Supporting Statement A Pilot Records Improvement Act of 1996/Pilot Records Database OMB 2120-0607

There have not been any major changes to the Collection Instruments, other than those two items listed in (a), (b) and (c). There were minor edits to this document and information added where more clarity and accuracy could be achieved. A reduction in burden hours was realized by way of the following collection instruments being removed:

- (a)The MyAccess Registration input form (Web based collection instrument #9) has been removed because it is now being addresses under OMB Control Number 2120-0808.
- (b)Form 8060-10 (FAA Records Request (PRIA)) is no longer being used and therefore removed as a collection instrument. As of December 7, 2021, operators are required to use the PRD in lieu of this form.
- (c)FAA form 8060-10a, 8060-11 and 8060-11a have been identified as being effective until October 1, 2024, after this date, they will no longer be used as a collection instrument. This is occurring due to PRIA Termination under 49 USC 44703.

Added Table 3 which highlights the reportable information that must be entered more frequently than quarterly by a respondent.

- 1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection.
- a. Title 49 United States Code (49 U.S.C.) § 44703(h): Records of Employment of Pilot Applicants, which was established by the Pilot Records Improvement Act of 1996 (PRIA), mandates that air carriers who have been issued a part 119 air carrier certificate and are authorized to conduct operations under Title 14 of the Code of Federal Regulations (14 CFR) part 121 or part 135 as well as part 125 and 135 operators, request and receive FAA records, air carrier and other operator records, and the National Driver Register records before allowing an individual to begin service as a pilot. Additionally, fractional ownerships operating in accordance with subpart K of part 91 are required to complete a pilot safety background check before allowing an individual to begin service as a pilot (reference § 91.1051). Furthermore, air tour operators operating in accordance with § 91.147 are required to obtain an individual's previous drug and/or alcohol testing records before allowing an individual to begin service as a pilot. All requestors are heretofore referred to as "operators."
- b. Title 49 U.S.C. § 44703(h)(8) also requires the Administrator to promulgate standard forms for use by the air carrier in order to: request the records; inform the individual who is the subject of the request; obtain the individual's written consent; and, inform the individual of the individual right of that individual to receive a copy of any records furnished in response to the request. A summary of the PRIA/PRD form numbers, titles, and purpose is provided in Table 1.

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c. Section 203 of the Airline Safety and Federal Aviation Administration Extension Act of 2010 (Pub. L. 111-216, 124 Stat. 2348) (the Act), codified at 49 United States Code 44703(i) require the FAA to establish an electronic pilot records database. The Act requires air carriers to access the database and evaluate any relevant records maintained therein pertaining to an individual before allowing that individual to begin service as a pilot. In addition, the database must be populated with records maintained by the FAA as well as records maintained by air carriers and other employers of pilots. At a minimum, air carriers and operators employing pilots must report "records that are generated by the air carrier or other person after [August 1, 2010]" as well as "records that the air carrier or other person [was] maintaining, on [August 1, 2010]," pursuant to § 44703(h)(4). In order to meet this mandate, the FAA developed a phased approach to implementing the PRD.

The FAA Extension, Safety, and Security Act of 2016 (Public Law 114-190), Section 2101 requires the FAA to establish the Pilot Records Database no later than April 30, 2017. Therefore, a subsequent phase of PRD was expedited and deployed prior to April 30, 2017 that included several enhancements and permits proxies to access the application on behalf of an air carrier. The final phase of PRD, the air carrier portion of the database, was implemented via rulemaking which was published in January 2021.

Table 1: Summary of PRIA/PRD Forms and other Data Collection Tools

FAA Form Number or identifier	FAA Form Title	Purpose	Active Period		
8060-11*	Air Carrier and Other Records Request	FORM NOT COLLECTED BY FAA. A hiring air carrier requests to receive a copy of the current and/or previous employer's records on the consenting individual's performance as a pilot.	Present – Oct 1, 2024		
8060-11A*	Airman Notice and Right to Receive Copy – Air Carrier and Other Records	FORM NOT COLLECTED BY FAA. An airman requests to receive a copy of the records provided by current and/or previous employer's to a hiring air carrier in response to FAA Form 8060-11.	Present – Oct 1, 2024		
8060-12**	Authorization for Release of DOT Drug and Alcohol Testing Records Under PRIA and Maintained Under Title 49 of the Code of Federal Regulations (49 CFR) Part 40	FORM NOT COLLECTED BY FAA. A hiring air carrier requests to receive a copy of the current and/or previous employer's records on the consenting individual's drug and alcohol testing.			
8060-13	National Driver Register Records	FORM NOT COLLECTED BY FAA. A hiring air carrier	Indefinitely		

