



FEMA

National Flood Insurance Program (NFIP)

PRE-DECISIONAL DRAFT
NOT FOR DISTRIBUTION

Compliance Audit Toolkit V2.0

Audit
Status
and Follow
On

Audit Points of Contact

Community Information

ADMINISTRATIVE INFO
Please enter data in white blocks
Information will automatically update

Description of data to be entered

Community:

County:

Date of Diagnostic Assessment:

Community Contact Date (Diagnostic)

Date of Audit:

State (2-Letter ID):

CID:

Floodplain Administrator (FPA) Name:

FPA Phone:

FPA Email:

CEO Name:

CEO Phone:

CEO Email:

Community Website

Agency Conducting Audit:

Audit Conducted By:

Auditor Phone:

Auditor Email:

Audit Reviewed By:

Audit Reviewer Phone:

Audit Reviewer Email:

Referred Audit to FEMA:

Date Audit Closed:

CRS Community?:

CRS Candidate?:

INFORMATION
in format indicated
in other Worksheets

Data Entry Fields

<enter community name here>
<enter county here>
<enter diagnostic date MM/DD/YYYY>
<enter date of community contact MM/DD/YYYY>
<enter audit date MM/DD/YYYY>
<enter 2-letter state ID here>
<enter 6-digit CID here>
<Enter FPA Name Here>
<enter FPA phone number>
<enter FPA email address>
<enter CEO name here>
<enter CEO phone here>
<enter CEO Email here>
<enter community web URL here>

<enter name of agency>
<enter name of auditor and title>
<enter auditor phone>
<enter auditor email address>
<enter audit reviewer name>
<enter audit reviewer phone number>
<enter audit reviewer email address>

<enter date audit referred to FEMA>
<enter date audit closed>
<enter Yes/No>
<enter Yes/No>

REFRESH TAB

<enter community name here>
<enter county here>
<enter diagnostic date MM/DD/YYYY>
<enter date of community contact MM/DD/YYYY>
<enter audit date MM/DD/YYYY>
<enter 2-letter state ID here>
<enter 6-digit CID here>
<Enter FPA Name Here>
<enter FPA phone number>
<enter FPA email address>
<enter CEO name here>
<enter CEO phone here>
<enter CEO Email here>
<enter community web URL here>

<enter name of agency>
<enter name of auditor and title>
<enter auditor phone>
<enter auditor email address>
<enter audit reviewer name>
<enter audit reviewer phone number>
<enter audit reviewer email address>

<enter date audit referred to FEMA>
<enter date audit closed>
<enter Yes/No>
<enter Yes/No>

Self-Assessment Form - PRE-DECISIONAL DRAFT
Compliance Audit Community Self Assessment V2.0

Community Information

	Community Name:
	County:
	Date Assessment Done (e.g., 10/15/2022):
	Local official completing Self-Assessment Name:
	Local official completing Self-Assessment Email:
<input type="checkbox"/> Same as person completing Self Assessment	Official responsible for NFIP enforcement Name:
	Official responsible for NFIP enforcement Email:
	Chief Executive Officer (CEO) Name:
	Chief Executive Officer (CEO) Email:

1 1. Floodplain Administrator Capability, Capacity and Institutional
 (Does the official responsible for regulating/enforcing the NFIP have the

- 1.1 Is the local official completing the self-assessment the Flood Plain A
- 1.2 How long has this official (FPA) been responsible for regulating/enfor
- 1.3 Is the FPA a Certified Floodplain Manager (CFM) ^Y_S ^N_O
- 1.4 Does the community use Geographic Information System (GIS) tools

2. Floodplain Management Regulations

(Is the community's ordinance up to date and how is it being enforced?)

- 2.1 Does the community have current Floodplain Management Regulatio
- 2.2 Does the community have other regulations related to floodplain ma (e.g., Drainage Regulations, Building Codes, Wind Codes, etc.)?
- 2.3 in the designated flood hazard areas as shown on your community's designated flood hazard areas as shown on your community's Flood
- 2.4 Of development permits granted within the last three (3) calendar years; how many were for substantial improvements to existing structures?
- 2.5 Over the last three (3) calendar years, how many local Floodplain Ma

granted in the designated flood hazard areas—as shown on your cor

- 2.6 Have any new structures been built with a lowest floor below the Ba
community's latest FEMA FIRM effective date? YES NO Unknown,
- 2.7 Has your community adopted higher standards? (i.e., exceeding the
NFIP floodplain management requirements) YES - Higher State
Standards
- 2.8 If yes to question 2.7, indicate the type of stand Freeboard Smaller ST

3. Map Availability and Accuracy

(Is the community regulating/enforcing against its most accurate risk a

- 3.1 What is date of most recent FEMA Flood Insurance Rate Map (FIRM)?
- 3.2 What is date of your community's most recent Flood Insurance Stud
- 3.3 What initial FIRM date are you using for pre-/post-FIRM
determination?
- 3.4 Has there been a change to your community's territorial or extraterr
designated flood hazard areas (this may include recent annexations
- 3.5 Has your community experienced an event (e.g., flood, fire, etc.,) in
resulting in substantially damaged YES structures? NO
- 3.6 Please indicate all of the flood zones within the community's bounda
- | | | |
|--------------------------------------|-----------------------------------|-----------------------------|
| <input type="checkbox"/> A | <input type="checkbox"/> AE | <input type="checkbox"/> AO |
| <input type="checkbox"/> AE-Floodway | <input type="checkbox"/> V | <input type="checkbox"/> VE |
| <input type="checkbox"/> A99 | <input type="checkbox"/> X-Shaded | <input type="checkbox"/> X |

4. Standardized Processes

(Does the community have an effective record-keeping process?)

- 4.1 Does your community use an electronic/software permitting YES
process?
- 4.2 If yes to question 4.1, is the permitting process system GIS-Base YES
- 4.3 Does your community have a documented Standard Operating Proce

the determination process for the following? (Check all that apply)

4.4 Does your community have a documented Standard Operating Procedure for the permitting process for the following? (Check all that apply)

4.5 Does your community have a documented Standard Operating Procedure for the inspection process for the following? (Check all that apply)

5. Auditor Validation (Note: the auditor and not the local community)

Has the auditor validated all information provided through the Self Assessment?

5.1 Have all data provided by the community been reviewed by the auditor?

5.2 Are there any specific questions or answers that were incomplete or incorrect?

5.3 If there are any additional details that were revealed during the validation process, please provide them below.

	State:
	CID:
	Date Assessment Sent:
	Title:
	Phone:
	Title:
	Phone:
	Additional Title:
	Phone:

Support

Required expertise, knowledge, technical capabilities and institutional capacity

Administrator (FPA)? YES NO Unknown - The Self Ass

Enforcing the NFIP in this community Position is Vacant 0-2 Years >2-5 Years

No, but actively pursuing

Notes:

to collect and/or analyze data YES NO

or YES NO

2.1a Enter the title of current regulation

management YES NO

2.1b Enter the current flood insurance rate

2.2 Enter other regulatory information

Flood Insurance Rate Map (FIRM)?
Insurance Rate Map (FIRM)?

2.3 Enter the number of FIRM maps

2.4 Enter the number of FIRM maps

management Regulations variances

2.5 Enter the number of FIRM maps

Community's FIRM—for any floodplain

Base Flood Elevation (BFE) since your
/Data Not Available

Notes:

minimum

YES - Higher Local Standards NO

2.8 Indicate the other sta

Threshold No MF in the SFHA Other

Assessment?)

3.1 Date of most recent

(MO/DA/YEAR) Date Unknown/Not Available

3.2 Date of most recent

y (FIS)? (MO/DA) Date Unknown/Not Available

3.3 Date for pre-/post-FIR

Date Unknown/Not Available

territorial boundaries that affected your
) since your current YES NO

the past ten (10) calendar years,

Notes:

ary or jurisdiction:

AH
 D
 AR

ES NO

Notes:

ES NO N/A

edure(s) to Substantial Improvement Substantial Damage Non-buildir

edure(s) to New Structures Substantial Improvements Substantial Da

edure(s) to New Structures Substantial Improvements Substantial Da

Community should complete this section)

Assessment?

via phone call or face YES NO

accur YES NO

Notes:

5.2 Identify any specific c

ion annotate them in the box below.

[Redacted]

institutional support?)

assessment POC does not know the FPA

>5-10 Years >10 Years

[Redacted]

current floodplain management regulations

[Redacted]

floodplain management regulations effective date

issues related to floodplain management

development permits for new structures: enter as a number

development permits for substantial improvements to existing structures

floodplain management regulation variances (0-1000)

Standard(s) that exceed(s) minimums

FEMA FIRM: (MO/DA/YEAR - e.g., 01/24/2020)

FIS: (MO/DA/YEAR - e.g., 01/24/2020)

Risk Determination:

ing development All Floodplain Development

Image Repairs

All Floodplain Development

Image Repairs

All Floodplain Development

Questions or inaccuracies

Ordinance Checklist **PRE-DECISIONAL DRAFT V2**

1 Ordinance Review Summary and Overview

Community Information:

Community:	<enter community name here>
County:	<enter county here>
State:	<enter 2-letter state ID here>
CID:	<enter 6-digit CID here>
Weblink to online location of regulations/ordinance :	

1.1 Location of Regulations/Ordinance: (selections are based on CIS, check as many as apply.)

- Standalone Ordinance (based on state model)
- Codified
- Zoning Ordinance
- Subdivision Ordinance
- Other Ordinances/Regulations
- Building Code

1.3 Type of Bldg. Code Adopted (Select one)

- International Building Code (IBC)
- International Residential Code (IRC)
- State Building Code
- Other I-Codes

2 Ordinance Provisions

Do the ordinances contain the following provisions?

Instructions: Identify the filing section number in the local ordinance or regulation. Indicate whether this local reference is compliant. Add any additional reviewer comments. Section references to the NFIP Regulations

NFIP Ordinance Provision/Other:

2.1 Citation of Statutory Authority for regulating land use [59.22(a)(2)]

2.2 Framework for administering the ordinance (including permit system, establishment of the office for administering the ordinance, record keeping, etc.).

- 2.3 Adequate enforcement provisions (including a violation and penalty section specifying actions the community will take to assure compliance).
- 2.4 Variance section with evaluation criteria and insurance notice. [60.6(a)]
- 2.5 Adoption date of ordinance (MO/DA/YEAR - 01/12/2022)
- 2.6 Effective date of ordinance (MO/DA/YEAR - 01/12/2022)
- 2.7 Purpose section citing health, safety, and welfare reasons for adoption.
- 2.8 Disclaimer of Liability section
- 2.9 Abrogation and Greater Restriction section.
- 2.10 Severability section.

3 Minimum NFIP Criteria

Which definitions are included?

Note: The "check here if all present" capability is no

3.1 Primary Definitions [59.1] - Check here if all present:

- 3.1a Base Flood
- 3.1b Base flood elevation
- 3.1c Development
- 3.1d Flood insurance rate map
- 3.1e Flood insurance study
- 3.1f Floodway
- 3.1g Lowest floor
- 3.1h Manufactured home
- 3.1i Manufactured home park or subdivision
- 3.1j New construction
- 3.1k Recreational vehicle
- 3.1l Special flood hazard area

- 3.1m Start of construction
- 3.1n Structure
- 3.1o Substantial damage
- 3.1p Substantial improvement
- 3.1q Variance
- 3.1r Violation
- 3.2 Other Definitions as Appropriate - Check here if all present:
 - 3.2a Basement
 - 3.2b Breakaway walls
 - 3.2c Floodproofing
 - 3.2d Functionally dependent use
 - 3.2e Freeboard
 - 3.2f Highest adjacent grade (if AO zones present)
 - 3.2g Historic structures
 - 3.2h Levee
- 3.3 Adopt or reference correct map and date. [60.3(b)]
- 3.4 Adopt or reference correct Flood Insurance Study and date.
[60.3(c), (d), and/or (e)]
- 3.5 Require permits If unmapped [60.3(a)(1)]: for all proposed construction or other development, to determine whether such construction or development is in a floodplain]
- 3.6 Require permits for all proposed construction and other development within SFHAs. [60.3(b)(1): for (b), (c), (d), and/or (e)]

4 Section 60.3(a)

- 4.1 *Review permits to assure sites are reasonably safe from flooding [60.3(a)(3)(i)]*
Anchoring (including manufactured homes) of New and SI construction to prevent flotation, collapse, or lateral movement of the structure.
[60.3(a)(3)(i)]
- 4.2 Use of flood-resistant materials. [60.3(a)(3)(ii)]
- 4.3 Construction methods and practices that minimize flood damage.
[60.3(a)(3)(iii)]

- 4.4 Electrical, heating, ventilation, plumbing, air conditioning equipment, and other service facilities designed and/or located to prevent water entry to accumulation. [60.3(a)(3)(iv)]
- Review subdivision proposals and other development, including manufactured home parks or subdivisions, to determine whether such proposals will be reasonably safe from flooding [60.3(a)(4)].*
- 4.5 If a subdivision or other development proposal is in a flood-prone area, assure that such proposals minimize flood damage. [60.3(a)(4)(i)]
- 4.6 Ensure public utilities and facilities are constructed so as to minimize flood damage. [60.3(a)(4)(ii)]
- 4.7 Adequate drainage is provided in subdivisions to reduce exposure to flood hazards. [60.3(a)(4)(iii)]
- 4.8 Require new and replacement water supply and sanitary sewage systems to be designed to minimize or eliminate infiltration. [60.3(a)(5) and 60.3(a)(6)]
- 4.9 Require onsite waste disposal systems be designed to avoid impairment or contamination. [60.3(a)(6)(ii)]
- 4.10 Assure that all other State and Federal permits are obtained. [60.3(a)(2)]

5 Section 60.3(b)

- 5.1 Require base flood elevation data for subdivision proposals or other developments greater than 50 lots or 5 acres. [60.3(b)(3)]
- 5.2 In A Zones, in the absence of FEMA BFE data and floodway data, obtain, review, and reasonably utilize other BFE and floodway data as a basis for elevating residential structures to or above the base flood level, and for floodproofing or elevating non-residential structures to or above the base flood level. [60.3(b)(4)]
- 5.3 Where BFE data are utilized in Zone A, obtain and maintain records of the lowest floor and floodproofing elevations for new and substantially improved construction. [60.3(b)(5)]

- 5.4 In riverine areas, notify adjacent communities of watercourse alterations and relocations. [60.3(b)(6)]
- 5.5 Maintain the carrying capacity of an altered or relocated watercourse. [60.3(b)(7)]
- 5.6 Require all manufactured homes to be elevated and anchored to resist flotation, collapse, or lateral movement. [60.3(b)(8)]
- New Priority 5.7 Substantial Improvement/Substantial Damage determination procedures (Cost of Work, Market Value, Calculate %, issue determination letters)
- New Priority 5.8 Require LOMC process: Within 6 months, notify FEMA of changes in the Base Flood Elevation by submitting technical or scientific data, so that insurance rates & floodplain management requirements will be based on current data. [65.3]

