

**Paperwork Reduction Act Statement:** Public reporting burden for this collection of information is estimated to be 20 minutes, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information to Food and Drug Administration (FDA) Office Operations, 3WFN, 11601 Landsdown Street, North Bethesda, MD 20852. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The control number for this project is 0910-0855.

Your participation/nonparticipation is completely voluntary, and your responses will not influence your eligibility for receipt of any FDA services. In instances where respondent identity is needed (e.g., for follow-up of non-responders), this information collection fully complies with all aspects of the Privacy Act and data will be kept private to the fullest extent allowed by law.

---

[Bracketed items are administrative notes and are not displayed.]

[CAPITALIZED, BRACKETED ITEMS ARE PROGRAMMER INSTRUCTIONS]

[Respondent population: Specific individuals - team leader/supervisors in state government who are either known- or believed - to be working in food/agriculture defense. Purposeful sampling will be applied.]

[USE NUMERALS FOR CODING RESPONSE OPTIONS, EXCEPT FOR QUESTION 2]

[IF POSSIBLE, PROGRAM SO THAT THE SURVEY IS ONLY AVAILABLE ON A PC.]

**FDA**  
**Food Safety Modernization Act**  
**National Agriculture and Food Defense Strategy**  
**State, Local, Tribal, and Territory Survey on Food Defense Activities**

(Please take survey on a PC.) This survey is about the food and agricultural defense activities your agency has engaged in *since January 2015* (or is planning to engage in). Survey results will be used to update the U.S. Congress about the current state of food and agriculture defense activities. Please read each item and respond to the best of your ability *about your agency's food or agriculture defense activities*. The survey will take about 20 minutes to complete.

1. Are you knowledgeable about your entity's or agency's food or agriculture defense activities?

1. Yes

0. No [TERMINATE SURVEY AND SHOW THE FOLLOWING: "Thank you but we are surveying individuals who are knowledgeable about your entity's or agency's food- or agriculture defense activities." SHOW FINAL SCREEN]

8. Don't know [TERMINATE SURVEY AND SHOW THE FOLLOWING "Thank you but we are surveying individuals who are knowledgeable about your entity's agency's food- or agriculture defense activities." SHOW FINAL SCREEN]

2. Please select your state, local, tribe, or territory from the drop-down menu. [ADD DROP-DOWN MENU OF US STATE, LOCAL, TRIBES, OR TERRITORY]

3. What is the major focus of your agency?

1. Agriculture
2. Environmental Protection
3. Natural Resources
4. Public Health
5. Other (specify) \_\_\_\_\_ [ALLOW THE "OTHER" SELECTION FOR Q3 AND PROVIDE SPACE FOR 200 CHARACTERS OF TEXT FOR THE SPECIFY PORTION. NAME THE SPECIFY PORTION AS QUESTION 3a.)

[ENSURE INSTRUCTIONS ARE ON A NEW SCREEN AND THAT ALL UNDERLINES, BOLDED, ITALICISED DISPLAY AS INDICATED BELOW.]

IMPORTANT INSTRUCTIONS – PLEASE TAKE YOUR TIME AND READ CAREFULLY

**The items on this survey are about activities related to food- and agriculture defense against intentional contamination.**

The items are broadly stated to allow for many different ways of interpreting and accomplishing them. To the best of your ability, please read each item in a way that is meaningful to your agency.

For example, when considering the first item, "Identify or assess potential security threats, risks, and vulnerabilities," your entity or agency *may* have 1) conducted site inspections specifically related to the item; 2) developed a checklist for the site visit; or 3) researched a list of specific and known threats for a commodity. Any of these would count as an activity that fits for this item. Your agency may have done- or is planning- an activity that would meet the definition for the item. If this is true, click under the appropriate response option.

**Please read each item carefully** and then indicate whether your agency "has done" this activity *any time after January 2015*," is "currently doing" this activity, or is "planning to do" this activity by clicking in the column under the response. If your entity or agency is not intending to do the activity or if the activity is not applicable to your entity or agency, please select "Not applicable." Please choose the best response as only one response per activity is accepted.