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	Request (PRIA)	requests the National Driver Register to search state driving records on a consenting individual.	
8060-14	Pilot Consent/Revocation for Air Carrier Access to Pilot Records Database	In cases where a pilot cannot access the Pilot Record Database themselves, the pilot may use this form to release their records to an air carrier via the PRD.	Indefinitely
8060-15	PRD Pilot Record Dispute	This form is used by pilots to dispute non-FAA records found in the PRD when the pilot is unable to dispute the record themselves via the web site.	Indefinitely
Web based collection instrument #1	Drug and Alcohol Records input form	A web page on the PRD web site which allows authorized users to enter Drug and Alcohol Records into the PRD.	Indefinitely
Web based collection instrument #2	Training, Qualification, and Proficiency Records input form	A web page on the PRD web site which allows authorized users to enter Training, Qualification, and Proficiency Records into the PRD.	Indefinitely
Web based collection instrument #3	Final Disciplinary Action Records input form	A web page on the PRD web site which allows authorized users to enter Final Disciplinary Action Records into the PRD.	Indefinitely
Web based collection instrument #4	Verification of Motor Vehicle Driving Record Search and Evaluation input form	A web page on the PRD web site which allows authorized users to enter Verification of Motor Vehicle Driving Record Search and Evaluation into the PRD.	Indefinitely
Web based collection instrument #5	Date of Hire input form	A web page on the PRD web site which allows authorized users to enter the Date of Hire into the PRD.	Indefinitely
Web based collection instrument #6	Assignment to Duty Records input form	A web page on the PRD web site which allows authorized users to enter Assignment to Duty Records into the PRD. (optional)	Indefinitely

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Web based collection instrument #7	Separation of Employment Records input form	A web page on the PRD web site which allows authorized users to enter Separation of Employment Records into the PRD.	Indefinitely		
Web based collection instrument #8	Previous Employers input form	A web page on the PRD web site which allows pilots to enter their previous aviation employers into the PRD.	Indefinitely		
Web based collection instrument #9	REMOVED- (MyAccess Registration input form)	REMOVED	Discontinued as of Sep 18, 2022 (Ref. OBM # 2120-0808)		
Web based collection instrument #10	Pilot Consent form	A web page on the PRD web site which allows pilots to grant consent to reviewing entities.	Indefinitely		

^{*}Alternate form to be completed by the hiring air carrier before allowing an individual to begin service as a pilot if the records are not available via the PRD.

2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

With the exception of Form 8060-14 and -15, an operator utilizes the various 8060 series forms to report a request for the applicable records of all applicants for the position of pilot with their company as needed under PRIA. The information collected on these forms will be used only to facilitate search and retrieval of the requested records, and submission is mandatory until PRIA sunsets on Oct 1, 2024. Operators then "may use such records only to assess the qualification of the individual in deciding whether or not to hire the individual as a pilot." (49 U.S.C. § 44703(h)(11)). Form 8060-14 is used by pilots who cannot access the PRD themselves in order to release records to an operator, and its use is voluntary. While the FAA expects most pilots will access the PRD web-based application themselves and release records to operators for review, there will be cases when pilots cannot access the PRD application. In those cases, the pilot will complete the Form 8060-14 and submit to the FAA. The form instructs the FAA to access the PRD on behalf of the pilot and release the records to the specified operator(s). The form may also be used to revoke consent to the records, and update the pilot's employment history if needed. The information is collected on an as needed basis. The Form 8060-15 will also be used also used by pilots who cannot access the

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^{**} Required form to be completed by the hiring air carrier before allowing an individual to begin service as a pilot.

PRD themselves. This form allows a pilot to mark a record as disputed and to initiate the correction process for non-FAA forms.

The remaining "web-based" data collection tools are used by operators to enter various records into the PRD as required by part 111 by use of the online user interface (UI) or Application Programming Interface (API). Pilots also use the PRD web site to enter their previous aviation employers. The information entered is associated with a pilot, which is then made available to a hiring operator after the pilot grants consent allowing the records to be viewed. The hiring operator then uses the information to help them perform a comprehensive assessment of the pilot prior to making a hiring decision, as required by the Act. Unless supported by 49 USC 44703(i)(9)(B)(ii) Exception Clause, the FAA does not disseminate or disclose the information collected to the public or across governmental agencies.

This submission extends use of the previous collection instruments needed to facilitate part 111 and the associate record collections as well as the various administrative functions.

<u>Is the response mandatory, voluntary or other?</u>

Responding to this information request is mandatory as described in part 111 and the underlying statute for both PRIA and the PRD.

Who must respond to this collection?

The users of both PRIA and the PRD include pilots who hold commercial, airline transport, or remote pilot certificates; operators who have employed those pilots; and air carriers and operators who intend to hire those pilots. The following table shows which groups will be entering information using each collection instruments:

Table 2: Groups Entering Collection Instruments

Collection Instrument	Pilots	Previous and current employers of pilots	Air carriers and operators intending to hire the pilots
8060-10 [Discontinued]			
8060-10a [Until Oct 2024]	✓		
8060-11 [Until Oct 2024]	✓		✓
8060-11A [Until Oct 2024]	✓		
8060-12	✓		✓
8060-13	√		✓
8060-14	V		
8060-15	√	,	
Web based collection		✓	
instrument #1			
Web based collection		✓	
instrument #2			
Web based collection		✓	
instrument #3			
Web based collection			✓
instrument #4			
Web based collection		✓	

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instrument #5			
Web based collection		✓	
instrument #6			
Web based collection		✓	
instrument #7			
Web based collection	✓		
instrument #8			
Web based collection			
instrument #9			
[Discontinued]			
Web based collection	✓		
instrument #10			

Is this collection reporting, recordkeeping, or disclosure?

