

# **2022 NATIONAL SURVEY ON DRUG USE AND HEALTH (NSDUH): DRAFT SCREENER SPECIFICATIONS FOR PROGRAMMING**

Substance Abuse and Mental Health Services Administration  
Center for Behavioral Health Statistics and Quality  
Rockville, Maryland 20857

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# 2022 NATIONAL SURVEY ON DRUG USE AND HEALTH (NSDUH): DRAFT SCREENER SPECIFICATIONS FOR PROGRAMMING

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**2022 NATIONAL SURVEY ON DRUG USE AND HEALTH  
SCREENING APPLICATION UPDATES**

No updates were made to the 2022 National Survey on Drug Use and Health (NSDUH) screening specifications.

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## 2022 NSDUH Screening Application Specifications

For all specification sections, the following format conventions apply:

- Screen names are in bold, uppercase letters.
- English text to be read by FIs is shown in black font. Spanish screen text to be read by FIs follows the English text. In the screening program, FIs can toggle between English and Spanish text by pressing the SPAN/ENG button on the tablet.
- FI instructions are provided in black, uppercase text that is not in brackets. In the screening program, FI instructions appear in red, uppercase letters.
- Text of any pop-up screens are provided in bracketed logic using uppercase text in quotations.
- Programming logic is designated by black, uppercase text in brackets.
- Fills are designated by parenthesis and italics.
- Response categories are underlined.

## Section 1 HU Screening

*The line number and address are located at the top of each screen. During the roster questions asking about age through race, touch the address line to see DU LINE box, an enlarged display of the CaseID and address. If a case is an Added DU, the DU LINE box displays the link line number.*

### STUDY INTRODUCTION

Hello, my name is *(FI NAME)* with RTI International in North Carolina. We are conducting a nationwide study sponsored by the U.S. Department of Health and Human Services.

*(Buenas noches/Buenas tardes/Buenos días).* Mi nombre es *(FI NAME)* y trabajo para RTI International en Carolina del Norte. Estamos llevando a cabo un estudio nacional patrocinado por el Departamento de Salud y Servicios Humanos de los Estados Unidos.

[IF NOT ADDED DU] You should have received a letter explaining the study.  
Usted debe haber recibido una carta explicándole el estudio.

[IF NOT ADDED DU] HAND R COPY OF LETTER IF NEEDED  
[IF ADDED DU] HAND R LEAD LETTER, ALLOW TIME TO READ

Next [IDENTIFY SR]

### IDENTIFY SR [IF STUDY INTRODUCTION = NEXT]

First, just let me verify: Do you live here?  
Primero, déjeme verificar: ¿vive usted aquí?

IF NOT OBVIOUS:

And are you 18 or older?  
Y ¿tiene 18 años o más de edad?

IF NO TO EITHER, ASK FOR AN ADULT RESIDENT, TOUCH “PREVIOUS”, AND BEGIN AGAIN.

SR Available [ADDRESS VERIFICATION]

SR Not Available [EXIT SCREENING: “ARE YOU SURE YOU WANT TO EXIT SCREENING?” IF Yes, RECORD OF CALLS. IF No, IDENTIFY SR.]

### ADDRESS VERIFICATION [IF IDENTIFY SR = SR AVAILABLE]

I just need to verify -- is this

Solamente necesito confirmar que esta es

STREET: *(NUMBER AND STREET)*  
CITY: *(CITY)*  
STATE: *(STATE)*  
ZIP: *(ZIP)*

Address Is Correct [**INFORMED CONSENT**]  
Need to Edit Address [**EDIT ADDRESS**]  
FI At Wrong Address [**SELECT CASE**]

**EDIT ADDRESS** [IF ADDRESS VERIFICATION = NEED TO EDIT ADDRESS]  
TOUCH THE ITEM YOU NEED TO EDIT

STREET # *(NUMBER)*  
STREET: *(STREET)*  
APT.#: *(APARTMENT NUMBER)*  
CITY: *(CITY)*  
STATE: *(STATE)*  
ZIP: *(ZIP)*

Update [SAVE UPDATED ADDRESS, THEN **ADDRESS VERIFICATION**]

**INFORMED CONSENT** [IF ADDRESS VERIFICATION = ADDRESS IS CORRECT]

GIVE PERSON STUDY DESCRIPTION AND SAY

Please read this statement. It describes the survey and the laws that ensure the confidentiality of any information you provide. It also explains that your answers are used for statistical purposes only and that your participation is voluntary. If anyone is selected for the full interview, each person who completes the interview will receive \$30 in cash.

Por favor lea esta declaración, la cual describe la encuesta y las leyes que aseguran la confidencialidad de cualquier información que usted nos dé. También explica que sus respuestas son usadas con propósitos estadísticos únicamente y que su participación es voluntaria. Si alguien es seleccionado(a) para participar en la entrevista en su totalidad, cada persona que complete la entrevista recibirá \$30 dólares en efectivo.

Next [IF APT/CONDO=NO, **MISSED DUs**; IF APT/CONDO=YES, **SR OCCUPANCY**]

**MISSED DUs** [IF INFORMED CONSENT = NEXT AND APT/CONDO=NO]

Are there any other living quarters within this structure or on this property, such as a separate apartment with a separate entrance?

¿Hay alguna otra vivienda dentro de esta casa o propiedad, tal como un apartamento separado con entrada aparte?

Yes [**MISSED DU ADDRESS**]

No [**SR OCCUPANCY**]



[IF ADDED DU: **CANNOT ADD UNIT**  
“YOU CANNOT ADD A MISSED DU  
FROM A DU THAT HAS BEEN ADDED”  
**MISSED DUs**]

**MISSED DU ADDRESS** [IF MISSED DUs = YES]

RECORD STREET ADDRESS OR DESCRIPTION OF UNIT

STREET #:  
STREET:  
APT.#: (APARTMENT NUMBER)

Update [**Add Another DU**: “DURING THIS SESSION, YOU HAVE ADDED 1 UNIT. YOU STILL HAVE 4 UNUSED ADDED LINES LEFT IN THIS SEGMENT. DO YOU WANT TO ADD ANOTHER MISSED DU?” IF Yes, **MISSED DU ADDRESS**. IF No, **SR OCCUPANCY**.]

Cancel [**CANCEL DU**: “ARE YOU SURE YOU WANT TO CANCEL ADDITION OF THIS DU? IF Yes, **SR OCCUPANCY**. IF No, **MISSED DU ADDRESS**.]

**SR OCCUPANCY** [IF MISSED DUs = NO OR APT/CONDO=YES OR MISSED DU ADDRESS = UPDATE OR CANCEL MISSED DU = YES]

(*Did/Will*) you live here for most of the time during the months of (*CURRENT QUARTER*)?  
(*¿Vivió/¿Vivirá*) usted aquí la mayor parte del tiempo durante los meses de (*CURRENT QUARTER*)?

Yes [**TOTAL SDU MEMBERS**]  
No [**OCCUPANCY**]

**OCCUPANCY** [IF SR OCCUPANCY = NO]

(*Did/Will*) anyone else in your household live here for most of the time during the months of (*CURRENT QUARTER*)?  
(*¿Vivió/¿Vivirá*) alguna otra persona en esta vivienda la mayor parte del tiempo durante los meses de (*CURRENT QUARTER*)?

Yes [**TOTAL SDU MEMBERS**]

No [**OCCUPANCY – CONFIRMATION**: YOUR ‘NO’ RESPONSE WILL CONCLUDE THIS SCREENING AND FINALIZE THIS CASE. DO YOU WANT TO CHANGE YOUR ANSWER? (‘YES’ WILL CONTINUE SCREENING. ‘NO’ WILL FINALIZE THE CASE.) IF Yes, **OCCUPANCY**. IF No, **OCCUPANCY-CLOSE CASE**: ARE YOU SURE YOU WANT TO CLOSE OUT THIS CASE? IF Yes, **VERIFICATION**. IF No, **OCCUPANCY**]

**TOTAL SDU MEMBERS** [IF SR OCCUPANCY = YES OR OCCUPANCY= YES]

[IF SR OCCUPANCY = YES] Including yourself, how many people in this household (*lived/will live*) here for most of the time during (*CURRENT QUARTER*)? (Do not include anyone who (*lived/will live*) at school or somewhere else for most of the time during (*CURRENT QUARTER*.)

Incluyéndose usted, ¿cuántas personas (*vivieron/vivirán*) en esta vivienda la mayor parte del tiempo durante (*CURRENT QUARTER*)? (No incluya a nadie que (*vivió/vivirá*) en la escuela o en otro lugar la mayor parte del tiempo durante (*CURRENT QUARTER*.)

[IF OCCUPANCY = YES] How many people in this household (*lived/will live*) here for most of the time during (*CURRENT QUARTER*)? (Do not include anyone who (*lived/will live*) at school or somewhere else for most of the time during (*CURRENT QUARTER*.)

¿Cuántas personas (*vivieron/vivirán*) en esta vivienda la mayor parte del tiempo durante (*CURRENT QUARTER*)? (No incluya a nadie que (*vivió/vivirá*) en la escuela o en otro lugar la mayor parte del tiempo durante (*CURRENT QUARTER*.)

ENTER NUMBER 1-20 [**MEMBERS 12 OR OLDER**]

[IF 1 TOTAL SDU MEMBERS = 1, **ONLY HOUSEHOLD MEMBER**: “CONFIRM RESPONSE: IS THERE ONLY 1 PERSON IN THIS HOUSEHOLD?” IF No, **TOTAL SDU MEMBERS**. IF Yes AND SR OCCUPANCY = NO **AGE**. IF Yes AND SR OCCUPANCY = YES, **SR AGE**.]

**MEMBERS 12 OR OLDER** [IF TOTAL SDU MEMBERS NE BLANK AND >1]

Of these (*TOTAL SDU MEMBERS*) people, how many are now age 12 or older?

De estas (*TOTAL SDU MEMBERS*) personas, ¿cuántas tienen 12 años o más actualmente?

ENTER SUBSET OF TOTAL SDU MEMBERS

[IF SR OCCUPANCY = YES, **SR AGE**, ELSE IF SR OCCUPANCY = NO, **AGE** ]

[IF MEMBERS 12 OR OLDER = 1, **ONLY ELIGIBLE MEMBER**: “CONFIRM RESPONSE: IS THERE ONLY 1 PERSON AGE 12 OR OLDER IN THIS HOUSEHOLD?” IF No, **MEMBERS 12 OR OLDER**. IF Yes AND SR OCCUPANCY = NO, **AGE**. IF Yes, AND SR OCCUPANCY = YES **SR AGE**

**SR AGE** [IF SR OCCUPANCY = YES]

How old are you?

¿Cuántos años tiene usted?

ENTER AGE [**SR GENDER**]

[IF **SR AGE** ≥ 99, ROSTER: PLEASE VERIFY: Let me confirm, are you (**AGE**) years old? Permítame confirmar, ¿tiene usted (**AGE**) años de edad? IF Yes, **SR GENDER**. IF No, **SR AGE**.]

[IF SR AGE = 17 OR UNDER: **OLDER ELIGIBLE SR:** “YOU HAVE IDENTIFIED AN SR UNDER AGE 18. DOES AN ELIGIBLE SR 18 OR OLDER LIVE AT DU?”  
IF Yes, **CONTACT OLDER MEMBER:** “CONDUCT SCREENING WITH SR AGED 18 OR OLDER.” OK; CLEAR THE AGE ENTRY TO ALLOW FOR NEW SR.  
If No, **MINOR SR:** “THIS SR IS 17 OR YOUNGER. STOP THE SCREENING AND CODE AS AN 02.” OK [**SCREENING CALL RECORD**] ENTERING RESULT CODE 02 SR UNAVAILABLE, AND COMMENT “SR age ineligible”.]

**SR GENDER** [IF SR AGE NE BLANK]

ASK ONLY IF NOT OBVIOUS  
Are you male or female?  
¿Es usted hombre o mujer?

Male                    Hombre  
Female                 Mujer

**[IF AGE 17-65, MILITARY, OTHERWISE SR HISPANIC]**

**SR MILITARY** [IF SR GENDER NE BLANK AND SR AGE = 17-65]

Are you currently on active duty in the United States military?  
¿Está usted actualmente en servicio activo en las fuerzas armadas de los Estados Unidos?

