Request for Approval under the "Generic Clearance for the Collection of Routine Customer Feedback" (OMB Control Number: 1505-0231) TITLE OF INFORMATION COLLECTION:

Survey for the Collection of Qualitative Feedback on the Emergency Capital Investment Program and Office of Capital Access Programs Service and Delivery.

PURPOSE:

The Department of the Treasury, Emergency Capital Investment Program and the Office of Capital Access Programs are conducting a five-question survey to gather recipient feedback on Treasury customer service.

DESCRIPTION OF RESPONDENTS:

Respondents will be participants in the following programs who submit compliance reports:

The Coronavirus State and Local Fiscal Recovery Funds (SLFRF)

The Emergency Capital Investment Program (ECIP)

The Local Assistance and Tribal Consistency Fund (LATCF)

The State Small Business Credit Initiative (SSBCI)

The Emergency Rental Assistance Program (ERA)

| TYPE | \mathbf{OF} | coll | ECTI | $on \cdot$ | (Check | one) |
|------|---------------------------|------|------------------------------------|------------|--------|------|
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| [] Customer Comment Card/Complaint Form | [X] Customer Satisfaction |
|---|--------------------------------|
| Survey [] Usability Testing (e.g., Website or Soft | ware [] Small Discussion Group |
| [] Focus Group | [] Other: |

CERTIFICATION:

I certify the following to be true:

- 1. The collection is voluntary.
- 2. The collection is low-burden for respondents and low-cost for the Federal Government.
- 3. The collection is non-controversial and does <u>not</u> raise issues of concern to other federal agencies.
- 4. The results are <u>not</u> intended to be disseminated to the public.
- 5. Information gathered will not be used for the purpose of <u>substantially</u> informing <u>influential</u> policy decisions.
- 6. The collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the future.

David Meyer

To assist review, please provide answers to the following question:

Personally Identifiable Information:

- 1. Is personally identifiable information (PII) collected? [] Yes [X] No
- 2. If Yes, will any information that is collected be included in records that are subject to the Privacy Act of 1974? [] Yes [] No $_{\rm N/A}$
- 3. If Yes, has an up-to-date System of Records Notice (SORN) been published? [] Yes [] No N/A

Gifts or Payments:

Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? [] Yes [X] No

BURDEN HOURS

| Category of Respondent | No. of Respondents | Participation Time | Burden Hours |
|---|-----------------------|-----------------------|-----------------|
| The Coronavirus State and Local Fiscal Recovery Funds (SLFRF) | 31,395 | 5 minutes | 2,616 |
| The Local Assistance and Tribal Consistency Fund (LATCF) | 2,664 | 5 minutes | 222 |
| The Emergency Capital Investment Program (ECIP) | 175 | 5 minutes | 14 |
| The State Small Business Credit Initiative (SSBCI) | 100 | 5 minutes | 8 |
| The Emergency Rental Assistance Program (ERA) | 378 | 5 minutes | 32 |
| Totals | 34,712 | 5 minutes | 2,893 |

FEDERAL COST: The estimated annual cost to the Federal government is \$15,728.

If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:

The selection of your targeted respondents

1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe?

[X] Yes [] No

If the answer is yes, please provide a description of both below (or attach the sampling plan)? If the answer is no, please provide a description of how you plan to identify your potential group of respondents and how you will select them?

Program recipients will be offered the opportunity to submit the survey when submitting compliance reports.

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| 1. | How will you collect the information? (Check all that |
|----|---|
| | apply) [X] Web-based or other forms of Social Media |
| | [] Telephone |
| | [] In-person |
| | [] Mail |
| | [] Other, Explain |
| 2. | Will interviewers or facilitators be used? [] Yes [X] No |

Please make sure that all instruments, instructions, and scripts are submitted with the request.

Survey Instrument (Screenshot below)

Five standard questions. Only the italicized text will change.

- 1. Overall, how effective is the *Emergency Capital Investment Program*?
- 2. How satisfied are you with Treasury's administration of the *Emergency Capital Investment Program*?
- 3. What can we do to improve Treasury's administration of the *Emergency Capital Investment Program*?
- 4. How was your overall experience using the Treasury's Portal for *Emergency Capital Investment Program*?
- 5. Do you have any additional suggestions or feedback?



Emergency Capital Investment Program Compliance Report Survey

| Overall, how | effective is the ECIP? | |
|--|---|----------|
| | | |
| Least effective | Most effective | |
| | Very Unsatisfied | |
| Provide commen | ts (optional) | |
| | | 1. |
| | | |
| How satisfied | are you with Treasury's administration of the ECIP? | |
| | | |
| satisfied | Satisfied satisfied | |
| | Unsatisfied | |
| Provide commen | ts (optional) | |
| | | |
| | | |
| | | 1. |
| | | 11 |
| What can we | do to improve Treasury's administration of the ECIP? | 1. |
| | do to improve Treasury's administration of the ECIP? | A. |
| | do to improve Treasury's administration of the ECIP? | |
| | do to improve Treasury's administration of the ECIP? | 6 |
| Provide commen | do to improve Treasury's administration of the ECIP? ts (optional) | 4 |
| Provide commen How was you | do to improve Treasury's administration of the ECIP? ts (optional) r overall experience using the Treasury's portal for the ECIP? | |
| Provide commen How was you | do to improve Treasury's administration of the ECIP? ts (optional) | 2 |
| Provide commen How was you | do to improve Treasury's administration of the ECIP? ts (optional) r overall experience using the Treasury's portal for the ECIP? | A |
| Provide commen How was you Least Satisfied | do to improve Treasury's administration of the ECIP? ts (optional) rr overall experience using the Treasury's portal for the ECIP? About Satisfied | a a |
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| Provide commen How was you Least Satisfied | do to improve Treasury's administration of the ECIP? ts (optional) rr overall experience using the Treasury's portal for the ECIP? About Satisfied | <u>A</u> |

The estimated burden associated with this collection of information is 1 hour per response. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Office of Privacy, Transparency and Records, Department of the Treasury, 1500 Pennsylvania Ave., N.W., Washington, D.C. 20220. DO NOT send the form to this address. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid control number assigned by OMB.