This information collection is primarily for third party disclosure as the information submitted is stored for later release to members of the public. There is also information collection for reporting which is for administrative functions within PRIA and PRD such as requesting information from the FAA or other functions performed with the system.

What is the frequency of the collection?

All of the collections occur on an as-needed basis.

What information is reported?

Primarily, the information reported includes relevant facts from the various types of pilot records¹. This includes the following types of records:

- Training, Qualification, and Proficiency Records
- Drug and Alcohol Records
- Final Disciplinary Records
- Employment History Records (provided by current and previous employers) which includes Assignment to Duty
- Employment History (provided by pilots)
- Verification of Motor Vehicle Driving Record Search and Evaluation

Additionally, various administrative information is reported such as when a pilot grants consent for a hiring employer to access the records.

Who receives the information?

The information reported from the previous and current employers into the PRD by the various web forms is maintained until at some point in the future when the pilot grants consent for a hiring employer to see the information. Information from the 8060-14, and -15 is submitted to the FAA to have the requests fulfilled. Information from the -11, -11A, and -12 is seen by the previous employers and instructs them to complete various administrative functions. Information collected via the -13 is received by the appropriate state department of motor vehicles. The remaining administrative web forms are used

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¹ The PRD does not contain actual pilot records submitted via the collection instruments. The PRD instead receives specific facts contained within the original records such as pilot name, date, training event, etc. These facts are entered by the previous and current employers using the appropriate collection instrument.

by the PRD system to perform various internal actions such as allowing a hiring employer to have access to certain records.

Describe the purpose of the collection?

Before allowing an individual to begin service as a pilot, an air carrier and other operators shall access and evaluate information, that has been collected, pertaining to the individual from the PRD database and PRIA forms for each pilot candidate prior to making a hiring decision.

If a revision, describe the revision:

Not Applicable, no collection was revised.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

The 8060 forms are available for downloading from the FAA's website for use by an operator and pilot. These forms are accepted through the United States postal service, mail carriers, facsimile, and electronic mail to receive requests from operator and third party customers. Once the FAA processes the forms, replies are returned to the requestor in the same manner as the request was received unless otherwise noted.

The FAA deployed a web-based online application called the Pilot Records Database (PRD) in December 2016 which benefits hiring operators and pilots required to comply with PRIA. This application automates the current PRIA process and provides an operator with immediate access to a consenting pilot's records. An operator would receive the following information that an individual has viewed and provided the FAA an electronic consent to release:

- previous employers that the individual served as a pilot;
- current airman certificates, associated ratings, and any limitations to the certificate or ratings;
- date and certificate grade sought for any failed attempt to pass a practical test required to obtain a certificate or type rating under part 61 of Title 14, Code of Federal Regulations (since August 2010);
- current medical certificate including its class and any limitations;
- closed enforcement information;
- accident and/or incident;
- drug and alcohol records entered by the FAA if applicable; and
- other records entered by previous employers related to that pilot.

A hiring operator is still required to obtain drug and alcohol records from certain current and/or previous employers, as well as search and evaluate the National Drivers Register using the consent to release records forms from Table 1.

The 8060 series printable forms are available at: https://www.faa.gov/forms/ Web based collection is available at: https://prd.faa.gov/

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The results of information collected is Not available to the public at large, however, all information which affects the operator or airmen directly is accessible to those parties through the internet.

4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.

The collection of certain pilot records is within the purview of the FAA. Title 49, United States Code, 44703(h) requires the FAA to establish standard forms for use by the operator in order to: request the records; inform the individual who is the subject of the request; obtain the individual's written consent; and, inform the individual of the individual right of that individual to receive a copy of any records furnished in response to the request. Additionally, Title 49, United States Code, 44703(i) requires the FAA to establish an electronic Pilot Records Database and phase-out PRIA. No other Federal agency has similar requirements.

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

This collection could impact small businesses; however, the burden is reduced by a provision which allows some operators who are required to report records to the PRD to only report those records when they have actually been requested by a hiring operator or other operator. This is different from operators who must report records within 30 days regardless of if a request has been received or not.

6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

Implementation of the information collection process, as facilitated by the PRIA/PRD forms, is statutorily mandated. Failure to collect and use the information collected may have a significant impact on air safety. Consequently, several NTSB recommendations related to air carrier accidents were issued to the FAA to facilitate the sharing of pilot records among operators. This information collection responds to those NTSB recommendations.

7. Explain any special circumstances that would cause an information collection to be conducted in a manner:

Title 49 U.S.C. § 44703(i)(5) requires the Administrator to maintain pilot records described in 49 U.S.C. § 44704(i)(2) for the life of the pilot.

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Additionally, 49 United States Code 44703(i) requires the FAA to establish an electronic pilot records database containing "records that are generated by the air carrier or other person after [August 1, 2010]" as well as "records that the air carrier or other person [was] maintaining, on [August 1, 2010]," pursuant to §44703(h)(4). In order to meet this mandate, operators are currently maintaining pilot records dating from August 1, 2005 until the records have been entered into the PRD.