6 Section 60.3(c)

- 6.1 Require all new and substantially improved residential structures within A1-30, AE, and AH Zones have their lowest floor (including basement) elevated to or above the Base Flood Elevation. [60.3(c)(2)]
- 6.2 In AO Zones², require that new and substantially improved residential structures have their lowest floor (including basement) to or above the highest adjacent grade at least as high as the FIRM's depth number. [60.3(c)(7)]
- 6.3 Require that new and substantially improved non-residential structures within A1-30, AE, and AH Zones have their lowest floor elevated or floodproofed to or above the Base Flood Elevation. [60.3(c)(3)]
- 6.4 In AO Zones², require new and substantially improved non-residential structures have their lowest floor elevated or completely floodproofed above the highest adjacent grade to at least as high as the depth number on the FIRM. [60.3(c)(8)]
- 6.5 Require that for floodproofed non-residential structures, a registered professional engineer/architect certify that the design and methods of construction meet requirements at 60.3(c)(3)(ii). [60.3(c)(4)]

- Require, for all new construction and substantial improvements, that fully enclosed areas below the lowest floor that are used solely for parking of vehicles, building access or storage in an area other than a basement and which is subject to flooding shall be designed to automatically equalize hydrostatic flood forces on exterior walls by allowing the entry and exit of floodwaters in accordance with the specifications in 60.3(c)(5). (Openings requirement)
- 6.6
- 6.7 Until a regulatory floodway is designated, no encroachment may increase the Base Flood level more than 1 foot. [60.3(c)(10)]
- 6.8 In Zones AO and AH², require drainage paths around structures on slopes to guide water away from structures. [60.3(c)(11)]
- 6.9 Require that manufactured homes placed or substantially improved within A1-30, AH, and AE Zones, which meet one of the following location criteria, to be elevated such that the lowest floor is to or above the BFE and be securely anchored:
- (i) outside a manufactured home park or subdivision;
 - (ii) in a new manufactured home park or subdivision;
 - (iii) in an expansion to an existing manufactured home park or subdivision;
 - (iv) on a site in an existing park which a manufactured home has incurred substantial damage as a result of a flood. [60.3(c)(6)]
- 6.10 In A-1-30, AH, and AE Zones, require that manufactured homes to be placed or substantially improved in an existing manufactured home park to be elevated so that
- (i) the lowest floor is at or above the BFE;
 - (ii) OR the chassis is supported by reinforced piers no less than 36 inches in height above grade and securely anchored. [60.3(c)(12)]
- In A1-30, AH, and AE Zones, all recreational vehicles to be placed on a site must
- 6.11
- (i) be elevated and anchored; OR
 - (ii) be on the site for less than 180 consecutive days; OR
 - (iii) be fully licensed and highway ready. [60.3(c)(14)]

7 Section 60.3(d)

- 7.1 Designate a regulatory floodway which will not increase the Base Flood level more than 1 foot. [60.3(d)(2)]
- 7.2 In a regulatory floodway, prohibit any encroachment, unless hydrologic and hydraulic analyses prove that the proposed encroachment would not result in any increase in flood levels during the Base Flood discharge. [60.3(d)(3)]

8 Section 60.3(e)

- 8.1 In V1-30, VE, and V Zones, obtain and maintain the elevation of the bottom of the lowest horizontal structural member of the lowest floor of all new and substantially improved structures. [60.3(e)(2)]
- 8.2 In V1-30, VE, and V Zones, require that all new construction and substantial improvements:
 - 8.2a Are elevated and secured to anchored pilings or columns so that the bottom of the lowest horizontal structural member is at or above the Base Flood Elevation. [60.3(e)(4)]
 - 8.2b A registered professional engineer/architect certify that the design and methods of construction meet elevation and anchoring requirements at 60.3(e)(4)(i) and (ii). [60.3(e)(4)]
 - 8.2c Have the space below the lowest floor either free of obstruction or constructed with breakaway walls. Any enclosed space shall be used solely for parking, building access, or storage. [60.3(e)(5)]
 - 8.2d All new construction is landward of mean high tide. [60.3(e)(3)]
 - 8.2e Prohibit use of fill for structural support. [60.3(e)(6)]
 - 8.2f Prohibit alteration of sand dunes and mangrove stands. [60.3(e)(7)]
- 8.3 Require that manufactured homes placed or substantially improved within V1-30, VE, and V Zones, which meet one of the following location criteria, meet the V Zone standards in 60.3(e)(2) through (e)(7):
 - (i) outside a manufactured home park or subdivision;
 - (ii) in a new manufactured home park or subdivision;
 - (iii) in an expansion to an existing manufactured home park or subdivision;
 - (iv) on a site in an existing park which a manufactured home has incurred substantial damage as a result of a flood. [60.3(e)(8)]

- 8.4 In V1-30, VE, and V Zones, require that manufactured homes to be placed or substantially improved in an existing manufactured home park to be elevated so that
- (i) the lowest floor is at or above the BFE;
 - (ii) OR the chassis is supported by reinforced piers no less than 36 inches in height above grade and securely anchored. [60.3(e)(8)(iv)]
- 8.5 In V1-30, VE, and V zones, all recreational vehicles to be placed on a site must
- (i) be elevated and anchored; OR
 - (ii) be on the site for less than 180 consecutive days; OR
 - (iii) be fully licensed and highway ready. [60.3(e)(9)]

For Future Consideration

HIGHER STANDARDS
Freeboard (more than BFE + 1)
Cumulative Substantial Improvement (years = ____)
Dwelling design to ASCE 24
Limit Size of Enclosures
Limitation on use of fill
Nonconversion agreement
Prohibit enclosures
Repetitive Loss flooding
Compensatory Storage
Designating FPA
Determining BFE Unnumbered A
Flood Hazard study-map
Flood protection setback
Manufactured home limitations
Subdivision limitations
Compensatory Storage

Footnotes:

- 1 If a community has both floodways and coastal high hazard areas, it must meet the requirements of both
- 2 Items about AO Zones are not required if the community has no AO Zones.
- 3 Item is not required if all streams have floodways designated.
- 4 Item is not required if the community has no AO or AH Zones.

Summary of Findings (provide k

1.2 Reviewer's Determination (Sele
(Check one, and enter the same corres)

The floodplair

Minor issues v

Noncompliant

lation where the identified provision is conta
comments as to the adequacy of the local c

Local ordinance section Compliant?

	<input type="checkbox"/> YES <input type="checkbox"/> NO
--	--

	<input type="checkbox"/> YES <input type="checkbox"/> NO
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Local ordinance section Compliant:

	<input type="checkbox"/> YE c	<input type="checkbox"/> NO
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	<input type="checkbox"/> YE c	<input type="checkbox"/> NO
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	<input type="checkbox"/> YE c	<input type="checkbox"/> NO
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	<input type="checkbox"/> YE S	<input type="checkbox"/> NO
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Local ordinance section Compliant:

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	<input type="checkbox"/> YE c	<input type="checkbox"/> NO
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Notes:

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Local ordinance section Compliant:

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Local ordinance section Compliant:

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Local ordinance section Compliant:

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	<input type="checkbox"/> YE c	<input type="checkbox"/> NO
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Notes:

key observations that lead to the determination below):

ct after ordinance checklist is complete)
bonding check in Diagnostic Assessment tab, Question 13.1)

n management regulations are fully compliant.
were identified.

: There are significant issues with the regulations.

ained.
itation.

Reviewer comments



Notes:

[Empty box for reviewer comments]

Reviewer comments (as appropriate)

If the community has an automatic adoption provision in its ordinance, is it a valid provision?

If the community has an automatic adoption provision in its ordinance, is it a valid provision?

[Empty box for reviewer comments]

[Empty box for reviewer comments]



Reviewer comments (as appropriate)

[Empty box for reviewer comments]

[Empty box for reviewer comments]

[Empty box for reviewer comments]

Reviewer comments (as appropriate)

Reviewer comments (as appropriate)





Reviewer comments (as appropriate)

Notes:



Audit Determination Decision Tool

This report provides a summary of information from the Diagnostic Assessment to assist the auditor in deciding the path forward for audit determination. This summary is meant as a guide and to provide the means to document the decision. This report can be saved as a PDF or Printed

Community: <enter community name here>	Agency Conducting Audit: <enter name of agency>
County: <enter county here>	Audit Conducted By: <enter name of auditor and title>
State: <enter 2-letter state ID here>	Audit Reviewed By: <enter audit reviewer name>
CID: <enter 6-digit CID here>	Audit Auditor Phone: <enter auditor phone>
Date: Phone	Audit Auditor Email: <enter auditor email address>
Floodplain Administrator (FPA) Name: <Enter FPA Name Here>	Audit Reviewer Phone: <enter audit reviewer phone numb
FPA Phone Number: <enter FPA phone number>	Audit Reviewer Email: <enter audit reviewer email address
FPA Email: <enter FPA email address>	Date of this Report: <input type="text"/>
Date Audit Next Needed: Err:509	Date Community Contact: <input type="text"/>

Auditor Determination

Summary of Auditor's Determination for Current Audit Process

<p>1. Diagnostic Outcome (Select One):</p> <p><input type="checkbox"/> Compliance Determined at Community Contact (Off-Ramp)</p> <p><input type="checkbox"/> Compliance Determined at Enhanced Community Contact (O</p> <p><input type="checkbox"/> Full Evaluation Needed</p> <p><input type="checkbox"/> Other</p> <p>2. If Full Evaluation Needed (Select all that apply):</p> <p><input type="checkbox"/> Diagnostic assessment scores indicated need for full evaluat</p> <p><input type="checkbox"/> Significant Red Flag issues identified during the Assessment</p> <p><input type="checkbox"/> Site Specifically requested a full evaluation</p> <p><input type="checkbox"/> Post Disaster Substantial Damage</p> <p><input type="checkbox"/> Reason 5 } Input Requested: Potential reasons why a full evaluation might be needed. Add details to comment box to right</p> <p><input type="checkbox"/> Reason 6 }</p> <p>3. Audit Follow up and Assistance Needed?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	<p>1. Details/explanation behind compliance determination</p> <div style="border: 1px solid black; height: 50px;"></div> <p>2. Details/explanation behind full evaluation determination</p> <div style="border: 1px solid black; height: 50px;"></div> <p>3. Details/explanation about additional assistance required</p> <div style="border: 1px solid black; height: 50px;"></div>
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Summary Findings

Critical Process that are lacking and Red Flags that are present will automatically populate into this section (Blank means no critical processes or red f

<p>★ Critical Processes Lacking:</p> <p>Q2.1 Permitting using single/comprehensive Floodplain Development Permit for ALL development types</p>	<p>🚩 Red Flags Present:</p> <p>Q1.4 Community does not have a permitting process</p> <p>Q3.4 The community does not use digital or paper FIRMs or FIS or does not determine flood zone or regulatory flood height.</p> <p>Q5.6 The community does not require or initiate Letters of Map Change</p> <p>Q6.4 SI/SD not administered</p> <p>Q8.3 NFIP Inspections only done for new structures (not other development)</p> <p>Q10.2 Records are not maintained indefinitely (are disposed at some determined interval); or are not accessible</p> <p>Q11.1 Recent floodplain development projects include watercourse alterations that change the BFE and/or location of SFHA</p> <p>Q13.1 An Ordinance review was completed and there were significant errors/sections missing (e.g., subdivisions, V zone Certs, RV standards) that would prevent entry into NFIP.</p> <p>Q13.6 State exempts certain classes of development from NFIP Regulations</p>
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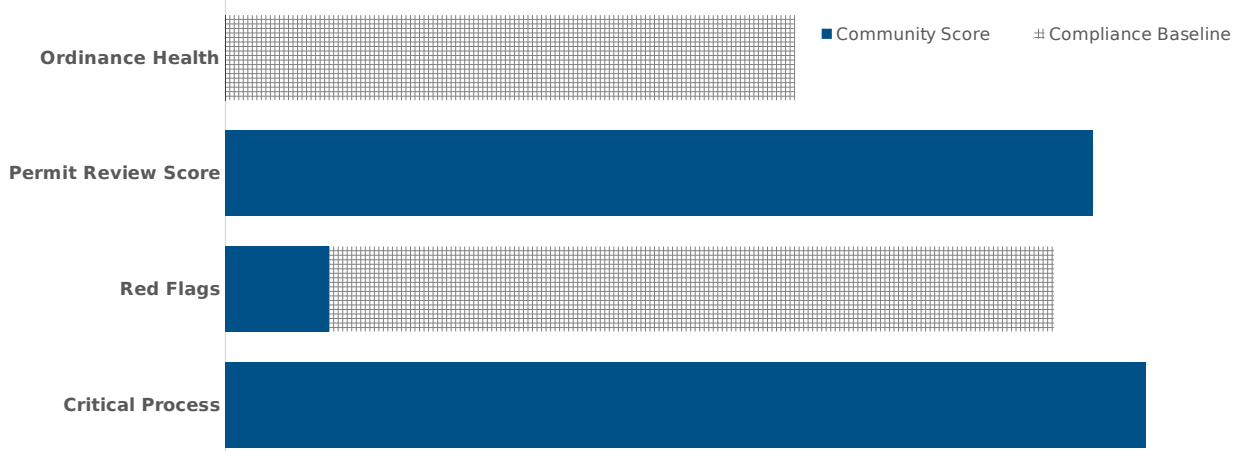
Graphics Summary:

Graphics that summarize the findings and compare these to baseline values are presented in a table and two different graphics. The same data is used for all visuals.

Score Summary:	Compliance Baseline	Community Score
Critical Process	60%	89%
Red Flags	80%	10%
Permit Review Score	70%	84%
Ordinance Health	55%	0%

Overall Diagnostic Assessment Summary Score	52%
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Audit Determination Decision Tool



** indicates scores that fall below baseline (expected) threshold*

Diagnostic Assessment V2 (NEW)- PRE-DECISIONAL DRAFT

Required to
complete
diagnostic?

Diagnostic Assessment Question

Community Contact Questions (Sections 1-4)

1. FPA Capability, Capacity and Institutional Support: (Does the official responsible for regulating/enforcing the NFIP have the required expertise, knowledge, technical capabilities and institutional support?)

1	Yes	1.1 Since the last audit (CAV or CAC), has there been any development in the SFHA or is any currently planned?
1	Yes	1.2 How does the community accomplish permit development? (Select the response that best fits the community's situation or current practices).
2		1.2a If the community permitting process is through multiple departments or offices, list the departments/offices in the space shaded gold to the right.
3		1.2.b If the community contracts out the permitting process, describe your contractor selection criteria.
4	Yes	1.3 How does the community coordinate permit development? If coordination is through a single department select "Full Coordination".
5		1.3a If the coordination is across departments, or via contract, does the FPA receive notice of floodplain permit changes by other departments and reviews them for compliance?

6	Yes	1.4 Is the FPM program's position in the organization appropriate to ensure effectiveness in carrying out its duties?
7	Yes	1.5 With regard to enforcement, are stop work orders issued if any significant problems are identified?
8	Yes	1.6 With regard to enforcement, does the community have and use code enforcement authority?
9	Yes	1.7 With regard to enforcement, does the FPA review or is otherwise involved in appeals?
10	Yes	1.8 With regard to enforcement, does the FPA review variance request reviews or approvals?
11	Yes	1.9 Have there been any variances from local floodplain management ordinances in the SFHA for new and substantial improvement to structures since the last CAV? (Evaluation Tool Q3.7)
12		1.9a If yes to Q 1.8, were the variances issued in compliance with 44CFR 60.6? (e.g., Historic Structures, Agricultural Structures, Accessory Structures, other). Please list details in the space shaded gold to the right. (Evaluation Tool Q3.8)
13		1.9b If yes to Q 1.8, if there were variances, indicate the type and approximate number of variances from local floodplain management criteria in the SFHA in the space shaded gold to the right.
14	Yes	1.10 How does the community maintain records of floodplain development (Select the response that best fits the community's situation or current practices).

