# I. Issuer Summary Report

Information in this report would be collected from all QHP issuers offering coverage through the individual market on the Exchanges (Federally-facilitated Exchanges (FFEs), State-based Exchanges on the Federal platform (SBE-FPs), and State-based Exchanges (SBEs). This does not include stand-alone dental plan issuers.

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| Data Element | Description/Notes |
| **Level 1: Issuer Summary Information** | |
| Record Code | Record code at the issuer level is always 01 |
| Trading Partner ID |  |
| Tenant ID | Issuer’s state code |
| HIOS ID | Enter the five-digit Health Insurance  Oversight System (HIOS)–generated Issuer ID number |
| Issuer extract date | Date information extracted by issuer |
| Issuer extract time | Time Information extracted by issuer |
| Benefit year |  |
| Total benefit year CSR variant plans under this QHP  ID | Total count of all plan variations for the QHP  issuers under this HIOS ID |
| Total number of Subscriber IDs for this issuer |  |
| Total actual CSR amount | Total CSR amount provided by this QHP issuer  to enrollees in all plan variations |
| Reconciliation methodology (standard) | In the case of a merger with or acquisition of an issuer, the QHP issuer must submit two sets of reports using the standard methodology for each issuer. |
| Acquisition | Has the issuer HIOS ID filing this  data submission report been acquired by another issuer in the applicable benefit year? Enter Y or N |
| Acquiring issuer | HIOS ID of the acquiring issuer |
| Acquisition effective date | Date the acquisition was final |
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| *According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid*  *OMB control number. The valid OMB control number for this information collection is 0938-1266. This information collection may be used, but is not required to be used, by qualified health plan (QHP) issuers through the individual market on the Exchanges to understand the data collection and reporting requirements related to the calculation of reconciled cost-sharing reduction (CSR) amounts. This form aims to simplify the process for QHP issuers submitting CSR data particularly in the consideration of settlement and judgment amounts in litigation brought by issuers against HHS related to the lack of advance CSR payments. The time required to complete this information collection is estimated to average 15.75 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. The use of this information collection is voluntary per CMS regulations at 45 CFR* | |
| *156.430(d). If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to:*  *CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.* | |

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| Data Element | Description/Notes |
| Merger | Has the issuer HIOS ID filing this data submission report merged with another issuer in the applicable benefit year? Enter Y or N |
| Merger party | HIOS ID of the other issuer(s) party in the  merger |
| Merger effective date | Date the merger was final |
| Technical point of contact first name |  |
| Technical point of contact last name |  |
| Technical point of contact email address |  |
| Technical point of contact organization |  |
| Technical point of contact phone number |  |
| Business point of contact first name |  |
| Business point of contact last name |  |
| Business point of contact email address |  |
| Business point of contact organization |  |
| Business point of contact phone number |  |

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| Issuer attestation | Attestation that CSR amounts represent only EHB cost-sharing amounts for which Federal reimbursement is permitted (in the case of fee- for-service providers, these amounts must have been passed through by the issuer to such providers, pursuant to 45 CFR  156.430(c)(5).)  If the issuer has estimated total allowed essential health benefits (EHB) as allowed under 45 CFR 156.430(c)(2)(i)(A)-(B), this  includes attestation that the issuer has met the standards required to estimate EHB.  .  . |

**I. Standard Methodology Plan and Policy Report:** Information from this report would be collected only from QHP Issuers who selected the standard CSR reconciliation methodology.

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| Data Element | Description/Notes |
| **Level 1: Plan Information (Optional)** | |
| Record Code | Record Code at the plan level is always 02 |
| 16 digit QHP ID | Enter the 16-digit HIOS-generated qualified health plan identification number. This includes the 14-digit standard plan ID plus the  2-digit variant ID. |
| Total Annual Premium |  |
| Total Number of Exchange Subscribers in this plan | Enter the total count of unique Exchange subscriber IDs in this plan variation for the  benefit year |
| Total Allowed Costs for EHB |  |

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| Total Actual Amount the Issuer paid for EHB |  |
| Total Actual Amount Paid for EHB by Enrollees |  |
| Total Actual Amount for EHB Enrollees would have  paid in the Standard Plan |  |
| Total Actual Value of CSR Provided |  |
| Total Actual CSR Advanced to issuer (optional) |  |
| **Level 2: Policy Information** | |
| Record Code | Record code at the policy level is always 03 |
| 16-digit QHP Plan ID | Enter the 16-digit HIOS-generated qualified health plan identification number. This includes the 14-digit standard plan ID plus the  2-digit variant ID. |
| Exchange Assigned Subscriber ID |  |
| Exchange Assigned Policy ID | Optional |
| Policy Start Date | Optional |
| Policy End Date | Optional |
| Plan Benefit Start Date |  |
| Plan Benefit End Date |  |
| Total Monthly Premium for this policy | If the policy changed to self-only or other than self-only during the benefit year, or if the monthly premium amount changed during the benefit period as the result of other changes in circumstance, enter the average monthly premium for this policy over the months in which it was in effect. Issuers should include retroactive adjustments to premium for the applicable benefit year that are made after the close of the applicable benefit year but before  or by April 30 of the applicable year. |
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| Data Elements | Description/Notes |
| Total Allowed Costs for EHB | Enter the amount of claims for EHBs incurred by the enrollee(s) on this policy. |

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| Amount the Issuer Paid for EHB | Enter the total dollar amount the issuer paid to providers for all EHB services to enrollees on this policy. This includes cost-sharing reduction reimbursement amounts to fee-for service providers to the extent the issuer reimbursed fee-for-service providers. Issuers of partially or fully capitated plans should enter all amounts paid by the issuer for those services. This value does not include enrollee  liability. |
| Amount the Enrollee(s) Paid for EHB | Enter the amount all enrollees on this policy  paid (or are liable for) in cost sharing for all EHB services. |
| Amount the Enrollee(s) Would Have Paid for EHB  Under the Standard Plan |  |
| CSR Amount | This field would auto-populate (amount enrollees would have paid, minus amount enrollees paid) |