The following table outlines the obligation of respondents to report information that is more often than quarterly. These timeframes are codified in CFR Title 14 Part 111:

Table 3: Information that is reportable more than quarterly

	·	t is reportable more than	`
Record Type	121, 125, 135	125M, 91, 91K, Air	PAC Exception
	(Certificate holders)	Tour, PAO	(Air Tour, PAO, 125M, 91)
PRD Hire Date	On PRD Hire Date	On PRD Hire Date	On PRD Hire Date
111.205(b)(3)	111.205(a)	111.205(a)	111.205(a)
Drug & Alcohol	Within 30 days of occurrence	Within 30 days of occurrence	Within 30 days of occurrence
111.22	111.215(a), 111.220(c)	111.215(a), 111.220(c)	111.215(a), 111.220(c)
Training, Qualification & Proficiency Records	Within 30 days of creation	Within 30 days of creation	Within 14 days of receiving request
111.225	111.215(a), 111.225(e)(1)	111.215(a), 111.225(e)(1)	111.215(b)(1), 111.225(e)(2)
Final Disciplinary Action Records	Within 14 days of receiving request.	Within 14 days of receiving request.	Reply within 14 days of receiving request if no record exists.
111.23	111.230(g)(2)	111.230(g)(2)	111.230(f)(2)
	Within 30 days of final	Within 30 days of final	Within 14 days of receiving request.
	111.215(a), 111.230(f)(1)	111.215(a), 111.230(f)(1)	111.230(f)(2)
			Within 30 days of final for action records which resulted in permanent or temporary removal of the pilot from aircraft operations 111.215(b)(1)(i), 111.230(e)
Final Employment Separation Record	Within 14 days of receiving request.	Within 14 days of receiving request.	Reply within 14 days of receiving request if no record exists.
111.235	111.235(g)(2)	111.235(g)(2)	111.235(f)(2)
	Within 30 days of final 111.215(a), 111.235(f)(1)	Within 30 days of final 111.215(a), 111.235(f)(1)	Within 14 days of receiving request. 111.235(f)(2)
			Within 30 days of final for action records resulting from a termination.
			111.215(b)(1)(ii), 111.235(e)
Verification of Motor Vehicle Driving Record	Within 45 days of Pilots PRD Hire Date	N/A	N/A
§ 111.240	111.240(a)	111.110(d)	111.110(d)

8. Provide information on the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the

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public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

Comments have not been received since a notice of intent to request renewal of the forms was published on pages 20749 and 20750 of the Federal Register, March 25, 2024, Vol. 89, No. 58 (89 FR 20749). No comments on the information collection were received.

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

No gifts or payments are provided for the reporting of records or access for purposes of evaluation of records through PRIA or in the PRD.

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.

Title 49 U.S.C. § 44703(h)(11) and (i)(9) provides that an air carrier may use such records only to assess the qualifications of the individual in deciding whether or not to hire the individual as a pilot. The air carrier is directed to take such actions as may be necessary to protect the privacy of the pilot and the confidentiality of the records. This holds true with the one exception of the FAA inspectors who, during the course of their normal duties for the FAA, cannot be denied access to the PRIA-related records of any operator for the purpose of surveillance or inspection. Additionally, regarding PRD, 49 USC 44703(i)(9)(B)(ii) contains an Exception Clause which allows the FAA to use the information collected when these specific circumstances arise:

- (I) deidentified, summarized information to explain the need for changes in policies and regulations:
- (II) information to correct a condition that compromises safety;
- (III) information to carry out a criminal investigation or prosecution;
- (IV) information to comply with section 44905, regarding information about threats to civil aviation; and
- (V) such information as the Administrator determines necessary, if withholding the information would not be consistent with the safety responsibilities of the Federal Aviation Administration.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

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This information collection does not collect information of a sensitive nature.

12. Provide estimates of the hour burden of the collection of information. The statement should:

The following wage assumptions apply to the analysis. These are based on the approved Regulatory Impact.

Employee benefit factor

o Private sector: 29.80% ²

<u>Calculations will use the following wage rates.</u>

o Human Resource Manager 3

Wage rate: \$74.39Fully-burdened wage rate: \$105.97

o Training and Development Manager 4

Wage rate: \$66.56Fully-burdened wage rate: \$94.81

o Pilot (non-flying) 5

Wage rate: \$34.60Fully-burdened wage rate: \$49.29

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² Employer cost of total employee compensation as a percentage of total compensation: News Release, March 24, 2024. Bureau of Labor Statistics, Employer Costs for Employee Compensation. p. 2, Private Industry Costs (https://www.bls.gov/news.release/pdf/ecec.pdf).

³ Occupational Employment and Wages, May 2023, 11-3121, Human Resources Managers, Bureau of Labor Statistics, Mean Hourly Wage Rate. https://www.bls.gov/oes/2023/may/oes113121.htm.

⁴ Occupational Employment and Wages, May 2023, 11-3121, Training and Development Managers, Bureau of Labor Statistics, Mean Hourly Wage Rate. https://www.bls.gov/oes/2023/may/oes113131.htm.

⁵ The FAA used a ground Instructor hourly wage rate as a proxy for the pilot non-flying wage rate.

Occupational Employment and Wages, May 2023, 13-1151, Training and Development Specialists, Bureau of Labor Statistics, Mean Hourly Wage Rate. https://www.bls.gov/oes/2023/may/oes131151.htm.