Yes                      Sí  
No                        No  
Refused                Se rehúsa

**[HISPANIC]**

**SR HISPANIC** [IF SR MILITARY NE BLANK OR (SR GENDER NE BLANK AND SR AGE NE 17-65) ]

Are you of Hispanic, Latino or Spanish origin?  
¿Es usted de origen hispano, latino o español?

(That is, do any of these groups describe your national origin or ancestry – Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)

(Es decir que su origen nacional o ascendencia se puede describir como puertorriqueño, cubano, cubano-americano, mexicano, mexicano-americano, chicano, centro o sudamericano, o es nativo(a) de otro país donde se habla español?)

Yes                      Sí  
No                        No

**[SR RACE]**

**SR RACE** [IF SR HISPANIC NE BLANK]

Are you White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian?

¿Es usted de raza blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawái o de otra isla del Pacífico, o asiática?

White

Black or African American

American Indian or Alaska Native

Native Hawaiian/other Pacific Islander

Asian

Other

Blanca

Negra o afro-americana

Indígena americana o nativo(a) de Alaska

Nativo(a) de Hawái o de otra isla del Pacífico

Asiática

Otra raza

(CHECK ALL THAT APPLY)

**SR CONFIRM ROSTER** [IF SR RACE NE BLANK]

[IF RACE, HISPANIC, AND/OR MILITARY IS 'BLANK' OR 'OTHER' OMIT RESPECTIVE FILLS FROM CONFIRM ROSTER SCREEN.]

CONFIRM:

I have listed you as a/an (*AGE*) year old (*GENDER*) respondent. You are (*RACE*), (*HISPANIC*), and (*are/are not*) on active duty in the United States military.

IF MALE: Lo he anotado a usted, un participante de (*AGE*) años de edad. Usted es raza (*RACE: blanca, negra o afro-americana, indígena americana o nativa de Alaska, nativa de Hawái o de otra isla del Pacífico, asiática*), (*HISPANIC: es hispano/no es hispano*) y (*está/no está*) en servicio activo en las fuerzas armadas de los Estados Unidos.

IF FEMALE: La he anotado a usted, una participante de (*AGE*) años de edad. Usted es raza (*RACE: blanca, negra o afro-americana, indígena americana o nativa de Alaska, nativa de Hawái o de otra isla del Pacífico, asiática*), (*HISPANIC: es hispana/no es hispana*) y (*está/no está*) en servicio activo en las fuerzas armadas de los Estados Unidos.

Is this correct?

¿Es esto correcto?

Yes [OTHER MEMBERS] [IF ROSTER NE BLANK , THEN VERIFY ROSTER DATA]

No [SR AGE]

**OTHER MEMBERS** [IF SR CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER ≥ 2]

Now I need some general information about (*the other person/all of the other people*) in this household who (*is/are*) 12 years old or older. [IF MEMBERS 12 OR OLDER >2] Let's start with the oldest and work down to the youngest.

Ahora necesito información general sobre la otra persona en este hogar que tenga 12 años de edad o más. [IF MEMBERS 12 OR OLDER >2] Empecemos con la persona mayor hasta llegar a la persona menor.

Next [AGE]

**NOT HOUSEHOLD MEMBER** [IF SR OCCUPANCY = NO AND MEMBERS 12 OR OLDER ≥ 2]

Even though you (*did/will*) not live here for most of (*CURRENT QUARTER*), I need some general information about (*the other person/all of the other people*) in this household who are 12 years old or older and (*did/will*) live here for most of those months. Let's start with the oldest and work down to the youngest.

Aunque usted no (*vivió/vivirá*) aquí la mayor parte de (*CURRENT QUARTER*), necesito alguna información general acerca de (*la otra persona/todas las otras personas*) en este hogar que tengan 12 años o más y (*vivieron/vivirán*) aquí la mayoría de esos meses. Empecemos con la persona mayor hasta llegar a la persona menor.

Next [AGE]

**AGE** [IF (OTHER MEMBERS NE BLANK) OR (CONFIRM ROSTER = NO) OR SR NOT HH MEMBER NE BLANK OR (TOTAL SDU MEMBERS = 1 AND ONLY ELIGIBLE HH MEMBER = No) OR (MEMBERS 12 OR OLDER = 1 AND ONLY ELIGIBLE HH MEMBER = No)]

[IF MEMBERS 12 OR OLDER = 1 OR 2] How old is this person?  
¿Cuántos años tiene esta persona?

[IF MEMBERS 12 OR OLDER > 2 HH MEMBERS] How old is the (*oldest/next oldest*) person?  
¿Cuántos años tiene la (*persona mayor/siguiente persona*)?

ENTER AGE [ROSTER SCREEN 1]

[IF AGE ≥ 99, ROSTER: PLEASE VERIFY: Let me confirm, is this person (AGE) years old? Permítame confirmar, ¿tiene esta persona (AGE) años de edad? IF Yes, ROSTER SCREEN 1. IF No, AGE.]

**ROSTER SCREEN 1** [IF AGE NE BLANK]

**RELATION:** [IF AGE NE BLANK]

How is this person related to you?  
¿Cómo está relacionada esta persona con usted?

Husband

Wife

Son (includes step, foster, or adoptive)

Daughter (includes step, foster, or adoptive)

Son-in-law/Daughter-in-law

Esposo

Esposa

Hijo (incluye hijastro, hijo "foster" o hijo adoptivo)

Hija (incluye hijastra, hija "foster" o hija adoptiva)

Yerno/Nuera

Brother (includes half, step, foster, or adoptive)  
Sister (includes half, step, foster, or adoptive)  
Brother-in-law/Sister-in-law  
Parent/Guardian (incl. step, foster, or adoptive)  
  
Parent-in-law  
Aunt/Uncle  
Nephew/Niece  
Grandparent  
Grandchild  
Cousin  
Ex-Spouse  
Unmarried Partner  
Housemate or Roommate  
Tenant/Boarder/Exch Student  
Other relative  
Other Non-relative  
Relationship Unspecified

Hermano (incluye medio hermano, hermanastro, hermano “foster” o hermano adoptivo)  
Hermana (incluye media hermana, hermanastra, hermana “foster” o hermana adoptiva)  
Cuñado(a)  
Padre o madre/Tutor(a) (incluye padrastro/madrastra, padre/madre “foster” o padre/madre adoptivo(a))  
Suegro(a)  
Tío(a)  
Sobrino(a)  
Abuelo(a)  
Nieto(a)  
Primo(a)  
Ex-esposo(a)  
Pareja (sin estar casados)  
Compañero(a) de casa o de cuarto  
Inquilino(a)/Pensionista/Intercambio estudiantil  
Otro pariente  
Otra persona que no es pariente  
No se especificó el parentesco

**GENDER:**

ASK ONLY IF NOT OBVIOUS

Is this person male or female?  
 ¿Es esta persona hombre o mujer?

<u>Male</u>	<u>Hombre</u>
<u>Female</u>	<u>Mujer</u>
<u>Refused</u>	<u>Se rehúsa</u>

**MILITARY** [QUESTION/RESPONSE ACTIVE ONLY IF AGE 17-65]

Is this person currently on active duty in the United States military?  
 ¿Está esta persona actualmente en servicio activo en las fuerzas armadas de los Estados Unidos?

<u>Yes</u>	<u>Sí</u>
<u>No</u>	<u>No</u>
<u>Refused</u>	<u>Se rehúsa</u>
<u>Unknown</u>	<u>No sabe</u>

[IF (SR=FEMALE AND RELATION=WIFE) OR IF (SR=MALE AND RELATION=HUSBAND), **RELATION VERIFICATION:** YOU HAVE INDICATED THAT THIS PERSON IS THE [WIFE/HUSBAND] OF THE RESPONDENT WHO IS FEMALE. IS THAT CORRECT? If Yes, **ROSTER SCREEN 2.** If No, **RESPONDENT GENDER:** IS THE RESPONDENT’S GENDER CORRECT (FEMALE/MALE)? (ANSWERING ‘NO’ WILL CHANGE THE RESPONDENT’S GENDER). If Yes, **ROSTER:** PLEASE MAKE THE

NECESSARY CORRECTIONS TO THE RELATIONSHIP AND/OR GENDER OF THE PERSON YOU ARE CURRENTLY ROSTERING. If No, **ROSTER**: THE SR'S GENDER HAS BEEN CHANGED TO [FEMALE/MALE.]

[IF MORE THAN ONE HUSBAND or MORE THAN ONE WIFE, **Multiple Spouses**: YOU HAVE ALREADY ENTERED A RECORD FOR SPOUSE. DOES THIS RESPONDENT HAVE MULTIPLE [WIVES/HUSBANDS]? IF Yes, **ROSTER SCREEN 2**. If No, **ROSTER SCREEN 1**.]

[IF GENDER CONFLICTS WITH RELATION (SON/BROTHER AND FEMALE, OR DAUGHTER/SISTER AND MALE), RELATION/GENDER CONFLICT: POTENTIAL CONFLICT WITH THE SELECTED RELATION (RELATION) AND GENDER (MALE/FEMALE). DO YOU WISH TO CONTINUE? IF Yes, **ROSTER SCREEN 2**. If No, **ROSTER SCREEN 1**.]

Next [**ROSTER SCREEN 2**]

## ROSTER SCREEN 2

### HISPANIC:

(*Is he/Is she/Is this person*) of Hispanic, Latino or Spanish origin?  
¿Es (*él/ella/esta persona*) de origen hispano, latino o español?

(That is, do any of these groups describe (*his/her/their*) national origin or ancestry – Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)  
(¿Es decir que su origen nacional o ascendencia se puede describir como puertorriqueño, cubano, cubano-americano, mexicano, mexicano-americano, chicano, centro o sudamericano, o es nativo(a) de otro país donde se habla español?)

<u>Yes</u>	<u>Sí</u>
<u>No</u>	<u>No</u>
<u>Refused</u>	<u>Se rehúsa</u>
<u>Unknown</u>	<u>No sabe</u>

### RACE

(*Is he/Is she/Is this person*) White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian?  
¿Es (*él/ella/esta persona*) de raza blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawái o de otra isla del Pacífico, o asiática?

<u>White</u>	<u>Blanca</u>
<u>Black or African American</u>	<u>Negra o afro-americana</u>
<u>American Indian or Alaska Native</u>	<u>Indígena americana o nativo(a) de Alaska</u>
<u>Native Hawaiian/other Pacific Islander</u>	<u>Nativo(a) de Hawái o de otra isla del Pacífico</u>
<u>Asian</u>	<u>Asiática</u>
<u>Other</u>	<u>Otra raza</u>

(CHECK ALL THAT APPLY)

Refused  
Unknown

Se rehúsa  
No sabe

Next [**CONFIRM ROSTER**]

## **CONFIRM ROSTER**

[IF RACE, HISPANIC, AND/OR MILITARY IS 'BLANK,' 'OTHER,' 'DK', OR 'REF' OMIT RESPECTIVE FILLS FROM CONFIRM ROSTER SCREEN.]

CONFIRM:

I have listed your (*AGE*) year old (*RELATIONSHIP*). (*He/She*) is (*RACE*), (*HISPANIC*) [IF AGE 17-65] and (*is/is not*) on active duty the United States military.

He anotado a su (*RELATIONSHIP*) de (*AGE*) años. (*Él/Ella*) es de raza (*RACE*), es (*HISPANIC*: (IF MALE: hispano) (FEMALE: hispana)) [IF AGE 17-65] y (*está/no está*) en servicio activo en las fuerzas armadas de los Estados Unidos.

Is this correct?

¿Es esto correcto?

Yes    Sí [**AGE FOR NEXT ROSTER MEMBER, OTHERWISE VERIFY ROSTER DATA**]  
No     No [**AGE**]

## **VERIFY ROSTER DATA** [IF CONFIRM ROSTER = YES ]

[IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER=1]

DO NOT READ TO RESPONDENT. WHEN READY, TOUCH NEXT TO MAKE SELECTION.

TO MAKE CORRECTIONS: TOUCH AND HOLD THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TOUCH 'EDIT' FROM THE POP-UP MENU.

[IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER>=2]

I need to make sure this list is accurate. I have listed...

Necesito asegurarme que la lista está correcta. He anotado...

[READ AGES AND RELATIONSHIPS ROSTERED].

TO MAKE CORRECTIONS: TOUCH AND HOLD THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TOUCH 'EDIT' FROM THE POP-UP MENU.



