

Report of Nonreceipt, Loss, Theft, or Destruction of a Check and Application for Replacement



IMPORTANT: Follow instructions in filling out this form. Making any false, fictitious, or fraudulent claim or statement to the United States is a crime and may be prosecuted. Print in ink or type all information.

1. RETURN THIS FORM TO:

Treasury Retail Securities Services, PO Box 9150, Minneapolis, MN 55480-9150

2. REQUESTED ACTION:

I/We hereby report the nonreceipt, loss, theft, or destruction of a check issued in connection with United States securities and request issuance of a replacement payment. I/We

have requested

hereby request

... that a stop-payment order be placed against the check described in Item 4.

3. SECURITY DESCRIPTION. The check was issued in connection with

a. Paper U.S. Savings Bonds or Retirement Bonds:

Series E Series EE Savings Notes Series H Series HH
 Series I Retirement Plan Bonds Individual Retirement Bonds

b. U.S. Treasury Marketable Securities:

Legacy Treasury Direct® account number _____ (replacement payment may be made by Direct Deposit)

Bill Note Bond TIPS _____
(Term)

Paper Securities

Coupon Note Coupon Bond Registered Note Registered Bond Other _____

c. Electronic U.S. Treasury Securities held in TreasuryDirect

Series E Series EE Series I C of I (Certificate of Indebtedness)
 Bill Note Bond TIPS _____
(Term)

d. Additional identifying information (loan title, pieces, face amount, form(s) of registration):

4. CHECK DESCRIPTION. The check was issued in connection with:

a. Type of payment:

Principal Interest Discount or Refund Coupons Other _____

b. Date of payment: _____

c. Social Security Number of first-named payee: _____

d. Amount of check: _____ e. Serial number of check (if known) _____

f. Name(s) inscribed on the check _____

g. The check was

Never received Received then lost Received then stolen Received then destroyed

h. If lost, stolen, or destroyed, was the check endorsed? Yes No ***If Yes, show the exact form of endorsement:***

i. Describe the circumstances surrounding the loss, theft, or destruction:

j. I hereby warrant that all other payees named on the check(s) did not have access to the check. Therefore, I request waiver of the requirement for all other payees to execute the application and agreement.

5. INDEMNIFICATION AGREEMENT AND SIGNATURE(S):

In consideration of the issuance of a replacement payment, I/we agree that if the missing check ever comes into my/our possession or under my/our control, I/we will return it to the Bureau of the Fiscal Service or a Federal Reserve Bank. Further, I/we indemnify and hold harmless the United States of America, the Department of the Treasury, and the payor Federal Reserve Bank, against all claims or demands and all loss, damage, and expense, including legal fees and expenses, that may be incurred from paying the check reported lost or refusing to pay the check if presented.

Sign in ink in the presence of a certifying officer and provide the requested information.

| | | | |
|---|---------------|----------------------------------|------------------------|
| Sign Here: _____ | | | |
| _____ (Print Name) | | _____ (Social Security Number) | |
| Mailing Address _____ (Number and Street or Rural Route) | | _____ (Daytime Telephone Number) | |
| _____ (City) | _____ (State) | _____ (ZIP Code) | _____ (E-mail Address) |

| | | | |
|---|---------------|----------------------------------|------------------------|
| Sign Here: _____ | | | |
| _____ (Print Name) | | _____ (Social Security Number) | |
| Mailing Address _____ (Number and Street or Rural Route) | | _____ (Daytime Telephone Number) | |
| _____ (City) | _____ (State) | _____ (ZIP Code) | _____ (E-mail Address) |

Instructions to Certifying Officer:

- 1. Name of the person(s) who appeared and date of appearance **MUST** be completed.
- 2. Original signature required if Medallion stamp is used.
- 3. Person(s) must sign in your presence.

