

INSTRUCTIONS

FOR COMPLETING THE U.S. DEPARTMENT OF STATE SHRIMP EXPORTER'S/IMPORTER'S DECLARATION

1. This form must accompany all shipments of shrimp and products from shrimp into the United States.

2. The form accompanying each shipment must include original signatures in all required signature blocks. The form is to be retained by the U.S. importer for a period of three years from the date of import, and is to be produced upon a request from U.S. government officials.

3. All blocks on the form must be complete and easily legible with all requested information. Incomplete forms will not be accepted.

4. Forms may be reproduced locally, but it is the responsibility of the exporter to ensure that any such reproduced forms are clearly legible and current. The requirement for original signature in 2, above, still applies.

5. Instructions for individual blocks:

Block 1:

The harvesting nation means either: the nation or economy in which the shrimp is raised in an aquaculture facility; or the nation or economy in whose waters the shrimp is harvested.

Block 2:

Insert the name and address of the aquaculture facility or facilities at which the shrimp is raised and harvested, if applicable. If there is more than one aquaculture facility, they may be listed on a separate, but attached, piece of paper.

Block 3:

The "exporter" is the individual or company operating in the harvesting nation who sells the goods for export to a foreign buyer, e.g. a U.S. or third-country buyer.

Block 4:

Insert name, address, and email address of U.S. importer or ultimate consignee.

Block 5:

"Date of export" means the date on which the shipment departed the last port of the harvesting nation for exportation.

Block 6:

Insert in the appropriate column the U.S. Harmonized Tariff Schedule (HTS) Code, number of boxes/cases or other units in a particular shipment, and the net weight in kilograms. HTS subheadings covered by this form include, but are not limited to: 0306.16 (cold-water shrimps and prawns, frozen); 0306.17 (other shrimps and prawns, frozen); 0306.35 (cold-water shrimps and prawns, live, fresh, or chilled); 0306.36 (other shrimps and prawns, live, fresh, or chilled); 0306.95 (other shrimps and prawns, not

frozen, live, fresh, or chilled); 1605.21 (shrimps and prawns not in airtight containers); and 1605.29.10 (shrimps and prawns in airtight containers). If more than one HTS Code covers the shipment, then they may be listed on an additional page and attached. Insert date of entry, port of entry, and entry number at the top of attachment.

Block 7:

Check the appropriate box to indicate if the shrimp is (A) harvested in a manner which does not adversely affect sea turtles; or (B) harvested in the waters of a nation that is certified pursuant to Section 609 of P.L. 101-162 as of the date of export from the harvesting nation. In order to qualify pursuant to (A), the shrimp must have been harvested under one of the following conditions: (1) in an aquaculture facility in which the shrimp spend at least 30 days in ponds prior to being harvested; (2) by commercial trawl vessels using turtle excluder devices (TEDs) determined by the Department of State to be comparable in effectiveness to those required in the United States; (3) exclusively by means that do not involve retrieval of fishing nets by mechanical devices, or using other methods that do not threaten sea turtles, as previously determined by the Department of State; or (4) in a manner or under circumstances determined by the Department of State to not pose a threat of the incidental taking of sea turtles. The use of box 7(A)2, 7(A)3, and 7(A)4 is restricted to only those harvesting nations or fisheries for which the Department of State has made a determination pursuant to the revised guidelines for the implementation of Section 609 of P.L. 101-162, published at 64 Federal Register 36946-36952. Harvesting nations that are certified pursuant to Section 609 of P.L. 101-162, as well as applicable determinations, will be listed in the U.S. Federal Register. An agent or representative of the exporter must complete, sign, and date the line designated for this purpose.

Block 8:

If any box in 7A is checked, this section must be completed and signed by a responsible agent of the government of the harvesting nation. This may be any official so designated for this purpose provided that such designee is employed on a full-time basis by the government of the harvesting nation and identified to the Department of State. Governments may not delegate the authority to sign the forms to a representative of the private sector or to a part-time government employee.

Block 9:

The U.S. importer or customs broker should insert the date of entry, port of entry, entry number, and sign this section upon receipt of this form and its corresponding shipment.



U.S. Department of State

SHRIMP EXPORTER'S/IMPORTER'S DECLARATION*(See Instructions on Reverse)*OMB APPROVAL NO. 1405-0095
EXPIRATION DATE: XX/XX/20XX
ESTIMATED BURDEN: 10 minutes

1. Harvesting Nation		2. Aquaculture Facility (If applicable) Name and Address	
3. Exporter from the harvesting nation (Name, Address, Telephone, and Email Address)		4. U.S. Importer/Ultimate Consignee (Name, Address, Telephone, and Email Address)	
5. Date of Export from the harvesting nation (mm-dd-yyyy)			
6. Description of Product			
U.S. Harmonized Tariff Schedule Code(s)	Number of Units	Net Weight in Kilograms	
7. Exporter's Declaration <i>(To be completed by a responsible agent of the exporter of the product.)</i> I hereby declare that the shipment of shrimp accompanying this declaration <i>(Check One)</i> : A. ☐ Harvested in a manner not harmful to sea turtles. Check the condition of harvest which applies: 1. ☐ Harvested by aquaculture 2. ☐ Harvested using TEDs comparable in effectiveness to those in the United States, as determined by the U.S. Department of State 3. ☐ Harvested using non-mechanical net retrieval or by special gear that does not threaten sea turtles, as determined by the U.S. Department of State 4. ☐ Harvested in a manner or under circumstances not to pose a threat of the incidental taking of sea turtles, as determined by the U.S. Department of State B. ☐ Harvested in the waters of a nation currently certified pursuant to Section 609 of P.L. 101-162.			
Exporter <i>(Name and Title)</i>		Signature	Date (mm-dd-yyyy)
8. Government Attestation of the harvesting nation <i>(Necessary only if box 7A above is checked; to be signed by a responsible Government official of the harvesting nation.)</i> I hereby declare that the statements signed above by the exporter of this shipment of shrimp are true and accurate to the best of my knowledge.			
Name/Agency/Title	Address/Telephone	Signature	Date (mm-dd-yyyy)
9. Import Information <i>(To be completed by U.S. importer or customs broker.)</i>			
Date of Entry (mm-dd-yyyy)	Port of Entry	Entry Number	Signature
THIS FORM MUST ACCOMPANY ALL SHIPMENTS OF SHRIMP AND PRODUCTS FROM SHRIMP INTO THE UNITED STATES			
Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time required for searching existing data sources, gathering the necessary documentation, providing the information and/or documents required, and reviewing the final collection. You do not have to supply this information unless this collection displays a currently valid OMB control number. If you have comments on the accuracy of this burden estimate and/or recommendations for reducing it, please send them to: Office of Marine Conservation, Attn: Section 609 Program Manager, U.S. Department of State, 2201 C Street, NW, Room 2758, Washington, DC 20520-7818.			