15	Yes	1.11 How does the community describe the support (direct and indirect) from the CEO and officials to enforce its ordinances? (Evaluation Tool Q1.8)
16	Yes	1.12 Where applicable, does the community use historic FIRM and FIS for permitting to verify the structure was built in compliance at the time of new construction?
17	Yes	1.13 Does the community maintain copies of Letters of Map Changes (LOMCs)? (Select the response that best fits the community's situation or current practices).

**2. Map Availability and Accuracy:
(Is the community regulating/enforcing against its most accurate risk assessment?)**

18	Yes	2.1 During permitting, how are the flood zone and Base Flood Elevation (BFE), or regulatory flood height determined by the FPA or designee? (Select the response that best fits the community's situation or current practices).
19	Yes	2.2 In reference to how the BFE and regulatory flood are height checked during plan review: is the BFE determined by the FPA office and provided to the applicant at permit initiation?
20	Yes	2.3 In reference to how the BFE and regulatory flood height are checked during plan review: is the BFE generated by the applicant/surveyor and verified before the permit is issued?
21	Yes	2.4 Is there Base Flood Elevation (BFE) in this community (e.g., AE, Numbered A zones , only zone A,etc.)?

22		2.4a. If yes to Q 2.4, describe how the community assures elevations are appropriate relative to adjacent ground level in the space shaded gold to the right.
23	Yes	2.5 Are the most recent FIRMs and or FIS cited in the ordinance (for those communities without auto-adopt)? (Select the response that best fits the community's situation or current practices). (in guidance: if 60.3a community where no FEMA maps or data exist choose N/A)
24		2.5a. If the most recent FIRMs are cited, please indicate the date in the space shaded gold to the right .
25		2.5b. If the most recent FISs are cited, please indicate the date in the space shaded gold to the right .
26	Yes	2.6 Does the FPA use the MSC and/or NFHL when working with flood hazard map products, in addition to digital/paper FIRMs & FIS (where present)?
27	Yes	2.7 Is the community using other maps or studies for regulating the floodplain?
28	Yes	2.8 Has the community completed necessary actions (44CFR 64.4) if there has been a recent change to the community's territorial or extraterritorial boundaries that affected SFHA?
29	Yes	2.9 Does the community require and initiate Letters of Map Change (LOMC) when appropriate?
30		2.9a if Yes or Sometimes was selected in 2.9, indicate those instances when they are used in the space shaded gold to the right (e.g., If natural LAG>BFE, advise LOMA; If floodway impact, CLOMR and LOMR; If fill used, LOMR-F; If proposal changes BFE/SFHA, require CLOMR and LOMR)

3. Floodplain Management Regulations:
(Is the community's ordinance up to date and how is it being enforced?)

31	Yes	3.1 Is the community actively ensuring as-built elevations are collected and reviewed?
32	Yes	3.2 Are other state and federal permits obtained and checked by the FPA office? (e.g. EPA, USACE 404 Permit, state environmental permits)
33	Yes	3.3 During permit review, are lowest floor and utilities checked against BFE (or grade) to ensure proper elevation is proposed?
34	Yes	3.4 An ordinance review was completed and can best be summarized as: (Select the response that best fits the community's situation or current practices).
35	Yes	3.4a Briefly summarize ordinance review findings in the space to the right, under your response, if needed.
36	Yes	3.5 For ordinances, does the state require higher standards than FEMA?
37		3.5a If Yes to 3.5, has the community adopted these higher standards?

38	Yes	3.6 Are other regulations in the community coordinated with the floodplain ordinance(s)?
39		3.6a If yes to 3.6, describe how these regulations are related/interrelated in the space shaded gold to the right.
40	Yes	3.7 Are certain classes of development exempted from NFIP regulations (at state/county/local level)?
41		3.7a If yes to 3.7, describe these exemptions in the space shaded gold to the right.
42	Yes	3.8 Indicate the approximate number of permits granted for development in the SFHA in the last three (3) years in the space to the right. If there were zero (0) indicate as such.

4. Standardized Processes:
(Does the community have an effective record-keeping process?)

43	Yes	4.1 Which option best describes the community's permitting documentation?
44	Yes	4.1a Describe the permitting process narrative and coordination (e.g., through other departments/offices) and identify any gaps (e.g., types of developments that are not reviewed) in the process in the space to the right.

45	Yes	4.2 With regard to the community permitting process, and if the zones apply to the community, are floodway proposals reviewed and documented correctly?
46	Yes	4.3 With regard to the community permitting process, and if the zones apply to the community, are coastal high hazard areas V zones foundation and design standards met?
47		4.3a If yes to question 4.3, please indicate specifics (e.g., prohibit fill, open foundation or breakaway wall, lowest horizontal structural member (LHSM) above BFE)in the space shaded gold to the right.
48	Yes	4.4 Which option best describes the community's Substantial Improvement, Substantial Damage (SI/SD) administration?
49	Yes	4.4a Describe the community's SI/SD administration if the options in 4.2 are not fully descriptive or if there are gaps identified in the administration of the program (in the space to the right).
50	Yes	4.5 With regard to the market value source that the community uses or requires from applicant for SI/SD, what source does the community use?
51	Yes	4.6 How are the BFE and or the regulatory flood height checked during plan review?
52	Yes	4.7 Does the community have engineering staff or similar capacity at the permit review process, including floodway development reviews (H&H Study and supporting no-rise documentation) as applicable?
53	Yes	4.8 When are inspections of floodplain development performed by responsible community officials? (Select the response that best fits the community's situation or current practices).

54	Yes	4.9 Are floodproofing certifications collected for non-residential structures?
55	Yes	4.10 Does the community have recent (within the last five years, or since the last audit) projects of the following type that may require review: watercourse alterations that change the BFE and/or location of SFHA?
56	Yes	4.11 Has the community had any watercourse alterations that change the BFE and/or location of SFHA have relevant/recent (within the last five years, or since the last CAV)?
57	Yes	4.12 Has the community had any capital improvement projects (e.g., roads and bridges) that may require review within the last five years of since the last CAV?
58	Yes	4.13 Has the community had any large construction projects that may require review within the SFHA over the last 5 years or since the last CAV?
59	Yes	4.14 Has the community had any projects that involve critical facilities within the SFHA that may require review over the last 5 years or since the last CAV.
60	Yes	4.15 With regard to the community permitting process, and if the zones apply to the community, are subdivisions and large developments in Zone A checked for 50 lot/5-acre threshold?
61	Yes	4.16 Has the community had any Non-structural development - items not typically covered by building permit (e.g., fill/grading, storage of equipment/materials, paving) within the last 5 years or since the last CAV?
62	Yes	4.17 If the community has experienced a flood disaster event INSIDE the SFHA in the past 5 years resulting in damaged structures, did they do substantial Damage determinations?

63	Yes	4.18 If the community has experienced a non-flood disaster (e.g., fire, wind, earthquake) event INSIDE the SFHA in the past 5 years resulting in damaged structures, did they do substantial damage determinations?
64		4.19 If yes to either 4.16 or 4.17, describe the type of event, the impacted area, damage estimates or summary, and any declared disaster level (state/federal) in the space shaded gold to the right.
65	Yes	4.20 Has the community experienced any disaster event OUTSIDE the SFHA in the past 5 years resulting in flood damaged structures?
66		4.21 If yes to 4.20, describe the type of event, the impacted area, summary of flood damages, and possible indicators of map change (or flood study) needs in the space shaded gold to the right.

**Enhanced Community Contact
Record of Documents Reviewed and Recommend Next Steps**

66	Yes	5.1 The space to the right indicates the recommended number of permits to review during this assessment based on response to question 3.8 (i.e., approximately 10%).
67	Yes	5.1a Indicate number of permits reviewed during this assessment in space to the right.

68	Yes	5.1b Of the permits reviewed, indicate how many are noncompliant in the space to the right.
69	Yes	5.2 The space to the right indicates the recommended number of variances to review during this assessment based on response to question 1.8b (i.e., approximately 50%).
70	Yes	5.2a Indicate the number of variances reviewed during this assessment in space to the right.
71	Yes	5.2b Of the variances reviewed, indicate how many are noncompliant in the space to the right.
72	Yes	5.3 Describe the relative SFHA development pressure vs SFHA size in the community in the space to the right (e.g., 30% of the community is in the SFHA, but 75% of the building pressure exists there).
73	Yes	5.4 Indicate the number of documents reviewed of this type: Elevation Certificates.
74	Yes	5.4a Of ECs reviewed, indicate how many are noncompliant or indicate a potential violation in the space to the right (explain in findings and notes if needed).
75	Yes	DR.5 Indicate the number of documents reviewed of this type: Hydrologic and Hydrodynamic (H&H) Analysis.
76	Yes	DR.5a Indicate the number of documents reviewed of this type with issues: H&H Analysis. (explain in findings and notes if needed)

77	Yes	5.6 Indicate the number of documents reviewed of this type: No rise certifications.
78	Yes	5.6a Indicate the number of documents reviewed of this type with issues: No rise certifications (explain in findings and notes if needed).
79	Yes	5.7 Does the community have any unresolved violations carried over from the last five-year period/last audit?
80		5.7a Describe these violations in detail and provide any context in the space to the right.
81	Yes	5.8 Is the community requesting full audit (virtual or in-person)?
82		5.8a Describe the nature and urgency of the request in the space to the right.
83	Yes	5.9 Has the community been non-responsive or could the POC not be reached?
84		5.9a Indicate how many times attempted contact was made and any details from these attempted contacts, and provide additional details in Findings and Notes if needed.

Pick Lists for Larger Responses: Do not Erase

1.1 How does the community accomplish permit development? Select the response that best fits the commun

**Your Response
(Auditor Data Entry)**

No (0)



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Findings and notes (Auditor Data Entry)	Weighted Score	Assigned Weight
	0	1
		1
		0
		0.5
		0.5

		1
		1.5
		1
		0.5
		0.5
		0.5
		2

		0
		0
		0
Subtotals of: FPA Capability, Capacity and Institutional Support (Section 1 above):	0	
		2
		1.5
		1.5
		0

		0
		0
		0
		0
		1

Subtotals of: Map Availability and Accuracy (Section 2 above):	0	
		1.5
		1
		1.5
		2
		0
		0

		0
		0.5
Subtotals of: Floodplain Management Regulations (Section 3 above):	0	
		1.5

		1
		1
		1.5
		0
		2
		1
		1.5

		1
		0
		0
		0
		0
		1
		0
		0

		0
		0
Subtotals of:Standardized Processes (Section 4 above):	0	
Diagnostic Assessment Total Score	0	

		0
		0
		0
Subtotals of: Document Review and Recommendations (Section 5 above):	0	



Max Possible Weighted Score	Area of Concern (AOC)?	Recommendation(s) (Auditor Data Entry)
0		
3		
0		
2		
2		

