

Form CJ-43A



**2019 CENSUS OF STATE AND FEDERAL ADULT
CORRECTIONAL FACILITIES
CONFINEMENT FACILITIES**

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
AND ACTING AS COLLECTION AGENT:
RTI INTERNATIONAL

DATA SUPPLIED BY

Name

Title

Official
Address

Telephone

City

FAX

State

Zip

E-mail

GENERAL INFORMATION

Please complete this questionnaire before **[DATE]** using one of the following methods:

Online: [Survey Web Link TBD]

Mail: RTI International, 2019 CCF, Attn: Christian Genesky
3040 Cornwallis Road, PO Box 12194, Research Triangle
Park, NC 27709-2194

Fax: 1-866-354-4993

If you have any questions, contact Christian Genesky of RTI
International at 1-866-354-4993
or bjs-prisoncensus@rti.org.

FACILITY INFORMATION

MERGED INFORMATION ON FACILITY

FACILITY ELIGIBILITY

The census includes all correctional facilities administered by state departments of corrections (DOC) or the Federal Bureau of Prisons (BOP) or operated under contract to hold inmates primarily for state correctional authorities or the BOP. These facilities are intended for adults but sometimes hold juveniles. For this data collection, each individual correctional facility or unit holding inmates under your jurisdiction is included, even if that facility shares budget or staff with other facilities.

The CJ-43A is intended to collect data on confinement facilities administered by the state DOC or the BOP or operated under contract to primarily house inmates for state correctional authorities or the BOP.

As you complete the survey, please provide a response to each question:

- If the answer to a question is “**none**” or “**zero**” → Write “**0**” in the space provided.
- If an exact numeric answer is **not available** → Provide an estimate and check the box labeled “Check if estimate.”
- If an exact numeric answer is **not available** and you **cannot provide an estimate** → Write “**DK**” (don’t know) in the space provided.
- If you **do not know** the answer to a question → Write “**DK**” (don’t know) in the space provided.
- If the question **does not apply** to your agency or those you are reporting for → Write “**NA**” (not applicable) in the space provided.

BURDEN STATEMENT

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 2 hours and 45 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

Section I — FACILITY CHARACTERISTICS

1. As of June 30, 2019, what were the functions of this facility? Mark (X) all that apply.

a. Facility functions

- General adult population confinement
- Alcohol/drug treatment confinement
- Reception/diagnosis/classification
- Medical treatment/hospitalization confinement
- Mental health/psychiatric confinement
- Community corrections/work release/prerelease
- Boot camp
- Primarily for persons returned to custody (e.g., parole violators)
- Primarily for confinement of youthful offenders
- Geriatric care
- Other — Specify:

b. Which function selected applies to the largest number of inmates?

Mark (X) only ONE box.

- General adult population confinement
- Alcohol/drug treatment confinement
- Reception/diagnosis/classification
- Medical treatment/hospitalization confinement
- Mental health/psychiatric confinement
- Community corrections/work release/prerelease
- Boot camp
- Primarily for persons returned to custody (e.g., parole violators)
- Primarily for confinement of youthful offenders
- Geriatric care
- Other — Specify:

2. As of June 30, 2019, what percentage of the inmates in this facility were regularly permitted to leave the facility unaccompanied to work release, study release, rehabilitation? Mark (X) only ONE box.

- 50% or more
- Less than 50%
- None

STOP

Please review your answers to Question 1b and Question 2.

- If you answered "Community corrections/work release/prerelease" to Question 1b or "50% or more" to Question 2, **DO NOT** complete this form. Please contact RTI at 1-866-354-4993 or bjs-prisoncensus@rti.org to receive the appropriate form for this facility.
- Otherwise, please continue completing this form.

3. Is this facility administratively linked to any other facility? Facilities that share budgets or administrators are administratively linked.

Yes **—————>** a. What are the names of the facilities?

No **→** Go to question 4

4. As of June 30, 2019, who operated this facility? Mark (X) only ONE box.

- Federal authority
- State authority
- Local authority
- Joint state and local authority
- Private contractor

5. As of June 30, 2019, was this facility authorized to house — Mark (X) only ONE box.

- Males only
- Females only
- Both males and females

6. As of June 30, 2019, what was the physical security of this facility?

- *Super maximum, maximum/close/high security* is characterized by walls or double-fence perimeters, armed towers, or armed patrols. Cell housing is isolated in one of two ways: within a cell block so that a prisoner escaping from a cell is confined within the building or by double security from the perimeter by bars, steel doors, or other hardware. All entry or exit is via trap gate or sally port.
- *Medium security* is characterized by a single or double-fenced perimeter with armed coverage by towers or patrols. Housing units are cells, rooms, or dormitories. Dormitories are living units designed or modified to accommodate 12 or more persons. All entry or exit is via trap gate or sally port.
- *Minimum or low security* is characterized by a fenced or “posted” perimeter. Cell housing units are rooms or dormitories. Normal entry and exit are under visual surveillance.

