

Application for Rockfish Cooperative Fishing Quota (CQ)

U.S. Dept. of Commerce/
NOAA National Marine Fisheries Service (NMFS)
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, AK 99802-1668
(800) 304-4846 toll free / (907) 586-7202 in Juneau
(907) 586-7354 fax



This application must be submitted annually by each Rockfish Cooperative
and received by NMFS **by March 1.**

- ◆ A Rockfish Cooperative that submits a complete application that NMFS approves will receive a CQ permit.
- ◆ The CQ permit will establish an annual amount of primary rockfish species, secondary species, and halibut prohibited species catch (PSC) based on the collective rockfish quota share (QS) of the License Limitation Program (LLP) licenses assigned to the rockfish cooperative by its members.
- ◆ A CQ permit will list the amount of CQ, by fishery, held by the Rockfish Cooperative, the members of the Rockfish Cooperative, LLP licenses assigned to that rockfish cooperative, and the vessels that are authorized to harvest fish under that CQ permit.

ATTACHMENTS

For the cooperative application to be considered complete, the following documents must be attached to the initial application (Resubmission required only if information in the documents has changed):

- ◆ A copy of the business license issued by the state where the Rockfish Cooperative is registered as a business entity
- ◆ A copy of the articles of incorporation or partnership agreement of the Rockfish Cooperative;
- ◆ A copy of the Rockfish Cooperative agreement signed by the members of the Rockfish Cooperative (if different from the articles of incorporation or partnership agreement of the Rockfish Cooperative)

NOTE: The articles of incorporation or cooperative agreement must specify that

- ◆ the Rockfish QS holders affiliated with Rockfish processors cannot participate in price setting negotiations, except as permitted by general antitrust law
- ◆ the Rockfish Cooperative has a monitoring program sufficient to ensure compliance with the Rockfish Program
- ◆ rockfish quota share holders who are members of the Rockfish Cooperative must ensure full payment of Rockfish cost recovery fees that may be due.

BLOCK A – ROCKFISH COOPERATIVE IDENTIFICATION

1. Rockfish Cooperative's Legal Name:

2. NMFS Person ID:

3. Type of business entity under which the cooperative is organized:

Cooperative Partnership Other (Please specify)

4. Date of Incorporation:	5. State in which the cooperative is legally registered as a business entity:	6. Name of Authorized Representative:
7. Permanent Business Address:		
8. Business Telephone Number:	9. Business Fax Number:	10. E-mail Address:

**BLOCK B – MEMBERS OF THE ROCKFISH COOPERATIVE
LLP Holder and Ownership Documentation**

1. Full Name:	2. NMFS Person ID:
	3. LLP Number(s):

Enter the names of all persons, to the individual level, holding an ownership interest in the LLP license(s) assigned to the rockfish cooperative and the percent of ownership each person and individual holds in the LLP license(s).

Name	% Ownership in LLP License

LLP Holder and Ownership Documentation

1. Full Name:	2. NMFS Person ID:
	3. LLP Number(s):

Enter the names of all persons, to the individual level, holding an ownership interest in the LLP license(s) listed above and the percentage ownership each person and individual holds in the LLP license(s). Attach additional pages as necessary.

Name	% Ownership in LLP License

LLP Holder and Ownership Documentation

1. Full Name:	2. NMFS Person ID:
	3. LLP Number(s):

Enter the names of all persons, to the individual level, holding an ownership interest in the LLP license(s) listed above and the percentage ownership each person and individual holds in the LLP license(s). Attach additional pages as necessary.

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LLP Holder and Ownership Documentation

1. Full Name	2. NMFS Person ID:
	3. LLP Number(s):

Enter the names of all persons, to the individual level, holding an ownership interest in the LLP license(s) listed above and the percentage ownership each person and individual holds in the LLP license(s). Attach additional pages as necessary.

