§478.111

handgun, or any person from transferring a handgun to a person under 18.

(4) A knowing violation of the prohibition against selling, delivering, or otherwise transferring a handgun to a person under the age of 18 is, under certain circumstances, punishable by up to 10 years in prison.

Note: ATF I 5300.2 provides the complete language of the statutory prohibitions and exceptions provided in 18 U.S.C. 922(x) and the penalty provisions of 18 U.S.C. 924(a)(6). The Federal firearms licensee posting this sign will provide you with a copy of this publication upon request. Requests for additional copies of ATF I 5300.2 should be submitted to the ATF Distribution Center (http://www.atf.gov) or made by calling (202) 648-6490

(f) The sign required by paragraph (d) of this section need not be posted on the premises of any licensed importer, manufacturer, or dealer whose only dispositions of handguns to nonlicensees are to nonlicensees who do not appear at the licensed premises and the dispositions otherwise comply with the provisions of this part.

[T.D. ATF-402, 63 FR 37742, July 13, 1998, as amended by ATF 2013R-9F, 79 FR 46692, Aug. 11, 2014]

Subpart G—Importation

§ 478.111 General.

(a) Section 922(a)(3) of the Act makes it unlawful, with certain exceptions not pertinent here, for any person other than a licensee to transport into or receive in the State where the person resides any firearm purchased or otherwise obtained by the person outside of that State. However, section 925(a)(4) provides a limited exception for the transportation, shipment, receipt or importation of certain firearms and ammunition by certain members of the United States Armed Forces. Section 922(1) of the Act makes it unlawful for any person knowingly to import or bring into the United States or any possession thereof any firearm or ammunition except as provided by section 925(d) of the Act, which section provides standards for importing or bringing firearms or ammunition into the United States. Section 925(d) also provides standards for importing or bringing firearm barrels into the United States. Accordingly, no firearm, firearm barrel, or ammunition

may be imported or brought into the United States except as provided by this part.

- (b) Where a firearm, firearm barrel, or ammunition is imported and the authorization for importation required by this subpart has not been obtained by the person importing same, such person shall:
- (1) Store, at the person's expense, such firearm, firearm barrel, or ammunition at a facility designated by U.S Customs or the Director of Industry Operations to await the issuance of the required authorization or other disposition; or
- (2) Abandon such firearm, firearm barrel, or ammunition to the U.S. Government; or
- (3) Export such firearm, firearm barrel, or ammunition.
- (c) Any inquiry relative to the provisions or procedures under this subpart, other than that pertaining to the payment of customs duties or the release from Customs custody of firearms, firearm barrels, or ammunition authorized by the Director to be imported, shall be directed to the Director of Industry Operations for reply.

[T.D. ATF-270, 53 FR 10498, Mar. 31, 1988]

§ 478.112 Importation by a licensed importer.

(a) No firearm, firearm barrel, or ammunition shall be imported or brought into the United States by a licensed importer (as defined in §478.11) unless the Director has authorized the importation of the firearm, firearm barrel, or ammunition

(b)(1) An application for a permit, ATF Form 6—Part I, to import or bring a firearm, firearm barrel, or ammunition into the United States or a possession thereof under this section must be filed, in triplicate, with the Director. The application must be signed and dated and must contain the information requested on the form, including:

- (i) The name, address, telephone number, and license number (including expiration date) of the importer;
- (ii) The country from which the firearm, firearm barrel, or ammunition is to be imported;
- (iii) The name and address of the foreign seller and foreign shipper;

- (iv) A description of the firearm, firearm barrel, or ammunition to be imported, including:
- (A) The name and address of the manufacturer:
- (B) The type (e.g., rifle, shotgun, pistol, revolver and, in the case of ammunition only, ball, wadcutter, shot, etc.);
 - (C) The caliber, gauge, or size;
 - (D) The model;
- (E) The barrel length, if a firearm or firearm barrel (in inches);
- (F) The overall length, if a firearm (in inches);
 - (G) The serial number, if known;
- (H) Whether the firearm is new or used:
 - (I) The quantity;
- (J) The unit cost of the firearm, firearm barrel, or ammunition to be imported:
- (v) The specific purpose of importation, including final recipient information if different from the importer;
- (vi) Verification that if a firearm, it will be identified as required by this part: and
- (vii)(A) If a firearm or ammunition imported or brought in for scientific or research purposes, a statement describing such purpose; or
- (B) If a firearm or ammunition for use in connection with competition or training pursuant to Chapter 401 of Title 10, U.S.C., a statement describing such intended use; or
- (C) If an unserviceable firearm (other than a machine gun) being imported as a curio or museum piece, a description of how it was rendered unserviceable and an explanation of why it is a curio or museum piece; or
- (D) If a firearm other than a surplus military firearm, of a type that does not fall within the definition of a firearm under section 5845(a) of the Internal Revenue Code of 1986, and is for sporting purposes, an explanation of why the firearm is generally recognized as particularly suitable for or readily adaptable to sporting purposes; or
- (E) If ammunition being imported for sporting purposes, a statement why the ammunition is particularly suitable for or readily adaptable to sporting purposes; or
- (F) If a firearm barrel for a handgun, an explanation why the handgun is generally recognized as particularly

suitable for or readily adaptable to sporting purposes.