Relation (*Relationship*)

AGE (*Age*)

SR (*Y for Screening Respondent*)

PROGRAMMER NOTE: INCLUDE SR DESIGNATION BUT DO NOT ALLOW EDITING

[TOUCH AND HOLD ANY ROSTER LINE, **Choose an Action:** IF Show Details]

Relation: *Relationship*

Age: *Age*

Gender: *M, F, R*

Hispanic: *Y, N, U, R*

Race: *W, B, I, P, A, O*

Military: *Y, N, U, R*

Eligible: *E, I*)

[TOUCH AND HOLD ANY ROSTER LINE, **Choose an Action:** IF Edit. **EDIT ROSTER RECORD:** DO YOU WANT TO EDIT THE ROSTER INFORMATION FOR THE (*AGE*) YEAR OLD (*RELATION*)? IF Yes, **EDIT ROSTER MEMBER**. IF No, **VERIFY ROSTER DATA.**]

[+MEMBER OR FUNCTIONS TO ADD ROSTER MEMBERS]

Next [**START SELECTION**]

**EDIT RESPONDENT MEMBER** [EDIT ROSTER RECORD = YES]

**SR Roster (or first person listed, if SR not rostered) version:**

AGE: *Age*

GENDER: M F (*with appropriate circle filled*)

RELATION: *Relationship*

HISPANIC: Y N (*with appropriate circle filled*)

RACE: (CHECK ALL THAT APPLY) (*with appropriate boxes checked*)

White Am. Indian Asian

Black Hawaiian/PI Other

MILITARY: Y N R (*with appropriate circle filled*)

ELIGIBLE: (*box checked as appropriate*)

SR: (*box checked as appropriate*) *NOTE: this field cannot be edited*

**Roster #2 or after version:** (includes unknown and refusal options)

AGE: *Age*

GENDER: M F R (*with appropriate circle filled*)

RELATION: *Relationship*

HISPANIC: Y N R U (*with appropriate circle filled*)

RACE: (CHECK ALL THAT APPLY) (*with appropriate boxes checked*)

White Am. Indian Asian

Black Hawaiian/PI Other

Race Refused Race Unknown

MILITARY: Y N R U (*with appropriate circle filled*)

ELIGIBLE: (*box checked, as appropriate*)

SR: *NOTE: this field cannot be edited*

**START SELECTION**

THE PROGRAM WILL START THE SELECTION PROCESS. ARE YOU SURE YOU ARE READY TO MAKE THE SELECTIONS?

Yes [**RESPONDENT SELECTION**]

No [**VERIFY ROSTER DATA**]

[IF INTERVIEW A = BLANK, **NO SELECTION**: NO ONE WAS SELECTED FOR AN INTERVIEW AT THIS HOUSEHOLD. PLEASE COLLECT VERIFICATION INFORMATION.]

**RESPONDENT SELECTION** [START SELECTION = YES AND INTERVIEW A NE BLANK]

Interview A

Roster #: *(Roster # of selected member, None)*

QuestID: *(7-digit Questionnaire ID, BLANK)*

Relation: *(Relationship to SR, BLANK)*

Age: *(AGE, BLANK)*

Sex: *(M, F, BLANK)*

Race: *(W, B, I, P, A, O, BLANK)*

Hispanic: *(Y, N, BLANK)*

Interview B

Roster #: *(Roster # of selected member, None)*

QuestID: *(7-digit Questionnaire ID, BLANK)*

Relation: *(Relationship to SR, BLANK)*

Age: *(AGE, BLANK)*

Sex: *(M, F, BLANK)*

Race: *(W, B, I, P, A, O, BLANK)*

Hispanic: *(Y, N, BLANK)*

**ALL INELIGIBLE** [START SELECTION = YES AND ALL ROSTER MEMBERS MILITARY OR INELIGIBLE]

ALL MEMBER(S) WERE INDICATED AS MILITARY OR INELIGIBLE. NO ONE IS ELIGIBLE FOR SELECTION.

OK [**VERIFICATION**]

## Section 2 GQU Screening

### STUDY INTRODUCTION

Hello, my name is *(FI NAME)* with RTI International in North Carolina. We are conducting a nationwide study sponsored by the U.S. Department of Health and Human Services. *(Buenas noches/Buenas tardes/Buenos días)*. Mi nombre es *(FI NAME)* y trabajo para RTI International en Carolina del Norte. Estamos llevando a cabo un estudio nacional patrocinado por el Departamento de Salud y Servicios Humanos de los Estados Unidos.

You should have received a letter explaining the study.  
Usted debe haber recibido una carta explicándole el estudio.

HAND R COPY OF LETTER IF NEEDED

Next [**IDENTIFY SR**]

### **IDENTIFY SR** [IF STUDY INTRODUCTION = NEXT]

First, just let me verify: do you live here?  
Primero, déjeme verificar: ¿vive usted aquí?

IF NOT OBVIOUS:

And are you 18 or older?  
Y ¿tiene 18 años o más de edad?

IF NO TO EITHER, ASK FOR AN ADULT RESIDENT, TOUCH “PREVIOUS”, AND BEGIN AGAIN.

SR Available [**ADDRESS VERIFICATION**]

SR Not Available [**EXIT SCREENING: “ARE YOU SURE YOU WANT TO EXIT SCREENING?” IF Yes, RECORD OF CALLS. IF No, IDENTIFY SR.**]

### **ADDRESS VERIFICATION** [IF IDENTIFY SR = SR AVAILABLE]

I just need to verify -- is this  
Solamente necesito confirmar que esta es

STREET: *(NUMBER AND STREET)*

CITY: *(CITY)*

STATE: *(STATE)*

ZIP: *(ZIP)*

Address Is Correct [**INFORMED CONSENT**]

Need to Edit Address [**EDIT ADDRESS**]

FI At Wrong Address [**SELECT CASE**]

**EDIT ADDRESS** [IF ADDRESS VERIFICATION = NEED TO EDIT ADDRESS]

TOUCH THE ITEM YOU NEED TO EDIT

STREET #: (*NUMBER*)

STREET: (*STREET*)

APT.#: (*APARTMENT NUMBER*)

CITY: (*CITY*)

STATE: (*STATE*)

ZIP: (*ZIP*)

Update [SAVE UPDATED ADDRESS, THEN **ADDRESS VERIFICATION**]

**INFORMED CONSENT** [IF ADDRESS VERIFICATION = ADDRESS IS CORRECT]

GIVE PERSON STUDY DESCRIPTION AND SAY

Please read this statement. It describes the survey and the laws that ensure the confidentiality of any information you provide. It also explains that your answers are used for statistical purposes only and that your participation is voluntary. If anyone is selected for the full interview, each person who completes the interview will receive \$30 in cash.

Por favor lea esta declaración, la cual describe la encuesta y las leyes que aseguran la confidencialidad de cualquier información que usted nos dé. También explica que sus respuestas son usadas con propósitos estadísticos únicamente y que su participación es voluntaria. Si alguien es seleccionado(a) para participar en la entrevista en su totalidad, cada persona que complete la entrevista recibirá \$30 dólares en efectivo.

Next [**TRANSIENT**]

**TRANSIENT** [IF INFORMED CONSENT = NEXT]

INTERVIEWER: IS THIS GQU A TRANSIENT SHELTER?

Yes [UNIT TYPE]

No [SR OCCUPANCY]

**UNIT TYPE** [IF TRANSIENT = YES]

ARE THE LISTED UNITS...

ROOMS [TOTAL GQU MEMBERS]

BEDS, OR [ROSTER #1 AGE]

PERSONS [ROSTER #1 AGE]

**SR OCCUPANCY [IF TRANSIENT = NO]**

*(Did/Will)* you live here for most of the time during the months of *(CURRENT QUARTER)*?  
*(¿Vivió /¿Vivirá)* usted aquí la mayor parte del tiempo durante los meses de *(CURRENT QUARTER)*?

Yes [**TOTAL GQU MEMBERS**]

No [**OCCUPANCY**]

**OCCUPANCY [IF SR OCCUPANCY = NO]**

*(Did/Will)* anyone else in this room live here for most of the time during the months of *(CURRENT QUARTER)*?  
*(¿Vivió /¿Vivirá)* alguna otra persona aquí la mayor parte del tiempo durante los meses de *(CURRENT QUARTER)*?

Yes [**TOTAL GQU MEMBERS**]

No [**OCCUPANCY – CONFIRMATION:**

YOUR ‘NO’ RESPONSE WILL  
CONCLUDE THIS SCREENING  
AND FINALIZE THIS CASE. DO  
YOU WANT TO CHANGE YOUR  
ANSWER? (‘YES’ WILL CONTINUE  
SCREENING. ‘NO’ WILL FINALIZE THE  
CASE.) IF Yes, **OCCUPANCY**. IF No,  
**OCCUPANCY-CLOSE CASE: ARE YOU SURE**  
YOU WANT TO CLOSE OUT THIS CASE? IF\_  
Yes, **VERIFICATION**. IF No, **OCCUPANCY**]

**TOTAL GQU MEMBERS [IF SR OCCUPANCY = YES OR IF OCCUPANCY = YES OR IF  
UNIT TYPE = ROOMS]**

[IF TRANSIENT = YES AND UNIT TYPE = ROOMS] Including yourself, how many people  
are staying in this room?

Incluyéndose usted, ¿cuántas personasse quedan en esta habitación?

[IF TRANSIENT = NO and SR OCCUPANCY = YES] Including yourself, how many people  
*(lived/will live)* in this room for most of the time during *(CURRENT QUARTER)*?

Incluyéndose usted, ¿cuántas personas *(vivieron/vivirán)* en esta habitación la mayor parte del  
tiempo durante *(CURRENT QUARTER)*?

[IF TRANSIENT = NO and OCCUPANCY = YES] How many people *(lived/will live)* in this  
room for most of the time during *(CURRENT QUARTER)*?

¿Cuántas personas *(vivieron/vivirán)* en esta habitación la mayor parte del tiempo durante  
*(CURRENT QUARTER)*?

ENTER NUMBER 1-20 [MEMBERS 12 OR OLDER]

[IF TOTAL GQU MEMBERS = 1, **ONLY MEMBER: CONFIRM RESPONSE: IS THERE ONLY 1 PERSON LIVING HERE?** IF No, **TOTAL GQU MEMBERS**. IF Yes AND SR OCCUPANCY = YES, **ROSTER #1 AGE**. IF Yes AND SR OCCUPANCY = NO, **NOT HOUSEHOLD MEMBER**.

**MEMBERS 12 OR OLDER** [IF TOTAL GQU MEMBERS NE BLANK AND >1]

[IF TRANSIENT = YES] How many of these [TOTAL GQU MEMBERS] people are now age 12 or older?

¿Cuántas de estas [TOTAL GQU MEMBERS] personas tienen 12 años o más de edad actualmente?

[IF TRANSIENT = NO] Of these [TOTAL GQU MEMBERS] people, how many are now age 12 or older?

De estas [TOTAL GQU MEMBERS] personas, ¿cuántas tienen 12 años o más actualmente?

ENTER SUBSET OF TOTAL GQU MEMBERS [ROSTER # AGE]

[IF MEMBERS 12 OR OLDER = 1, **ONLY ELIGIBLE MEMBER: “CONFIRM RESPONSE: IS THERE ONLY 1 PERSON AGE 12 OR OLDER IN THIS UNIT?”** IF No, **MEMBERS 12 OR OLDER**. IF Yes AND SR OCCUPANCY = YES **ROSTER # 1 AGE**. IF Yes AND SR OCCUPANCY = NO, **NOT HOUSEHOLD MEMBER**].

**NOT HOUSEHOLD MEMBER** [IF SR OCCUPANCY = NO AND MEMBERS 12 OR OLDER ≥ 2]

Even though you (*did/will*) not live here for most of (*CURRENT QUARTER*), I need some general information about (*the other person/all of the other people*) in this household who are 12 years old or older and (*did/will*) live here for most of those months. Let's start with the oldest and work down to the youngest.

Aunque usted no (*vivió/vivirá*) aquí la mayor parte de (*CURRENT QUARTER*), necesito alguna información general acerca de (*la otra persona/todas las otras personas*) en este hogar que tengan 12 años o más y (*vivieron/vivirán*) aquí la mayoría de esos meses. Empecemos con la persona mayor hasta llegar a la persona menor.