Name	% Ownership in LLP License

BLOCK D – SHORESIDE PROCESSOR ASSOCIATE OF THE ROCKFISH COOPERATIVE

1. Processor Name:	2. NMFS Person ID:
3. Facility Name:	4. ADF&G Processor Code:
	5. Federal Processor Permit Number:

BLOCK E - CERTIFICATION OF COOPERATIVE AUTHORIZED REPRESENTATIVE

Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct.

1. Signature of Cooperative Authorized Representative:	2. Date Signed:
3. Printed Name of Cooperative Authorized Representative (<i>attach authorization</i>):	

BLOCK F - CERTIFICATION OF PROCESSOR ASSOCIATE

Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct.

1. Signature of Shoreside Processor Authorized Representative:	2. Date Signed:
3. Printed Name of Shoreside Processor Authorized Representative (<i>attach authorization</i>):	

PUBLIC BURDEN STATEMENT

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995 unless the information collection has a currently valid OMB Control Number. The approved OMB Control Number for this information collection is 0648-0545. Without this approval, we could not conduct this information collection. Public reporting for this information collection is estimated to be approximately 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. All responses to this information collection are required to obtain or retain benefits pursuant to 50 CFR part 679 and section 402(a) of the Magnuson-Stevens Fishery Conservation and Management Act (16 U.S.C. 1801, *et seq.*). This form is used by a Rockfish Program cooperative to annually apply for a rockfish cooperative fishing quota permit. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to Assistant Regional Administrator, Sustainable Fisheries Division, Alaska Region, NMFS, P.O. Box 21688, Juneau, AK 99802-1668.

PRIVACY ACT STATEMENT

AUTHORITY: The collection of this information is authorized by the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. 1801 *et seq.*

PURPOSE: NMFS uses the information provided on this application form to identify cooperatives eligible to participate in the Central Gulf of Alaska Rockfish Program; the license holders and vessels that are the members of each cooperative; and the person who is the authorized representative for the cooperative. In addition, NMFS uses the information on this application form as a basis for issuing annual cooperative quota for groundfish and halibut prohibited species to each eligible cooperative.

ROUTINE USES: Disclosure of this information is subject to the published routine uses identified in the Privacy Act System of Records Notice COMMERCE/NOAA-19, Permits and Registrations for the United States Federally Regulated Fisheries. NMFS posts some information from these application forms on its public website (www.alaskafisheries.noaa.gov), including the name of the authorized representative of the cooperative, the business address of the cooperative, and the names of the license holders who are members of the cooperative. In addition, NMFS may share information submitted on this application form with other State and Federal agencies or fishery management commissions, including staff of the North Pacific Fishery Management Council and Pacific States Marine Fisheries Commission. Other information is confidential under section 402(b) of the Magnuson-Stevens Act and NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

DISCLOSURE: Providing this information is required to obtain or retain benefits; however, the failure to provide complete and accurate information will prevent the determination of eligibility and annual issuance of Rockfish Program cooperative quota.

**Instructions for
APPLICATION FOR ROCKFISH COOPERATIVE QUOTA (CQ)**

Catcher vessel sector: Those rockfish eligible harvesters, who hold an LLP license without a catcher/processor designation with at least one rockfish legal landing that could, or does, generate rockfish quota share (QS). Catcher vessels may form a cooperative with other catcher vessels with an association with any shoreside processor located within the geographic boundaries of the City of Kodiak. No minimum number of License Limitation Program (LLP) licenses is required.

Catcher/processor sector: Those rockfish eligible harvesters, who hold an LLP license with a catcher/processor designation that is assigned at least one rockfish legal landing that could, or does, generate rockfish QS. Catcher/processers may form a cooperative with other catcher/processers. No minimum number of LLP licenses required.

