

**U.S. Department of the Interior
 Public Law 102-477
 Annual Financial Expenditure Report**

1. Tribe/Tribal Organization:	2. Other Identifying Number Assigned by DOI:
3. Mailing Address: (Provide complete mailing address)	
4. Submission: (Mark One) <input type="radio"/> Original <input type="radio"/> Revised	5. Final Report for Plan Period: <input type="radio"/> Yes <input type="radio"/> No
6. Annual Report Period: From: ___/___/___ To: ___/___/___ (Month/Day/Year) (Month/Day/Year)	7. Plan Period Covered by this Report: From: ___/___/___ To: ___/___/___ (Month/Day/Year) (Month/Day/Year)

8. Transactions:	Column I: This Annual Report Period	Column II: Previously Reported	Column III: Cumulative/Total
a. Total Funds Available	\$ -	\$ -	\$ -
b. Cash Assistance Expenditures	\$ -	\$ -	\$ -
c. Child Care Services Expenditures	\$ -	\$ -	\$ -
d. Other Education, Employment, Training and Supportive Services Expenditures	\$ -	\$ -	\$ -
e. Program Operations Expenditures	\$ -	\$ -	\$ -
f. Administration/Indirect Cost Expenditures	\$ -	\$ -	\$ -
g. Total Federal Expenditures (Sum of lines b through f)	\$ -	\$ -	\$ -
h. Total Unexpended Funds	\$	\$	\$

9. Certification: This is to certify that the information reported on all parts of this form is accurate and true to the best of my knowledge and belief and that the tribe has complied with all directly applicable statutory requirements and with those directly applicable regulatory requirements which have not been waived.

a. Signature of Tribal Official

b. Type Name and Title	c. Date Report Submitted
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d. Questions regarding this report – Contact: (Type Name, Title, Phone #, and Email Address)

Paperwork Reduction Act Statement: The information being collected meets the requirements of PL477 and is composed of a single report consisting of an annual narrative, statistical, and financial report. A response is required to obtain and retain benefits of the program. The report is used to monitor the progress of the grantees in delivering services, to identify unmet needs, to identify any other problems, and to provide information to justify budget submissions by the twelve Federal agencies involved. The report is shared with all participating Federal agencies providing funds to a Tribe through an approved 477 Plan. Only Tribes who have an approved 477 Plan are required to submit the annual report. The reporting system takes about 14.5 hours to complete. Some Tribes have automated the data collection and thus may require less time. In compliance with the Paperwork Reduction Act of 1995, as amended, this collection has been reviewed by the Office of Management and Budget and assigned a number and expiration date. The OMB control number for this collection is 1076-0135 with an expiration date of XX/XX/XXXX. The number and expiration date are also displayed on top of this page. Please note that an agency may not conduct or sponsor, and you are not required to respond to, any information collection that does not display a currently valid OMB Control Number. Comments concerning this information collection, including suggestions for reducing the burden, can be sent to: Information Collection Clearance Officer, Office of Regulatory Affairs – Indian Affairs, 1001 Indian School Road NW, Suite 229, Albuquerque, NM 87104. Please note: comments, names and addresses of commentators are available for public review during regular business hours. If you wish us to withhold this information, you must state that prominently at the beginning of your comment. We will honor your request to the extent allowable by law.

Privacy Act Statement: The information being collected for the Act's single reporting system is covered by INTERIOR/BIA-8 (76 FR 56787) and INTERIOR/BIA-23 (55 FR 34087). The single reporting system contains information used to monitor the progress of the grantees in delivering services to Tribal members, to identify unmet needs, to identify any other problems, and to provide information to justify budget submissions by the twelve Federal agencies involved.

U.S. Department of the Interior
Public Law 102-477 (PL477)

Annual Financial Expenditure Report - Instructions

Please type or print legibly. The following general instructions explain how to use the form itself. You may need additional information to complete certain items correctly or to decide whether a specific item is applicable to this award. Usually, such information will be found in the Federal agency's grant regulations or in the terms and conditions of the award. You may also contact the Federal agency directly.

1. Enter the complete name of the Tribe or Tribal organization.
2. Enter the Award or Grant number assigned by the Department of the Interior (DOI).
3. Enter the complete mailing address of the Tribe or Tribal Organization.
4. Specify the status of this report submission; is this the original or a revised edition.
5. Specify if this is the final financial report for the Plan Period. Mark "No" if this financial report includes funds that are unexpended. An Annual Report must be prepared and submitted for each Plan Period until all of the funds available for the Plan Period have been fully expended and reported. When the funds for the Plan Period have been fully expended, a final report for the Plan Period shall be submitted that notes the Total Unexpended Funds are zero.
6. Enter the Annual Report period beginning date (From) for the Annual Report Period and the date it ends (To). An Annual Report shall be prepared and submitted for each Plan Period until all of the funds available for the Plan Period have been fully expended and reported. Financial reports are filed annually per plan period until all plan period funds are expended. If funds remain available, tribes/tribal organizations may have two plan period financial reports.
7. Enter the beginning date (From) for this approved Pub. L. 102-477 Plan Period and the date it ends (To). This will be the end date of the approved 477 Plan period of performance.
8. Transactions: The purpose of columns I, II and III is to show the effect of this Annual Report Period's transactions on cumulative financial status for the Plan Period. The amounts entered in column II will be the same as those in column III of the previous report in the same Plan Period. If this is the first or only report of the Plan Period, leave column II blank. Column I will reflect the transactions for this Annual Report Period and column III will be the total of columns I and II.
 - 8.a: Enter the total of Federal funds available as of the Annual Report Period end date (i.e., funds awarded this period and carried over from previous periods within this Plan Period).
 8. b-f: Refer to cost category definitions to determine appropriate placement of expenditure per category (see attached).
 8. g: Enter the sum of lines b-f for the total Federal expenditures as of this Annual Report Period end date.
 - 8.h: Enter the total amount of funds not yet expended that will be carried over to the next Annual Report Period.

9.a-d are self explanatory.

Paperwork Reduction Act Statement

The information being collected meets the requirements of Public Law 102-477 for program evaluation, compliance, audit, and program planning and management purposes. The data collected is shared with all participating Federal agencies providing funds. The reports are used to monitor the progress of the grantees in delivering services to tribal members, to identify unmet needs, to identify any other problems, and to provide information to justify budget submissions by the three federal agencies involved. Only tribes who have voluntarily applied to participate will submit the annual report. Response is required to obtain benefits of the program. The report takes approximately 2 hours to complete. The requirements contained in this form have been reviewed and approved by the Office of Management and Budget (OMB) under the Paperwork Reduction Act, 44 U.S.C. 3504(h). An agency may not conduct or sponsor, and you are not required to respond to, any information collection that does not display a currently valid OMB Control Number. Comments concerning this information collection can be sent to: Information Collection Clearance Officer, Office of Regulatory Affairs - Indian Affairs, 1001 Indian School Road NW, Suite 228, Albuquerque, New Mexico 87104. Please note: comments, names and addresses of commenters are available for public review during regular business hours. If you wish us to withhold this information, you must state that prominently at the beginning of your comment. We will honor your request to the extent allowable by law.