Number of active operators and pilots. The following information was obtained from the National Vital Information System (NVIS) and other sources and represents the total number of operators required to comply with PRD.

Table 4: Entities Required to Comply with PRD

Part 121	76
Part 135	2053
Part 125	70
Part 91K	7
Part 91.147 (Air Tour)	1091
Public Aircraft Operators	323
Corporate Flight Departments	1413
Pilots	17586
Total	22619

Pilot Records Database

The following tables present the burden to register for access to, and report records to the pilot records database. The principal sources for the following tables are the Regulatory Impact Analysis for the Pilot Records Database, Regulations.gov, Docket No: FAA-200-0246-0810 (PRD RIA) and the previous two immediately preceding Supporting Statements (Concluded dates 6/10/2021 and 12/12/2023).

Table 5: Pilot Burden to Apply for Database Access

Users Expected to Apply /Register	Number of Respondents (1)	Hourly Rate	Time to Register in hours	Average Hours per year	Average Costs per Year
Pilots	17,586	\$49.29	1/3	5,862	\$288,938

Note: 1. The (Concluded 6/10/2021) Supporting Statement also has initial (Year 1-2021) entries for Responsible Persons, Authorized Individuals, and Proxies Users. These users are defined in PRD RIA, p. 84, footnotes 81-83.

Sources: 1. No. of Respondents: The annual average number of pilots estimated to seek employment with a company over a 10-year period (PRD RIA, p. 85.).

2. Hourly Rate: Section 12, this Supporting Statement.

3. Time to Register: PRD RIA, p. 85.

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Table 6: Cost of Electronic Reporting of Present and Future Records

Operator Type (1)	No. of Respond -ents (2)	Initial Hours per operator respondents (3)	Total Initial Hours (4)=(2)x(3)	Initial Hourly Rate (5)	Initial Cost for Electronic Reporting	Annual Hours (7) = (2) x 20 hrs	Annual Cost (8) = (2) × \$1500
Small 121	51	20	1020	\$120	\$122,400	1,020	\$76,500
Mid-size 121	13	35	455	\$75	\$34,125	260	\$19,500
Large 121	4	400	1600	\$89	\$142,400	80	\$6,000
Total 121	68	455	3075		\$298,925	1360	\$102,000
Small 135	234	20	4680	\$120	\$561,600	4,680	\$351,000
Mid-size 135	2	35	70	\$75	\$5,250	40	\$3,000
Total 135	236	55	4750		\$566,850	4720	\$354,000
Small 125	18	20	20	\$120	\$43,200	360	\$27,000
Total 125	18	20	20		\$43,200	360	\$27,000
Part 91K	4	1897	7588	\$95	\$720,800	80	\$6,000
Total 91K	4	1897	7588		\$720,800	80	\$6,000
Total	326	2427	15433		\$1,629,775	6,520	\$489,000

Notes: 1. Operator size is by no. of employees: Small <1000, Mid-size 1000-5000, Large >5000.

Sources: PRD RIA, pp. 38, 42-43.

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^{2.}Initial Cost (Col. 6) is Year 1 (2021) one-time cost, so the burden of this cost is not included in the totals for this Supporting Statement.

^{3.} Annual Cost (Col, 8) is based on a \$1500 estimate of monitoring, troubleshooting, and modifying costs provided by a mid-size operator, used for all operators.

^{4.} Annual Hours is based on the \$75 hourly rate for mid-size Part 135 and Part 121 operators divided into the \$1500 operator annual cost estimate. This assumption was first made in the preceding Supporting Statement (Concluded 12/12/2023).

^{5.} Row and column totals may not sum due to rounding.

Table 7: Manual Record Entry Activity by Type Operator

	, 7. 1-1411441 N		Number of Events per Pilot							
Manual Record Entry Activity	Time per event (minutes)	Part 121	Part 135	Part 125	Air Tours	91K	PAO	Corp. Flight Dept.		
Training/checking events - per year (web- based collection instrument #2)	4.0	2.6	2.7	2.5	1	2.7	2.5	0.75		
Ground training - per year (web-based collection instrument #2)	4.0	1	1			1		1		
Drug and Alcohol per year (web-based collection instrument #1)	4.0	0.01	0.01		0.01	ı	-			
Verification of NDR Search (web-based collection instrument #4). See note (1)	0.5	0.031	0.031	0.031		0.031				
Separation events not including termination per year (web-based collection instrument #7)	4.0	0.0305	0.0305	0.0305	0.0305	0.0305	0.0305	0.0305		
Termination – per year (web-based collection instrument #7)	10.0	0.0005	0.0005	0.0005	0.0005	0.0005	0.0005	0.0005		
Disciplinary – per year (web-based collection instrument #3	10.0	0.01	0.01	0.01	0.005	0.01	0.005	0.005		
Disciplinary – per year resulting in removal (web-based collection instrument #3)	4.0	NA	NA	NA	0.005	NA	0.005	0.005		
Initial train/check (one time event for new pilots) (web-based instrument #2)	4.0	0.186	0.248	0.155	0.031	0.248	0.155	0.155		
Total number of events	44.5	3.868	4.030	2.727	1.082	4.02	2.696	1.946		

Notes: Public aircraft operators (PAO), air tour operators, and corporate flight departments are referred to as "PAC Operators." Of this group only air tour operators are required to report drug and alcohol records, and none of them are required to report NDR verification. (See PRD RIA, p. 21.) Source: PRD RIA, pp. 44-52. The data in this table reflect corrections and additions to the corresponding table in the Supporting Statement (Concluded 6/10/2021), made in Supporting Statement (Concluded 12/12/2023) using the same PRD RIA source.

