

	Annual Crab Arbitration Organization Report (Template)	U.S. Department of Commerce/NOAA National Marine Fisheries Service (NMFS) Sustainable Fisheries P.O. Box 21668 Juneau, Alaska 99802-1668 (800) 304-4846 Option 3 toll free (907) 586-7228 Local (907) 586-7465 Fax 
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The Arbitration Organization for each crab fishery must notify NMFS annually of the persons selected as the Market Analyst, Formula Arbitrator, and Contract Arbitrator(s) for each crab fishery with QS/PQS Members by **June 1**.

An Arbitration Organization, with members who are quota share (QS) or processor quota share (PQS) holders, must submit a complete Annual Arbitration Organization Report to NMFS by **May 1** for the crab fishing year beginning on July 1 of that year.

An Arbitration Organization, with members who are individual fishing quota (IFQ) or individual processor quota (IPQ) holders, must submit a complete Annual Arbitration Organization Report to NMFS by **not later than 15 days after the issuance of IFQ and IPQ** for that crab QS fishery.

BLOCK A – TYPE OF ARBITRATION ORGANIZATION

- ☐ Arbitration QS/IFQ (for QS and IFQ holders who are not affiliated with PQS/IPQ holders)
- ☐ Affiliated QS/IFQ (for QS and IFQ holders who are affiliated with PQS/IPQ holders)
- ☐ Processor Arbitration Organization

BLOCK B – CRAB QS FISHERIES TO WHICH THIS ANNUAL ARBITRATION REPORT APPLIES

Check individual fisheries or ALL fisheries below, as applicable

- ☐ ALL PROGRAM FISHERIES or
- ☐ BBR Bristol Bay red king crab
- ☐ BSS Bering Sea Snow crab
- ☐ EAG Eastern Aleutian Islands golden king crab
- ☐ EBT Eastern Bering Sea Tanner crab
- ☐ PIK Pribilof red king and blue king crab
- ☐ SMB St. Matthew blue king crab
- ☐ WAG Western Aleutian Islands golden king crab
- ☐ WAI Western Aleutian Islands red king crab
- ☐ WBT Western Bering Sea Tanner crab

BLOCK C – ARBITRATION ORGANIZATION INFORMATION

1. Arbitration Organization Name		2. Name of Primary Contact
3. Permanent Business Mailing Address		
4. Business Telephone Number	5. Business Fax Number	6. Business E-mail
7. Names and Titles of Key Management Personnel (including, but not limited to, the Board of Directors, officers, representatives, and any managers) (attach extra page if necessary)		
Additional Contact Information (secondary contact)		
8. Name of Secondary Contact		
9. Permanent Business Mailing Address		
10. Business Telephone Number	11. Business Fax Number	12. Business E-mail

BLOCK D – ATTACHMENTS*(Annual Report is not complete without these attachments)*

Check box to indicate that a copy of the document is attached.

- ☐ Business license for the Arbitration Organization
- ☐ Bylaws for the Arbitration Organization
- ☐ Resumes of the management personnel
- ☐ Minutes of any meeting held by the Arbitration Organization or any members of the Arbitration Organization
- ☐ List of key personnel not limited to the board of directors, officers, representatives, and any managers

BLOCK E – AMOUNT OF QS/IFQ OR PQS/IPQ HELD BY EACH ARBITRATION ORGANIZATION MEMBER <i>Provide this information for each member of the Arbitration Organization on a separate sheet.</i>									
On the matrix below, indicate the number of QS/PQS units and/or IFQ/IPQ pounds held by each member of the Arbitration Organization in each of the Crab QS Fisheries. (attach extra page if necessary)									
EAG	WAG	BBR	BSS	EBT	PIK	SMB	WAI	WBT	
CVO QS Units									
CVO IFQ Pounds									
CPO QS Units									
CPO IFQ Pounds									
CVC QS Units									
CVC IFQ Pounds									
CPC QS Units									
CPC IFQ Pounds									
PQS Units									
IPQ Pounds									

BLOCK F – OWNERSHIP INTEREST OF THE ARBITRATION ORGANIZATION MEMBER	
1. Is the Arbitration Organization member a publicly held corporation?	[] YES [] NO
2. Is the Arbitration Organization member a non-individual?	[] YES [] NO
If YES , is this entity still active?	[] YES [] NO
3. Is the Arbitration Organization member an estate that has been probated?	[] YES [] NO
If YES , on what date was probate finalized: _____	
4. If an Arbitration Organization member holds ownership interest in separate or additional corporation, partnership, or other entity, indicate name of entity and the percentage interest that the member holds in that entity. (attach extra page if necessary)	
Name of Entity	Percent (%) of Interest Held

Instructions for Annual Crab Arbitration Organization Report
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Arbitration Organizations must be formed to select and contract a Market Analyst, Formula Arbitrator, Contract Arbitrator(s), and establish the Arbitration System, including the payment of costs of arbitration for each crab QS fishery. All holders of CVO QS, PQS, Arbitration IFQ, Class A IFQ affiliated with a PQS or IPQ holder, and IPQ must join and maintain a membership in an Arbitration Organization per 50 CFR 680.20.