Next [ROSTER #1 AGE]

**ROSTER #1 AGE** [IF MEMBERS 12 OR OLDER NE BLANK OR TOTAL GQU MEMBERS = 1 OR IF UNIT TYPE = BEDS OR PERSONS]

How old (*are/is*) (*you/this person*)?

¿Cuántos años tiene (*usted/esta persona*)?

ENTER AGE [ROSTER #1 NAME]

[IF **SR AGE** ≥ 99, ROSTER: PLEASE VERIFY: Let me confirm, are you (AGE) years old? Permítame confirmar, ¿tiene usted (AGE) años de edad? IF Yes, **SR GENDER**. IF No, **SR AGE**.]

[IF SR AGE = 17 OR UNDER: **OLDER ELIGIBLE SR**: “YOU HAVE IDENTIFIED AN SR UNDER AGE 18. DOES AN ELIGIBLE SR 18 OR OLDER LIVE AT DU?” IF Yes, **CONTACT OLDER MEMBER**: “CONDUCT SCREENING WITH SR AGED 18 OR OLDER.” OK; CLEAR THE AGE ENTRY TO ALLOW FOR NEW SR. If No, **MINOR SR**: “THIS SR IS 17 OR YOUNGER. STOP THE SCREENING AND CODE AS AN 02.” OK [**SCREENING CALL RECORD**] ENTERING RESULT CODE 02 SR UNAVAILABLE, AND COMMENT “SR age ineligible”.]

**ROSTER #1 NAME** [IF ROSTER #1 AGE NE BLANK]

What is (*your/this person's*) first name?  
¿Cuál es (*su nombre/el nombre*) (sin apellido) (*de esta persona*)?

ENTER FIRST NAME [**ROSTER #1 GENDER**]

**ROSTER #1 GENDER** [IF ROSTER #1 NAME NE BLANK]

ASK ONLY IF NOT OBVIOUS

(*Are you/Is this person*) male or female?  
¿Es (*usted/esta persona*) hombre o mujer?

<u>Male</u>	<u>Hombre</u>
<u>Female</u>	<u>Mujer</u>

[IF AGE 17-65, ROSTER #1 MILITARY, OTHERWISE ROSTER #1 HISPANIC]

**ROSTER #1 MILITARY** [IF ROSTER #1 RACE NE BLANK AND ROSTER #1 AGE = 17-65]

(*Are you/Is he/Is she*) currently on active duty in the United States military?  
¿Está (*usted/él/ella*) actualmente en servicio activo en las fuerzas armadas de los Estados Unidos?

<u>Yes</u>	<u>Sí</u>
<u>No</u>	<u>No</u>
<u>Refused</u>	<u>Se rehúsa</u>

[ROSTER #1 HISPANIC]

**ROSTER #1 HISPANIC** [IF ROSTER #1 MILITARY NE BLANK OR (ROSTER #1 GENDER NE BLANK AND AGE NE 17-65)]

(*Are you/Is he/Is she*) of Hispanic, Latino or Spanish origin?  
¿Es (*usted/él/ella*) de origen hispano, latino o español?

(That is, do any of these groups describe *(your/his/her)* national origin or ancestry – Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)

(¿Es decir que su origen nacional o ascendencia se puede describir como puertorriqueño, cubano, cubano-americano, mexicano, mexicano-americano, chicano, centro o sudamericano, o es nativo(a) de otro país donde se habla español?)

Yes    Sí  
No     No

**[ROSTER #1 RACE]**

**ROSTER #1 RACE [IF ROSTER #1 HISPANIC NE BLANK]**

*(Are you/Is he/Is she)* White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian?

¿Es *(usted/él/ella)* de raza blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawái o de otra isla del Pacífico, o asiática?

White  
Black or African American  
American Indian or Alaska Native  
Native Hawaiian/other Pacific Islander  
Asian  
Other

Blanca  
Negra o afro-americana  
Indígena americana o nativo(a) de Alaska  
Nativo(a) de Hawái o de otra isla del Pacífico  
Asiática  
Otra raza

(CHECK ALL THAT APPLY)

**[CONFIRM ROSTER]**

**CONFIRM ROSTER [IF ROSTER #1 RACE NE BLANK]**

[IF RACE, HISPANIC, AND/OR MILITARY IS 'OTHER', 'DK', OR 'REF' OMIT RESPECTIVE FILLS FROM CONFIRM ROSTER SCREEN.]

CONFIRM:

I have listed *(you as)* a/an *(AGE)* year old *(GENDER)* resident. *(You are/He is/She is)* *(RACE)*, *(HISPANIC)* [IF AGE =17-65] and *(are/are not)* on active duty in the United States military. *(Lo he anotado a usted/La he anotado a usted/He anotado)*, *(GENDER: una joven/una mujer/un joven/un hombre/una persona)* de *(AGE)* años de edad. *(Usted/ Ella /Él /Esta persona)* es de raza *(RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawái o de otra isla del Pacífico, asiática)* *(HISPANIC: es /y es hispana / es / y es hispano/ no es / y no es hispana / no es / y no es hispano)* [IF AGE =17-65] *y está en servicio activo en las fuerzas armadas de los Estados Unidos. / y no está en servicio activo en las fuerzas armadas de los Estados Unidos).*



Is this correct?  
¿Es esto correcto?

Yes [IF MEMBERS 12 OR OLDER ≥ 2 **OTHER MEMBERS**. IF UNIT TYPE = BEDS OR PERSONS OR UNIT TYPE = ROOMS AND TOTAL GQU MEMBERS OR MEMBERS 12 OR OLDER =1 **VERIFY ROSTER DATA**]

No [**ROSTER #1 AGE**]

[IF MEMBERS 12 OR OLDER=1 AND SR=NO, **NO SR**: “INTERVIEWER: YOU HAVE NOT IDENTIFIED A SCREENING RESPONDENT. IF THE SCREENING RESPONDENT IS A MEMBER OF THE HOUSEHOLD, EDIT HIS/HER ROSTER RECORD AND MARK THE APPROPRIATE ITEM.”]

**OTHER MEMBERS** [IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER ≥ 2]

READ TO RESPONDENT:

Now I need some general information about (*the other person/all of the other people*) who (*is/are*) 12 years old or older. [IF MEMBERS 12 OR OLDER >2] Let's start with the oldest and work down to the youngest.

Ahora necesito alguna información general sobre (*la otra persona que tenga/todas las otras personas que tengan*) 12 años de edad o más. [IF MEMBERS 12 OR OLDER >2] Empecemos con la persona mayor hasta llegar a la persona menor.

Next [**AGE**]

**AGE** [IF OTHER MEMBERS NE BLANK OR CONFIRM ROSTER = NO]

[IF MEMBERS 12 OR OLDER = 2] How old is this person?  
¿Cuántos años tiene esta persona?

[IF MEMBERS 12 OR OLDER > 2 HH MEMBERS] How old is the (*oldest/next oldest*) person?  
¿Cuántos años tiene la (*persona mayor/siguiente persona*)?

ENTER AGE [**NAME**]

[IF **AGE** ≥ 99, ROSTER: PLEASE VERIFY: Let me confirm, is this person (AGE) years old? Permítame confirmar, ¿tiene esta persona (AGE) años de edad? IF Yes, **NAME**. IF No, **AGE**.]

**NAME** [IF AGE NE BLANK]

What is this person's first name?  
¿Cuál es el nombre (*sin apellido*) de esta persona?

ENTER FIRST NAME [**GENDER**]

**ROSTER SCREEN 1**

**GENDER** [IF NAME NE BLANK]

ASK ONLY IF NOT OBVIOUS

Is this person male or female?  
¿Es esta persona hombre o mujer?

<u>Male</u>	<u>Hombre</u>
<u>Female</u>	<u>Mujer</u>
<u>Refused</u>	<u>Se rehúsa</u>

[IF AGE 17-65, **MILITARY**, OTHERWISE **HISPANIC**]

**MILITARY** [IF GENDER NE BLANK AND AGE 17-65]

Is this person currently on active duty in the United States military?  
¿Está esta persona actualmente en servicio activo en las fuerzas armadas de los Estados Unidos?

<u>Yes</u>	<u>Sí</u>
<u>No</u>	<u>No</u>
<u>Refused</u>	<u>Se rehúsa</u>
<u>Unknown</u>	<u>No sabe</u>

[**HISPANIC**]

**ROSTER SCREEN 2**

**HISPANIC** [IF MILITARY NE BLANK OR (GENDER NE BLANK AND AGE NE 17-65)]

(*Is he/Is she/Is this person*) of Hispanic, Latino or Spanish origin?  
¿Es (él/ella/esta persona) de origen hispano, latino o español?

(That is, do any of these groups describe (*his/her/their*) national origin or ancestry – Puerto Rican, Cuban, Cuban-American, Mexican, Mexican-American, Chicano, Central or South American, or origin in some other Spanish-speaking country?)  
(¿Es decir que su origen nacional o ascendencia se puede describir como puertorriqueño, cubano, cubano-americano, mexicano, mexicano-americano, chicano, centro o sudamericano, o es nativo(a) de otro país donde se habla español?)

<u>Yes</u>	<u>Sí</u>
<u>No</u>	<u>No</u>
<u>Refused</u>	<u>Se rehúsa</u>
<u>Unknown</u>	<u>No sabe</u>

[**RACE**]

**RACE** [IF HISPANIC NE BLANK]

*(Is he/Is she/Is this person) White, Black or African American, American Indian or Alaska Native, Native Hawaiian or other Pacific Islander, or Asian?*

*¿Es (él/ella/esta persona) de raza blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawái o de otra isla del Pacífico, o asiática?*

White

Black or African American

American Indian or Alaska Native

Native Hawaiian/other Pacific Islander

Asian

Other

Blanca

Negra o afro-americana

Indígena americana o nativo(a) de Alaska

Nativo(a) de Hawái o de otra isla del Pacífico

Asiática

Otra raza

(CHECK ALL THAT APPLY)

Refused

Unknown

Se rehúsa

No sabe

[CONFIRM ROSTER]

**CONFIRM ROSTER** [IF RACE NE BLANK]

[IF RACE, HISPANIC, AND/OR MILITARY IS 'OTHER', 'DK', OR 'REF' OMIT RESPECTIVE FILLS FROM CONFIRM ROSTER SCREEN.]

CONFIRM:

I have listed a/an (AGE) year old (GENDER) resident. (He/She) is (RACE), (HISPANIC) [IF AGE 17-65] and (is/is not) on active duty in the United States military.

He anotado (GENDER: una joven/una mujer/un joven/un hombre/una persona) de (AGE) años de edad que vive aquí. (Ella /Él /Esta persona) es de raza (RACE: blanca, negra o afro-americana, indígena americana o nativo(a) de Alaska, nativo(a) de Hawái o de otra isla del Pacífico, asiática) (HISPANIC: es / y es hispana / es / y es hispano/Esta persona es hispana / no es hispana /no es / y no es hispano/Esta persona no es hispana) [IF AGE 17-65] (y está en servicio activo en las fuerzas armadas de los Estados Unidos. / y no está en servicio activo en las fuerzas armadas de los Estados Unidos).

Is this correct?

¿Es esto correcto?

Yes Sí [AGE FOR NEXT GQU MEMBER, OTHERWISE VERIFY ROSTER DATA]

No No[AGE]

[IF ALL MEMBERS ROSTERED AND NO SCREENING RESPONDENT, NO SR:  
"INTERVIEWER: YOU HAVE NOT IDENTIFIED A SCREENING RESPONDENT. IF THE SCREENING RESPONDENT IS A MEMBER OF THE HOUSEHOLD, EDIT HIS/HER ROSTER RECORD AND MARK THE APPROPRIATE ITEM."]

**VERIFY ROSTER DATA** [IF CONFIRM ROSTER = YES]

[IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER=1]

DO NOT READ TO RESPONDENT. WHEN READY, TOUCH NEXT TO MAKE SELECTION.

TO MAKE CORRECTIONS: TOUCH AND HOLD THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TOUCH 'EDIT' FROM THE POP-UP MENU.

[IF CONFIRM ROSTER = YES AND MEMBERS 12 OR OLDER>=2]

I need to make sure this list is accurate. I have listed...  
Necesito asegurarme que la lista está correcta. He anotado...