- (2)(i) If the Director approves the application, such approved application will serve as the permit to import the firearm, firearm barrel, or ammunition described therein, and importation of such firearms, firearm barrels, or ammunition may continue to be made by the licensed importer under the approved application (permit) during the period specified thereon. The Director will furnish the approved application (permit) to the applicant and retain two copies thereof for administrative use.
- (ii) If the Director disapproves the application, the licensed importer will be notified of the basis for the disapproval.
- (c) A firearm, firearm barrel, or ammunition imported or brought into the United States or a possession thereof under the provisions of this section by a licensed importer may be released from Customs custody to the licensed importer upon showing that the importer has obtained a permit from the Director for the importation of the firearm, firearm barrel, or ammunition to be released. The importer will also submit to Customs a copy of the export license authorizing the export of the firearm, firearm barrel, or ammunition from the exporting country. If the exporting country does not require issuance of an export license, the importer must submit a certification, under penalty of perjury, to that effect.
- (1) In obtaining the release from Customs custody of a firearm, firearm barrel, or ammunition authorized by this section to be imported through the use of a permit, the licensed importer will prepare ATF Form 6A, in duplicate, and furnish the original ATF Form 6A to the Customs officer releasing the firearm, firearm barrel, or ammunition. The Customs officer will, after certification, forward the ATF Form 6A to the address specified on the form.
- (2) The ATF Form 6A must contain the information requested on the form, including:
- (i) The name, address, and license number of the importer:
- (ii) The name of the manufacturer of the firearm, firearm barrel, or ammunition:

§478.113

- (iii) The country of manufacture;
- (iv) The type;
- (v) The model:
- (vi) The caliber, gauge, or size;
- (vii) The serial number in the case of firearms, if known; and
- (viii) The number of firearms, firearm barrels, or rounds of ammunition released.
- (d) Within 15 days of the date of release from Customs custody, the licensed importer must:
- (1) Forward to the address specified on the form a copy of ATF Form 6A on which must be reported any error or discrepancy appearing on the ATF Form 6A certified by Customs and serial numbers if not previously provided on ATF Form 6A;
- (2) Pursuant to §478.92, place all required identification data on each imported firearm if same did not bear such identification data at the time of its release from Customs custody; and
- (3) Post in the records required to be maintained by the importer under subpart H of this part all required information regarding the importation.

(Paragraph (b) approved by the Office of Management and Budget under control number 1140-0005; paragraphs (c) and (d) approved by the Office of Management and Budget under control number 1140-0007)

[T.D. ATF-270, 53 FR 10498, Mar. 31, 1988, as amended by T.D. ATF-426, 65 FR 38198, June 20, 2000; ATF-11F, 73 FR 57241, Oct. 2, 2008]

§ 478.113 Importation by other licensees.

(a) No person other than a licensed importer (as defined in §478.11) shall engage in the business of importing firearms or ammunition. Therefore, no firearm or ammunition shall be imported or brought into the United States or a possession thereof by any licensee other than a licensed importer unless the Director issues a permit authorizing the importation of the firearm or ammunition. No barrel for a handgun not generally recognized as particularly suitable for or readily adaptable to sporting purposes shall be imported or brought into the United States or a possession thereof by any person. Therefore, no firearm barrel shall be imported or brought into the United States or possession thereof by any licensee other than a licensed importer unless the Director issues a permit authorizing the importation of the firearm barrel.

- (b)(1) An application for a permit, ATF Form 6—Part I, to import or bring a firearm, firearm barrel, or ammunition into the United States or a possession thereof by a licensee, other than a licensed importer, must be filed, in triplicate, with the Director. The application must be signed and dated and must contain the information requested on the form, including:
- (i) The name, address, telephone number, and license number (including expiration date) of the applicant;
- (ii) The country from which the firearm, firearm barrel, or ammunition is to be imported:
- (iii) The name and address of the foreign seller and foreign shipper;
- (iv) A description of the firearm, firearm barrel, or ammunition to be imported, including:
- (A) The name and address of the manufacturer;
- (B) The type (e.g., rifle, shotgun, pistol, revolver and, in the case of ammunition only, ball, wadcutter, shot, etc.);
 - (C) The caliber, gauge, or size;
 - (D) The model;
- (E) The barrel length, if a firearm or firearm barrel (in inches);
- (F) The overall length, if a firearm (in inches):
- (G) The serial number, if known;
- (H) Whether the firearm is new or used;
 - (I) The quantity;
- (J) The unit cost of the firearm, firearm barrel, or ammunition to be imported:
- (v) The specific purpose of importation, including final recipient information if different from the applicant; and
- (vi)(A) If a firearm or ammunition imported or brought in for scientific or research purposes, a statement describing such purpose; or
- (B) If a firearm or ammunition for use in connection with competition or training pursuant to Chapter 401 of Title 10, U.S.C., a statement describing such intended use; or
- (C) If an unserviceable firearm (other than a machine gun) being imported as a curio or museum piece, a description of how it was rendered unserviceable