4		
6		
4		
2		
2		
1.5		
8		

0		
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15	0	
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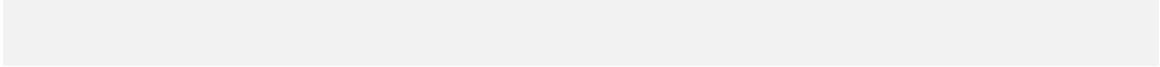
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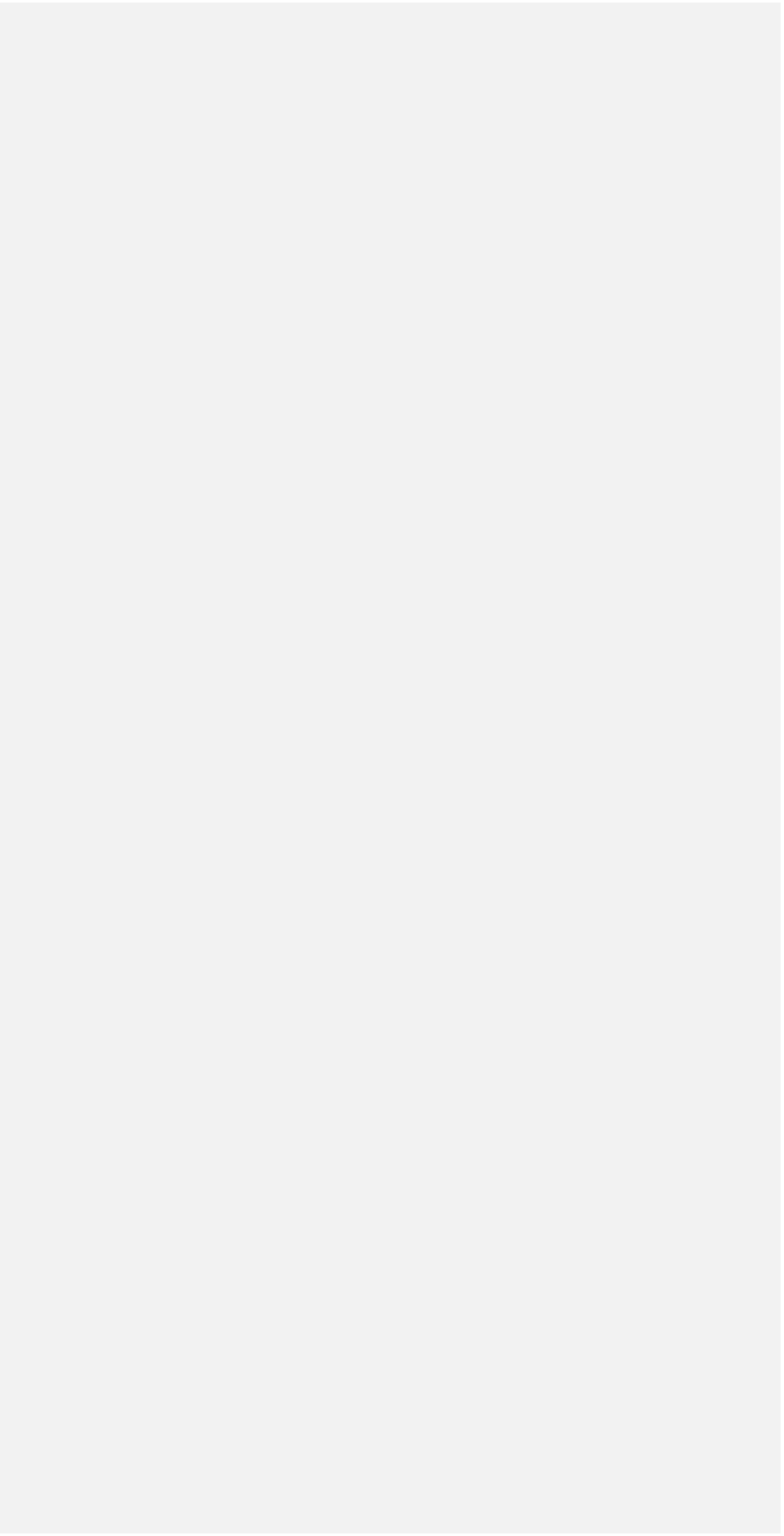
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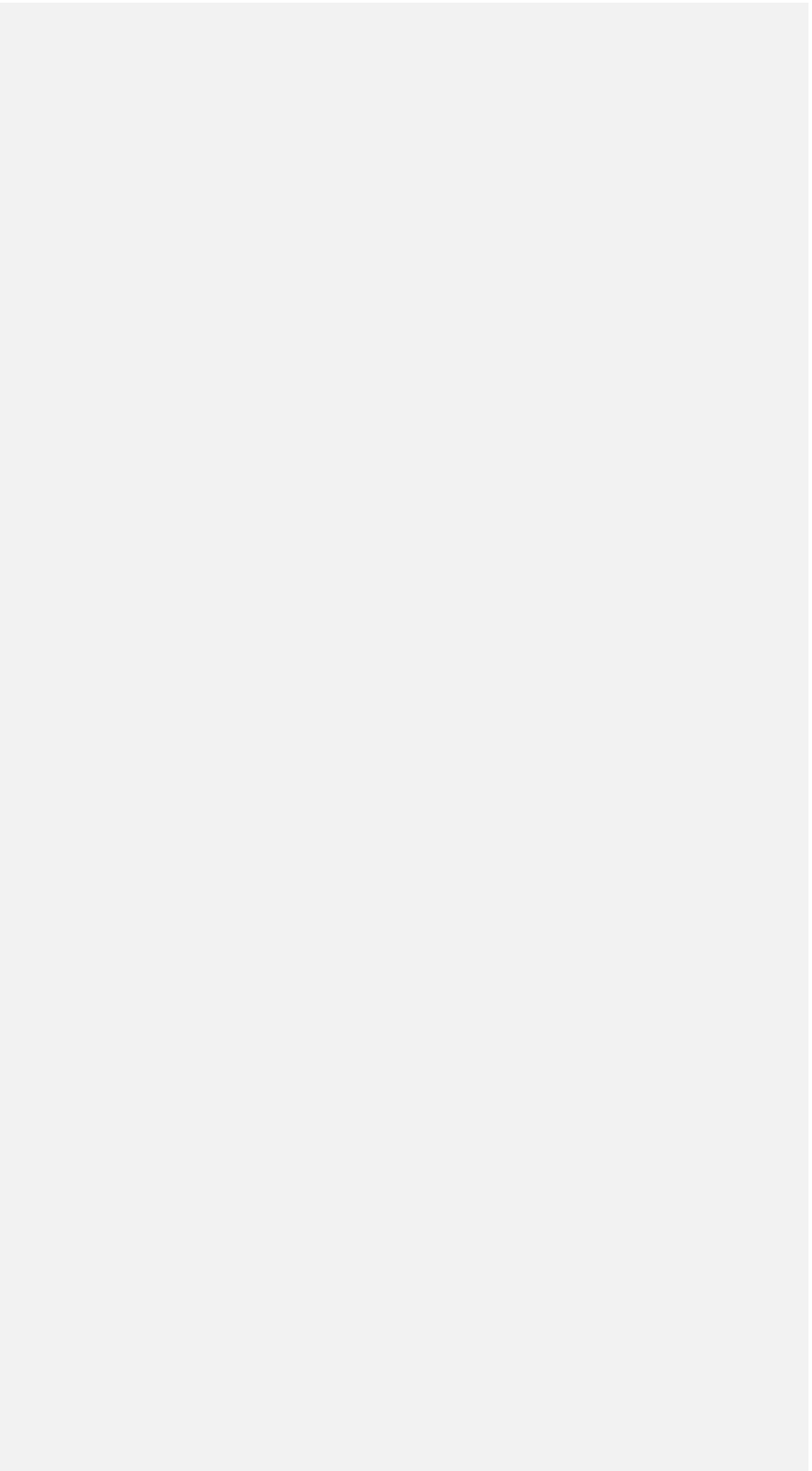
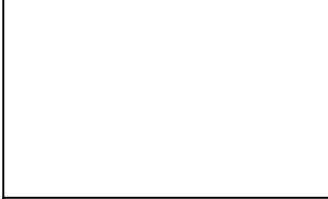
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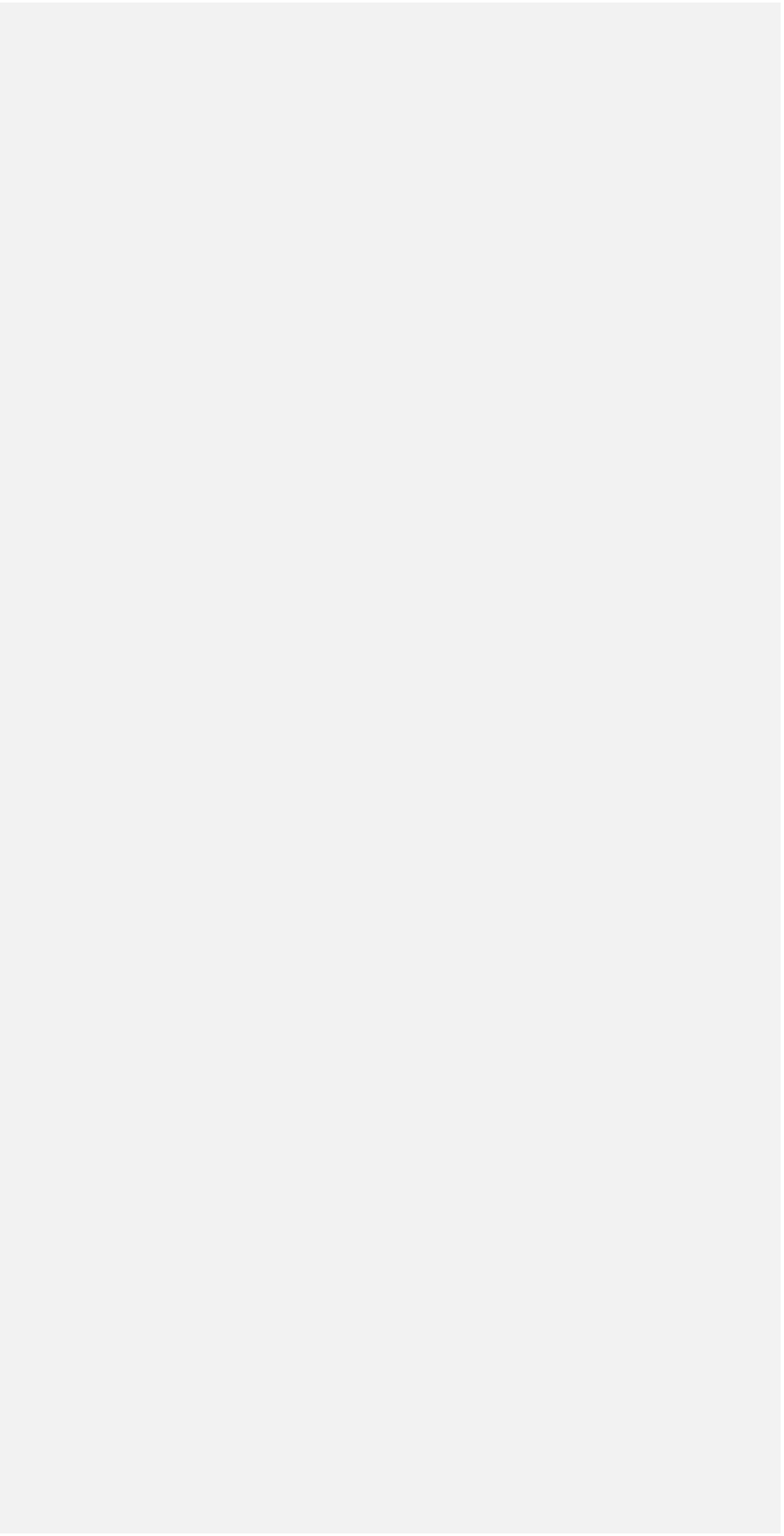
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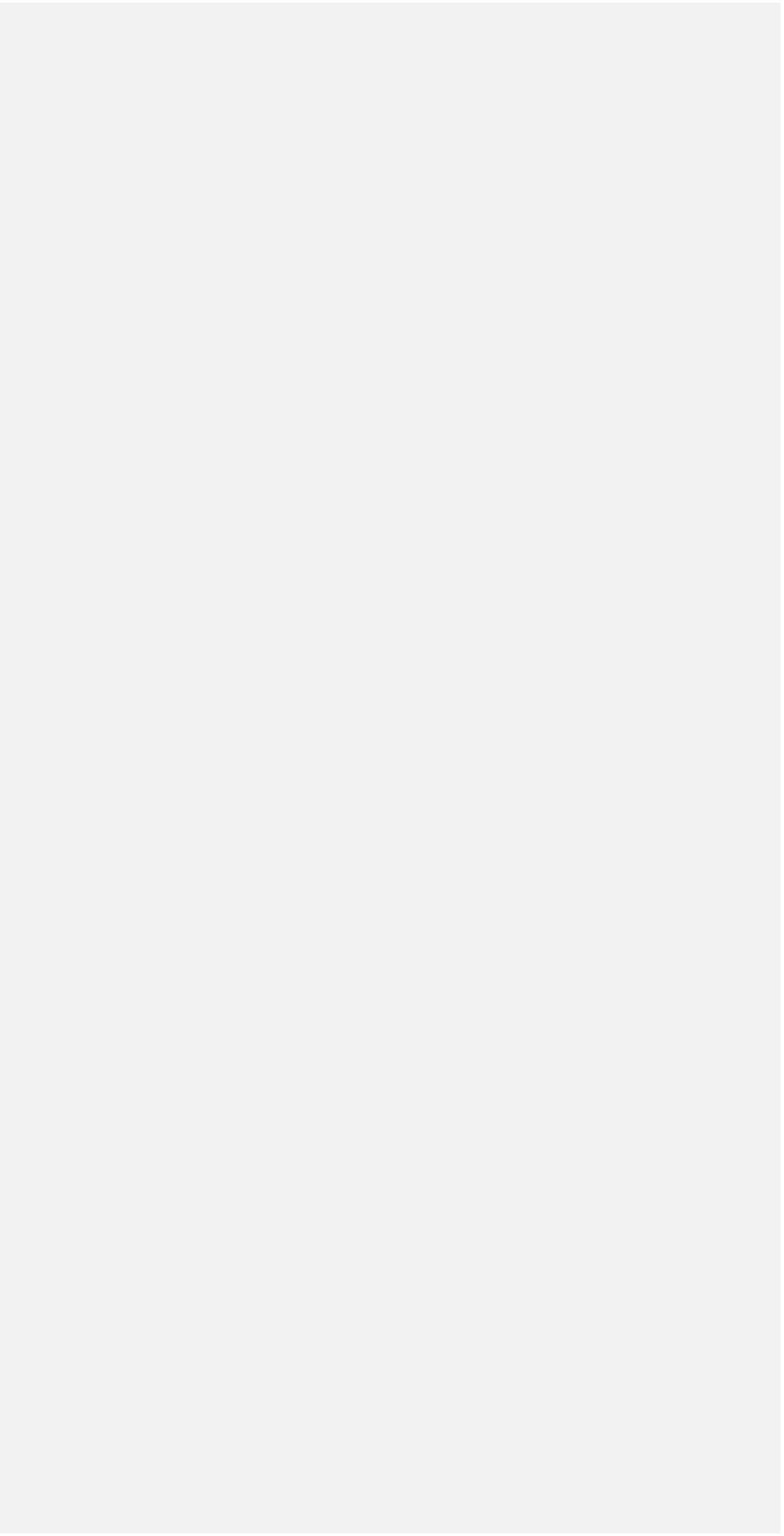
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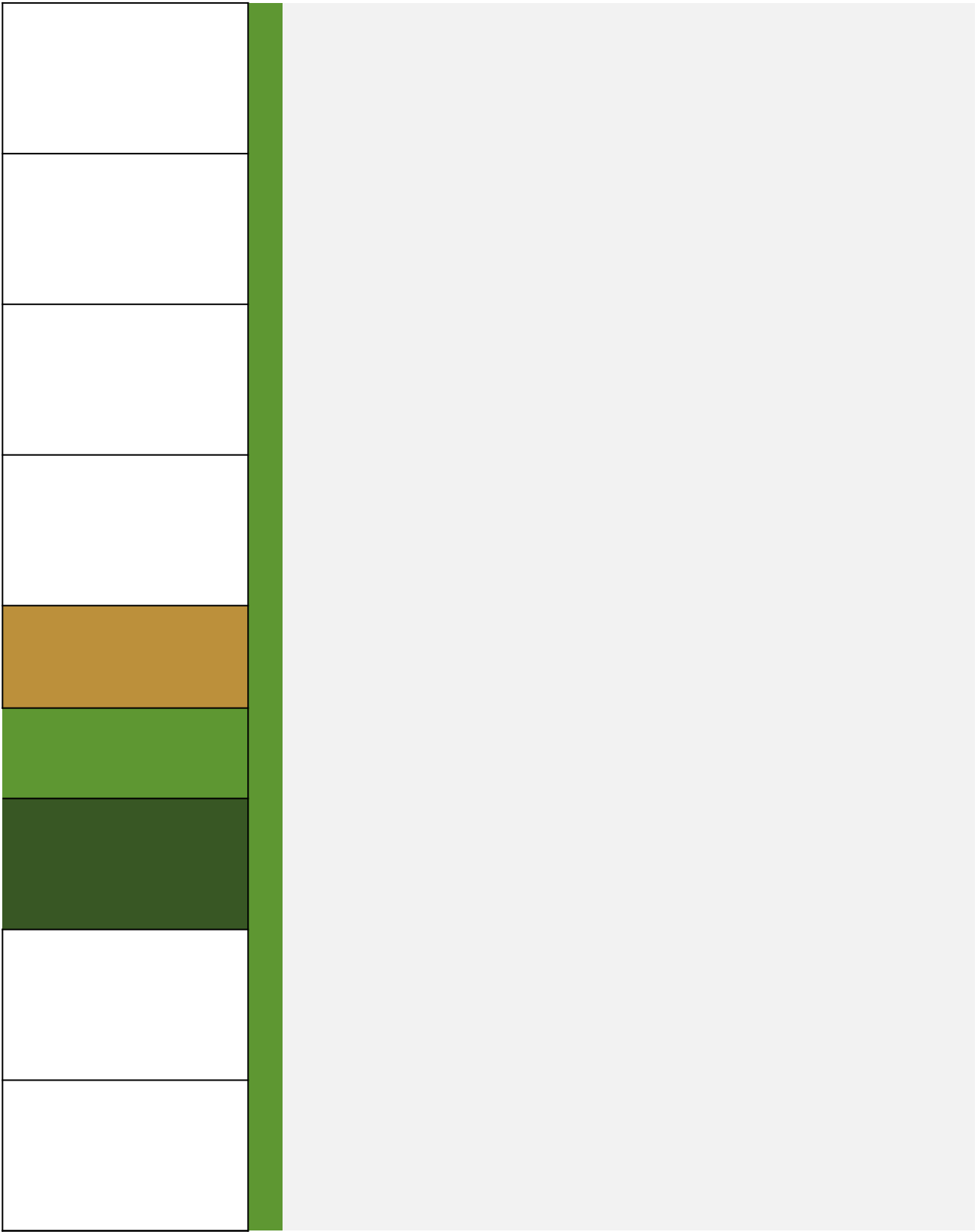