[READ LIST OF OCCUPANTS NAMES AND AGES].

TO MAKE CORRECTIONS: TOUCH AND HOLD THE ROSTER LINE THAT YOU WANT TO EDIT, THEN TOUCH 'EDIT' FROM THE POP-UP MENU.

Name (*First Name*)  
AGE (*Age*)  
SR (*Y for Screening Respondent*)

[UPON TOUCHING ON ANY ROSTER LINE, **Choose an Action:** IF Show Details SELECT SHOW DETAILS]

Name: *First Name*  
Age: *Age*  
Gender: *M, F, R*  
Hispanic: *Y, N, U, R*  
Race: *W, B, I, P, A, O*  
Military: *Y, N, U, R*  
Eligible: *E, I*

[TOUCH AND HOLD ANY ROSTER LINE, **Choose an Action:** IF Edit. **EDIT ROSTER RECORD:** DO YOU WANT TO EDIT THE ROSTER INFORMATION FOR THE (*AGE*) YEAR OLD (*NAME*)? IF Yes, **EDIT ROSTER MEMBER**. IF No, **VERIFY ROSTER DATA.**]

[+MEMBER OR FUNCTIONS TO ADD ROSTER MEMBERS]

Next [START SELECTION]

**EDIT RESPONDENT MEMBER** [EDIT ROSTER RECORD = YES]

**SR Roster (or first person listed, if SR not rostered) version:**

AGE: *Age*  
GENDER: M F (*with appropriate circle filled*)  
RELATION: *Relationship*

HISPANIC: Y N (with appropriate circle filled)  
RACE: (CHECK ALL THAT APPLY) (with appropriate boxes checked)  
White Am. Indian Asian  
Black Hawaiian/PI Other  
MILIATRY: Y N R (with appropriate circle filled)  
ELIGIBLE: (box checked as appropriate)  
SR: (box checked as appropriate) NOTE: this field cannot be edited

**Roster #2 or after version:** (includes unknown and refusal options)

AGE: Age  
GENDER: M F R (with appropriate circle filled)  
RELATION: Relationship  
HISPANIC: Y N R U (with appropriate circle filled)  
RACE: (CHECK ALL THAT APPLY) (with appropriate boxes checked)  
White Am. Indian Asian  
Black Hawaiian/PI Other  
Race Refused Race Unknown  
MILITARY: Y N R U (with appropriate circle filled)  
ELIGIBLE: (box checked, as appropriate)  
SR: NOTE: this field cannot be edited

**START SELECTION**

THE PROGRAM WILL START THE SELECTION PROCESS. ARE YOU SURE YOU ARE READY TO MAKE THE SELECTIONS?

Yes [RESPONDENT SELECTION]

No [VERIFY ROSTER DATA]

[IF INTERVIEW A = BLANK, **NO SELECTION**: NO ONE WAS SELECTED FOR AN INTERVIEW AT THIS GQU. PLEASE COLLECT VERIFICATION INFORMATION.]

**RESPONDENT SELECTION** [START SELECTION = YES AND INTERVIEW A NE BLANK]

Interview A

Roster #: (Roster # of selected member, None)

QuestID: (7-digit Questionnaire ID, BLANK)

Name: (First Name, BLANK)

Age: (AGE, BLANK)

Sex: (M, F, BLANK)

Race: (W, B, I, P, A, O, BLANK)

Hispanic: (Y, N, BLANK)

Interview B

Roster #: (Roster # of selected member, None)

QuestID: (7-digit Questionnaire ID, BLANK)

Name: (First Name, BLANK)

Age: (AGE, BLANK)

Sex: (M, F, BLANK)

Race: (W, B, I, P, A, O, BLANK)

Hispanic: (Y, N, BLANK)

Next

NEXT [IF INTEVIEW A = BLANK, THEN VERIFICATION]

[IF INTERVIEW A NE BLANK, THEN SELECTIONS]

## Section 3 Select Case Screen Options

Select Case screen contains the date, time, battery level, CaseID, (list of cases), Address, Results for S (Screening), A (Interview A), and B (Interview) and menus detailed below.

### **ACTIONS MENU** [ TOUCH AND HOLD CASE UNTIL ACTIONS MENU APPEARS]

Items on the Actions Menu are case specific. First a case is selected on the screen, then the action from the Actions Menu is selected.

- Screen DU/Re-Open Case
- Go To Record Of Calls
- View Selections
- View Roster
- Edit Address
- Add Missed DU
- Place Case On Hold/Take Case Off Hold
- View Verification Information
- Add Appointment to Calendar
- View Call Distribution
- View Letters

### **SCREEN DU** [SELECT CASE, SCREEN DU]

[IF SCREENING COMPLETED, **RE-OPEN CASE** DISPLAYS ON ACTIONS MENU. IF CODE 25, 26, 30-32, 70-79, **RE-OPENING CONFIRMATION:** “CASE (CASEID) HAS ALREADY BEEN COMPLETED. DO YOU WISH TO RE-OPEN THIS CASE? IF No, **SELECT CASE**. IF Yes, **CASE RE-OPEN CODE:** “ENTER THE CODE TO RE-OPEN THIS CASE, THEN TOUCH ‘OK’ TO CONTINUE.” ENTER CORRECT CODE, OK, **STUDY INTRODUCTION**. IF CODE 10-23, 29, **CANNOT RE-OPEN CASE:** “FINAL SCREENER CODES 10-23 AND 29 CANNOT BE OPENED WITH THIS METHOD. PLEASE CONTACT YOUR FS.”]

### **GO TO RECORD OF CALLS** [SELECT CASE, GO TO RECORD OF CALLS – FOR DETAILS SEE SECTION 6]

### **VIEW SELECTIONS** [SELECT CASE, VIEW SELECTIONS]

#### **RESPONDENT SELECTION** [IF CASE COMPLETED WITH FINAL RESULT CODE = [31, 32]

Interview A

**[QC FORM]**

Roster #: (Roster # of selected member, None)

QuestID: (7-digit Questionnaire ID, BLANK)

Relation: (Relationship to SR, BLANK)

Age: (AGE, BLANK)

Sex: (M, F, BLANK)

Race: (W, B, I, P, A, O, BLANK)

Hispanic: (Y, N, BLANK)

Interview B

**[QC FORM]**

Roster #: (Roster # of selected member, None)

QuestID: (7-digit Questionnaire ID, BLANK)

Relation: (Relationship to SR, BLANK)

Age: (AGE, BLANK)

Sex: (M, F, BLANK)

Race: (W, B, I, P, A, O, BLANK)

Hispanic: (Y, N, BLANK)

Done [SELECT CASE]

Roster [VERIFY ROSTER DATA]

ROC [RECORD OF CALLS]

**VIEW ROSTER** [SELECT CASE, VIEW ROSTER]

[IF CASE COMPLETED WITH FINAL RESULT CODE = 30, 31, 32, **VERIFY ROSTER DATA**]

[**VERIFY ROSTER DATA – FOR DETAILS, SEE SECTIONS 1& 2**]

Done [SELECT CASE]

Selections [RESPONDENT SELECTION]

ROC [RECORD OF CALLS]

**EDIT ADDRESS** [SELECT CASE, EDIT ADDRESS]

[**EDIT ADDRESS – FOR DETAILS, SEE SECTIONS 1 & 2**]

**ADD MISSED DU** [SELECT CASE, ADD MISSED DU]

[**MISSED DU ADDRESS – FOR DETAILS, SEE SECTION 1**]

[IF CASE SELECTED IS ADDED DU: **CANNOT ADD UNIT**: “YOU CANNOT ADD A MISSED DU FROM A DU THAT HAS BEEN ADDED.” OK, **SELECT CASE.**]

[IF ATTEMPTING TO ADD A DU TO A GQU: **MISSED GQU**: “YOU CANNOT ADD MISSED GROUP QUARTERS UNITS (GQUS). YOU CAN ONLY ADD A MISSED HOUSING UNIT THAT IS ASSOCIATED WITH A SELECTED LINE IN A GROUP QUARTERS STRUCTURE. ARE YOU CERTAIN THE UNIT YOU WANT TO ADD IS A HOUSING UNIT AND NOT A GROUP QUARTERS UNIT?”]

Yes [**READY TO ADD**: “DO YOU WANT TO ADD AN HU LINKED TO CASE (CASEID)?” IF Yes, **MISSED DU ADDRESS**. IF No, **SELECT CASE**]

No [**CALL FS**: “IF THERE ARE MISSED GROUP QUARTERS UNITS IN THE STRUCTURE, OBTAIN INFORMATION ABOUT ALL MISSED UNITS THEN CALL YOUR FS.” OK, **SELECT CASE.**]

**PLACE CASE ON HOLD** [SELECT CASE, PLACE CASE ON HOLD]



*This menu option toggles between “Place Case On Hold” and “Take Case Off Hold,” depending on the on-hold status of the case. When a case is on hold, data for that case are transmitted, but are not pulled into the control system—the data is maintained in a separate location to be accessed, as necessary. This allows putting a case ‘On Hold’ for troubleshooting.*

[IF CASE NOT ON HOLD, **PLACE CASE ON HOLD**: “ARE YOU SURE YOU WANT TO PUT CASE (CASEID) ON HOLD?” IF No, **SELECT CASE** WITH CASE NOT ON HOLD. IF Yes, **SELECT CASE** WITH ‘On Hold’ NEXT TO CASEID.]

[IF CASE ON HOLD, **TAKE CASE OFF HOLD**: “ARE YOU SURE YOU WANT TO TAKE CASE (CASEID) OFF HOLD?” IF No, **SELECT CASE** WITH CASE ON HOLD. IF Yes, **SELECT CASE** WITH ‘On Hold’ REMOVED AND CASE NOT ON HOLD.]

### **VIEW VERIFICATION INFORMATION** [SELECT CASE, VIEW VERIFICATION INFORMATION]

[IF VERIFICATION INFORMATION AVAILABLE, **VERIFICATION**.]

[IF NO VERIFICATION INFORMATION AVAILABLE: “No Verification data found” OK, **SELECT CASE**]

### **ADD APPOINTMENT TO CALENDAR** [SELECT CASE, ADD APPOINTMENT TO CALENDAR]

*This optional calendar can be used to post work and personal appointments to help with time management and scheduling of interview appointments.*

### **NEW APPOINTMENT**

SHOW CALENDAR WHEN DONE? (*check box*)

CASE: (*Case ID*)

FROM: (*current date with link to calendar to select a beginning date*) (*time of day*)

[IF SELECT A DIFFERENT DATE, Set CHANGES DATE, Cancel MAINTAINS CURRENT DATE]

IF SELECT A DIFFERENT TIME, Set CHANGES TIME, Cancel MAINTAINS CURRENT TIME]

TO: (*current date with link to calendar to select an ending date*) (*time of day*)

[IF SELECT A DIFFERENT DATE, Set CHANGES DATE, Cancel MAINTAINS CURRENT DATE]

IF SELECT A DIFFERENT TIME, Set CHANGES TIME, Cancel MAINTAINS CURRENT TIME]

ALL DAY? (*check box*)

REMINDER: (*Drop-down box with incremental time choices*)

TYPE: (*Drop-down box with: Select Type, Screening, Interview A, Interview B, S/I Other, Other*)

DESCRIPTION: (*text entry with comments describing appointment*)

Done [Detail View containing appointment information, then side arrow to display CALENDAR.] *This calendar is an interactive stock tablet tool that allows calendar viewing by day, week, month and list. Touching the day on the calendar will show any*

*entered appointments. New appointments can be added, and appointments for the current day display at the bottom of the screen.*

Back Arrow [SELECT CASE]

## **VIEW CALL DISTRIBUTION** [SELECT CASE, VIEW CALL DISTRIBUTION]

*This optional case management feature can be used to view past work patterns based on ROC data and to determine the times/days when contact has not been attempted at SDUs.*

### **CALL DISTRIBUTION**

Link: *(line number and address of link line case)*

SELECT A CASE OR SEGMENT *(Drop-down list of cases and segments that have associated ROC data entered. FI chooses desired selection criteria.)* [IF SELECT ALL CASES ROC INFORMATION ON ALL CASES IS DISPLAYED. IF SELECT AN INDIVIDUAL CASE, ONLY ROC DATA FROM THAT CASE DISPLAYED. IF SELECT AN INDIVIDUAL SEGMENT, ROC DATA FROM CASES WITHIN THAT SEGMENT ONLY IS DISPLAYED.]