Table 8: Manual Record Entry Activity by Job Classification

Manual Record Entry Activity	Web-Based Collection Instrument Number	Job Classification	Fully Burdened Hourly Wage Rate
Training/checking events - per year	#2	Training & Development Manager	\$94.81
Ground training - per year	#2	Training & Development Manager	\$94.81
Drug and Alcohol - per year	#1	Human Resources Manager	\$105.97
Verification of NDR Search	#4	Human Resources Manager	\$105.97
Separation events not including termination per year	#7	Human Resources Manager	\$105.97
Termination - per year	#7	Human Resources Manager	\$105.97
Disciplinary - per year resulting in removal	#3	Human Resources Manager	\$105.97
Disciplinary - per year	#3	Human Resources Manager	\$105.97
Initial train/check (one time event for new pilots)	#2	Training & Development Manager	\$94.81

Source of wage rates: Section 12 this Supporting Statement

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Table 9: Manual Record Entry Burden by Event (per pilot)

Operator Type	13	B5	12	21	12	25	Air	Tour	91	K	PA	0		p. Flt ept
Manual Record Entry Activity	Time	Cost	Time	Cost	Time	Cost	Tim e	Cost	Time	Cost	Time	Cost	Tim e	Cost
Training/checking events - per year	10.80 0	17.06 6	10.40 0	16.43 4	10.00 0	15.80 2	4.00 0	6.32 1	10.80 0	17.06 6	10.00 0	15.80 2	3.00	4.741
Ground training - per year	4.000	6.321	4.000	6.321	0.000	0.000	0.00	0.00	4.000	6.321	0.000	0.000	4.00 0	6.321
Drug and Alcohol per year	0.040	0.071	0.040	0.071	0.000	0.000	0.04 0	0.07 1	0.000	0.000	0.000	0.000	0.00	0.000
Verification of NDR Search	0.016	0.027	0.016	0.027	0.016	0.027	0.00	0.00	0.016	0.027	0.000	0.000	0.00	0.000
Separation events not including termination per year	0.122	0.215	0.122	0.215	0.122	0.215	0.12	0.21 5	0.122	0.215	0.122	0.215	0.12	0.215
Termination - per year	0.005	0.009	0.005	0.009	0.005	0.009	0.00 5	0.00 9	0.005	0.009	0.005	0.009	0.00 5	0.009
Disciplinary - per year resulting in removal	0.100	0.177	0.100	0.177	0.100	0.177	0.05 0	0.08 8	0.100	0.177	0.050	0.088	0.05 0	0.088
Disciplinary - per year							0.02 0	0.03 5			0.020	0.035	0.02 0	0.035
Initial train/check (one time event for new pilots)	0.992	1.568	0.744	1.176	0.620	0.980	0.12 4	0.19 6	0.992	1.568	0.620	0.980	0.00	0.000
Time cost burden per pilot (per year)	16.07 5	25.45 3	15.42 7	24.42 9	10.86 3	17.21 0	4.36 1	6.93 5	16.03 5	25.38 2	10.81 7	17.12 9	7.19 7	11.40 9

Notes: 1. Time is in minutes.

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^{2.} Column totals may not sum due to rounding.

^{3.} Calculation for Time: "Time per Event" (from Table 7) multiplied by the "Number of Events per Pilot" (from Table 7).

^{4.} Calculation for Cost: "Fully Burdened Wage Rate" (from Table 8) divided by 60 minutes, and then multiplied by "Time" (from Table 7).

Table 10: Manual Entry of Present and Future Records by Operator Type

Type Operator	Year	No. Respond- ents	No. Pilots	Time to Enter Pilot Records per Pilot (minutes)	Cost to Enter Pilot Records (per Pilot)	Total Hours	Total Cost
Part 121	4	8	277	15.43	\$24.43	71.2	\$6,767
Part 121	5	8	278	15.43	\$24.43	71.5	\$6,792
Part 121	6	8	281	15.43	\$24.43	72.3	\$6,865
Part 135	4	1817	13122	16.07	\$25.45	3514.5	\$333,955
Part 135	5	1817	13168	16.07	\$25.45	3526.8	\$335,126
Part 135	6	1817	13211	16.07	\$25.45	3538.3	\$336,220
Part 125	4	52	528	10.86	\$17.21	95.6	\$9,087
Part 125	5	52	528	10.86	\$17.21	95.6	\$9,087
Part 125	6	52	526	10.86	\$17.21	95.2	\$9,052
Part 91K	4	3	398	16.03	\$25.38	106.3	\$10,101
Part 91K	5	3	398	16.03	\$25.38	106.3	\$10,101
Part 91K	6	3	397	16.03	\$25.38	106.1	\$10,076
Totals - Part 121						215.0	\$20,423
Totals - Part 135						10579.7	\$1,005,300
Totals - Part 125						286.3	\$27,226
Totals - Part 91K						318.7	\$30,278

Notes: 1. Calculation for Total Hours: ("No. Pilots" multiplied by "Time to Enter Pilot Records per Pilot")/60 minutes.