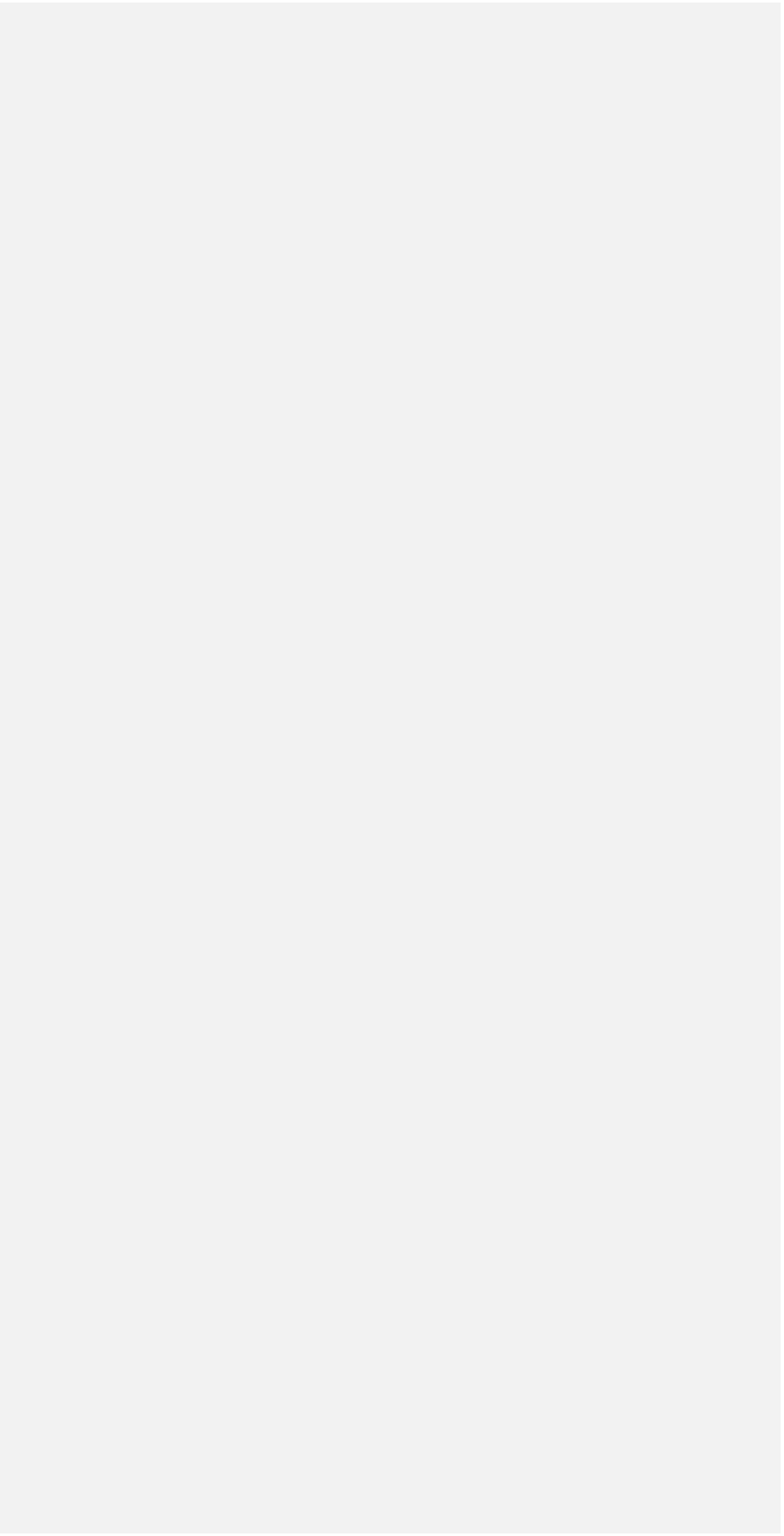


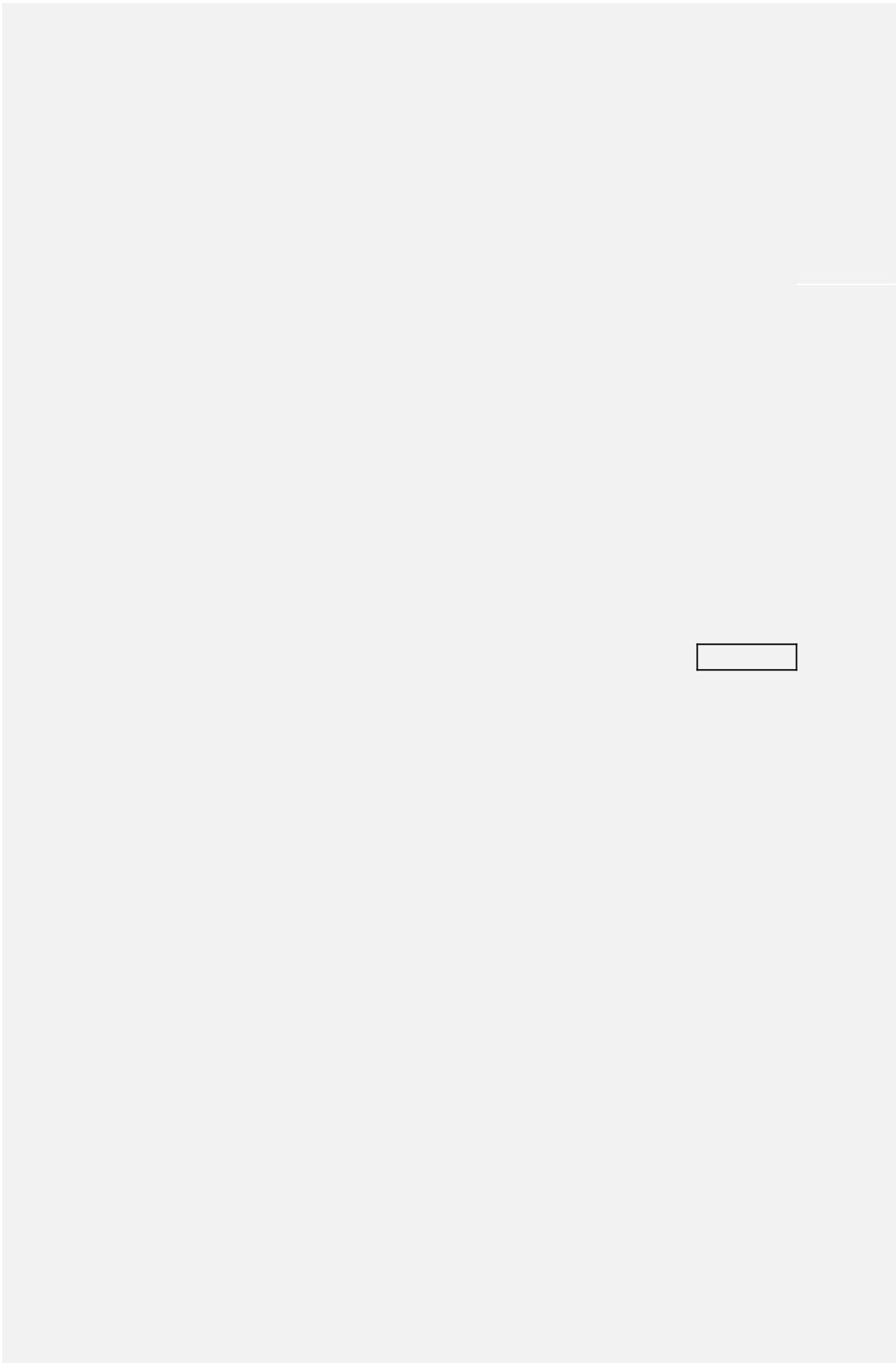












NFIP Severity Classification Schema

The severity classification includes a single word identifier, a more detailed description and a numerical value to indicate severity.

Minor

Significant

A problem categorized as significant requires immediate attention. It poses, or has a high likelihood to pose a direct and immediate threat to human health and safety.

5

Management Practice (MP)

Major

A major deficiency requires action, but not necessarily immediate action. Major deficiencies may pose a threat to human health and safety. Any immediate threat, however, must be categorized as significant.

4

Positive

Minor deficiencies are usually administrative in nature, even though those findings may possibly result in a notice of violation. This category may also include temporary or occasional instances of noncompliance.

3

MP findings are those for which there is no specific regulatory requirement.

2

A positive finding is for a job, activity, or person who has gone above and beyond the regulatory requirements.

-2



National Flood Insurance Program (NFIP) DIAGNOSTIC ASSESSMENT SUMMARY REPORT V2

Location: <enter community name here> <enter county here> <enter 2-letter st here> **CID:** <enter 6-digit CID here>

Floodplain Administrator: <Enter FPA Name Here> **Phone:** <enter FPA phone number> <enter FPA email address>

Agency Conducting Audit: <enter name of agency>

Audit Conducted by: <enter name of auditor and title> **Phone:** <enter auditor phone> <enter auditor email address>

Audit Reviewed by: <enter audit reviewer name> **Phone:** <enter audit reviewer phone number> <enter audit reviewer email address>

Community Contact Date: <enter date of community contact MM/DD/YYYY> **Diagnostic Assessment Date:** <enter diagnostic date MM/DD/YYYY>

Date Audit Closed <enter date audit closed> **Overall Diagnostic Score** **0**

CRS Community: <enter Yes/No> **Overall Evaluation Score** **0**

Auditor Determination Summary of Auditor's Determination for Diagnostic Assessment Process

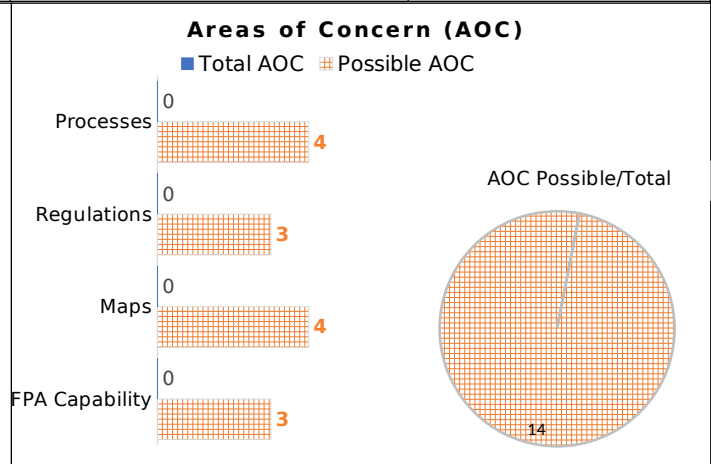
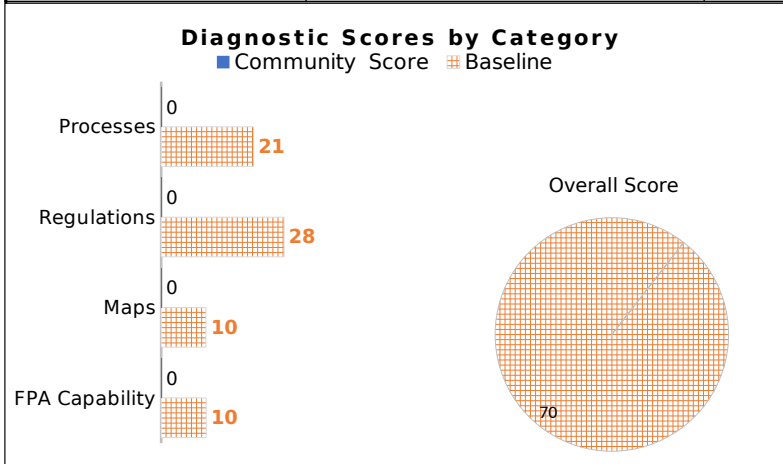
1. Diagnostic Assessment Outcome. Select the most descriptive option:
 Compliance Determined at Community Contact (Off-Ramp)
 Compliance Determined at Enhanced Community Contact (Off-Ramp)
 Full Evaluation Needed
 Other
 Provide additional details as needed:

2. If a full evaluation is needed select all that apply:
 Diagnostic assessment scores indicated need for full evaluation
 Significant Areas of Concern identified during the Assessment
 Community Specifically requested a full evaluation
 Post Disaster Substantial Damage
 Provide additional details as needed:

3. Does the community require follow-up or technical assistance following completion of the diagnostic Assessment?
 Yes
 No
 Provide additional details as needed:

Diagnostic Assessment Summary Scores

Category	Community Score	Baseline	Area of Concern Total	Questions of Concern
Total Diagnostic Score	0	70	0 out of 14	No Concern
FPA Capability	0	10	0 out of 3	None Identified
Maps	0	10	0 out of 4	None Identified
Regulations	0	28	0 out of 3	None Identified
Processes	0	21	0 out of 4	None Identified



Floodplain Administrator Capability, Capacity, and Institutional Support Section Score: 0

Question	Response	Score	Findings and Notes	Recommendations
1.1 How does the community accomplish permit development?	0			
1.1a If the community permitting process is through multiple departments or offices, list the departments/offices.	Answer Not Required			
1.1.b If the community contracts out the permitting process indicate how this contract is managed	Answer Not Required			
1.2 How does the community coordinate permit development?	0			

Question	Response	Score	Findings and Notes	Recommendations
1.2a If the coordination is across departments or via contract, does the FPA receive notice of floodplain permit changes by other departments and reviews for compliance?	Answer Not Required			
1.3 Is the FPM program's position in the organization appropriate to ensure effectiveness in carrying out its duties?	0			
1.4 With regard to enforcement, are stop work orders issued if any significant problems are identified?	0			
1.5 With regard to enforcement, does the FPA have code enforcement authority?	0			
1.6 With regard to enforcement, does the FPA review or is otherwise involved in appeals?	0			
1.7 With regard to enforcement, does the FPA review variance request reviews or approvals?	0			
1.8 Have there been any variances from local floodplain management ordinances in the SFHA for new and substantial improvement to structures in the last three (3) years?	0			
1.8a If yes to Q 1.8, what were the reasons? (e.g., Historic Structures, Agricultural Structures, Accessory Structures, other).	Answer Not Required			
1.8b If yes to Q 1.8, if there were variances, indicate the approximate number of variances from local floodplain management criteria in the SFHA.	Answer Not Required			
1.9 How does the community maintain records of floodplain development?	0		0	
1.10 How does the community describe the support (direct and indirect) from the CEO and officials to enforce its ordinances?	0			
1.11 Where applicable, does the community use historic FIRM and FIS for permitting to verify the structure was built in compliance at the time of new construction?	0			
1.12 Does the community maintain copies of Letters of Map Changes (LOMCs)?	0			
Map Availability and Accuracy			Section Score:	0
Question	Response	Score	Findings and Notes	Recommendations
2.1 During permitting, how are the flood zone and Base Flood Elevation (BFE), or regulatory flood height determined by the FPA or designee?	0		0	
2.2 In reference to how the BFE and regulatory flood are height checked during plan review: is the BFE determined by the FPA office and provided to the applicant at permit initiation?	0			
2.3 In reference to how the BFE and regulatory flood height are checked during plan review: is the BFE generated by the applicant/surveyor and verified before the permit is issued?	0			
2.4 Is there no Base Flood Elevation (BFE) in this community (e.g., only zone A)?	0			
2.4a. If yes to Q 2.4, describe how the community assures elevations are appropriate relative to adjacent ground level.	Answer Not Required			
2.5 Are the most recent FIRMs and or FIS cited in the ordinance?	0			