Mark (X) the ONE box that best describes the physical security of the facility.

- Super maximum
- Maximum/close/high
- Medium
- Minimum/low
- Administrative (e.g., medical facilities)
- Other — *Specify:*
- None

7. As of June 30, 2019, did this facility have —

a. A geriatric unit specifically designed for inmates of advanced age?

- Yes
- No

b. A housing unit specifically designated for veterans?

- Yes
- No

8. As of June 30, 2019, what was the rated capacity of this facility?

- *Rated capacity* is the maximum number of beds or inmates authorized by a rating official for safe and efficient operation of this facility. It may exceed design capacity because of double bunking. However, beds in an area not designed as sleeping space, such as day rooms and multipurpose rooms, should not be included in rated capacity.

Rated capacity Check if estimate → Go to question 9

Don't know → **a. As of June 30, 2019, what was the design capacity of this facility?**

- Design capacity is the number of inmates that planners or architects intended for this facility.

Design capacity Check if estimate

9. As of June 30, 2019, was this facility under a state or federal court order or consent decree to limit the number of inmates it can house?

Yes → **a. What is the maximum number of inmates this facility is allowed to house?**

No → Go to question 10

Number of inmates Check if estimate

b. In what year did this order or decree take effect?

10. As of June 30, 2019, was this facility under a state or federal court order or consent decree for specific conditions of confinement?

Yes → **a. What were the specific conditions? Mark (X) all conditions that apply.**

No → Go to question 11

- Crowding
- Visiting/mail/telephone policy
- Accommodation of disabled
- Religious practices
- Mental health services/treatment
- Search policies or practices
- Fire hazards
- Medical facilities or services
- Disciplinary procedures or policies
- Grievance procedures or policies
- Staffing
- Administrative segregation procedures or policies
- Library services
- Recreation/exercise
- Inmate classification
- Food services/nutrition/cleanliness
- Counseling programs
- Education
- Other — Specify:

b. Was this facility under court order or consent decree for the totality of conditions (the cumulative effect of several conditions)?

- Yes
- No

c. In what year did this order or decree first take effect?

Section II — INMATE COUNTS

11. On June 30, 2019, what was the total number of inmates in this facility?

- INCLUDE all inmates temporarily absent from this facility (e.g., for court appearances, brief furloughs, and medical leave).
- EXCLUDE all inmates who were on escape or absent without leave (AWOL).

- a. Males Check if estimate
- b. Females Check if estimate
- c. TOTAL (*Sum of questions 11a and 11b*) Check if estimate

12. On June 30, 2019, how many inmates in this facility were —

- a. Males under age 18 Check if estimate
- b. Females under age 18 Check if estimate
- c. TOTAL (*Sum of questions 12a and 12b*) Check if estimate

13. On June 30, 2019, how many inmates in this facility were —

- a. White, not of Hispanic origin Check if estimate
- b. Black or African American, not of Hispanic origin Check if estimate
- c. Hispanic or Latino Check if estimate
- d. American Indian/Alaska Native, not of Hispanic origin Check if estimate
- e. Asian, not of Hispanic origin Check if estimate
- f. Native Hawaiian or Other Pacific Islander, not of Hispanic origin Check if estimate
- g. Two or more races, not of Hispanic origin Check if estimate
- h. Additional categories in your information system —
Specify: Check if estimate
- i. TOTAL (*Sum of questions 13a to 13h should equal question 11c*) Check if estimate

14. On June 30, 2019, how many inmates in this facility were held in —

a. Maximum/close/high custody

- *Maximum, close, or high custody* is assigned to prisoners requiring the highest degree of supervision because they pose a danger to others and to the institution or because their well-being would be in jeopardy if they refused protective custody.
- These prisoners cannot participate in activities requiring outside movement, and their inside movement is closely observed.

Number of inmates

 Check if estimate

b. Medium custody

- *Medium custody* is assigned to prisoners needing more than minimal supervision. Their inside movement and call-outs require passes and/or supervision. Outside movement, if allowed, requires restraints except for work or program assignments.