Sources: 1. No. of Respondents: PRD RIA, Table 8, Count of Air Carriers/Operators/Pilots by Status of Electronic Database. p. 40.

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^{2.} Calculation for Total Cost: "No. Pilots" multiplied by "Cost to Enter Pilot Records per Pilot."

^{3.} Row and column totals may not sum due to rounding.

^{4.} Years 4-6 are 2024-2027 beginning 2024 mid-year.

^{2.} No. Pilots: PRD RIA--Part 121, p. 55; Part 135, p. 56; Part 125, p. 58; Part 91K, p. 62. These figures are forecasted updates of figures in Table 8. "Count of Air Carriers/Operators/Pilots by Status of Electronic Database".

^{3.} Time and Cost to Enter Pilot Records per Pilot: Table 9 this Supporting Document.

Table 11: Manual Entry of Present and Future Records for "PAC" Operators

	, ,												
(Air Tour Operators, Public Aircraft Operators, and Corporate Flight Departments)													
Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8	Col. 9	Col. 10	Col. 11	Col. 12	Col. 13	Col. 14
Type Operator	Annual (Years 4-6)	No. Re- spond -ents	No. Pilots	Time to enter Upon Request Records per Pilot (minutes)	Time to enter Disci- plinary Records per Pilot (minutes)	Cost to Enter Upon Request Records (per Piot)	Cost to Enter Disciplinary Records (per Pilot)	Total Time to enter Upon Request Records (Hours)	Total Time to enter Disci- plinary Record s (Hours)	Total Cost to Enter Upon Request Records	Total Cost to Enter Disci- plinary Records	Total Hours to enter Record S	Total Cost to Enter Records
Air Tour	4-6	1091	4772	4.266	0.095	\$6.199	\$0.145	2.198	4.894	\$192	\$447	7.092	\$639
PAO	4-6	329	2069	10.762	0.055	\$15.622	\$0.084	5.060	2.586	\$441	\$236	7.646	\$677
Corp. Flt Depts	4-6	1403	8570	7.142	0.055	\$10.371	\$0.084	33.639	2.591	\$2,931	\$237	36.229	\$3,167

Table Notes: Row totals may not sum due to rounding.

Calculations by column number are shown below:

Col. 5: From the "Time" column in Table 9 (by Type Operator): Row 10 - (Row 3 + Row 6 + Row 7).

Col. 6: From the "Time" column in Table 9 (by Type Operator): Row 3 + Row 6 + Row 7.

Col. 7: From the "Cost" column in Table 9 (by Type Operator): Row 10 - (Row 3 + Row 6 + Row 7).

Col. 8: From the "Cost" column in Table 9 (by Type Operator): Row 3 + Row 6 + Row 7.

Col. 9 and Col. 11: Air Tour Operators and PAOs only: The FAA assumes that each year 1% of pilots working for an air tour operator or a PAO will apply for employment as a pilot with an air carrier. Thus, the calculation is (Col. $4 \times 01 \times \text{Col} 5$)/60 minutes. The calculation for corporate flight departments is the same except that each year 10% of pilots working for a corporate flight department will apply for employment as a pilot with an air carrier.

Col.10: (Col. $4 \times$ Col. 6)/60 minutes.

Col. 12: (Col. 4 × Col. 8)

Col. 13: (Col. 9 + Col. 10)

Col. 14: (Col. 11 + Col. 12)

Table 12: Manual Entry of Present and Future Records for All Operators

(Table 10 + Table 11)

Type of Operations	Annual Hours	Annual Cost	Respond- ents	Average No. Pilots
Part 121	71.7	\$6,808	8	278.7
Part 135	3526.6	\$335,100	1817	13,167.0
Part 125	95.6	\$9,087	52	527.3
Part 91K	106.3	\$10,101	3	397.7
Air Tours	7.1	\$639	1091	3091
PAO	7.6	\$677	323	2821
Corporate Flights	36.2	\$3,167	1413	2826
Totals	3851.1	\$365,579	4707	23,108.7

Table 13: Annual Hourly Burden and Cost for Reporting Pilot Employment History

Number of Pilots	Hourly Rate	Time to Complete Employment History	Cost to Complete Employment History
17,586	\$49.29	2 minutes	\$28,894

Sources: 1. No. of Pilots: Annual average number of pilots estimated to seek employment with a company over a 10-year period (PRD RIA, p. 85.). Normally collected via web-based instrument #8.

2. Hourly Rate: See Section 12 of this Supporting Statement.

3. Time to Complete Employment History: PRD RIA, P. 86.

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Table 14: Annual PRD Burden

	No.		Anı	nual
	Respond	No. Pilots	Ave.	Ave.
Section	ents		Hours	Cost
	17,5			
§ 111.15 - Annual Registration Burden	86	17,586	5,862	\$28,894
§ 111.205 (a) Reporting Present and				
Future Records				
Electronic Reporting	326	94,580	6,520	\$489,000
Manual Data Entry				
	4707	23,008.3	3,851.1	\$223,093
111.310 Written Consent	17,5			
(Employment History)	86	17,586	5,862	\$28,894
Total	40,205	152,760.3	22,095.1	\$769,881

Note: Row and column totals may not sum due to rounding.