Question	Response	Score	Findings and Notes	Recommendations
2.5a. If the most recent FIRMs are cited, please indicate the date.	Answer Not Required			
2.5b. If the most recent FISs are cited, please indicate the date.	Answer Not Required			
2.6 Does the FPA use the MSC and/or NFHL when working with flood hazard map products, in addition to digital/paper FIRMs & FIS (where present)?	0			
2.7 Is the community using other maps or studies for regulating the floodplain?	0		0	
2.8 Has there been a recent change to the community's territorial or extraterritorial boundaries that affected SFHA?	0			
2.9 Does the community require and initiate Letters of Map Change (LOMC) when	0			
2.9a If Yes or Sometimes was selected in 2.9, indicate those instances when they are used.	Answer Not Required			
Floodplain Management Regulations			Section Score: 0	
Question	Response	Score	Findings and Notes	Recommendations
3.1 Is the community actively ensuring as-built elevations are collected and reviewed?	0			
3.2 Are other state and federal permits obtained and checked by the FPA office?	0			
3.3 During permit review, are lowest floor and utilities checked against BFE (or grade) to ensure proper elevation is proposed?	0			
3.4 An ordinance review was completed and can best be summarized as:	0			
3.4a Briefly summarize ordinance review findings.	0			
3.5 For ordinances, does the state require higher standards than FEMA?	0			
3.5a If Yes to 3.5, has the community adopted these higher standards?	Answer Not Required			
3.6 Are other regulations in the community coordinated with the floodplain ordinance(s)?	0			
3.6a If yes to 3.6, describe how these regulations are related/interrelated.	Answer Not Required			
3.7 Are certain classes of development exempted from NFIP regulations (at state/county/local level)?	0			
3.7a If yes to 3.7, describe these exemptions.	Answer Not Required			
3.8 Indicate the approximate number of permits granted for development in the SFHA in the last three (3) years.	0			
Standardized Processes			Section Score: 0	
Question	Response	Score	Findings	Recommendations
4.1 Which option best describes the community's permitting process?	0		0	
4.1a Describe the permitting process narrative and	0			
4.2 With regard to the community permitting process, and if the zones apply to the community, are floodway proposals reviewed and documented correctly?	0			

Question	Response	Score	Findings and Notes	Recommendations
4.3 With regard to the community permitting process, and if the zones apply to the community, are coastal high hazard areas V zones foundation and design standards met?	0			
4.3a If yes to question 4.3, please indicate specifics.	Answer Not Required			
4.4 Which option best describes the community's Substantial Improvement, Substantial Damage (SI/SD) administration?	0			
4.4a Describe the community's SI/SD administration.	0			
4.5 With regard to the market value source that the community uses or requires from applicant for SI/SD, what source does the community use?	0			
4.6 How are the BFE and or the regulatory flood height checked during plan review?	0			
4.7 Does the community have engineering staff or similar capacity at the permit review process, including floodway development reviews (H&H Study and supporting no-rise documentation) as applicable?	0			
4.8 When are inspections of floodplain development performed by responsible community officials?	0			
4.9 Are floodproofing certifications collected for non-residential structures?	0			
4.10 Does the community have recent watercourse alterations that change the BFE and/or location of SFHA?(within the last five years, or since the last audit)?	0			
4.11 Does the community have recent capital improvement projects (e.g., roads and bridges, etc.)(within the last five years, or since the last audit) that may require review?	0			
4.12 Does the community have recent other large construction projects (within the last five years, or since the last audit)?	0			
4.13 Does the community have recent critical facilities projects (within the last five years, or since the last audit)?	0			
4.14 With regard to the community permitting process, and if the zones apply to the community, are subdivisions and large developments in Zone A checked for 50 lot/5 acre threshold?	0			
4.15 Does the community have recent (within the last five years, or since the last audit) non-structural development - items not typically covered by building permit (e.g., fill/grading, storage of equipment/materials, paving) that may require review?	0			
4.16 Has the community experienced a flood disaster event INSIDE the SFHA in the past 5 years resulting in damaged structures?	0			
4.17 Has the community experienced a non-flood disaster (e.g., fire, wind, earthquake) event INSIDE the SFHA in the past 5 years resulting in damaged structures?	0			

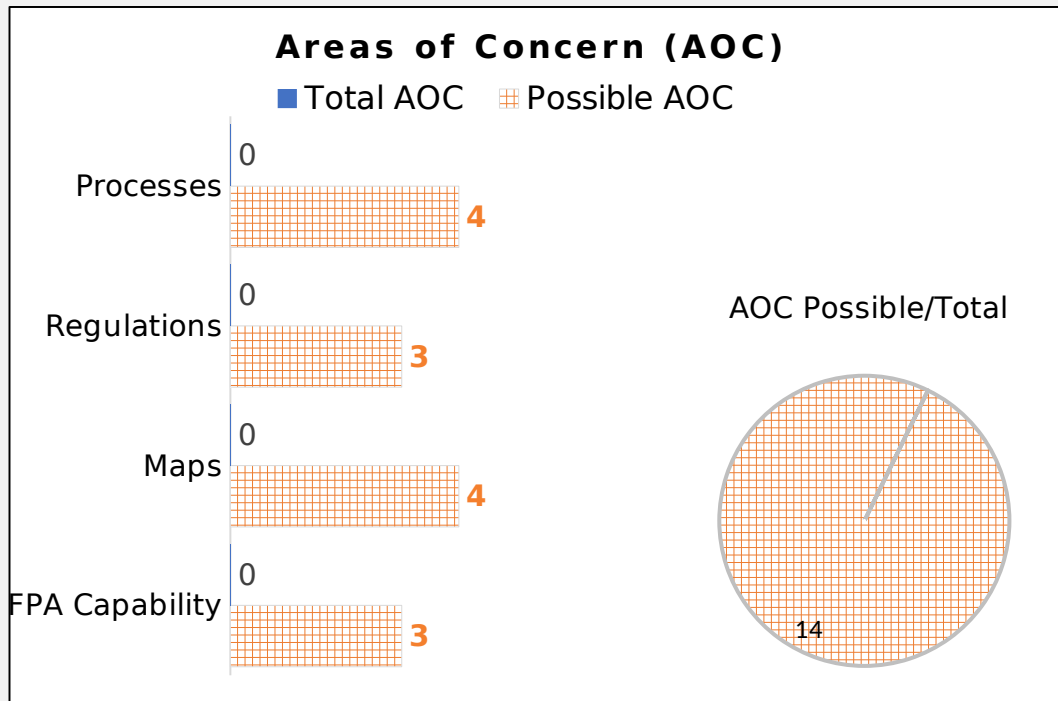
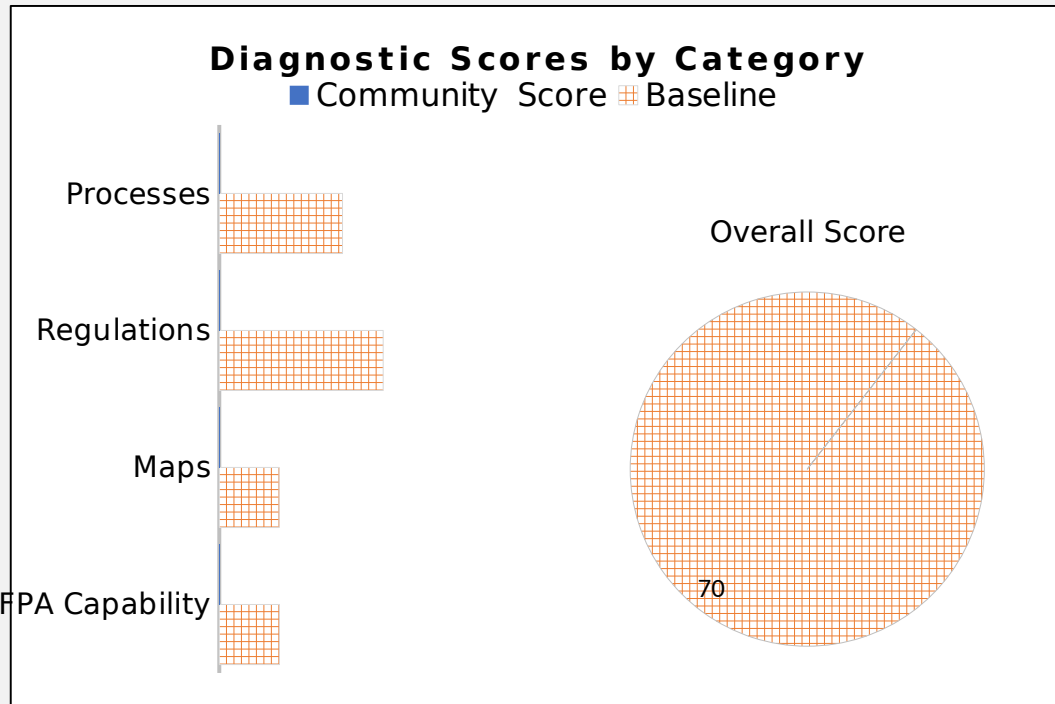
Question	Response	Score	Findings and Notes	Recommendations
4.18 If yes to either 4.16 or 4.17, describe the type of event, the impacted area, damage estimates or summary, and any declared disaster level (state/federal) in the space shaded gold to the right.	Answer Not Required			
4.19 Has the community experienced any disaster event OUTSIDE the SFHA in the past 5 years resulting in flood damaged structures?	0			
4.20 If yes to 4.19, describe the type of event, the impacted area, summary of flood damages, and possible indicators of map change (or flood study) needs in the space shaded gold to the right.	Answer Not Required			



FEMA

PRE-DECISIONAL DRAFT

National Floodplain Ins...



Flood Insurance Program (NFIP) Diagnostic

Table 1: Audit Score Summary by FPM Program Category

Theme	Your Community's Score	Max Score
Floodplain Administrator Capability, Capacity, and Institutional Support	0	15
Map Availability and Accuracy	0	15
Floodplain Management Regulations	0	40
Standardized Processes	0	30
Total Score	0	100

Table 2: Audit Score Summary

Theme	Your Community's Score
Floodplain Administrator Capability, Capacity, and Institutional Support	0
Map Availability and Accuracy	0
Floodplain Management Regulations	0
Standardized Processes	0
Total Score	0

Assessment Summary V2

Percent Contribution (current)	Percent Contribution (goal)	Number of Questions
15%	15%	17
15%	15%	13
40%	40%	12
30%	30%	24
100%	100%	66

Max Score	Percent
15	0%
15	0%
40	0%
30	0%
100	0%

Evaluation Tool V2.0

#

Question

FPA Capability, Capacity and Institutional Support:
(Does the official responsible for regulating/enforcing the NFIP have the required expertise, knowledge, technical capabilities and institutional support?)

1	1.1: How many years of floodplain management experience does the Floodplain Administrator have ?
2	1.2: Is the Floodplain Administrator a Certified Floodplain Manager (CFM)?
3	1.3: What is the Floodplain Administrator’s familiarity with FEMA maps and study data? Specifically, what products are being used when reviewing permits? For each type/row in question 1.3.1-1.3.5 please select one response. MULTIPART QUESTION, SEE 1.3.1 - 1.3.5 BELOW
	1.3.1: Are effective flood insurance rate maps (digital or paper) used?
	1.3.2: Are effective flood insurance study (digital or paper) used?
	1.3.3: Are historic FIS or FIRMs used?
	1.3.4: Are letters of map change (digital or paper) used?
	1.3.5: Are other best available data used?
4	1.4: What sources does the FPA use when working with FEMA map products and study data?
	1.4.1: FEMA Map Service Center (MSC) and/or National Flood Hazard Layer (NFHL) tool
	1.4.2: Local GIS Software

5	1.5: Is the Floodplain Management program adequately staffed?
6	1.6: Is the Floodplain Management program adequately resourced (i.e., has sufficient budget)?
7	1.7: Is the FPM program's position in the organization appropriate to ensure effectiveness in carrying out its duties?
8	1.8: Does the FPA sufficiently interact/communicate with the mayor/council/CEO to ensure continued community support for the FPM program?

Map Availability and Accuracy:
(Is the community regulating/enforcing against its most accurate risk assessment?)

9	2.1: In the past 3-5 years has the community experienced significant flooding outside of the mapped SFHA and provided that information to FEMA?
10	2.2: Has the community adopted the correct FIRMs and FIS for the entirety of its community boundary (including any recent annexations)?
11	2.3: Is the community properly obtaining LOMCs to reflect current or changing flood risk?

Floodplain Management Regulations:
(Is the community's ordinance up to date and how is it being enforced?)

12	3.1: Since the last audit (CAV or CAC), has there been any development in the SFHA or is any currently planned?
13	3.2: If yes to question 3.1 above, how many permits has the Floodplain Administrator issued for development (both structural and non-structural) in the SFHA since the last audit?
14	3.3: How does the community maintain as-built elevation data?
15	3.4: Is the community's current FPM Regulation compliant with NFIP minimum standards? (Auditor to complete Floodplain Management Regulations Review Checklist)
16	3.5: How many compliance issues does the community currently have by category? (Multi-part question: enter whole numbers for 3.5.1 - 3.5.14 below; a blank indicates zero/none for that category)
	3.5.1 Floodways (unpermitted encroachments, permitted without no-rise analysis, or no-rise analysis inaccurate/insufficient)
	3.5.2 V Zone standards not met (foundation type, breakaway walls, V Zone certification)
	3.5.3 Basements (new construction or SI)
	3.5.4 Insufficient elevation of residential structures
	3.5.5 Insufficient elevation/floodproofing of non-residential structures
	3.5.6 Insufficient flood openings (all structure types)
	3.5.7 As-built elevation information lacking (all structure types)
	3.5.8 Lacking SI/SD assessments

3.5.9 Not following Letter of Map Change (LOMC) procedures (changing drainages or streams, undocumented watercourse alterations)

3.5.10 In Zone A, developments (>50 lots or 5 acres) lacking development of BFEs

3.5.11 In AO-Zone, developments (>50 lots or 5 acres) lacking development of BFEs

3.5.12 Unpermitted fill/grading

3.5.13 Other (non-structural) development (e.g., dredging, storage of materials, insufficient drainage, non-compliant RVs)

3.5.14 Insufficient elevation or floodproofing of building service machinery in non-residential structures

17 3.6: How many variances (non-complaint 44CFR 60.6) has the community granted to its floodplain management standards?

18 3.7 How many of the variances in question 3.6 above are not in accordance with the community's own floodplain management policies/standards?

19 3.8 When you encounter conflicting regulations do you always enforce the stricter regulation?

Standardized Processes:
(Does the community have an effective record-keeping process?)

20 4.1. Does the Floodplain Management Program have a permitting process developed and in use for the following options within the SFHA?