Number of inmates

 Check if estimate

c. Minimum/low custody

- *Minimum or low custody* is assigned to prisoners posing the least threat to the institution and public safety. They include inmates assigned to community service centers and halfway houses and those who participate in work, education, and other activities in the community.

Number of inmates

 Check if estimate

d. Not classified/other (e.g., unsentenced or sentenced and awaiting classification)

Number of inmates

 Check if estimate

e. TOTAL (*Sum of questions 14a to 14d should equal question 11c*)

Number of inmates

 Check if estimate

15. On June 30, 2019, how many inmates in this facility —

a. Had a total maximum sentence of more than 1 year

 Check if estimate

b. Had a total maximum sentence of 1 year or less

 Check if estimate

c. Were unsentenced

 Check if estimate

d. TOTAL (*Sum of questions 15a to 15c should equal question 11c*)

 Check if estimate

16. On June 30, 2019, how many inmates in this facility were —

a. U.S. citizens

 Check if estimate

b. Not U.S. citizens

 Check if estimate

c. Of unknown citizenship status

 Check if estimate

d. TOTAL (*Sum of questions 16a to 16c should equal question 11c*)

 Check if estimate

17. On June 30, 2019, how many inmates in this facility were being held in restrictive housing?

- *Restrictive housing* is a placement that requires an inmate to be confined to a cell at least 22 hours per day for the safe and secure operation of the facility.

Number of inmates

Check if estimate

If zero, skip to question 19.

18. If question 17 is greater than zero, how many inmates were in restrictive housing on June 30, 2019 for —

a. Protective custody

- *Protective custody* includes placement to ensure the safety of the inmate or that of another inmate.

Number of inmates

Check if estimate

b. Administrative segregation

- *Administrative segregation* includes placement of an inmate because the inmate is a clear and present danger to the security of the institution.

Number of inmates

Check if estimate

c. Disciplinary reasons

Number of inmates

Check if estimate

d. Death row

Number of inmates

Check if estimate

e. Other reasons — *Specify:*

Number of inmates

Check if estimate

f. TOTAL (*Sum of questions 18a to 18e*)

Number of inmates

Check if estimate

19. On June 30, 2019, how many inmates in this facility were being held for —

a. Federal authorities

Number of inmates Check if estimate

If zero, skip to question 19b.

If greater than zero: Of all the inmates held for federal authorities, how many were held for —

1. Federal Bureau of Prisons Check if estimate

2. U.S. Immigration and Customs Enforcement (I.C.E.) Check if estimate

3. U.S. Marshals Service Check if estimate

4. Bureau of Indian Affairs Check if estimate

5. Other — *Specify:* Check if estimate

6. TOTAL (*Sum of questions 19a1 to 19a5 should equal question 19a*) Check if estimate

b. State prison authorities

Number of inmates Check if estimate

If zero, skip to question 19c.

If greater than zero: Of all the inmates held for state prison authorities, how many were held for —

1. Your state Check if estimate

2. Some other state(s) — *Specify states below:* Check if estimate

3. TOTAL (*Sum of questions 19b1 and 19b2 should equal question 19b*) Check if estimate

c. Local authorities

Number of inmates Check if estimate

d. Tribal authorities

Number of inmates Check if estimate

e. TOTAL (*Sum of questions 19a to 19d should equal 11c*)

Number of inmates Check if estimate

Section III — FACILITY STAFF

20. On June 30, 2019, how many staff employed by this facility were —

- EXCLUDE staff paid through contractual agreements and community volunteers.

- | | Full-time | | Part-time | |
|--|----------------------|--|----------------------|--|
| a. Payroll staff | <input type="text"/> | <input type="checkbox"/> Check if estimate | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| b. Nonpayroll staff
INCLUDE staff on the payroll of other
government agencies (e.g., health,
human services, education, and court)
and unpaid interns. | <input type="text"/> | <input type="checkbox"/> Check if estimate | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| c. TOTAL (Sum of questions 20a and 20b) | <input type="text"/> | <input type="checkbox"/> Check if estimate | <input type="text"/> | <input type="checkbox"/> Check if estimate |

21. On June 30, 2019, how many of the total staff reported in question 20c were —

- | | | |
|---|----------------------|--|
| a. Male | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| b. Female | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| c. TOTAL (Sum of questions 21a and 21b should equal total in 20c) | <input type="text"/> | <input type="checkbox"/> Check if estimate |

22. On June 30, 2019, how many SECURITY STAFF employed by this facility were —

- Security staff are officers of all ranks and other uniformed staff who, regardless of their staff titles, are in direct contact with inmates and involved in their daily custody, care, supervision, or monitoring.
- INCLUDE correctional officers, line staff, and their supervisors.

