

Home Performance with ENERGY STAR Implementation Plan Template for Prospective Program Sponsors



Home Performance with ENERGY STAR® (HPwES) is a public-private voluntary partnership program designed to turn building science-based recommendations into solutions for improved, energy efficient homes. The U.S. Department of Energy (DOE), in coordination with the U.S. Environmental Protection Agency (EPA), offers HPwES as a programmatic platform designed to systematically enhance home performance for healthier and more comfortable living environments, enhanced durability of the homes' structures and systems, and improved energy savings for the homeowners.

Home Performance with ENERGY STAR, like all ENERGY STAR programs, facilitates market transformation by increasing the availability and adoption of energy-efficient goods and services. HPwES is based on the nationally recognized ENERGY STAR brand and is grounded in a building science driven approach to home improvement that promotes consumer confidence and results in measurable energy savings. Through HPwES, Program Sponsors and stakeholders support an infrastructure of qualified contractors who engage customers, deliver quality work, and drive the market forward for HPwES projects and related services.

Eligible organizations include: Utilities; national, regional, state, or local government entities; or other organizations involved in coordinating and/or administering an energy-efficiency program or environmental education campaign that promotes or intends to promote ENERGY STAR qualified products, homes, Home Performance with ENERGY STAR and/or buildings.

Interested organizations must use this Sponsor Implementation Plan Template to outline your proposed Home Performance with ENERGY STAR program. Review the *Sponsor Guide and Reference Manual (v1.5)* for detailed Program requirements that must be incorporated into your program design. Use Appendix A—the Minimum Requirements Checklist Worksheet as a quick reference to help complete Sponsor Implementation Plan Template.

Please allow HPwES two weeks to review your plan. Once reviewed, HPwES will contact you to discuss your plan with you. Once your plan is approved you will be listed on the HPwES website and receive an email with My Energy Star Account (MESA) instructions to access our in-kind supporting material. DOE reserves the right to decline sponsorship if there are inadequate resources and planning to initiate a HPwES program, and will advise you as to what needs to be addressed.

Please complete and submit the Sponsor Implementation Plan Template to homeperformance@energystar.gov.

This data is being collected to understand prospective Sponsor plans for implementation. The data you supply will be used for determining potential for Sponsor success.

Public reporting burden for this collection of information is estimated to average 285 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of the Chief Information Officer, Enterprise Policy Development & Implementation Office, IM-22, Information Collection Management Program 1910-5184, U.S. Department of Energy, 1000 Independence Ave SW, Washington, DC 20585; and to the Office of Management and Budget (OMB), OIRA, Paperwork Reduction Project 1910-5184, Washington, DC 20503.

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Submission of this data is voluntary.

I. GENERAL PROGRAM AND CONTACT INFORMATION

Sponsor Candidate Organization Information: Fill information about your organization and support team.	
Sponsor Candidate's Official Organization Name(s)	
Program Name (i.e., public name associated with HPwES platform). Note: program name will be listed on the energystar.com/hpwes "Connect" map.	
Implementation Vendor Name(s) (If applicable)	
Program Administrator Type (Identify the type of organization that best describes your organization.)	Electric Utility Company (IOU, Muni, Co-op) Financial Institution Gas and Electric Utility Company (IOU, Muni, Co-op) Gas Utility Local Government Non-Profit State Government Other, please specify:
Sponsor Candidate's Mailing Address	Address Line 1
	Address Line 2
	City
	State
Implementation Vendor's Mailing Address (If applicable)	Implementation Vendor
	Address Line 1
	Address Line 2