ALL ROC TYPE RECORDS *(Drop-down list of selection criteria to customize ROC data displayed.)* [IF SELECT ALL ROC TYPE RECORDS, ALL ROCS ENTERED DISPLAY. IF SELECT SCREENING RECORDS ONLY, ONLY SCREENING RECORDS DISPLAY. IF SELECT INTERVIEW RECORDS ONLY, ONLY INTERVIEW ROCS DISPLAY.]

SELECT A STATUS *(Drop-down list of selection criteria as shown below. FI chooses desired selection criteria.)*

All *(includes all cases)*

Not at Home *(includes Screening codes: 01, 02, 03 and Interview codes: 51, 52)*

Pending *(includes Screening codes: 01-09 and Interview codes: 50-59)*

Completed *(includes Screening codes: 30, 31, 32 and Interview code: 70)*

Finalized *(includes Screening codes: 10 – 32 and Interview codes: 70 – 79)*

Refusals *(includes Screening codes: 07 and Interview codes: 57, 58)*

Choose ROC code(s) *(A DROP DOWN LIST OF ALL ROC CODES APPEARS TO CHOOSE FROM)*

DATE RANGE (INCLUSIVE)

BEGIN DATE: [SELECT A DATE] *Date appears allowing beginning date selection to narrow down the ROC results SET chooses the date entered [CALL DISTRIBUTION], CANCEL, [CALL DISTRIBUTION].)*

END DATE: [SELECT A DATE] *Date appears allowing beginning date selection to narrow down the ROC results SET chooses the date entered* [CALL DISTRIBUTION], CANCEL, [CALL DISTRIBUTION].)

	6A-10A	10A-12P	12P-4P	4P-6P	6P-10P	10P-6A	All
Su	-	-	-	-	-	-	-
M	-	-	-	-	-	-	-
Tu	-	-	-	-	-	-	-
W	-	-	-	-	-	-	-
Th	-	-	-	-	-	-	-
F	-	-	-	-	-	-	-
Sa	-	-	-	-	-	-	-
All	-	-	-	-	-	-	-

Done [SELECT CASE]

Refresh (*Refreshes displayed data based on most recent selection criteria entered.*) [IF NO CASE SELECTED, “PLEASE SELECT A CASE OR SEGMENT FROM THE LIST THEN TOUCH “REFRESH”]

OK [CALL DISTRIBUTION]

ROC [IF CASE SELECTED, RECORD OF CALLS]

VIEW LETTERS [SELECT CASE, VIEW LETTERS]

[IF LETTER SENT, LETTERS. IF NO LETTER SENT, “NO LETTERS FOUND” OK, SELECT CASE]

**LETTERS** [IF REFUSAL LETTER HAS BEEN SENT AND IF RECORD OF CALLS = CALL RECORD SELECTED, FUNCTIONS, VIEW LETTERS]

*(Date letter requested – letter code – result code – FS that submitted request)*

**ADMINISTRATIVE TOOLS MENU** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS]

*Items on Administrative Tools Menu are administrative.*

- Set Name and Id
- Reconcile Missed DUs
- Reload Training Cases
- Erase Training Cases

**SET NAME AND ID** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS, SET NAME AND ID]

**NSDUH SYSTEM IDENTIFICATION** [SELECT CASE, ADMINISTRATIVE TOOLS, SET NAME AND ID]

Government ID: (*Government ID issued to iPAQ*)

FIID: (*RTI FI ID Number*)

First Name: (*FI First Name to be used on Study Introduction screen*)

Last Name: (*FI Last Name to be used on Study Introduction screen*)

Save [SELECT CASE]

Cancel [SELECT CASE]

**RECONCILE MISSED DUS** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS, RECONCILE MISSED DUS]

[IF NO MISSED DUs TO BE RECONCILED, **NO MISSED DUs**: “THERE ARE NO MISSED DUs TO RECONCILE.” OK, **SELECT CASE**.]

[IF MISSED DUs TO BE RECONCILED, **SEGMENTS AND MISSED DUs**]

**SEGMENTS AND MISSED DUs** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE TOOLS, RECONCILE MISSED DUs]

SEGMENT (*SEGID*)

STREET ADDRESS (*MISSED DU STREET ADDRESS*)

TO RECONCILE A SEGMENT: TOUCH AND HOLD ON THE LINE, THEN TOUCH ‘RECONCILE’ FROM THE POP-UP MENU.

Reconcile [**RECONCILIATION CONFIRMATION**: “YOU WILL BE REQUIRED TO RECONCILE ALL DUs WITHIN THE SELECTED SEGMENTS. ARE YOU READY TO PROCEED?” IF No, **SEGMENTS AND MISSED DUs**. IF Yes, **MDU-SEGMENT KIT CHECK**.]

Exit [SELECT CASE]

**MDU-SEGMENT KIT CHECK** [SEGMENTS AND MISSED DUs = RECONCILE AND RECONCILIATION CONFIRMATION = YES]

Link: (*line number and address of link line case*)

INTERVIEWER: CONSULT YOUR SEGMENT KIT AND ANSWER THE FOLLOWING QUESTION(S):

IS THE UNIT THAT YOU ARE ATTEMPTING TO ADD

(*Missed DU Street Address*)

ALREADY ON THE HANDWRITTEN LIST OF DWELLING UNITS?

(MAKE SURE YOU ARE LOOKING AT THE FULL LIST OF DWELLING UNITS, NOT THE SELECTED DU LIST.)

Yes (UNIT NOT ADDED) [IF ANOTHER MISSED DU, **NEXT MISSED DU**: “PLEASE ANSWER THE FOLLOWING QUESTIONS TO RECONCILE THE NEXT MISSED DU.” OK, **MDU-SEGMENT KIT CHECK** FOR NEXT MISSED DU.]  
[IF NO OTHER MISSED DU, **SELECT CASE**]  
No [**MDU SDU CHECK**]  
Exit [**SELECT CASE**]

### **MDU SDU CHECK** [MDU-SEGMENT KIT CHECK = NO]

Link: *(line number and address of link line case)*

IS THE MISSED UNIT LOCATED WITHIN THE SDU OR ON THE SDU PROPERTY, AS WELL AS LOCATED WITHIN THE SEGMENT BOUNDARIES?

REFER TO YOUR FI MANUAL AND YOUR SEGMENT MAPS OR CALL YOUR FS IF YOU ARE UNSURE WHETHER THIS UNIT SHOULD BE ADDED. IF YOU CANNOT DO THAT RIGHT NOW, TOUCH THE Exit BUTTON TO EXIT THIS SCREEN. YOU CAN RECONCILE THIS DU LATER.

Yes (UNIT ADDED) [**MDU-ADDRESS VERIFICATION**]  
No (UNIT NOT ADDED) [IF ANOTHER MISSED DU, **NEXT MISSED DU**: “PLEASE ANSWER THE FOLLOWING QUESTIONS TO RECONCILE THE NEXT MISSED DU.” OK, **MDU-SEGMENT KIT CHECK** FOR NEXT MISSED DU.]  
[IF NO OTHER MISSED DU, **SELECT CASE**]  
Exit [**SELECT CASE**]

### **MDU-ADDRESS VERIFICATION**

Link: *(line number and address of link line case)*

THIS UNIT WILL BE ADDED TO THE SAMPLE. PLEASE VERIFY THE INFORMATION BELOW. TOUCH ANY LINE TO EDIT IT.

STREET #: *(street number)*  
STREET: *(street name)*  
APT.#: *(APARTMENT NUMBER)*  
CITY: *(city)*  
STATE: *(state)*  
ZIP: *(zip code)*  
CASE #: *(CaseID assigned to Added DU)*

Update [IF ADDITIONAL MISSED DUs TO RECONCILE,  
**RECONCILE NEXT**: “THE ADDED DU HAS BEEN SUCCESSFULLY RECONCILED. PLEASE ANSWER THE FOLLOWING

QUESTIONS TO RECONCILE THE NEXT MISSED DU.” OK,  
**MDU-SEGMENT KIT CHECK** FOR NEXT MISSED DU]  
[IF NO ADDITIONAL MISSED DUs TO RECONCILE, **SELECT  
CASE**]

Exit [**SELECT CASE**, WITH RECONCILIATION PENDING]

**RELOAD TRAINING CASES** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE  
TOOLS,RELOAD TRAINING CASES]

**RE-LOAD TRAINING CASES:** “ARE YOU SURE YOU WANT TO REMOVE  
AND RELOAD ALL TRAINING CASES?” IF No, **SELECT CASE**. IF Yes,  
**SELECT CASE** WITH TRAINING CASES REMOVED AND RELOADED.

**ERASE TRAINING CASES** [SELECT CASE, FUNCTIONS, ADMINISTRATIVE  
TOOLS, ERASE TRAINING CASES]

**ERASE TRAINING CASES:** “ARE YOU SURE YOU WANT TO ERASE ALL  
TRAINING CASES?” IF No, **SELECT CASE**. IF Yes, **SELECT CASE** WITH  
TRAINING CASES REMOVED.

**VIEW/SORT MENU** [SELECT CASE, FUNCTIONS, VIEW/SORT]

*View/Sort is a case management feature that allows an FI to view and sort cases in his/her assignment by subgroups and varying orders on the Select Case screen. The View and Sort options can be used in a variety of combinations.*

### **VIEW/SORT PREFERENCES**

The current VIEW, SEGMENT and SORT selections are shown in quotes below.

To change, touch VIEW, SEGMENT or SORT, then select an option from the list.

When finished, touch Done.

View – “CURRENT VIEW” [**VIEW**]

Segment – “CURRENT SEGMENT” [**SEGMENT**]

Sort – “CURRENT SORT” [**SORT**]

Done [**SELECT CASE**]

### **VIEW**

All Cases (*All Cases*)

Pending Cases (*All Screening and Interview cases with Result Codes 00-09, 50-59*)

Pending Screenings (*All Screening cases with Result Codes 00-09*)

Pending Interviews (*All Interview cases with Result Codes 50-59*)

Pending Youth Interviews (*All Interview cases with Result Codes 50-59 and 12-17 year old respondent*)

Pending Cases with Refusal (*All Screening and Interview cases with Result Codes 00-09, 50-59 and at least one Result Code 07 or 57*)

Cases not visited, 2 weeks (*All Screening and Interview cases with no new ROC in the previous two weeks*)

Unactivated Cases (*All Screening and Interview cases without at least one ROC*)

Group Quarters Units *(All GQU cases)*  
Final Screenings *(Screening cases with Result Codes 10-32)*  
Final Interviews *(Interview cases with Result Codes 70-79)*  
Added DUs *(All added DUs)*  
On Hold Cases *(All cases on hold)*

Cancel [**VIEW/SORT PREFERENCES**]

### **SEGMENT**

All Segments *(All cases)*  
DISPLAY LIST OF SEGMENTS *(Cases by segment)*

Cancel [**VIEW/SORT PREFERENCES**]

### **SORT**

By Case ID *(Alphanumerical order by CaseID)*  
By Street *(Alphanumerical order by street name within segment and number)*  
By Screening Code *(Numerical order by Screening Result Code)*  
By ROC Date, Ascending *(Ascending date order using most recent Record of Calls entry)*  
By ROC Date, Descending *(Descending date order using most recent Record of Calls entry)*

Cancel [**VIEW/SORT PREFERENCES**]

**CALL DISTRIBUTION** [SELECT CASE, FUNCTIONS, CALL DISTRIBUTION] *(See Call Distribution explanation under Actions Menu above)*

**ADD APPOINTMENT TO CALENDAR** [SELECT CASE, FUNCTIONS, ADD APPOINTMENT TO CALENDAR] *(See Add Appointment explanation under Actions Menu above)*

**CALENDAR** [SELECT CASE, FUNCTIONS, CALENDAR] *(See Calendar explanation within the Add Appointment to Calendar portion under Actions Menu above)*

**TRANSMIT** [SELECT CASE, FUNCTIONS, TRANSMIT]

### **TRANSMISSION LOG**

Done [SELECT CASE]  
Transmit [BEGINS DATA TRANSMISSION].

