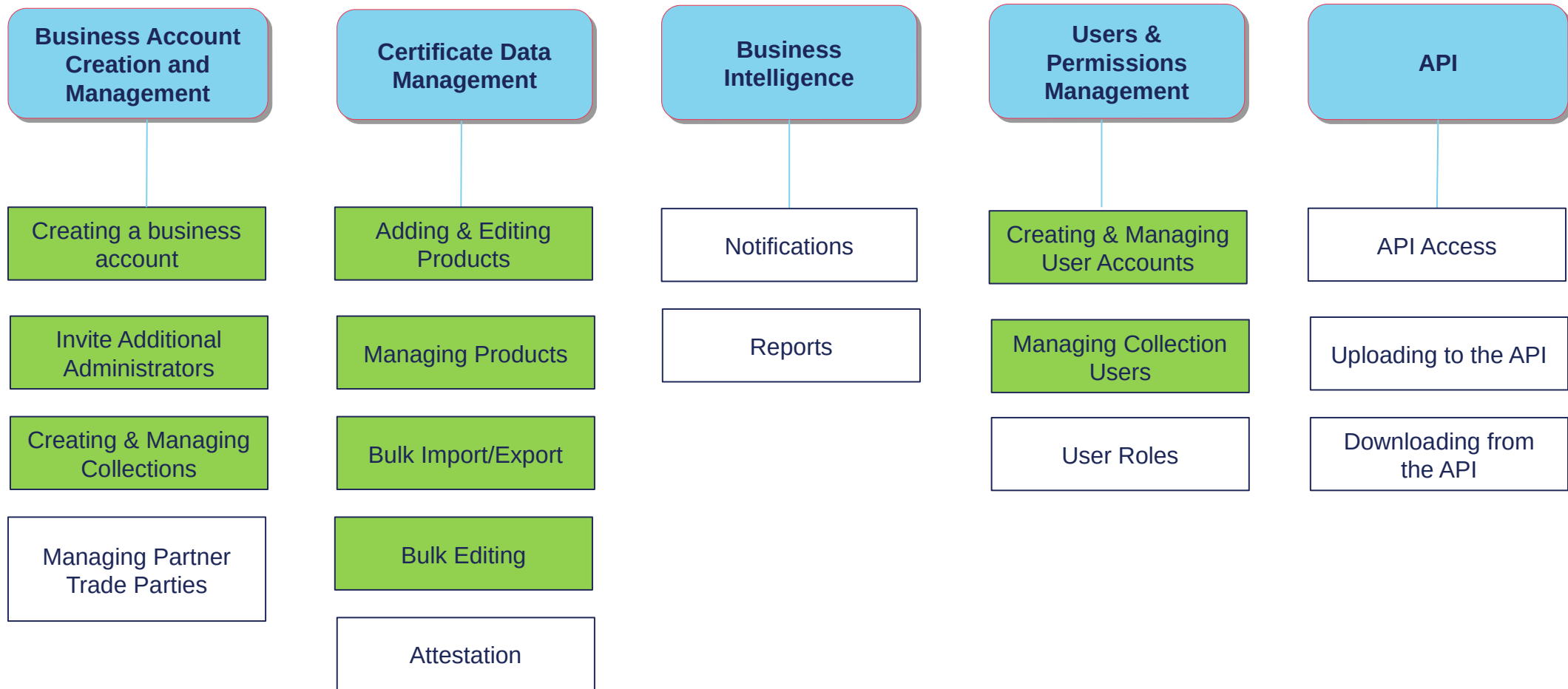
CPSC is actively consulting with a **working group comprised of 9 importers** to inform the development of the eFiling Product Registry

Product Registry Working Group

- Comprised of 9 importers (and their broker/industry partners) who are also participants in the Beta Pilot
- Participate in bi-weekly IT development meetings to provide iterative feedback on the development of the Product Registry including:
 - System Requirements
 - Functionality
 - Data Elements
- Test the integration of the Product Registry to their internal systems which will serve as a blueprint for the remaining Beta Pilot participants

The bi-weekly IT development meetings are posted to the CPSC calendar and are open to the public to join and listen in on the discussion

eFiling Product Registry Components



 Reviewed to Date

Creating a Business Account & Product Collection*

eFiling > Company information Jane Doe

Company Information EDIT

Name: Storm Imports
Email: compliance@stormimports.com
Phone number: 555-555-1234
Website: www.stormimports.com
Importer ID: 256-7325

Company Account Admins ADD A USER

User ID	Name	Phone	Role	Company	
jane@stormimports.com	Jane Doe	555-555-1134	Account Admin	Storm Imports	EDIT

Company Product Collections CREATE A REGISTRY

No product collections have been created yet

*Screenshots are for demonstration purposes and are subject to change as development continues

Adding Products to a Collection*

eFiling > Storm Solutions products > Add Products Jane Doe

Product Testing Attestation

Product Details

Product Name* Trade/Brand Name

Product ID Type* Product ID*

Additional Product ID and Type

UPC	1212-2342
SKU	1212212342
UPC	1212-2342
SKU	1212212342

[+ ADD PRODUCT IDENTIFIER](#)

Product Description

Save and close

*Screenshots are for demonstration purposes and are subject to change as development continues

Editing Products in a Collection*

eFiling > Storm Solutions products Jane Doe

Company name: Storm Solutions Certifier ID: Storm-Imports-West INVITE A USER MANAGE USERS