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		City	
		State	Zip
Contact Information (list all that apply)			
1st Administrative POC	First name		Last name
	Email		Phone
2nd Administrative POC	First name		Last name
	Email		Phone
Implementation POC	First name		Last name
	Email		Phone
Reporting/Data POC	First name		Last name
	Email		Phone
Marketing POC	First name		Last name
	Email		Phone
Quality Assurance POC	First name		Last name
	Email		Phone
In reference to the POCs listed above, please indicate the HPwES program's primary POC.			<input type="checkbox"/> 1st Administrative POC <input type="checkbox"/> 2nd Administrative POC <input type="checkbox"/> Implementation POC <input type="checkbox"/> Marketing POC <input type="checkbox"/> Reporting/Data POC
Program Background: Provide information about your proposed program.			
1. Provide a brief description of your HPwES program, its intent and value for your target market. DOE may use the text you provide for publication in your Sponsor Profile on websites and presentations.			
2. Provide a list of your implementation partners, such as organizations affiliated and/or supporting the local program, including co-marketing partners and organizations your program will authorize to use the HPwES logo. Partner A: _____ Partner B: _____ Partner C: _____ Partner D: _____			
3. What elements of the program will be implemented by the program sponsor or implementation partners			
	Partner A	Partner B	Partner C
Contractor Recruitment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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Contractor Training		<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>	
Contractor Certification		<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>	
Contractor Mentoring		<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>	
Consumer Incentives/ Financing		<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>	
Consumer Awareness/ Marketing		<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>	
Quality Assurance		<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>	
Program Evaluation		<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>	
Other:		<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>			<input type="checkbox"/>	

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4. Provide a URL for your planned HPwES program website (Note: This URL will be listed on the energystar.gov/hpwes "Connect" map) *The URL can be provided during the onboarding process after Sponsorship approval.*

5. What geographical area(s) will be targeted by the HPwES program? (Indicate state, counties, cities, towns, and/or zip codes)

6. Provide information on the current and/or past residential energy efficiency programs that have been implemented in this market, from which your program will be building. Please indicate whether the program(s) target electric, gas, and/or oil savings.

7. What is the estimated number of targeted homeowners (i.e. potential customers) in your market?

II. HPwES PROGRAM BUDGET AND GOALS

For additional information, please reference Section 2 in the *Sponsor Guide and Reference Manual (v1.5)*.

8. What is the estimated annual program budget planned for each of the following categories?					
	Year 1	Year 2	Year 3	Year 4	Year 5
Program Administration					
Marketing, Outreach, and Customer Acquisition	\$	\$	\$	\$	\$
Program Administration	\$	\$	\$	\$	\$
Quality Assurance	\$	\$	\$	\$	\$
Research & Evaluation	\$	\$	\$	\$	\$
Customer Incentives					
Costs of Direct Install Measures	\$	\$	\$	\$	\$
Other Customer Incentives & Rebates	\$	\$	\$	\$	\$
Customer Financing Costs	\$	\$	\$	\$	\$
Contractor Incentives					
Contractor Training & Certification	\$	\$	\$	\$	\$
Contractor Production/Reporting Incentives	\$	\$	\$	\$	\$
Contractor Equipment Incentives and other Business Development Support	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$

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Goals					
Number of participating contractors					
Number of HPwES assessments					
Number of completed HPwES projects					
% energy saved per completed HPwES project					
Gross annual revenue (\$)					
Gross site energy savings (MMBtu)					

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<p>9. What are your program's top three funding sources? (Hold down the control key to select multiple options.)</p>	<ul style="list-style-type: none"> Carbon allowance funds Federal grants Forward capacity markets Private investments Rate-payer surcharges State energy efficiency funds Other, please specify:
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III. HPwES PROGRAM DESIGN

For additional information and program requirements, please reference Section 2 in the *Sponsor Guide and Reference Manual (v1.5)*.