- | | | |
|---|----------------------|--|
| a. Males | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| b. Females | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| c. TOTAL (Sum of questions 22a and 22b) | <input type="text"/> | <input type="checkbox"/> Check if estimate |

23. Of the total SECURITY STAFF reported in question 22, how many were —

- | | | |
|--|----------------------|--|
| a. White, not of Hispanic origin | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| b. Black or African American, not of Hispanic origin | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| c. Hispanic or Latino | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| d. American Indian/Alaska Native, not of Hispanic origin | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| e. Asian, not of Hispanic origin | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| f. Native Hawaiian or Other Pacific Islander, not of Hispanic origin | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| g. Two or more races, not of Hispanic origin | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| h. Additional categories in your information system —
Specify: <input type="text"/> | <input type="text"/> | <input type="checkbox"/> Check if estimate |
| i. TOTAL (Sum of questions 23a to 23h should equal question 22c) | <input type="text"/> | <input type="checkbox"/> Check if estimate |

24. On average, how many SECURITY STAFF are on duty by shift?

- If your facility operates on 12-hour shifts, please enter your responses under 24a and 24b.

a. Day (1st) shift

b. Night (2nd) shift

c. Overnight (3rd) shift

25. If you answered “Yes” to question 3, how many of the total SECURITY STAFF reported in question 22 were shared with other facilities administratively linked to this facility on June 30, 2019?

Number of shared security staff Check if estimate

Section IV — FACILITY OPERATIONS AND SECURITY

26. Between July 1, 2018, and June 30, 2019, how many misconduct/disciplinary reports were filed on inmates for major infractions in this facility? Please count reports of major infractions in which one or more inmate was found guilty.

- INCLUDE major infractions, such as drug and alcohol violations; possession of stolen property, contraband or weapons; verbal or physical assaults; work slow downs; food strikes; setting fires; escapes; and similar major violations.
- ONLY include the number of reports filed, not the number of inmates involved in major infractions.

Number of reports filed Check if estimate

27. Between July 1, 2018, and June 30, 2019, how many physical or sexual assaults against facility staff did inmates commit resulting in a serious injury to staff at this facility? Please only include assaults that took place on-site at this facility.

- A *serious injury* restricts the staff member’s usual activity. This type of injury requires immediate medical attention more extensive than first-aid, such as application of bandages to wounds; it could include stitches, setting bones, and treatment of concussion.
- INCLUDE rape/sexual assault
- INCLUDE assaults resulting in death.

Number of assaults against facility staff Check if estimate

28. Between July 1, 2018, and June 30, 2019, how many physical or sexual assaults against another inmate(s) did inmates commit at this facility? Please only include assaults that took place on-site at this facility.

- a. With serious injury to other inmates? Check if estimate
- A *serious injury* restricts the inmate's usual activity. This type of injury requires immediate medical attention more extensive than first-aid, such as application of bandages to wounds; it could include stitches, setting bones, and treatment of concussion.
 - INCLUDE rape/sexual assault
 - INCLUDE assaults resulting in death.
- b. Without serious injury to other inmates? Check if estimate
- c. TOTAL (Sum of questions 28a and 28b) Check if estimate

29. Between July 1, 2018, and June 30, 2019, how many disturbances occurred at this facility?

- A *disturbance* is an incident brought about by inmate action that results in loss of control of the facility or a portion of the facility and requires extraordinary measures to regain control.
- A *loss of control* is defined as a situation in which inmates are acting in concert to disrupt facility operations and refuse to comply with lockdown orders.
- Examples of *extraordinary measures* include sending in a significant number of staff or the tactical response team, firing of shots, use of gas, etc.

Number of disturbances Check if estimate

30. Does this facility have a perimeter and barriers such as walls to keep prisoners from leaving or surveillance methods such as guard towers, perimeter patrols, and electronic monitoring devices to detect those attempting to escape?

- Yes \longrightarrow 30a. How many escapes occurred from this secure facility between July 1, 2018, and June 30, 2019?
- No \longrightarrow Go to question 31

- *Escape from a secure facility* occurs when a prisoner breaches the last line of security. If a prisoner clears the first fence of a double-fenced facility but not the second, it is not an escape. Those who clear the second fence, even if apprehended on prison grounds, have escaped.