*The page intentionally left blank.*



## Section 4 Record of Calls

**RECORD OF CALLS** [SELECT CASE, GO TO RECORD OF CALLS  
OR ON ANY SUBSEQUENT SCREEN EXIT OR VERIFICATION, DONE OR  
RESPONDENT SELECTION, DONE]

Type (*Data Type: S, A, B*)

Result (*Result Code*)

Date/time (*Date, time, and day of the week ROC entered*)

Done [IF ADDED DU, **Reconcile Missed DUs**: “There are missed DUs, would you like to reconcile now?” IF Yes, **Segments and Missed DUs**. IF No, **SELECT CASE**. IF NO ADDED DUs, **SELECT CASE**]

Add [IF CODE = PENDING SCREENING CODE, **SCREENING CALL RECORD**. FOR PENDING INTERVIEW CODES, IF CODE 31 OR IF CODE 32 AND ONE INTERVIEW CASE FINAL, **INTERVIEW [fill with A or B] CALL RECORD**, IF CODE = 32 AND BOTH INTERVIEW CASES NOT FINAL, **CHOOSE ROC TYPE**]

CD [IF CALL RECORD ENTERED, **CALL DISTRIBUTION FOR DETAILS SEE SECTION 5**]

Roster [IF CODE = 22, 25, 26, 30, 31, OR 32, **VERIFY ROSTER DATA FOR DETAILS SEE SECTION 1**]

Selections [If CODE = 31 or 32, **RESPONDENT SELECTION**]

**ACTIONS MENU** [RECORD OF CALLS, TOUCH AND HOLD ROC EVENT UNTIL ACTIONS MENU APPEARS]

Edit [IF UNTRANSMITTED ROC EVENT SELECTED, **SCREENING CALL RECORD** or **INTERVIEW CALL RECORD**]

Delete [IF UNTRANSMITTED, NON-SYSTEM-GENERATED ROC EVENT (NE 26, 30, 31, 32), DELETES EVENT, **RECORD OF CALLS**]

View Refusal [IF CODE 07, 17, 57, 58, 77, 78, **REFUSAL REPORT -FOR DETAILS SEE SECTION 7**]

View Comment [IF COMMENTS ENTERED, **COMMENT**]

View Other Specify [IF CODE 09, 23, 59, or 79, **CODE [fill with 09, 23, 59, or 79]: OTHER SPECIFY CATEGORIES**]

**FUNCTIONS MENU** [RECORD OF CALLS, FUNCTIONS]

Add Appointment to Calendar [**NEW APPOINTMENT**]

Calendar [**CALENDAR**]

View Verification Information [IF CODE 10, 13, 18, 22, 25, 26, OR 30, **VERIFICATION**]

Help [**HELP INFORMATION**]

**CHOOSE ROC TYPE** [IF CODE = 32, AND BOTH INTERVIEW CASES NOT FINAL, RECORD OF CALLS, ADD]

WHICH TYPE OF CALL RECORD DO YOU WANT TO ENTER?

Screening [Option listed but not available as screening is final]

Interview A [INTERVIEW A CALL RECORD]

Interview B [INTERVIEW B CALL RECORD]

Cancel [RECORD OF CALLS]

**SCREENING CALL RECORD** [IF AT COMPLETION OF SCREENING PROCESS

RESPONDENT SELECTION = DONE OR IF VERIFICATION = DONE AND CODE = 22, 25,  
26 OR 30 OR IF RECORD OF CALLS = ADD]

RESULT (01-23, 26, 29)

01 No one at DU

02 SR Unavailable

03 Neighbor Indicates Occupancy

04 Pending Incapable

05 Pending Lang. Barrier (Spanish)

06 Pending Lang. Barrier (Other)

07 Pending Refusal

08 Unable to Locate SDU

09 Pending Other – Specify

10 Vacant

11 No one at DU - Repeated

12 SR Unavailable - Repeated

13 Not Primary Residence

14 Final Incapable

15 Final Lang. Barrier (Spanish)

16 Final Lang. Barrier (Other)

17 Final Refusal

18 Not a Dwelling Unit

19 GQU Listed as HU [IF DU IS NOT A GQU]

20 HU Listed as GQU [IF DU IS NOT AN HU]

21 Denied Access

22 All Military [CODED AUTOMATICALLY IF SCREENING COMPLETED AND ALL  
ROSTER MEMBERS=MILITARY]

23 Final Other – Specify

26 R in DU Less Than ½ Quarter [CODED AUTOMATICALLY IF SCREENING  
COMPLETED AND OCCUPANCY=NO]

29 Listing Error

RESULT (25, 30-32) [CANNOT BE SELECTED; CODED AUTOMATICALLY]

25 No Eligible Members [CODED AUTOMATICALLY IF SCREENING COMPLETED AND  
ALL ROSTER MEMBERS=INELIGIBLE]

30 No one selected [CODED AUTOMATICALLY IF SCREENING COMPLETED AND NO  
ONE SELECTED]

31 One person selected [CODED AUTOMATICALLY IF SCREENING COMPLETED AND  
ONE PERSON SELECTED]

32 Two persons selected [CODED AUTOMATICALLY IF SCREENING COMPLETED AND  
TWO PERSONS SELECTED]

COMMENTS: *(text entry)*

DO NOT CHANGE ROC DATE/TIME UNLESS INSTRUCTED BY TECH SUPPORT OR FS.

DAY/DATE: *(Day of week and Date ROC event entered)*

TIME: HOUR (1-12) MINUTE (00-59) AM/PM (AM, PM)

Commit [IF CODE = 32, **INTERVIEW EVENT**: “REMEMBER TO ENTER CALL RECORDS FOR INTERVIEWS A AND B.” OK, **RECORD OF CALLS.**]  
[IF CODE = 31, **INTERVIEW EVENT**: “REMEMBER TO ENTER INTERVIEW CALL RECORD FOR INTERVIEW A.” OK, **RECORD OF CALLS**]  
[IF CODE = 10, 13, 18, 26, OR 30, **VERIFICATION**]  
[IF 07 OR 17, **REFUSAL REPORT -FOR DETAILS SEE SECTION 7**]  
[IF 09 OR 23, **OTHER, SPECIFY**]  
[IF 6 OR 16, **OTHER LANGUAGE**]  
[IF 04, 14, 54 OR 74, **INCAPABLE, SPECIFY**]

Cancel [**ARE YOU SURE?:** “ARE YOU SURE YOU WANT TO CANCEL THIS CALL RECORD?” IF Yes, **SELECT CASE**. IF No, **SCREENING CALL RECORD**]

**INTERVIEW CALL RECORD** [RECORD OF CALLS, ADD, IF CODE 32 AND BOTH INTERVIEW CASES NOT FINAL, CHOOSE ROC TYPE, A OR B]

RESULT (50-59, 70-79)

- 50 Appointment for Interview
- 51 No one at DU
- 52 R Unavailable
- 53 Pending Break-off (Partial Interview)
- 54 Pending Incapable
- 55 Pending Lang. Barrier (Spanish)
- 56 Pending Lang. Barrier (Other)
- 57 Pending Refusal
- 58 Pending Parental Refusal for age 12-17
- 59 Pending Other – Specify
- 70 Interview complete
- 71 No one at DU - Repeated
- 72 R Unavailable - Repeated
- 73 Final Break-off (Partial Interview)
- 74 Final Incapable
- 75 Final Lang. Barrier (Spanish)
- 76 Final Lang. Barrier (Other)
- 77 Final Refusal
- 78 Final Parental Refusal for age 12-17
- 79 Final Other - Specify

COMMENTS: *(text entry)*

DO NOT CHANGE ROC DATE/TIME UNLESS INSTRUCTED BY TECHNICAL SUPPORT OR FS.

DAY/DATE: *(Day of week and Date ROC event entered)*

TIME: HOUR (1-12) MINUTE (00-59) AM/PM (AM, PM)

Relation (HU) or Name (GQU), Gender, Age, Race of selected respondent displays

Commit [SAVES DATA, **RECORD OF CALLS**]  
[IF 57, 58, 77, 78, **REFUSAL REPORT -FOR DETAILS SEE SECTION 7**]  
[IF 59 OR 79, **OTHER, SPECIFY**]

Cancel [**ARE YOU SURE?: “ARE YOU SURE YOU WANT TO CANCEL THIS CALL RECORD?”** Yes, **SELECT CASE**, No, **INT. CALL RECORD-A OR B**]

**CODE 09: OTHER SPECIFY CATEGORIES** [SCREENING CALL RECORD = RESULT CODE 09]

**OTHER SPECIFY CATEGORIES**

Screening breakoff  
Selected wrong line number  
Added in error  
Safety issue  
Controlled access  
Possible vacant  
Possible vacation/not primary residence  
GQU is institution (ONLY ACTIVE FOR GQU CASES)  
Other listing problem  
Need to discuss with FS  
Something else, Specify

Done [**RECORD OF CALLS**]

**CODE 23: OTHER SPECIFY CATEGORIES** [SCREENING CALL RECORD = FINAL RESULT CODE 23]

**OTHER SPECIFY CATEGORIES**

Added in error  
Safety issue  
GQU is institution (ONLY ACTIVE FOR GQU CASES)  
Something else, Specify

Done [**RECORD OF CALLS**]

**CODE 59: OTHER SPECIFY CATEGORIES** [INTERVIEW A CALL RECORD OR INTERVIEW B CALL RECORD = RESULT CODE 59]

**OTHER SPECIFY CATEGORIES**

R moved  
R under age 12  
R in military  
R rostered in error  
R deceased  
Interviewed wrong person  
Screened wrong line

Safety issue  
Controlled access  
Need to discuss with FS  
Something else, Specify

Done [RECORD OF CALLS]

**CODE 79: OTHER SPECIFY CATEGORIES** [INTERVIEW A CALL RECORD OR INTERVIEW B CALL RECORD = RESULT CODE 79]

**OTHER SPECIFY CATEGORIES**

R moved  
R under age 12  
R in military  
R rostered in error  
R deceased  
Interviewed wrong person  
Screened wrong line  
Safety issue  
Controlled access  
Something else, Specify

Done [RECORD OF CALLS]

**OTHER LANGUAGE** [SCREENING CALL RECORD = 06 OR 16, INTERVIEW A CALL RECORD OR INTERVIEW B CALL RECORD = 56 OR 76]

**LANGUAGE CATEGORIES**

Arabic  
Chinese  
French  
German  
Italian  
Korean  
Polish  
Portuguese  
Russian  
Tagalog  
Vietnamese  
Other, Specify

Specify other language or comments: *(text entry)*

Done [RECORD OF CALLS]

**INCAPABLE, SPECIFY** [SCREENING CALL RECORD = 04 OR 14, INTERVIEW A CALL RECORD OR INTERVIEW B CALL RECORD = 54 OR 74]

CODE [fill with 04 or 14 or 54 or 74]: INCAPABLE CATEGORIES

Physically Incapable

Mentally Incapable

Done [RECORD OF CALLS]

## Section 5 Screening and Interview Refusal

**REFUSAL REPORT** [IF SCREENING CALL RECORD = 07 P REFUSAL OR  
IF SCREENING CALL RECORD = 17 F REFUSAL AND ALREADY HAS A PENDING  
REFUSAL ENTERED OR IF INTERVIEW CALL RECORD = 57 P REFUSAL OR IF  
INTERVIEW CALL RECORD = 58 P PARENTAL REFUSAL OR IF INTERVIEW CALL  
RECORD = 77 F REFUSAL AND ALREADY HAS A PENDING REFUSAL ENTERED OR  
IF INTERVIEW CALL RECORD = 78 F PARENTAL REFUSAL AND ALREADY HAS A  
PENDING REFUSAL ENTERED]

[IF SCREENING CALL RECORD = 17 F REFUSAL AND DOES NOT ALREADY HAVE A  
PENDING REFUSAL ENTERED: **RESULT CODE ERROR:** “TRY AT LEAST ONE  
MORE TIME BEFORE ASSIGNING THIS FINAL CODE.” OK. SCREENING CALL  
RECORD]

[IF INTERVIEW CALL RECORD = 77 F REFUSAL AND DOES NOT ALREADY HAVE A  
PENDING REFUSAL ENTERED, OR IF INTERVIEW CALL RECORD = 78 F PARENTAL  
REFUSAL AND DOES NOT ALREADY HAVE A PENDING REFUSAL ENTERED:  
**RESULT CODE ERROR:** “TRY AT LEAST ONE MORE TIME BEFORE ASSIGNING  
THIS FINAL CODE.” OK. INTERVIEW CALL RECORD]

Reason for refusal

Too busy / no time / did too many already  
Surveys / Government invasive / teen exposure  
Clarify confidentiality, legitimacy, selection  
“Nothing in it for me” / Uncooperative  
Gatekeeper / Parent/HH member disallow  
Welfare / INS / ICE / USCIS concern  
Too ill / house messy / not dressed  
Need to discuss with FS

Comment: *(text entry)*

Done [SAVES REASON FOR REFUSAL AND COMMENTS ENTERED, **RECORD OF  
CALLS**]

## Screening and Interview Refusal Functions on ROC

### 1. View refusal report or edit refusal report prior to transmission:

**REFUSAL REPORT** [IF RECORD OF CALLS = TOUCH AND HOLD CALL RECORD, ACTION MENU, VIEW REFUSAL]

Reason for refusal

Too busy / no time / did too many already  
Surveys / Government invasive / teen exposure  
Clarify confidentiality, legitimacy, selection  
“Nothing in it for me” / Uncooperative  
Gatekeeper / Parent/HH member disallow  
Welfare / INS / ICE / USCIS concern  
Too ill / house messy / not dressed  
Need to discuss with FS

Comment: *(text entry)*

Done [IF EDITED (BEFORE TRANSMISSION) SAVES REASON FOR REFUSAL AND COMMENTS ENTERED, THEN **RECORD OF CALLS**]



## Section 6 Screening Verification

**VERIFICATION** [IF SCREENING RESULT CODE = 10, 13, 18, 22, 26 OR IF RESPONDENT SELECTION = 'NONE' FOR BOTH A AND B INTERVIEW OR IF OCCUPANCY = 'NO' OR IF ALL ROSTER MEMBERS = INELIGIBLE]

So that my supervisor may check the quality of my work, may I please have your first name and telephone number?

