

EXHIBIT A

CMS 10662 Compliance Review Information Retrieval Form

SUPPORTING DOCUMENT NAME	CHANGES TO SUPPORTING DOCUMENT	EXPLANATION of CHANGES	BURDEN IMPACT
ASETT Compliance Review Package	<ol style="list-style-type: none"> 1. Salutation: replaced Title with addressee first name 2. Paragraph 2: Added reference to the “CMS Identity Management (IDM) System and Compliance Review Covered Entity Portal Access Quick Start User Guide” 3. Paragraph 3: Removed obsolete portal access instructions 4. Signature block: Changed signatory to Michael Cimmino, Director NSG 5. Part A: <u>Assessment Process</u>, replaced reference to Edifecs Xengine (testing tool) with Edifecs Onboarding and Testing Cloud Services (OTCS) (testing tool). 6. Part A: <u>Assessment Process</u>, used the full name Council for Affordable Quality Healthcare, Committee on Operating Rules for Information Exchange instead of the acronym CAQH – CORE 7. Part C: added instructions for using the testing tool 8. Part C: improved instruction for using the Covered Entity Portal 9. Made updates regarding file naming conventions, file types, and file size for uploads to the system of record (ASETT) 	<ol style="list-style-type: none"> 1. CMS mandate to remove gender identification 2. To assist users with signing into ASETT and accessing the Covered Entity Portal 3. Former portal no longer exists, infrastructure was sunsetted by Salesforce 4. Gladys Wheeler (previous signatory) retired 5. Edifecs XEngine was upgraded to OTCS 6. Ensure compliance review participants understand the standards authority acronym 7. Ensure users can register and access the testing tool 8. Ensure users provide requested artifacts correctly 9. Ensure with system of record compliance 	n/a
ASETT Notice of Corrective Action	<ol style="list-style-type: none"> 1. Salutation: replaced Title with addressee first name 	<ol style="list-style-type: none"> 1. CMS mandate to remove gender 	n/a

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	2. Paragraph 4: Removed obsolete portal access instructions 3. Paragraph 5: Added Corrective Action Plan (CAP) document upload instructions 4. Signature block: Changed signatory to Michael Cimmino, Director NSG	identification 2. Former portal no longer exists 3. To ensure CAP documents are added to the correct location in the Compliance Review record 4. Gladys Wheeler (previous signatory) retired	
ASETT Operating Rules Attestation	1. All Operating Rule Attestation questions are enumerated	1. To enable clear reference to each question when discussing with a covered entity	n/a
ASETT Compliance Review Triage Questionnaire	1. The single covered entity triage questionnaire that was used for all covered entity types was replaced by a unique covered entity triage questionnaire for each covered entity type (Health Plan, Clearinghouse, Provider)	1. Created a specific questionnaire for the EDI transactions that each covered entity may create (Health Plan, Clearinghouse, Provider)	n/a
<u>Other Compliance Review Notices and Letters:</u> <ul style="list-style-type: none"> • ASETT Follow-Up Request for Information Letter • ASETT Follow-Up Request for Information Letter (Corrective Action) • ASETT Notice of Compliance Review Closure (No Violations) • ASETT Notice of Compliance Review Closure (Corrective Action) 	1. Signature block: Changed signatory to Michael Cimmino, Director NSG	1. Gladys Wheeler (previous signatory) retired	n/a

SUPPORTING DOCUMENT NAME	CHANGES TO SUPPORTING DOCUMENT	EXPLANATION of CHANGES	BURDEN IMPACT
<ul style="list-style-type: none"> • ASETT Notice of Draft Findings 			