10. What is your schedule for implementing the program?																				
Activity	Year 1				Year 2				Year 3				Year 4				Year 5			
	Quarter				Quarter				Quarter				Quarter				Quarter			
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Identify/recruit contractors																				
Train/equip contractors																				
Execute marketing campaign																				
Implement quality assurance protocols																				
Implement project tracking																				
Explore program expansion																				
Program evaluation																				
11. How will energy savings be estimated at the project level?										<ul style="list-style-type: none"> Deemed Savings (no interactivity) Deemed Savings (w/interactivity) Project Calculator or Worksheet Whole Building Energy Modeling Other, please specify: 										
12. Will your program track pre and post project-level energy consumption data to verify energy savings?										<ul style="list-style-type: none"> Yes No 										
13. Will your program focus on single family housing, multifamily housing, or both?										<ul style="list-style-type: none"> Single Multi Both 										

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<p>14. What type of homeowner incentives will your program offer? <i>(Select all that apply. Hold down the control key to select multiple options.)</i></p>	<p>Free energy assessments Low interest financing Measure-based rebates On-bill financing Project-based rebates Subsidized energy assessments None Other, please specify:</p>
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15. If you offer financing, what type(s) of lending products will be offered? Please provide information on rates and terms.	
16. What type of credit enhancements or financing buy downs will your program offer to customers?	
17. What type of mid-stream (e.g., targeting contractors, suppliers, etc.) incentives will your program offer? (<i>Select all that apply. Hold down the control key to select multiple options.</i>)	Contractor production incentives Contractor reporting incentives Cooperative advertising Subsidized equipment/software Subsidized training/certification None Other, please specify:
18. What direct install measures will your program offer? (<i>Select all that apply. Hold down the control key to select multiple options.</i>)	Air sealing DHW measures Duct sealing Lighting Smart energy strip Thermostat Whole house energy meter None Other, please specify:
19. Will your program require fixed and/or capped measure pricing for measures paid for by customers?	Yes No
20. Is the program regulated by a public utility commission? If so, how long is your program filing cycle? (Example: 1 year, 3 years or 5 years)	

IV. WORKFORCE

For additional information and program requirements, please reference Section 3 in the *Sponsor Guide and Reference Manual (v1.5)*.

Please attach these documents:

- **Copy of your contractor participation agreement**

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- *Process diagram showing how contractors that fail to meet program standards will be identified, monitored, re-trained, sanctioned, or removed from the program*

21. Describe the qualifying criteria and enrollment process for participating contractors in your program. Please also explain how you plan to recruit contractors to participate in the program.

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22. Which credentials will be required for participating contractors? Please include specialty credentials if your program requires them for certain measure installations or working on certain building types.
23. Describe how the program will evaluate the performance of participating contractors in terms of QA results, productivity, energy savings achieved, customer satisfaction, etc. Include a brief description of any contractor rating or scoring system(s) the program plans to use.
24. Describe how the program will provide feedback to contractors regarding their performance including both the type and frequency of reporting to the contractors.
25. What type of training(s) will be provided or made accessible to your contractors? <i>(Please explain if you plan to offer home performance sales training)</i>

V. QUALITY ASSURANCE

For additional information and program requirements, please reference Section 6 in the *Sponsor Guide and Reference Manual (v1.5)*.

Please attach a copy of your plan for managing consumer inquiries about the program (e.g., workflow to handle calls and emails).

Please attach a copy of your Quality Assurance Plan (Option 1 or Option 2)

26. Which quality assurance system will you administer? <i>(Please refer to Section 6 of the Sponsor Guide and Reference Manual for descriptions of options 1 and 2.)</i>	Option 1: Meet minimum requirements for Quality Control Option 2: Implement a Quality Management System
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VI. MARKETING AND CUSTOMER OUTREACH

For additional information and program requirements, please reference Sections 1 and 2 in the *Sponsor Guide and Reference Manual* (v1.5).

Attach examples you plan to use, if developed.

27. Describe how the Home Performance with ENERGY STAR name and mark will be used and monitored in your program marketing efforts and strategies?	
28. Will the program offer Certificate of Efficiency Improvements or Certificates of Performance to homeowners upon completion of a HPwES Project? (Please see the Sponsor Guide and Reference Manual for a definition.)	Yes No If yes, please explain:

THANK YOU!

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