Number of escapes Check if estimate

31. Between July 1, 2018, and June 30, 2019, how many inmates walked away while on work detail, medical appointment, court appearance, work release, or furlough and, as a consequence, were officially recorded as AWOL?

- *Walkaway prisoners* leave custodial supervision outside a secure institution while on detail, during transportation, medical visit, or court appearance and are recorded as AWOL. Inmates who return late from furlough or other temporary release should be counted as walkaways, not escapees.

Number of walkaways Check if estimate

Section V — FACILITY PROGRAMS

32. As of June 30, 2019, what types of work assignments were available to inmates in this facility?

Mark (X) all that apply.

- Prison industries (e.g., license plates, wood product, textiles)
- Facility support services (e.g., office and administration work, food services, and building maintenance)
- Farming/agriculture
- Public works assignments — inmates work outside the facility and perform road, park, or other public maintenance work
- Other — Specify:
- None

33. As of June 30, 2019, what types of counseling or special programs were available to inmates in this facility? Mark (X) all that apply.

- Drug dependency/counseling/awareness
- Alcohol dependency/counseling/awareness
- Psychological/psychiatric counseling
- HIV/AIDS counseling
- Sex offender counseling
- Anger management
- Employment (e.g., job seeking and interviewing skills)
- Life skills and community adjustment (including personal finance, conflict resolution, etc.)
- Parenting/child-rearing skills
- Canine training
- Victim services (provided to inmates who have been victims of crime prior to or during their incarceration)
- Other — Specify:
- None

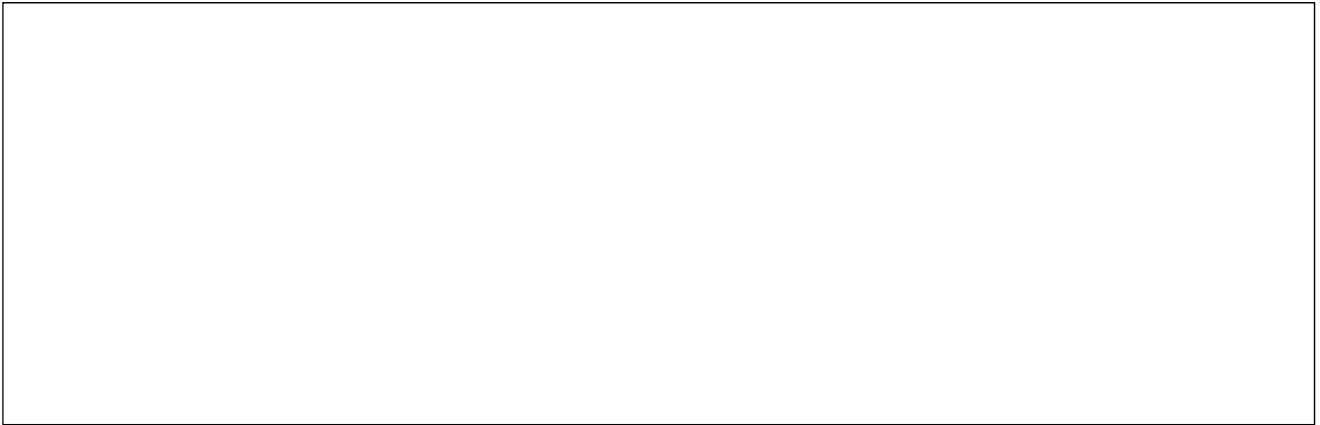
34. As of June 30, 2019, what types of educational programs were available to inmates in this facility?

- INCLUDE only formal programs.
- EXCLUDE unscheduled activities and informal programs.

Mark (X) all that apply.

- Literacy training or other lower adult basic education (ABE) — first- to fourth-grade level
- Upper basic adult education — fifth- to eighth-grade level
- Secondary education or High School Equivalency/GED
- Special education (e.g., programs for inmates with learning disabilities)
- English as a second language (ESL)
- Vocational training (e.g., auto repair, drafting, and data processing)
- College courses
- Study release programs (i.e., release to community to attend school)
- Other — Specify:
- None

Please use the following space to provide any comments to clarify any of your responses or describe any challenges you had in providing a response.

A large, empty rectangular box with a thin black border, intended for the user to provide comments or describe challenges. It occupies the upper portion of the page below the instruction.