

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

<b>PROCESSING FEE</b> <b>Under 37 CFR 1.17(f), (g) &amp; (h)</b> <b>TRANSMITTAL</b> (Fees are subject to annual revision) [Page 1 of 2] Send completed form to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	Application Number	
	Filing Date	
	First Named Inventor	
	Art Unit	
	Examiner Name	
	Practitioner Docket No.	

Enclosed is a petition filed under 37 CFR \_\_\_\_\_ that requires a processing fee (37 CFR 1.17(f), (g), or (h)).

Payment of \$ \_\_\_\_\_ is enclosed.

This form should be included with the above-mentioned petition and faxed or mailed to the Office using the appropriate Mail Stop (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees under 37 CFR 1.17(i), see form PTO/SB/17i.

- Applicant asserts small entity status. See 37 CFR 1.27.
- Applicant certifies micro entity status. See 37 CFR 1.29.  
Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.

#### Payment of Fees

- The Commissioner is hereby authorized to charge the following fees to Deposit Account No. \_\_\_\_\_:
- petition fee under 37 CFR 1.17(f), (g) or (h)       any deficiency of fees and credit of any overpayments
- Check in the amount of \$ \_\_\_\_\_ is enclosed.       Payment via EFS-Web.
- Payment by credit card (Form PTO-2038 or equivalent is enclosed). Do not provide credit card information on this form.

#### Processing Fees Under 37 CFR 1.17(f):

**Undiscounted Fee \$420 (Fee Code 1462)      Small Entity Fee \$210 (Fee Code 2462)      Micro Entity Fee \$105 (Fee Code 3462)**

For papers filed under:

- § 1.36(a) - for revocation of a power of attorney by fewer than all of the applicants.
- § 1.53(e) - to accord a filing date.
- § 1.182 - for decision on a question not specifically provided for in an application for patent.
- § 1.183 - to suspend the rules in an application for patent.
- § 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a patent term.
- § 1.1023(b) - to review the filing date of an international design application.

#### Processing Fees Under 37 CFR 1.17(g):

**Undiscounted Fee \$220 (Fee Code 1463)      Small Entity Fee \$110 (Fee Code 2463)      Micro Entity Fee \$55 (Fee Code 3463)**

For papers filed under:

- § 1.12 - for access to an assignment record.
- § 1.14 - for access to an application.
- § 1.46 - for filing an application on behalf of an inventor by a person who otherwise shows sufficient proprietary interest in the matter.
- § 1.55(f) - for filing a belated certified copy of a foreign application.
- § 1.55(g) - for filing a belated certified copy of a foreign application.
- § 1.57(a) - for filing a belated certified copy of a foreign application.
- § 1.59 - for expungement of information.
- § 1.103(a) - to suspend action in an application.
- § 1.136(b) - for review of a request for extension of time when the provisions of § 1.136(a) are not available.
- § 1.377 - for review of decision refusing to accept and record payment of a maintenance fee filed prior to expiration of a patent.
- § 1.550(c) - for patent owner requests for extension of time in *ex parte* reexamination proceedings.
- § 1.956 - for patent owner requests for extension of time in *inter partes* reexamination proceedings.
- § 5.12 - for expedited handling of a foreign filing license.
- § 5.15 - for changing the scope of a license.
- § 5.25 - for retroactive license.

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Administrative Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

# PROCESSING FEE Under 37 CFR 1.17(f), (g) & (h) TRANSMITTAL

[Page 2 of 2]

### Processing Fees Under 37 CFR 1.17(h):

**Undiscounted Fee \$140 (Fee Code 1464)    Small Entity Fee \$70 (Fee Code 2464)    Micro Entity Fee \$35 (Fee Code 3464)**

For papers filed under:

§ 1.19(g) - to request documents in a form other than provided in this part.

§ 1.84 – for accepting color drawings or photographs.

§ 1.91 – for entry of a model or exhibit.

§ 1.102(d) – to make an application special.

§ 1.138(c) - to expressly abandon an application to avoid publication.

§ 1.313 – to withdraw an application from issue.

§ 1.314 - to defer issuance of a patent.

SUBMITTED BY

Signature	Registration No. (Attorney/Agent)	Telephone
Name (Print/Type)		Date

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. The United States Patent and Trademark Office (USPTO) collects this information under authority of 5 CFR 339.205. The information in this system of records is used to manage all records of applicant including name, citizenship, residence, post office address and other information pertaining to the applicant's activities in connection with the invention for which a patent is sought. Statements containing various kinds of information with respect to inventors who are deceased or incapacitated, or who are unavailable or unwilling to make application for patent. The information obtain is protected from disclosure to third parties in accordance with the Privacy Act.

However, routine uses of this information may include disclosure to the following: to law enforcement and investigation in the event that the system of records indicates a violation or potential violation of law; to a Federal, state, local, or international agency, in response to its request; to an agency, organization, or individual for the purpose of performing audit or oversight operations as authorized by law; to non-federal personnel under contract to the agency; to a court for adjudication and litigation; to the Department of Justice for Freedom of Information Act (FOIA) assistance; to members of congress working on behalf of an individual; to the Office of Personnel Management (OPM) for personnel research purposes; to National Archives and Records Administration for inspection of records; and to the Office of Management and Budget (OMB) for legislative coordination and clearance. Failure to provide any part of the requested information may result in an inability to process requests for access and information. The applicable Privacy Act System of Records Notice for this information is COMMERCE/PAT-TM-7 Patent Application Files, available at Federal Register /Vol. 78, No. 61 / Friday, March 29, 2013 /Notices 19243. <https://www.govinfo.gov/content/pkg/FR-2013-03-29/pdf/2013-07341.pdf>