I CERTIFY that _____, whose identity(ies)
(Names of Persons Who Appeared)

is/are known or proven to me, personally appeared before me this _____ day of _____
(Month) (Year)

at _____ and signed this form.
(City, State)

(Signature and Title of Certifying Officer)

(Name of Financial Institution)

(Address)

(City, State, ZIP code)

(Telephone)

(OFFICIAL STAMP OR SEAL)

SEE INSTRUCTIONS FOR ACCEPTABLE CERTIFICATION

I CERTIFY that _____, whose identity(ies)
(Names of Persons Who Appeared)

is/are known or proven to me, personally appeared before me this _____ day of _____
(Month) (Year)

at _____ and signed this form.
(City, State)

(Signature and Title of Certifying Officer)

(Name of Financial Institution)

(Address)

(City, State, ZIP code)

(Telephone)

(OFFICIAL STAMP OR SEAL)

SEE INSTRUCTIONS FOR ACCEPTABLE CERTIFICATION

INSTRUCTIONS

USE OF FORM – Payee(s) can use this form to report the nonreceipt, loss, theft, or destruction of fiscal agency checks and Treasury checks, and to apply for a replacement payment. The form provides the necessary information to place a hold on the payment of the missing check and constitutes an application for the issuance of a replacement payment. Before a replacement payment can be issued, additional evidence and a bond of indemnity may be required.

COMPLETION OF FORM – Print clearly in ink or type all information requested. If more space is needed for any item, use a plain sheet of paper and attach it to this form.

- ITEM 1.** This item is completed by the servicing office, advising you where to return the completed form.
- ITEM 2.** Mark the appropriate box regarding stop-payment.
- ITEM 3.** Mark the appropriate box(es) to show for what type(s) of security(ies) the check was issued. Provide any additional identifying information in Item 3d.
- ITEM 4.** Furnish all requested information:
- a. Show the type of payment for which the check was issued.
 - b. Furnish the date of payment.
 - c. Furnish the first-named payee's Social Security Number.
 - d. Show the amount of the check.
 - e. Provide the serial number of the check, if known.
 - f. Provide the names that were inscribed on the check.
 - g. Indicate whether the check was never received, or received and then lost, stolen, or destroyed.
 - h. Indicate whether the check was endorsed and, if so, provide the exact form of endorsement.
 - i. If the check was lost, stolen, or destroyed after receipt, furnish the circumstances of the loss, theft, or destruction.
 - j. Mark this box if the other payees named on the check did not have access to the check and you are requesting a waiver of the requirement for all payees to join in executing the application and agreement.
- ITEM 5.** Sign the form in ink and provide your complete home address, daytime telephone number, and e-mail address, if applicable. If there are two payees, both must sign unless Item 4k is marked. Each signature must be certified (see "**CERTIFICATION**" section below).

CERTIFICATION – You must appear before and establish identification to the satisfaction of an authorized certifying officer. The form must be signed in the officer's presence. The certifying officer must affix the seal or stamp that is used when certifying requests for payment. Authorized certifying officers are available at most financial institutions, including credit unions. Certification by a notary isn't acceptable. Examples of acceptable seals and stamps:

- The financial institution's official seal or stamp, including: Signature Guaranteed seal or stamp; Endorsement Guaranteed seal or stamp; Corporate seal or stamp (a corporate resolution isn't required); or Issuing or paying agent seal or stamp (including name, location, and four-digit identification number or nine-digit routing number).
- The seal or stamp of Treasury-recognized Signature Guarantee Programs or other Treasury-approved Medallion Programs.

WHERE TO SEND – Send the completed form to the address shown in Item 1.

PRIVACY ACT AND PAPERWORK REDUCTION ACT NOTICE

The collection of the information you are requested to provide on this form is authorized by 31 U.S.C. CH. 31 relating to the public debt of the United States. The furnishing of a Social Security Number, if requested, is also required by Section 6109 of the Internal Revenue Code (26 U.S.C. 6109).

The purpose of requesting the information is to enable the Bureau of the Fiscal Service and its agents to issue securities, process transactions, make payments, identify owners and their accounts, and provide reports to the Internal Revenue Service. Furnishing the information is voluntary; however, without the information, the Fiscal Service may be unable to process transactions.

Information concerning securities holdings and transactions is considered confidential under Treasury regulations (31 CFR, Part 323) and the Privacy Act. This information may be disclosed to a law enforcement agency for investigation purposes; courts and counsel for litigation purposes; others entitled to distribution or payment; agents and contractors to administer the public debt; agencies or entities for debt collection or to obtain current addresses for payment; agencies through approved computer matches; Congressional offices in response to an inquiry by the individual to whom the record pertains; as otherwise authorized by law or regulation.

We estimate it will take you about 10 minutes to complete this form. However, you are not required to provide information requested unless a valid OMB control number is displayed on the form. Any comments or suggestions regarding this form should be sent to the Bureau of the Fiscal Service, Forms Management Officer, Parkersburg, WV 26106-1328. **DO NOT SEND completed form to this address; send to the address in Item 1 on Page 1.**