

Formative Data Collections for DOL Research

OMB Information Collection Request 1290 – 0NEW

Supporting Statement

Part B

B1. Respondent Universe and Sampling Methods

Given the nature of the information collection activities under this request, the universe and respondent selection varies considerably. However, for the most part, the discussions, interviews, focus groups, and observations undertaken as part of this clearance will involve purposive samples with respondents selected either to cover a broad range of groups or to include specific characteristics related to the topic of the study. Respondents could include key stakeholder groups involved in DOL projects and programs, state or local government officials, service providers, participants in DOL programs or similar comparison groups, experts in fields pertaining to DOL research and programs, or others involved in conducting DOL research or evaluation projects.

Since these information collections will be undertaken primarily for internal decision-making, technical assistance, research planning, and contextualization of research findings, the Chief Evaluation Office (CEO) does not, at this time, anticipate undertaking a statistically sophisticated strategy for selection. A description of the plans for selecting respondents will be provided to OMB as part of each individual Generic Information Collection (GenIC) request.

B2. Procedures for Collection of Information

Under this clearance, CEO will use a variety of methodological approaches. The exact data collection methods and the samples for each GenIC will depend on the project. The particular samples will vary based on the content of the discussion and the programs or policies of interest.

Each GenIC request will provide specific information about data collection procedures for the individual information collection. We expect these formative studies will collect data using well-established methodologies, including:

- Semi-structured discussions or conference calls
- Focus groups
- Telephone or in-person interviews
- Questionnaires/Surveys
- Direct observation
- Document analysis

B3. Methods to Maximize Response Rates and Deal with Nonresponse

Expected Response Rates

Expected response rates will vary for individual information collection requests. Information about expected response rates will be provided with each GenIC request.

Maximizing Response Rates and Dealing with Nonresponse

In general, callbacks will be used to maximize response rates for telephone surveys and interviews; reminder phone calls, letters, emails and/or second questionnaires are some methods that will be used to maximize response rates in web-based surveys. Reminder phone calls and/or emails to participants are some methods that will be used to encourage them to keep their appointments. Each GenIC request will provide specific information about methods to maximize response rates and deal with nonresponse.

B4. Tests of Procedures or Methods to be Undertaken

When necessary, potential instruments will be pre-tested with 9 or fewer individuals. Results from these pre-tests will be incorporated into any final instruments prior to submission to OMB. If, based on experiences during data collection, we identify necessary changes to procedures or methods, we will submit a change request with all updated materials to OMB for review. When applicable, specific information about any pre-testing will be included in GenIC requests.

B5. Individuals Consulted on Statistical Aspects and Individuals Collecting and/or Analyzing Data

Advice on statistical aspects of each individual data collection will be sought as the data collection is developed. Depending on the nature of the research, DOL/CEO staff and research and evaluation contractors will have responsibility for data collection and analysis. Additional information about consultation and contact information will be provided to OMB within each Gen IC justification package.