4.1.1 Residential structures (including subdivisions)

4.1.2 V Zone construction (certification, review)

	4.1.3 Floodway encroachment (no-rise analysis review)
	4.1.4 Commercial/non-residential structures
	4.1.5 Substantial Improvement (SI) of structures
	4.1.6 Substantial Damage (SD) of structures
	4.1.7 Variances
	4.1.8 CLOMR/LOMR (e.g., watercourse alterations, floodway development, culverts)
	4.1.9 Recreational Vehicles
	4.1.10 Accessory structures
	4.1.11 Agricultural structures
	4.1.12 Fill/grading
	4.1.13 Other non-structural development as defined in 59.1
	4.1.14 Other federal/state/local necessary permits
21	4.2: Does the community conduct inspections to ensure that every structure is built in accordance with floodplain management regulations?
22	4.3 When does the community conduct inspections to ensure that structures are built as required for flood regulations?
23	4.4: Are the Floodplain Management program's SOPs and processes/procedures consistently used to perform the functions of the FPM program?

24	4.5 Are permit records well organized and easily accessible?
25	4.6 Are variance records well organized and easily accessible?
26	4.7: Are the Floodplain Management program's SOPs and processes/procedures reviewed and updated on a regular basis (e.g., every 3 years)?
27	4.8: Does the community coordinate with other departments on floodplain management regulations?

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**Your Response
(Auditor Data Entry)**

**Max Possible
Weighted
Score**

**Assigned
Weight**

4

1

2

0.5

2

0.5

2

0.5

2

0.5

2

0.5

2

0.5

2

0.5

2

0.5

	4	1
	2	0.5
	4	1
	4	1
Subtotal from Section 1 Above	15	
	2	0.5
	2	0.5
	4	1
Subtotal from Section 2 Above	15	

Yes	2	0.5
	4	1
	4	1
	10	2.5
Your Response: (Auditor Data Entry) How many current compliance issues have been identified in each area	12	
		-2
		-2
		-1
		-1
		-1
		-1
		-0.5
		-0.5

		-0.25
		-0.25
		-0.25
		-0.25
		-0.25
		-0.25
		-0.25
		-0.25
	4	1
	4	1
Subtotal from Section 3 Above	33	
Your Response (Auditor Data Entry) - Select All that Apply	8	
		1
		1

		1
		1
		1
		1
		1
		0.143
		0.143
		0.143
		0.143
		0.143
		0.143
		0.143
		0.143
		0.143
		0.143
	4	1
	4	1
	4	1

	4	1
	4	1
	4	1
	4	1
Subtotal from Section 4 Above	31	
Weighted Total Score	94	

**Findings
(Auditor Data Entry)**

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**Reference(s)
(Auditor Data Entry)**

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**Recommendation(s)
(Auditor Data Entry)**

**Estimated Close
Out Date
(Auditor Data
Entry)**



National Flood Insurance Program (NFIP) EVALUATION TOOL SUMMARY REPORT V2.0

<enter audit date MM/DD/YYYY>

Location: <enter community name here> <enter county here> <enter 2-letter state ID here> CID: <enter 6-digit CID here>

Floodplain Administrator: <Enter FPA Name Here> <enter FPA phone number> <enter FPA email address>

Agency Conducting Audit: <enter name of agency>

Audit POC: <enter name of auditor and title> <enter auditor phone> <enter auditor email address>

Audit Reviewer: <enter audit reviewer name> <enter audit reviewer phone number> <enter audit reviewer email address>

Community Contact Date: <enter date of community contact MM/DD/YYYY> **Diagnostic Assessment Date:** <enter diagnostic date MM/DD/YYYY>

Date Audit Closed <enter date audit closed> **Overall Diagnostic Score** **0**

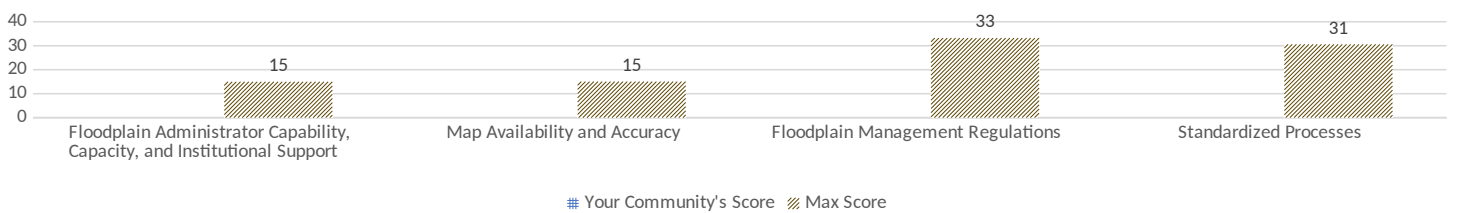
CRS Candidate: <enter Yes/No> **Overall Evaluation Score** **0**

The following are from the Audit Evaluation Tool and represent input and observations from the Auditor organized and summarized for this report

Audit Follow Up and Assistance

Does the community require follow-up or technical assistance following completion of the audit? (Drop down) **No**

Audit Score Snapshot



Floodplain Administrator Capability, Capacity, and Institutional Support

Section Score:

Section Incomplete

Question	Response	Score	Findings	Recommendations
1.1: How much floodplain management experience does the Floodplain Administrator have?	0	# N/A		
1.2: Is the Floodplain Administrator a Certified Floodplain Manager (CFM)?	0	# N/A		
1.3: What is the Floodplain Administrator's familiarity with FEMA maps and study data, and what products are used when reviewing permits?				
1.3.1: Are effective flood insurance rate maps (digital or paper) used?	0	0		
1.3.2: Are effective flood insurance study (digital or paper) used?	0	0		
1.3.3: Are historic FIS or FIRMs used?	0	0		
1.3.4: Are letters of map change (digital or paper) used?	0	0		
1.3.5: Are other best available data used?	0	0		
1.4: What sources does the FPA use when working with FEMA map products and study data?				
1.4.1: FEMA Map Service Center (MSC) and/or National Flood Hazard Layer (NFHL) tool	0	0		
1.4.2: Local GIS Software	0	0		
1.5: Is the Floodplain Management program adequately staffed?	0	# N/A		
1.6: Is the Floodplain Management program adequately resourced (i.e., has sufficient budget)?	0	# N/A		
1.7: Is the FPM program's position in the organization appropriate to ensure effectiveness in carrying out its duties?	0	# N/A		

Question	Response	Score	Findings	Recommendations
1.8: Does the FPA sufficiently interact/communicate with the mayor/council/CEO to ensure continued community support for the FPM program?	0	#N/A		
Map Availability and Accuracy			Section Score:	Section Incomplete
Question	Response	Score	Findings	Recommendations
2.1: In the past 3-5 years has the community experienced significant flooding outside of the mapped SFHA and provided that information to FEMA?	0	#N/A		
2.2: Has the community adopted the correct FIRMs and FIS for the entirety of its community boundary (including any recent annexations)?	0	#N/A		
2.3: Is the community properly obtaining LOMCs to reflect current or changing flood risk?	0	#N/A		
Floodplain Management Regulations			Section Score:	Section Incomplete
Question	Response	Score	Findings	Recommendations
3.1: Since the last audit (CAV or CAC), has there been any development in the SFHA or is any currently planned?	Yes	0		
3.2: If yes to question 3.1 above, how many permits has the Floodplain Administrator issued for development (both structural and non-structural) in the SFHA since the last audit?	0	#N/A		
3.3: How does the community maintain as-built elevation data?	0	#N/A		
3.4: Is the community's current FPM Regulation compliant with NFIP minimum standards? (Auditor to complete Floodplain Management Regulations Review Checklist)	0	#N/A		
3.5: How many compliance issues does the community currently have by category?				
Question	Response	Score	Findings	Recommendations
3.5.1 Floodways (unpermitted encroachments, permitted without no-rise analysis, or no-rise analysis inaccurate/insufficient)	0	0		
3.5.2 V Zone standards not met (foundation type, breakaway walls, V Zone certification)	0	0		
3.5.3 Basements (new construction or SI)	0	0		
3.5.4 Insufficient elevation of residential structures	0	0		
3.5.5 Insufficient elevation/floodproofing of non-residential structures	0	0		
3.5.6 Insufficient flood openings (all structure types)	0	0		

Question	Response	Score	Findings	Recommendations
3.5.7 As-built elevation information lacking (all structure types)	0	0		
3.5.8 Lacking SI/SD assessments	0	0		
3.5.9 Not following Letter of Map Change (LOMC) procedures (changing drainages or streams, undocumented watercourse alterations)	0	0		
3.5.10 In Zone A, developments (>50 lots or 5 acres) lacking development of BFEs	0	0		
3.5.11 In AO-Zone, developments (>50 lots or 5 acres) lacking development of BFEs	0	0		
3.5.12 Unpermitted fill/grading	0	0		
3.5.13 Other (non-structural) development (e.g., dredging, storage of materials, insufficient drainage, non-compliant RVs)	0	0		
3.5.14 Insufficient elevation or floodproofing of building service machinery in non-residential structures	0	0		
3.6: How many variances (non-complaint 44CFR 60.6) has the community granted to its floodplain management standards?	0	0		
3.7 How many of the variances in question 3.6 above are not in accordance with the community's own floodplain management policies/standards?	0	4		
3.8 When you encounter conflicting regulations do you always enforce the stricter regulation?	0	#N/A		
Standardized Processes			Section Score:	Section Incomplete
Question	Response	Score	Findings	Recommendations
4.1. Does the Floodplain Management Program have a permitting process developed and in use for these options within the SFHA?				
4.1.1 Residential structures (including subdivisions)	0	0		
4.1.2 V Zone construction (certification, review)	0	0		
4.1.3 Floodway encroachment (no-rise analysis review)	0	0		
4.1.4 Commercial/non-residential structures	0	0		
4.1.5 Substantial Improvement (SI) of structures	0	0		
4.1.6 Substantial Damage (SD) of structures	0	0		
4.1.7 Variances	0	0		
4.1.8 CLOMR/LOMR (e.g., watercourse alterations, floodway development, culverts)	0	0		
4.1.9 Recreational Vehicles	0	0		
4.1.10 Accessory structures	0	0		
4.1.11 Agricultural structures	0	0		
4.1.12 Fill/grading	0	0		

Question	Response	Score	Findings	Recommendations
4.1.13 Other non-structural development as defined in 59.1	0	0		
4.1.14 Other federal/state/local necessary permits	0	0		
4.2: Does the community conduct inspections to ensure that every structure is built in accordance with floodplain management regulations?	0	# N/A		
4.3: Does the community conduct inspections to ensure that structures are built as required for flood regulations?	0	# N/A		
4.4: Are the Floodplain Management program's SOPs and processes/procedures consistently used to perform the functions of the FPM program?	0	# N/A		
4.5: Are permit records well organized and easily accessible?	0	# N/A		
4.7: Are the Floodplain Management program's SOPs and processes/procedures reviewed and updated on a regular basis (e.g., every 3 years)?	0	# N/A		
4.8: Does the community coordinate with other departments on floodplain management regulations?	0	# N/A		

Evaluation Tool Blank

No.	Category	Question	Score represents Impact to Compliance Enter score from () in "Raw Score" Column Minimal to no impact (Score 4) Low Impact (Score 3) Moderate Impact (Score 2) High Impact (Score 1) Very High Impact (Score 0)	Enter Score Value	Multiplier	Weighted Score (Score Value times Multiplier)	Findings (Auditor Data Entry)	Reference(s) (Auditor Data Entry)	Recommendation(s) (Auditor Data Entry)	Estimated Close Out Date (Auditor Data Entry)	
1	Floodplain Administrator Capability, Capacity, and Institutional Support	1.1: How long has the Floodplain Administrator been in this role?	<input type="checkbox"/> More than 10 years (4) <input type="checkbox"/> 5-10 years (3) <input type="checkbox"/> 2-5 years (2) <input type="checkbox"/> Less than 2 years (1) <input type="checkbox"/> No one is currently in role (0)		1						
2		1.2: Is the Floodplain Administrator a Certified Floodplain Manager (CFM)?	<input type="checkbox"/> Yes (4) <input type="checkbox"/> No (3)		0.5						
3		1.3: What is the Floodplain Administrator's familiarity with FEMA maps and study data, and what products are used when reviewing permits? For each data type/row please select one response. MULTIPART QUESTION, SEE 1.3.1 - 1.3.5 BELOW									
		1.3.1: Effective Flood Insurance Rate Maps (Digital or Paper)	<input type="checkbox"/> Yes uses these tools (4) <input type="checkbox"/> No does not use these tools (0)		0.5						
		1.3.2: Effective Flood Insurance Study (Digital or Paper)	<input type="checkbox"/> Yes uses these tools or NA (4) <input type="checkbox"/> No does not use these tools (0)		0.5						
		1.3.3: Historic FIS or FIRMs	<input type="checkbox"/> Yes uses these tools or NA (4) <input type="checkbox"/> No does not use these tools (0)		0.5						
		1.3.4: Letters of Map Change (Digital or Paper)	<input type="checkbox"/> Yes uses these tools or NA (4) <input type="checkbox"/> No does not use these tools (0)		0.5						
		1.3.5: Other best available data	<input type="checkbox"/> Yes uses these tools or NA (4) <input type="checkbox"/> No does not use these tools (0)		0.5						
4		1.4: What sources does the FPA use when working with FEMA map products and study data?									
		1.4.1: FEMA Map Service Center (MSC) and/or National Flood Hazard Layer (NFH) tool	<input type="checkbox"/> Yes uses these tools (4) <input type="checkbox"/> No does not use these tools (0)		0.5						
			1.4.2: Local GIS Software	<input type="checkbox"/> Yes uses these tools (4) <input type="checkbox"/> No does not use these tools (0)		0.5					
5			1.5: Is the Floodplain Management program adequately staffed?	<input type="checkbox"/> FPM is staffed to meet current and expected future needs (4) <input type="checkbox"/> FPM is staffed to meet current but not future needs (3) <input type="checkbox"/> FPM is staffed to meet periodic or emergent needs only (2) <input type="checkbox"/> FPM is rarely adequately staffed (1) <input type="checkbox"/> FPM is not adequately staffed (0)		1					
6			1.6: Is the Floodplain Management program adequately resourced (i.e., has sufficient budget)?	<input type="checkbox"/> FPM is resourced to meet current and expected future needs (4) <input type="checkbox"/> FPM is resourced to meet current but not future needs (3) <input type="checkbox"/> FPM is resourced to meet periodic or emergent needs only (2) <input type="checkbox"/> FPM is rarely adequately resourced (1) <input type="checkbox"/> FPM is not adequately resourced (0)		0.5					
7			1.7: Is the FPM program's position in the organization appropriate to ensure effectiveness in carrying out its duties?	<input type="checkbox"/> Yes (4) <input type="checkbox"/> No (0)		1					
8			1.8: Does the FPA sufficiently interact/communicate with the mayor/council/CEO to ensure continued community support for the FPM program	<input type="checkbox"/> Yes (4) <input type="checkbox"/> No (0)		1					
				Subtotal From Section 1 Above:							