Para que mi supervisor pueda revisar la calidad de mi trabajo, ¿me podría dar su primer nombre y su número de teléfono?

FIRST NAME: *(Screening Respondent's first name)*

Not Avail.      Ref.

PHONE: *(Area code and phone number)*

Home              Cell              Work

Not Avail.      Ref.

CONFIRM NUMBER WITH R.

NOTES TO VERIFICATION CALLER: *(Text field for notes about best times to call screening respondent or other needed clarification.)*

Done [CHECKS FOR COMPLETE DATA ENTRY, **RECORD OF CALLS**]

Clear [**VERIFICATION WITH ENTRY CLEARED**]

## Section 7 Interview Verification

Following the commitment of a Screening Call Record with a final result of One Selected (Code 31) or Two Selected (Code 32), the QC Form button appears on the Respondent Selection screen for each respondent. When the QC Form button is touched, this Interview Verification module occurs.

### QC PHONE

May I please have your phone number?

¿Me puede dar su número de teléfono por favor?

Phone (10 DIGIT NUMBER ENTRY FIELD)

Not available                  Refused

CONFIRM NUMBER WITH R

Next [IF PHONE NUMBER ENTERED, OR NOT AVAILABLE, OR REFUSED, **QC ADDRESS VERIFICATION**. IF NO PHONE NUMBER ENTERED, OR NOT AVAILABLE OR REFUSED NOT SELECTED, **QC PHONE**]

### QC PHONE FIX

YOU MUST ENTER A 10 DIGIT PHONE NUMBER OR REFUSED OR NOT AVAILABLE

OK [QC PHONE]

### QC ADDRESS VERIFICATION

May I please confirm your current address?

¿Me puede confirmar su dirección actual por favor?

STREET: (NUMBER AND STREET)

CITY: (CITY)

STATE: (STATE)

ZIP: (ZIP)

Address Is Correct [IF R's AGE GREATER THAN 17, RETURN TO **RESPONDENT SELECTION**. IF R's AGE 12-17, GO TO **QC PARENTAL PERMISSION**]

Need to Edit Address [QC EDIT ADDRESS]

### QC EDIT ADDRESS [IF QC ADDRESS VERIFICATION = NEED TO EDIT ADDRESS]

TOUCH THE ITEM YOU NEED TO EDIT

STREET # (NUMBER)

STREET: (STREET)

APT.#: (APARTMENT NUMBER)

CITY: (CITY)

STATE: (STATE)

ZIP: (ZIP)

Update [SAVE UPDATED ADDRESS, THEN **QC ADDRESS VERIFICATION**]

**QC PARENTAL PERMISSION** [IF R's AGE = 12-17]

ENTER RELATIONSHIP OF PARENT OR GUARDIAN WHO PROVIDED PERMISSION  
FOR YOUTH TO COMPLETE THE INTERVIEW

Mother

Father

Guardian

Other, specify [DISPLAY ENTRY FIELD BELOW]

Next [**RESPONDENT SELECTION**]

## Section 8 FI Debriefing Questions

These questions appear following the commitment of an Interview Call Record with a final result of Interview Complete (Code 70).

### **INTERVIEW DEBRIEFING QUESTIONS:**

THESE QUESTIONS ARE FOR YOU TO ANSWER. DO NOT READ TO THE R.

#### **FIDBF1**

Did you conduct this interview at the respondent's home, either inside or outside?

YES

NO

Next [IF FIDBF1=YES, GO TO FIDBF3]

#### **FIDBF2** [IF FIDBF1=NO]

Where did you conduct this interview?

1. AT THE RESPONDENT'S WORKPLACE
2. AT THE HOME OF THE RESPONDENT'S RELATIVE OR FRIEND
3. IN SOME TYPE OF CONFERENCE ROOM IN A RESIDENCE HALL, SCHOOL OR APARTMENT COMPLEX
4. AT A LIBRARY
5. IN SOME TYPE OF COMMON AREA, SUCH AS A LOBBY, HALLWAY, STAIRWELL, OR LAUNDRY ROOM
6. SOME OTHER PLACE

Next [IF FIDBF2=6, GO TO FIDBF2a]

#### **FIDBF2a** [IF FIDBF2=6]

Where did the interview take place?

ALLOW 140 CHARACTERS

Next [FIDBF3]

#### **FIDBF3**

Please estimate for how much of the ACASI interview the respondent had their headphones on.

1. NONE/R TOOK HEADPHONES OFF IMMEDIATELY
2. LESS THAN HALF OF THE ACASI INTERVIEW
3. ABOUT HALF OF THE ACASI INTERVIEW
4. MORE THAN HALF OF THE ACASI INTERVIEW
5. ALL OF THE ACASI INTERVIEW

Next [FIDBF4]

**FIDBF4**

How cooperative was the respondent?

1. VERY COOPERATIVE
2. FAIRLY COOPERATIVE
3. NOT VERY COOPERATIVE
4. OPENLY HOSTILE

Next [FIDBF5]

**FIDBF5**

Please indicate how private the interview was. Do not count yourself or a project observer as another person in the room.

1. COMPLETELY PRIVATE – NO ONE WAS IN THE ROOM OR COULD OVERHEAR ANY PART OF THE INTERVIEW
2. MINOR DISTRACTIONS – PERSON(S) IN THE ROOM OR LISTENING LESS THAN 1/3 OF THE TIME
3. PERSON(S) IN THE ROOM OR LISTENING ABOUT 1/3 OF THE TIME
4. SERIOUS INTERRUPTIONS OF PRIVACY MORE THAN HALF THE TIME
5. CONSTANT PRESENCE OF OTHER PERSON(S)

Next [IF FIDBF5=1, GO TO FIDBF8; IF FIDBF5 NE1, GO TO FIDBF6]

**FIDBF6** [IF FIDBF5 NE1]

Not including yourself or project observers, who were the other people present or listening to the interview?

*Check all that apply*

1. PARENT(S)
2. SPOUSE
3. LIVE-IN PARTNER/BOYFRIEND/GIRLFRIEND
4. OTHER ADULT RELATIVE(S)
5. OTHER ADULT(S)
6. CHILD(REN) UNDER 18
7. OTHER

Next [IF FIDBF6=1, 2, 3, 4, 5, OR 6, GO TO FIDBF8]

**FIDBF7** [IF FIDBF6=7]

Please enter a description of the other person(s) present or listening to the interview. This description may be relationship to the respondent if you have this information, or simply the gender and estimated age.

ALLOW 140 CHARACTERS

Next [**FIDBF8**]

**FIDBF8** [IF FIDBF5=1; OR IF FIDBF6=1, 2, 3, 4, 5, OR 6; OR IF FIDBF7 NE BLANK]

Did the respondent make any comments about the interview being too long?

YES

NO

Next [**FIDBF9**]

**FIDBF9**

Did the respondent have trouble understanding any **questions** asked during the interview?

YES

NO

Next [**IF FIDBF9=NO, GO TO FIDBF10**]

**FIDBF9a** [IF FIDBF9=YES]

Enter the screen name and a brief description of what the respondent found confusing. If you do not know the screen name, please provide as much information as possible.

ALLOW 140 CHARACTERS

Next [**FIDBF10**]

**FIDBF10**

How often did this respondent let you know what his or her answers were as he or she completed the ACASI portion of the interview?

1. NONE OF THE TIME – I DO NOT KNOW WHAT ANY OF THE ANSWERS ARE
2. A LITTLE OF THE TIME – I KNOW WHAT A FEW OF THE ANSWERS ARE
3. SOME OF THE TIME – I KNOW WHAT SOME OF THE ANSWERS ARE
4. A LOT OF THE TIME – I KNOW WHAT A LOT OF THE ANSWERS ARE
5. ALL OF THE TIME – I KNOW WHAT ALL OF THE ANSWERS ARE

Next [**FIDBF11**]

**FIDBF11**

Please note anything else you think would be helpful for the interpretation and understanding of this interview.

ALLOW 250 CHARACTERS

Next [**RECORD OF CALLS**]

## Section 9

# Parental Introductory Script in English and Spanish

Document Format:

- Screen/menu names are in bold, upper-case letters.
- FI instructions are provided in black, upper-case text that is not in brackets. In the screening program, FI instructions appear in red, upper-case letters.
- Fills are designated by parenthesis and italics.

### **INTRODUCE YOURSELF/STUDY AS NECESSARY:**

Hello, I'm \_\_\_\_\_ with RTI International. We are conducting a nationwide study sponsored by the U.S. Department of Health and Human Services. You should have received a letter about this study. (SHOW LEAD LETTER, IF NECESSARY.)

(Buenos/as días/tardes/noches), mi nombre es \_\_\_\_\_ y trabajo para RTI International. Estamos realizando un estudio a nivel nacional patrocinado por el Departamento de Salud y Servicios Humanos de los Estados Unidos. Usted debe haber recibido una carta acerca de este estudio. (SHOW LEAD LETTER, IF NECESSARY.)

### **ONE YOUTH IS SELECTED:**

Your (AGE) year-old child has been **selected for an interview**. I would like to **talk with him/her** to see if he/she is interested in participating in **this study which asks about tobacco, alcohol, and drug use and non-use and other health related issues**.

If your child is interested, I will give both of you more information and ask for permission to complete the interview. (Is [he/she] available?)

Su hijo(a) de (AGE) años ha sido **seleccionado(a) para una entrevista**. Me gustaría **hablar con él/ella** para ver si está interesado(a) en participar en este **estudio que hace preguntas sobre el uso y no uso de tabaco, alcohol y drogas, así como otros temas sobre la salud**.

Si su hijo(a) está interesado(a), les daré a ustedes dos más información y les pediré permiso para completar la entrevista. (¿Está [él/ella] disponible?)

### **TWO YOUTHS ARE SELECTED:**

Your (AGE) year-old and (AGE) year-old children have been **selected for an interview**. I would like to **talk with them** to see if they are interested in participating in **this study which asks about tobacco, alcohol, and drug use and non-use and other health related issues**.

If they are interested, I will give all of you more information and ask for permission to complete the interview. (Are they available?)



Su hijo(a) de (AGE) años y su hijo(a) de (AGE) años han sido **seleccionados(as) para una entrevista**. Me gustaría **hablar con ellos(as)** para ver si están interesados(as) en participar en este **estudio que hace preguntas sobre el uso y no uso de tabaco, alcohol y drogas, así como otros temas sobre la salud**.

Si ellos(as) están interesados(as), les daré a todos ustedes más información y les pediré permiso para completar la entrevista. (¿Están [ellos/ellas] disponibles?)