STORM SOLUTIONS PRODUCTS

Import Export ADD PRODUCTS

Select All Bulk Actions Search All Enter a date range Status Complete

MM/DD/YYYY - MM/DD/YYYY

Product ID	Product Name	Version	Trade/Brand Name	Last Test Date	Manufacturer Name	Status	
<input type="checkbox"/> 5895-9946	Brown Coffee Table	HE6KE7	AromaStore	4/14/2023	Graph Matic	Complete	Actions
<input type="checkbox"/> 5828-6922	Heavy Duty Storage Shelving	69RIHC	Repair Group Co	4/6/2023	Altra Systems	Expired	Actions
<input type="checkbox"/> 2649-3447	Hawaiian Shirts	PN3IZ3	Konex	4/26/2023	Armstrong and Sons	Complete	Actions
<input type="checkbox"/> 8755-5243	Shaggy Rugs	08BL01	Harber-Hilpert	11/30/2023	Cole Ltd	Complete	Actions
<input type="checkbox"/> 4438-2579	Vanilla Candle	ECS7OS	Wyman LLC	12/8/2023	Boyer LLC	Complete	Actions
<input type="checkbox"/> 2536-3948	Leather Crossbody Bags	QY0APQ	Shanahan Inc	2/28/2023	Haag Group	Complete	Actions

Product Details

Additional IDs:

UPC	765234823
SKU	927364382
UPC	590467393

Product / Model Description: Coffee table
Product / Model Color: Brown
Product / Model: Brown Modern

Manufacturer Details

Manufacturer Name: Graph Matic
Manufacturer GLN:
Manufacture Date: 2/14/2023
Production Start Date: 4/14/2023
Production End Date: 8/14/2023

Testing Details

Lab Name	CPSC ID (if accredited)	Citation Codes	Test Date
Vartest Laboratories, Inc.	1203C	19463, 45343	05/16/2023
Cypress Laboratories		19882	05/16/2023
Clara Laboratories, Inc.	1345C	34587	05/16/2023

Certificate: GCC
Attestation Complete: Yes

Results: 200 Items per page: 10 Page 1 of 20

*Screenshots are for demonstration purposes and are subject to change as development continues

Inviting a User to Collaborate*

The screenshot displays the eFiling interface for 'Storm Solutions products'. The user is logged in as Jane Doe. The interface includes a sidebar with navigation options: Dashboard, Registries, Help, and Settings. The main content area shows the 'STORM SOLUTIONS PRODUCTS' table with columns for Products ID, Version#, Description, and Status. A modal dialog titled 'Invite a User' is open, allowing the user to enter an email address (jack@stormimports.com) and a role (Editor). The dialog has 'SEND INVITATION' and 'CANCEL' buttons. The table below the dialog shows 10 rows of product data, all with a 'Complete' status.

Company name: Storm Solutions Certifier ID: 123-2323

STORM SOLUTIONS PRODUCTS

Import Export ADD PRODUCTS

Select All Bulk Actions Search All Enter a date range Status Complete

Products ID	Version#	Description	Status
<input type="checkbox"/> 1234-1212	1.2	ipsum dolor sit amet...	Complete
<input type="checkbox"/> 1234-1212	1.2	ipsum dolor sit amet...	Complete
<input type="checkbox"/> 1234-1212	1.2	ipsum dolor sit amet...	Complete
<input type="checkbox"/> 1234-1212	1.2	ipsum dolor sit amet...	Complete
<input type="checkbox"/> 1234-1212	1.2	ipsum dolor sit amet...	Complete
<input type="checkbox"/> 1234-1212	1.2	ipsum dolor sit amet...	Incomplete
<input type="checkbox"/> 1234-1212	1.2	ipsum dolor sit amet...	Incomplete
<input type="checkbox"/> 1234-1212	1.2	Furniture Storm Solutions 11/22/22 Lorem ipsum dolor sit amet...	Incomplete
<input type="checkbox"/> 1234-1212	1.2	Furniture Storm Solutions 11/22/22 Lorem ipsum dolor sit amet...	Incomplete
<input type="checkbox"/> 1234-1212	1.2	Furniture Storm Solutions 11/22/22 Lorem ipsum dolor sit amet...	Incomplete
<input type="checkbox"/> 1234-1212	1.2	Furniture Storm Solutions 11/22/22 Lorem ipsum dolor sit amet...	Incomplete

Results: 200 Items per page: 10 Page 1 of 20

*Screenshots are for demonstration purposes and are subject to change as development continues

Discussion

Open Forum for Participant Questions

If you have questions, please do one of the following:



Raise your hand



Type your question in the chat box

Please complete the polling question to share your participation interest.

Polling Question

Are you interested in being an eFiling Beta Pilot participant?

- A. Yes – I would like to be a participant, please contact me.
- B. Maybe – I need more time to discuss internally before deciding.

Thank You

- If your organization is interested in participating in the eFiling Beta Pilot, please send an email to: eFilingPilot@cpsc.gov
 - In your email, please indicate your intent to participate and identify industry partner(s) with whom you will be participating
- Additional questions can be submitted to the eFiling mailbox: eFilingPilot@cpsc.gov
- Additional information and resources on the eFiling initiative are available on the CPSC webpage: www.CPSC.gov/efiling