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Federal Railroad Administration Pre-Award Authority Request"



If the Pre-Award Authority Request requires more space than available on this form, please attach a separate document.

A. Award Information	
1. Application Number:	2. Project Title:
3. Project Type:	4. Program Name:
5. Project Sponsor:	6. Project Sponsor Point of Contact (POC) Name and Title:
7. Project Sponsor POC email:	8. Project Sponsor POC Phone:
9. Request Submission Date:	10. FRA Grant Manager:

B. Pre-Award Authority Request Information
11. Description of the items/activities associated with the Pre-Award Costs for which Pre-Award Authority is requested:
12. If applicable, the date of the environmental decision document and any other prerequisites (e.g., engineering status):
13. Explanation of how the items/activities fit into the scope of work, including the specific Tasks the request falls under:
14. Confirmation that the activities associated with the requested Pre-Award Costs were included in the grant application:

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15. Explanation of how the Pre-Award Costs incurrence is necessary for efficient and timely performance of the scope of work and why the costs cannot wait until after the grant is obligated:

16. The total estimated cost to be incurred, including a breakdown of cost by unit (for material purchase) or activity, Task, and Federal and non-Federal matching shares. A budget table may also be attached in a separate document to this form:

17. The period for pre-award costs, including the date on which costs will begin to be incurred:

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For projects funded under Title 23, United States Code, FRA complies with the Federal Highway Administration's pre-award authority requirement in 23 CFR 1.9 along with 2 CFR 200.458. Only complete Questions 18-24 if the project is funded under Title 23.

C. Pre-Award Authority Request Information - Title 23

<p>18. Explain how approval of the costs would not adversely affect the public:</p>
<p>19. Confirm that _____ has acted in good faith, and that there has been no willful violation of Federal requirements:</p>
<p>20. Confirm substantial compliance with all other requirements, and full compliance with the requirements mandated by Federal statute:</p>
<p>21. Confirm that the cost to the United States will not be in excess of the cost it would have incurred had there been full compliance:</p>
<p>22. Explain how the quality of work undertaken has not been impaired:</p>
<p>23. Confirm that the costs were incurred pursuant to the negotiation and in anticipation of the Federal award:</p>
<p>24. Explain how the costs were necessary for efficient and timely performance of the scope of work:</p>

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Certification of Project Sponsor POC

In accordance with 2 CFR §200.458, pre-award costs are those incurred prior to the effective date of the federal award directly pursuant to the negotiation and in anticipation of the Federal award where such costs are necessary for efficient and timely performance of the scope of work. Such costs are allowable only to the extent that they would have been allowable if incurred after the date of the Federal award and only with the written approval of the Federal awarding agency. The costs must appear in the grant agreement scope of work to be eligible for reimbursement after the grant is awarded. Furthermore, the type and timing of costs incurred must be consistent with 23 CFR § 771.113.

_____ understands that pre-award costs are considered at-risk until the grant agreement has been executed, and FRA will authorize such pre-award costs only to the extent they are allowable under the terms of the grant agreement. _____ also assures FRA that all materials purchased before NEPA approval are items that can be reused or sold, and that the project will not proceed to Final Design prior to the completion of NEPA. Finally, the _____ understands that Construction work may not begin until FRA has reviewed and approved all required design documentation.

All pre-award costs are incurred at _____'s risk. The Federal awarding agency, FRA, is under no obligation to reimburse such cost if for any reason _____ does not receive an award or until any such post-award prerequisites, including any required FRA reviews and approvals, are satisfied. In addition, this pre-award authority is subject to all of the other terms of FRA's grant agreement.

Date

FRA Approval

FRA has reviewed and approved this pre-award authority request.

Date