[DO NOT SHOW QUESTION NUMBERS OR NUMERICAL RESPONSE VALUES]

**Preparedness** – having the ability to prevent, protect against, respond to, and recover from an incident.

My entity or agency...	...has done this after January 2015 (but is not	...is currently doing this. [3]	...is planning to do this. [2]	...is not intending to do this [1]	Not sure or Don't know [8]	Not applicable to my agency [0]

	<b>currently doing).</b> [4]					
[G1.1] Identify or assess potential security threats, risks, or vulnerabilities						
[G1.2] Mitigate vulnerabilities						
[G1.3] Communicate with stakeholders about food defense						
[G1.4] Provide food defense training to stakeholders						
[G1.4] Develop or conduct exercises to test decontamination or disposal plans						
[7] Evaluate modeling tools to improve consequence assessment or decision support						
[8a] Prepare risk communication tools						
[8b] Attempt to increase public awareness through outreach						

**Detection** - the identification of an agent or its by-products; provides information needed to help make an informed decision on appropriate actions to prevent further spread of the agent and limit illnesses

<b>My entity or agency...</b>	<b>...has done this after January 2015 (but is not currently doing).</b> [4]	<b>...is currently doing this.</b> [3]	<b>...is planning to do this.</b> [2]	<b>...is not intending to do this</b> [1]	<b>Not sure or Don't know</b> [8]	<b>Not applicable to my agency</b> [0]
[9] Improve the speed of identifying contamination in food products						
[10] Conduct surveillance to prevent the spread of disease						

**Response** – focused on immediate and sustained actions to ensure the safety and availability of food and the containment of the threat to human and animal health and agriculture throughout the duration of an incident.

My entity or agency...	...has done this after January 2015 (but is not currently doing). [4]	...is currently doing this. [3]	...is planning to do this. [2]	...is not intending to do this [1]	Not sure or Don't know [8]	Not applicable to my agency [0]
[11a;3.1.1] Network with partners to develop new and better methods to detect, investigate, respond to, or control multi-state outbreaks of foodborne diseases						
[11b;3.1.2] Develop performance metrics to measure activities related to outbreak response, including laboratory surveillance, epidemiological interviews and investigations, and environment health						
[11c;3.1.3] Use performance metrics to demonstrate successes and identify gaps in the detection investigation, and control of enteric disease outbreaks						
[11d;3.1.4] Evaluate responses to outbreaks of disease - attributed to human or animal food outbreak response - to identify areas for improvement and successes.						
[11e;3.1.5] Evaluate animal and plant disease and pest outbreak responses to identify areas for improvement						

and successes.						
[11f;3.1.6] Strengthen animal and plant disease and pest response networks to facilitate response activities						
[12;3.2] Prevent additional human illnesses during a plant or animal disease outbreak (i.e., trace forward, trace back, recalls, cease operations)						
[12;3.2.1] Conduct pilot tests to foster innovative approaches to improve tracking and internal systems for product trace-backward and trace-forward, recalls and cessation of operations.						
[13;3.3] Organize & train plant, animal, and food emergency response teams						
[14;3.4] Design, develop, and evaluate training & exercises carried out under agriculture and food defense emergency response plans						
[15a;3.5.1] Conduct risk communication exercises with government officials for responding to food and agriculture incidents.						
[15b;3.5.2] Conduct risk communication exercises with stakeholders						

**Recovery** – Secure agriculture and food production after an agriculture or food emergency

My entity or agency...	...has	...is	...is	...is not	Not	Not applicable to
------------------------	--------	-------	-------	-----------	-----	-------------------

	done this after January 2015 (but is not currently doing). [4]	currently doing this. [3]	planning to do this. [2]	intending to do this [1]	sure or Don't know [8]	my agency [0]
[16;4.1] Work with the private sector to develop business recovery plans to rapidly resume agriculture, food production, or international trade following a plant or animal disease outbreak						
[17;4.2] Conduct exercises of response plans with the goal of long-term recovery results						
[18;4.3] Rapidly remove and effectively dispose of contaminated agriculture & food products and infected plants and animals						
[18;4.3.1] Develop or update protocols, guidance, or model plans for the management of waste from a food or agriculture emergency, including source reduction, waste minimization, waste segregation, waste estimation, recycling, transportation, or treatment and disposal options.						
[18;4.3.2] Provide technical assistance on proper waste						

management options to local, tribal or territorial government, the private sector, or other stakeholders.						
[18;4.3.3] Encourage the private sector to establish waste management plans						
[19;4,4] Decontaminate and restore areas affected by an agriculture and food emergency						

**20. Please indicate which of the following entities your agency has worked with on any of the above listed activities. Select all that apply.**

- a. Federal partners
- b. Other government (state, local, tribal, territorial /agencies or entities)
- c. Industry
- d. Academia
- e. Other (specify) \_\_\_\_\_

**Thank you very much.**

**Questions?**

**Please contact us at [FSMA108@FDA.HHS.GOV](mailto:FSMA108@FDA.HHS.GOV)**