Evaluation Tool Blank

No.	Category	Question	Score represents Impact to Compliance Enter score from () in "Raw Score" Column Minimal to no impact (Score 4) Low Impact (Score 3) Moderate Impact (Score 2) High Impact (Score 1) Very High Impact (Score 0)	Enter Score Value	Multiplier	Multiply Score Value times Multiplier	Findings (Auditor Data Entry)	Reference(s) (Auditor Data Entry)	Recommendation(s) (Auditor Data Entry)	Estimated Close Out Date (Auditor Data Entry)
9	Map Availability and Accuracy	2.1: In the past 3-5 years has the community experienced significant flooding outside of the mapped SFHA, and informed that information to FEMA? <input type="checkbox"/> No (4) <input type="checkbox"/> Yes (0)			1					
10		2.2: Has the community adopted the correct FIRMs and FIS for the entirety of its community boundary (including any recent annexations)? <input type="checkbox"/> Yes (4) <input type="checkbox"/> No (0)			0.5					
11		2.3: Is the community properly obtaining LOMCs to reflect current or changing flood risk? <input type="checkbox"/> Yes or NA - No Recent Development Pressure Requiring LOMCs (4) <input type="checkbox"/> No (0)			1					
Subtotal From Section 2 Above:										
No.	Category	Question	Score represents Impact to Compliance Enter score from () in "Raw Score" Column Minimal to no impact (Score 4) Low Impact (Score 3) Moderate Impact (Score 2) High Impact (Score 1) Very High Impact (Score 0)	Enter Score Value	Multiplier	Multiply Score Value times Multiplier	Findings (Auditor Data Entry)	Reference(s) (Auditor Data Entry)	Recommendation(s) (Auditor Data Entry)	Estimated Close Out Date (Auditor Data Entry)
12	Floodplain Management Regulations	3.1: Since the last audit (CAV or CAC), has there been any development activity in the SFHA, or is any activity currently planned? <input type="checkbox"/> No (4) <input type="checkbox"/> Yes (0)			0.5					
13		3.2: If yes to question 3.1 above, how many permits has the Floodplain Administrator issued for development (both structural and non-structural) in the SFHA since the last audit? <input type="checkbox"/> Less than 10 or N/A (4) <input type="checkbox"/> 10 to 99 (3) <input type="checkbox"/> 100 to 499 (2) <input type="checkbox"/> 500 to 999 (1) <input type="checkbox"/> 1000 or more (0)			1					
14		3.3: How does the community maintain as-built elevation data? <input type="checkbox"/> As built data is maintained with ECs <input type="checkbox"/> As built data is maintained but do not use ECs <input type="checkbox"/> As built elevation data not maintained or unknown			1					
15		3.4: Is the community's current FPM ordinance compliant with NFIP minimum standards? (Auditor to complete Ordinance Review Checklist) <input type="checkbox"/> Yes (4) <input type="checkbox"/> No (0)			2.5					

Evaluation Tool Blank

No.	Category	Question	Score represents Impact to Compliance Enter score from () in "Raw Score" Column Minimal to no impact (Score 4) Low Impact (Score 3) Moderate Impact (Score 2) High Impact (Score 1) Very High Impact (Score 0)				Findings (Auditor Data Entry)	Reference(s) (Auditor Data Entry)	Recommendation(s) (Auditor Data Entry)	Estimated Close Out Date (Auditor Data Entry)
16	Floodplain Management Regulations	3.5: How many compliance issues does the community currently have by category? (Multi-part question: enter whole numbers for 3.5.1 - 3.5.13 below; a blank indicates zero/none for that category) (Enter WHOLE number)	Enter Number	Multiplier	Multiply Number times Multiplier					
		3.5.1 Floodways (unpermitted encroachments, permitted without no-rise analysis, or no-rise analysis inaccurate/insufficient)		-2						
		3.5.2 V Zone standards not met (foundation type, breakaway walls, V Zone certification)		-2						
		3.5.3 Basements (new construction or SI)		-1						
		3.5.4 Insufficient elevation of residential structures		-1						
		3.5.5 Insufficient elevation/floodproofing of non-residential structures		-1						
		3.5.6 Insufficient flood openings (all structure types)		-1						
		3.5.7 As-built elevation information lacking (all structure types)		-0.5						
		3.5.8 Lacking SI/SD assessments		-0.5						
		3.5.9 Not following Letter of Map Change (LOMC) procedures (changing drainages or streams, undocumented watercourse alterations)		-0.25						
		3.5.10 In Zone A, developments (>50 lots or 5 acres) lacking BFE creation		-0.25						
		3.5.11 In AO-Zone, developments (>50 lots or 5 acres) lacking BFE creation		-0.25						
		3.5.12 Unpermitted fill/grade		-0.25						
		3.5.13 Other (non-structural) development (e.g., dredging, storage of materials, insufficient drainage, non-compliant RVs)		-0.25						
		3.5.14 Insufficient elevation or floodproofing of building service machinery		-0.25						
		<i>Add up all the negative values from the number of compliance issues and the multiplier</i>			Add total Scores (May be Zero or Negative)					
	<i>Use this to arrive at a score for section 3.6: The score can be 0-12</i>			Add Score to 12. Enter value to right						
18		3.6: How many variances has the community granted to its floodplain management standards since its last audit?	<input type="checkbox"/> 0 Variances (4) <input type="checkbox"/> 1 Variance (3) <input type="checkbox"/> 2 Variances (2) <input type="checkbox"/> 3 Variances (1) <input type="checkbox"/> 4 or more Variances (0)		2					
19		3.7 How many of the variances in question 3.6 above are not in accordance with the community's own floodplain management policies/standards?	<input type="checkbox"/> 0 Variances (4) <input type="checkbox"/> 1 Variance (3) <input type="checkbox"/> 2 Variances (2) <input type="checkbox"/> 3 Variances (1) <input type="checkbox"/> 4 or more Variances (0)		1					
20		3.8 When you encounter conflicting regulations do you always enforce the stricter regulation?	<input type="checkbox"/> Yes (4) <input type="checkbox"/> No (0)		1					
				Subtotal From Section 3 Above:						

Evaluation Tool Blank

No.	Category	Question	Score represents Impact to Compliance Enter score from () in "Raw Score" Column Minimal to no impact (Score 4) Moderate Impact (Score 2) Very High Impact (Score 0)	Enter Score Value	Multiplier	Multiply Score Value times Multiplier	Findings (Auditor Data Entry)	Reference(s) (Auditor Data Entry)	Recommendation(s) (Auditor Data Entry)	Estimated Close Out Date (Auditor Data Entry)
21	Standardized Processes	4.1. Does the Floodplain Management Program have a permitting process developed and in use for these options within the SFHA?								
		4.1.1 Residential structures (including subdivisions) <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			1					
		4.1.4 V Zone construction (certification review) <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			1					
		4.1.3 Floodway encroachment (no-rise analysis review) <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			1					
		4.1.4 Commercial/non-residential structures <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			1					
		4.1.5 Substantial Improvement (SI) of structures <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			1					
		4.1.6 Substantial Damage (SD) of structures <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			1					
		4.1.7 Variances <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			1					
		4.1.8 CLOMR/LOMR (e.g., watercourse alterations, floodway development, culverts) <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			0.143					
		4.1.9 Recreational Vehicles <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			0.143					
		4.1.10 Accessory structures <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			0.143					
		4.1.11 Agricultural structures <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			0.143					
		4.1.12 Fill/grade <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			0.143					
		4.1.13 Other non-structural development (e.g. dredging, storage of materials, drainage paths around structures, etc.) <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			0.143					
		4.1.14 Other federal/state/local permits <input type="checkbox"/> Yes or N/A (1) <input type="checkbox"/> No (0)			0.143					
<i>Use this to arrive at a score for section 4.1: The score can be 0-9</i>				Sum scores above and enter to right.						

Evaluation Tool Blank

No.	Category	Question	Score represents Impact to Compliance Enter score from () in "Raw Score" Column Minimal to no impact (Score 4) Low Impact (Score 3) Moderate Impact (Score 2) High Impact (Score 1) Very High Impact (Score 0)	Enter Score Value	Multiplier	Multiply Score Value times Multiplier	Findings (Auditor Data Entry)	Reference(s) (Auditor Data Entry)	Recommendation(s) (Auditor Data Entry)	Estimated Close Out Date (Auditor Data Entry)
22	Standardized Processes	4.2: Does the community conduct inspections to ensure that every structure is built in accordance with floodplain management regulations?	<input type="checkbox"/> Yes (4) <input type="checkbox"/> Sometimes (2) <input type="checkbox"/> No (0)		1					
23		4.3 When does the community conduct inspections to ensure that structures are built as required for flood regulations?	<input type="checkbox"/> BOTH at foundation completion before beginning vertical AND at as built before certificate of occupancy (4) <input type="checkbox"/> At as built before certificate of occupancy (3) <input type="checkbox"/> At foundation completion before beginning vertical (1) <input type="checkbox"/> Never (1)		1					
24		4.4: Are the Floodplain Management program's SOPs and processes/procedures consistently used to perform the functions of the FPM program?	<input type="checkbox"/> Used for all activities (4) <input type="checkbox"/> Used for most activities with some gaps (3) <input type="checkbox"/> Not used for most activities (1) <input type="checkbox"/> Not used for any activities or unknown (0)		1					
25		4.5 Are permit and variance records well organized and easily accessible?	<input type="checkbox"/> Permits are accessible within 1 business day (4) <input type="checkbox"/> Permits are accessible within 2-5 business days (3) <input type="checkbox"/> Permits are accessible within 6-10 business days (1) <input type="checkbox"/> Permits are accessible after 10 business days (0)		1					
26		4.6: Are the Floodplain Management program's SOPs and processes/procedures reviewed and updated on a regular basis (e.g. every 3 years)?	<input type="checkbox"/> All undergo regular review and update (4) <input type="checkbox"/> Many undergo regular review and update (3) <input type="checkbox"/> Few undergo regular review and update or only update in response to a process change (1) <input type="checkbox"/> No SOPs exist or none undergo regular review and update (0)		1					
27		4.7: Does the community coordinate with other departments on floodplain management regulations?	<input type="checkbox"/> Full coordination across all other departments (4) <input type="checkbox"/> Coordination across some but not all departments (2) <input type="checkbox"/> No coordination outside the planning department (0)		1					
				Subtotal From Section 4 Above:						
				Grand Total (Sections 1-4)						

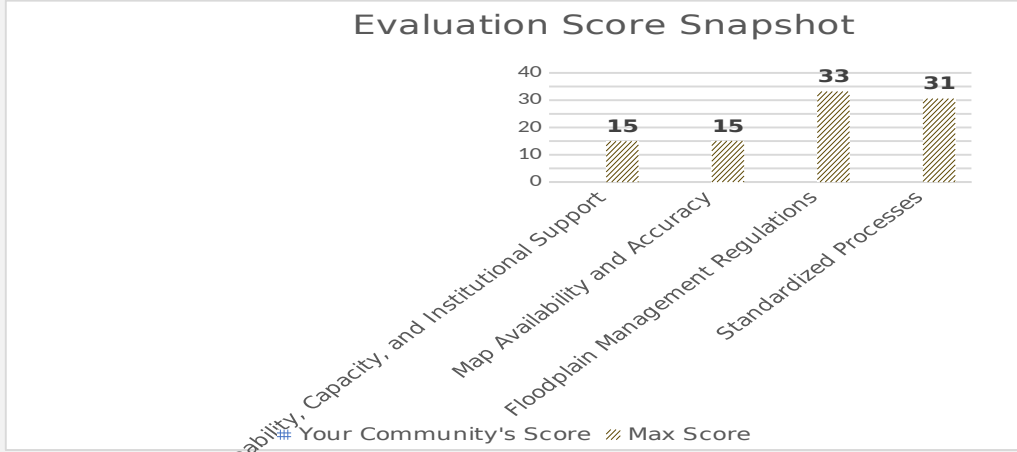


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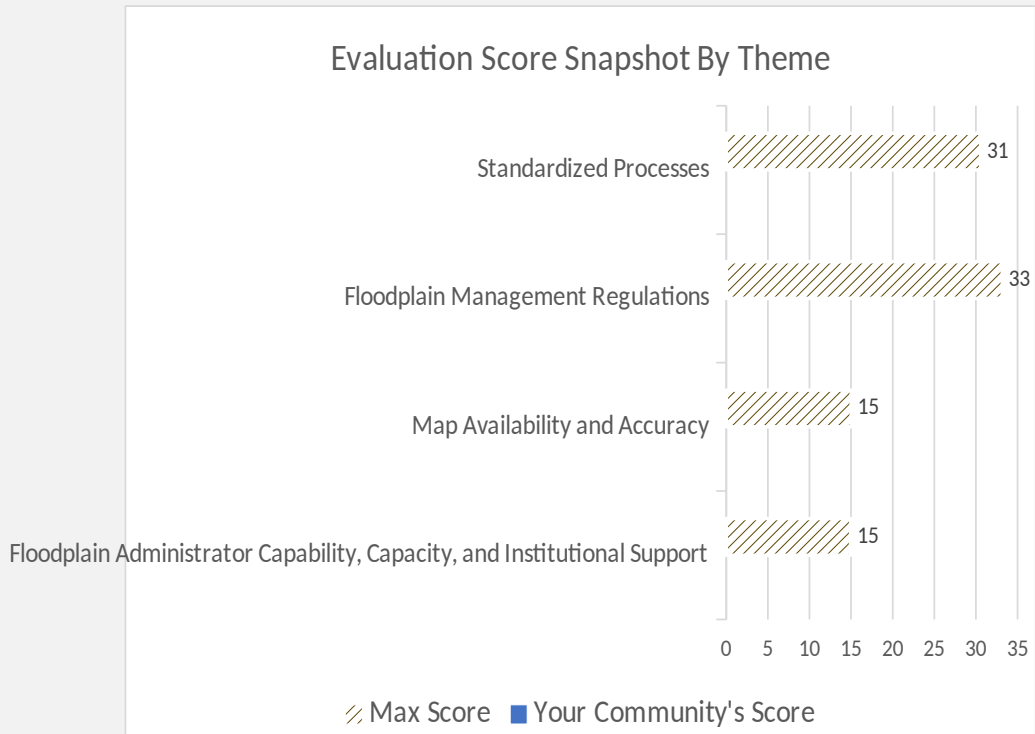
PRE-DECISIONAL DRAFT

National Floodplain Ins...

Example Figure 1: Evaluation Score Summary by FPM Program Theme



Floodplain Administrator Capability, Capacity, and Institutional Support



Insurance Program (NFIP)

Evaluation

Example Table 1: Audit Score Summary by FPM Program Category

Theme	Your Community's Score	Max Score
Floodplain Administrator Capability, Capacity, and Institutional Support	Section Incomplete	15
Map Availability and Accuracy	Section Incomplete	15
Floodplain Management Regulations	Section Incomplete	33
Standardized Processes	Section Incomplete	31
Total Score	0	94

Example Table 2: Audit Score Summary

Theme	Your Community's Score
Floodplain Administrator Capability, Capacity, and Institutional Support	Section Incomplete
Map Availability and Accuracy	Section Incomplete
Floodplain Management Regulations	Section Incomplete
Standardized Processes	Section Incomplete
Total Score	0

ation Tool Summary

Percent Contribution (current)	Percent Contribution (goal)	Number of Questions
16%	15%	8
16%	15%	3
35%	40%	8
33%	30%	8
100%	100%	27

Max Score	Percent
15	Section Incomplete
15	Section Incomplete
33	Section Incomplete
31	Section Incomplete
94	0%