Rockfish Program Species of the Central Gulf of Alaska	
<u>Rockfish Primary Species:</u> 1. Northern rockfish 2. Pacific Ocean perch 3. Pelagic Shelf rockfish	<u>Rockfish Secondary Species:</u> 1. Sablefish not allocated to the IFQ Program 2. Thornyhead rockfish 3. Pacific cod for catcher vessel sector 4. Rougheye rockfish for the catcher/processor sector 5. Shortraker rockfish for the catcher/processor sector

A Rockfish CQ permit is valid only until the end of the calendar year for which the CQ permit is issued.

GENERAL INFORMATION

Please allow at least 10 working days for your application to be processed. Items will be sent by first class mail, unless you provide alternate instructions *and* include a prepaid mailer with appropriate postage or corporate account number for express delivery.

Application forms are available on the NMFS Alaska Region website at <https://alaskafisheries.noaa.gov/fisheries/central-go-a-rockfish-program>

or by contacting NMFS at 800-304-4846, Option 2.

A completed application must be received by NMFS no later than 1700 hours, A.l.t., March 1 or if sent by U.S. mail, the application must be postmarked by that time. For applications delivered by hand delivery or carrier only, the receiving date of signature by NMFS staff is the date the application was received. If the application is submitted by fax, the receiving date of the application is the date stamped received by NMFS.

Objective written evidence of timely application will be considered as proof of a timely application.

This application may only be submitted to NMFS using the methods described below. Type or print legibly in ink; retain a copy of completed application for your records.

Complete application and submit:

by mail to: NMFS Alaska Region
Restricted Access Management (RAM)
P.O. Box 21668
Juneau, AK 99802-1668

by delivery to: Room 713, Federal Building
709 West 9th Street
Juneau, AK

by fax to: (907) 586-7354

If you need additional information, contact RAM at: (800) 304-4846 (Option #2) or

(907) 586-7202 (Option #2)

Print information in the application legibly in ink or type information.

Retain a copy of completed application for your records.

COMPLETING THE APPLICATION

ATTACHMENTS

Include all of the attachments with initial submission as required at 50 CFR § 679.81(f)(4)(i) and listed on page 1 of the application form. (Subsequent applications will only be required to resubmit documents if information has changed)

BLOCK A – ROCKFISH COOPERATIVE IDENTIFICATION

1. Rockfish cooperative's legal name
2. NMFS Person ID
3. Type of business entity under which organized
4. Date of Incorporation
5. State in which legally registered as a business entity
6. Name of the authorized representative
7. Permanent business address, including P.O. Box number or street address, city, state, and zip code
- 8-9. Business telephone number and fax number, including area code
10. Business e-mail address

BLOCK B – MEMBERS OF ROCKFISH COOPERATIVE (*LLP Holder and Ownership Documentation*)

(Copy this page to accommodate additional coop members)

1. Full name of member
2. NMFS Person ID
3. LLP license number(s)
4. List all persons, to the individual level, holding an ownership interest in the LLP(s) assigned to the rockfish cooperative and the percent of ownership each person and individual holds in the LLP license(s).

BLOCK C – IDENTIFICATION OF ROCKFISH COOPERATIVE MEMBER VESSELS

Provide a list of any vessels that may be used by the cooperative to harvest CQ during the year for which CQ is applied. This list may not be modified during the year for which the CQ permit is issued. For each vessel provide:

Vessel name

Alaska Department of Fish and Game (ADF&G) vessel registration number

U.S. Coast Guard (USCG) documentation number

BLOCK D – SHORESIDE PROCESSOR ASSOCIATE OF THE ROCKFISH COOPERATIVE

1. Processor name
2. NMFS Person ID
3. Facility name
4. ADF&G processor code
5. Federal processor permit (FPP) number

BLOCK E – CERTIFICATION OF COOPERATIVE

The Rockfish Cooperative's authorized representative must enter printed name, sign, and date the application certifying that all information is true and correct to the best of their knowledge and belief.

BLOCK F – CERTIFICATION OF PROCESSOR ASSOCIATE

The Processor Associate's authorized representative must enter printed name, sign, and date the application certifying that all information is true and correct to the best of their knowledge and belief.