The CR Program crab fisheries are:

- BBR -- Bristol Bay red king crab (*Paralithodes camtschaticus*)
- BSS -- Bering Sea Snow crab (*Chionoecetes opilio*)
- EAG -- Eastern Aleutian Islands golden king crab (*Lithodes aequispinus*)
- EBT -- Eastern Bering Sea Tanner crab (*Chionoecetes bairdi*)
- PIK -- Pribilof red king and blue king crab (*Paralithodes camtschaticus* and *P. platypus*)
- SMB -- St. Matthew blue king crab (*Paralithodes platypus*)
- WAG -- Western Aleutian Islands golden king crab (*Lithodes aequispinus*)
- WAI -- Western Aleutian Islands red king crab (*Paralithodes camtschaticus*)
- WBT -- Western Bering Sea Tanner crab (*Chionoecetes bairdi*)

Submittal Deadlines

The Arbitration Organization for each crab fishery must notify NMFS annually of the persons selected as the Market Analyst, Formula Arbitrator, and Contract Arbitrator(s) for each crab fishery with QS/PQS members by **June 1**.

An Arbitration Organization, with members who are QS or PQS holders, must submit a complete Annual Arbitration Organization Report to NMFS by **May 1** for the crab fishing year beginning on July 1 of that year.

An Arbitration Organization, with members who are IFQ or IPQ holders, must submit a complete Annual Arbitration Organization Report to NMFS by **not later than 15 days after the issuance** of IFQ and IPQ for that crab QS fishery.

Upon completion, the report must be submitted as follows:

By mail to: Regional Administrator, NMFS
 P.O. Box 21668
 Juneau, AK 99802

By courier to: NMFS
 Room 401
 709 West 9th Street
 Juneau, AK 99801

By fax to: 907-586-7465

By email to: jon.kurland@noaa.gov
 alicia.m.miller@noaa.gov

COMPLETING THE REPORT

BLOCK A – TYPE OF ARBITRATION ORGANIZATION

Indicate the type of Arbitration Organization for this report.

BLOCK B – CRAB QS FISHERIES TO WHICH THIS ANNUAL ARBITRATION REPORT APPLIES

Check individual fisheries or check ALL fisheries, if applicable.

BLOCK C – ARBITRATION ORGANIZATION INFORMATION

1. Enter Arbitration Organization name.
2. Enter name of primary contact.
3. Enter primary contact permanent business mailing address, including number, street or P.O. box, city, state, and zip code.
- 4-6. Enter primary contact business telephone number, business fax number, and business e-mail address.
7. Enter names and titles of Key Management Personnel (including, but not limited to, the Board of Directors, officers, representatives, and any managers). **(Attach extra page if necessary.)**
8. Enter name of secondary contact.
9. Enter secondary contact permanent business mailing address, including number, street or P.O. box, city, state, and zip code.
- 10-12. Enter secondary contact business telephone number, business fax number, and business e-mail address.

BLOCK D – ATTACHMENTS (Annual Report is not complete without the listed attachments)

Check box to indicate that a copy of the document is attached

BLOCK E – AMOUNT OF QS/IFQ OR PQS/IPQ HELD BY EACH ARBITRATION ORGANIZATION MEMBER

Identify the members of the Arbitration Organization and the amount of Arbitration QS and Arbitration IFQ, Non-Arbitration QS and Non-Arbitration IFQ, or PQS and IPQ held by each member and represented by that Arbitration Organization in each of the Crab QS Fisheries. **(Attach extra page if necessary.)**

BLOCK F – OWNERSHIP INTEREST OF THE ARBITRATION ORGANIZATION MEMBER

1. Indicate whether the Arbitration Organization member is a publicly held corporation.
2. Indicate whether the Arbitration Organization member is a non-individual.
If YES, indicate if this entity is still active.
3. Indicate whether the Arbitration Organization member is an estate that has been probated.
If YES, provide the date the probate was finalized.
4. If an Arbitration Organization member holds QS, PQS, IFQ, or IPQ ownership interest in separate or additional corporation, partnership, or other entity, indicate name of entity and the percentage interest that the member holds in that entity. **(Attach extra page if necessary.)**

PUBLIC REPORTING BURDEN STATEMENT

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995 unless the information collection has a currently valid OMB Control Number. The approved OMB Control Number for this information collection is 0648-0516. Without this approval, we could not conduct this information collection. Public reporting for this information collection is estimated to be approximately 6 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. All responses to this information collection are mandatory and required to manage commercial fishing efforts under 50 CFR part 680, under section 402(a) of the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1801, *et seq.*), and under 16 U.S.C. 1862(j). Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to Assistant Regional Administrator, Sustainable Fisheries Division, NMFS, Alaska Region, P.O. Box 21668, Juneau, AK 99802- 1668.

PRIVACY ACT STATEMENT

Authority: The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C 1801 *et seq.*

Purpose: In order to manage the king and Tanner crab fisheries in the Bering Sea/Aleutian Islands (BSAI) management area, NOAA requires that harvesters and processors abide by an arbitration system established to stabilize prices and negotiations during the crab harvest season. The arbitration system is necessary to reduce contention in price negotiations. The information collected is necessary to verify the membership of the arbitration organizations.

Routine Uses: The Department will use this information to maintain the arbitration system. All information collections by NMFS, Alaska Region, are protected under confidentiality provisions of section 402(b) of the Magnuson-Stevens Act as amended in 2006 (16 U.S.C. 1801, *et seq.*) and under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics. Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a), to be shared within NMFS offices, in order to coordinate monitoring and management of sustainability of fisheries and protected resources, as well as with the applicable State or Regional Marine Fisheries Commissions and International Organizations. Disclosure of this information is also subject to all of the published routine uses as identified in the [Privacy Act System of Records Notice COMMERCE/NOAA-19](#), Permits and Registrations for the United States Federally Regulated Fisheries.

Disclosure: Furnishing this information is required for an Arbitration Organization, which was implemented with the BSAI Crab Rationalization Program.