Table 15: RESERVED

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Sources--1. Annual Registration Burden: Table 5 of this Supporting Statement.

2. Electronic Reporting: No. of Respondents--Table 6 of this Supporting Statement, except number of pilots is from PRD RIA, Table 8. Count of Air Carriers/Operators/Pilots by Status of Electronic Database, p. 40.

^{3.} Manual Data Entry: Table 12 of this Supporting Statement.

^{4.} Written Consent: Table 13 of this Supporting Statement.

Summary of All Information Collections

The following table summarizes the paperwork burden for the PRIA and PRD.

Table 16: Summary of Associated Information Collections (average/year over 3 years)

Title	No. of Respondents	Ave. Annual Hours	Cost/hr	Total Cost
Form 8060-11 Air carrier records request	2129	15,660.47	\$49.29	\$771,905
Form 8060-11A Airman Notice and Right to Receive Copy	2129	10,213.35	\$49.29	\$503,416
Form 8060-12 DOT Drug Request	2129	13,617.80	\$105.97	\$1,443,078
Form 8060-13 NDR	2199	13,617.80	\$105.97	\$1,443,078
Form 8060-14 Pilot Consent	17586	416.00	\$105.97	\$44,084
Form 8060-15 Dispute form	17586	2.50	\$105.97	\$265
Drug and Alcohol records reporting form (web #1)	2129	106.62	\$105.97	\$11,299
Training, qualification, and proficiency records reporting form (web #2)	5033	280,958.46	\$66.56	\$18,700,595
Final Disciplinary Action records reporting form (web #3)	5033	61.08	\$105.97	\$6,473
NDR records reporting form (web #4)	2199	544.71	\$105.97	\$57,723
Date of Hire reporting form (web #5)	5033	20,532.00	\$105.97	\$2,175,776
Assignment to Duty records reporting form (web #6)	2199	13,146.27	\$105.97	\$1,393,110
Date of Separation reporting form (web #7)	5033	4,136.10	\$105.97	\$438,303
Employment History records reporting form (web #8)	17586	2,163.26	\$49.29	\$106,627
Pilot Consent form (web #10)	17586	10,191.50	\$49.29	\$502,339
Totals	105,589	385,367.92		\$27,598,070
Sources— 1. Wage rates: Supporting Statement, p, 11,				

13. Provide an estimate for the total annual cost burden to respondents or record keepers resulting from the collection of information.

There are no additional costs not already included in question 12.

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14. Provide estimates of annualized costs to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information.

Table 17: Federal Government Financial Burden*

Work Tasks	Annual Hours Federal Employee	Annua l Wages	Fully Burdened Annual Wages	No. Empl- oyees	% Of Time	Total Hours	Total Cost
Receiving & Sorting	2080	\$50,81 0	\$69,229	3	25%	1560	\$51,921
Examining & Processing	2080	\$50,81 0	\$69,229	3	25%	1560	\$51,921
Printing & Mailing	2080	\$50,81 0	\$69,229	3	25%	1560	\$51,921
Filing & Archiving	2080	\$50,81 0	\$69,229	3	25%	1560	\$51,921
Program Management	2080	\$66,44 7	\$94,622	2	50%	2080	\$94,622
Program Plans & Policy	2080	\$66,44 7	\$94,622	1	50%	1040	\$47,311
					Total s	9360	\$349,618

Sources: 2024 FAA Core Compensation, average of minimum and maximum annual E and G bands the District of Columbia

(https://www.faa.gov/jobs/working_here/benefits/pay/core_salary_with_conversion.xlsx), adjusted for benefits as a percentage of basic pay (36.25% per OMB Guidance

(https://www.whitehouse.gov/omb/information-for-agencies/memoranda/#memoranda-2008)

15. Explain the reasons for any program changes or adjustments.

Removed MyAccess Registration input form (Web based collection instrument #9). As of September 18, 2022, the MyAccess Registration form is now being handled under OMB Control Number 2120-0808. Therefore, all references to that Information Collection (IC) has been entirely remove from this document.

Form 8060-10 (FAA Records Request (PRIA)) is no longer being used and therefore removed as a collection instrument. As of December 7, 2021, operators are required to use the PRD in lieu of this form.

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FAA form 8060-10a, 8060-11 and 8060-11a have been identified as being effective until October 1, 2024, after this date, they will no longer be used as a collection instrument. This is occurring due to PRIA Termination under 49 USC 44703.

Added **Table 3** to illustrate the obligation of respondents to report information that is more often than quarterly. Those timeframes are codified in CFR Title 14 Part 111 and needed to be included.

16. For collections of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.

The results of this information collection will be provided to hiring operators with the consent of the individual seeking employment.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

The FAA is not seeking approval not to display the date of expiration of this information collection.

18. Explain each exception to the topics of the certification statement identified in "Certification for Paperwork Reduction Act Submissions."

There are no exceptions to the certification statement for this information collection.

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