

# NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 04/26/2024

Department of Health and Human Services  
Centers for Medicare & Medicaid Services

FOR CERTIFYING OFFICIAL: Jennifer Wendel  
FOR CLEARANCE OFFICER: Susan Little

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received  
04/02/2024

ACTION REQUESTED: Generic IC

IC TITLE: GenIC #81 (New): Improving Quality of Care and Outcomes Data for Pregnant Medicaid  
Beneficiaries and Newborn Infants through Linkage and Evaluation of VR, BC, DC, and TAF

ICR REFERENCE NUMBER: 202401-0938-016

AGENCY ICR TRACKING NUMBER: CMCS

TITLE: [Medicaid] Generic Clearance for Medicaid and CHIP State Plan, Waiver, and Program Submissions  
(CMS-10398)

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change

OMB CONTROL NUMBER: 0938-1148

The agency is required to display the OMB Control Number and inform respondents of its legal significance in  
accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 04/30/2024

DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	6,720	154,104	0
New	19,623	450,000	0
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	2,592	59,444	0
Change due to Agency Adjustment	10,311	236,452	0
Change due to PRA Violation	0	0	0

TERMS OF CLEARANCE: Terms of the Generic ICR remain in effect.

OMB Authorizing Official: Dominic J. Mancini  
Deputy Administrator,  
Office Of Information And Regulatory Affairs

List of ICs				
IC Title	Form No.	Form Name	CFR Citation	Hrs/\$/Resp
GenIC Inventory				79,962 / 0 / 6,720
GenIC #69 (New): Reporting Requirements for Additional Funding for Medicaid HCBS During the COVID-19 Emergency				1,344 / 0 / 616
GenIC #37 (Revised): Medicaid Managed Care Rate Development Guide (CMS-10398)				5 / 0 / 135
GenIC #71 (New): Reporting Requirements for State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services During the COVID-19 Emergency				640 / 0 / 160
GenIC #69 (Nonsubstantive Change): Reporting Requirements for Additional Funding for Medicaid HCBS During the COVID-19 Emergency				5 / 0 / 616
GenIC #72 (New): Expressions of Interest in the Infant Well-Child Visit Affinity Group	CMS-10398 #72	Expression of Interest Form		140 / 0 / 20
GenIC #72 (Nonsubstantive Change): Expressions of Interest in the Infant Well-Child Visit Affinity Group	CMS-10398 #72	Expression of Interest Form		5 / 0 / 20
GenIC #66 (New): Medicaid and CHIP COVID 19 Public Health Emergency Unwinding Reports	CMS-10398 #66, CMS-10398 #66, CMS-10398 # 66	Screenshots: Unwinding Data Report, State Renewals Report, Unwinding Data Report Workbook		14,224 / 0 / 896
GenIC #73 (New): Supplemental Payment Reporting under the Consolidated Appropriations Act, 2021	CMS-64, CMS-64, CMS-64	SPVNarr Mockup, Provider Info Mockup , Payment detail Form Mockup		3,240 / 0 / 216
#13 (Revision): Medicaid Accountability – Nursing Facility, Outpatient Hospital and Inpatient Hospital Upper Payment Limits	CMS-10398 #13, CMS-10398 #13, CMS-10398 #13, CMS-10398 #13, CMS-10398 #13	MACFIN_outpatient-upl-template, MACFIN_inpatient-upl-template, Guidance Questions: Outpatient, MACFIN_nursing-facility-upl-template, Guidance Questions: Inpatient, Guidance Questions: Nursing		5 / 0 / 54

List of ICs				
IC Title	Form No.	Form Name	CFR Citation	Hrs/\$/Resp
#24 (Revision): Medicaid Accountability – Upper Payment Limits for Clinics, Physician Services, ICF/IID, PRTFs, and IMDs	CMS-10398 #24, CMS-10398 #24, CMS-10398 #24, CMS-10398 #24, CMS-10398 #24, CMS-10398 #24, CMS-10398 #24, CMS-10398 #24, CMS-10398 #24, CMS-10398 #24	Guidance Questions_Master File_ICF IID, Guidance Questions_Master File_IMD, Guidance Questions_Master File_Physician, Guidance Questions_Master File_PRTF, MACFIN_physician-upl-template, MACFIN_mental-disease-upl-template, MACFIN_intermediate-care-upl-template, MACFIN_clinic-services-template, Standard Funding Questions, Guidance Questions_Master File_Clinic, MACFIN_prtf-upl-template		5 / 0 / 54
#74 (New): Coverage of Routine Patient Cost for Items & Services in Qualifying Clinical Trials	CMS-10398 #74, CMS-10398 #74, N/A	Att. 3.1.-B (Qualifying Clinical Trial Draft Preprint), Att. 3.1-A (Qualifying Clinical Trial Draft Preprint), Qualifying Clinical Trial Attestation Form		61 / 0 / 66
#75 (New): ARP 1135 State Plan Amendment	cms-10398 #75, CMS-10398 #75, cms-10398 #75, cms-10398 #75	Preprint Attachment 7.7C: COVID-19 Treatment , Template for Medicaid Section 1135 Waiver of SPA Submission Requirements, Preprint Attachment 7.7B: COVID-19 Testing , Preprint Attachment 7.7A: Vaccine and Vaccine Administration		168 / 0 / 56



List of ICs				
IC Title	Form No.	Form Name	CFR Citation	Hrs/\$/Resp
GenIC #64 (Revision) Medicaid Section 1115 Substance Use Disorder (SUD) Demonstrations: Federal Meta-Analysis Support	CMS-10398 #64, CMS-10398 #64, CMS-10398 (#64)	MCO Protocol with Instructions, Behavioral Health Stakeholder Interview Protocol with Instructions (Residential Providers), Behavioral Health Stakeholder Interview Protocol with Instructions (Non-Residential Providers)		5 / 0 / 90
GenIC #74 (Revised): Coverage of Routine Patient Cost for Items & Services in Qualifying Clinical Trials	CMS-10398 #74, CMS-10398 #74, n/a	Qualifying Clinical Trial Preprint (Attachment 3.1-B), Qualifying Clinical Trial Preprint (Attachment 3.1-A), HHS Qualifying Clinical Trial Attestation Form		5 / 0 / 66
GenIC #76 (New): Expressions of Interest in the Improving Maternal Health by Reducing Low-Risk Cesarean Delivery Affinity Group	CMS-10398 #76	Maternal and Infant Health Initiative Improving Maternal Health by Reducing Low-Risk Cesarean Delivery Learning Collaborative Affinity Group Expression of Interest Form		140 / 0 / 20
GenIC # 59 (Revision) - Medicaid Section 1115 Severe Mental Illness and Children with Serious Emotional Disturbance Demonstrations	CMS-10398. GenIC#59, CMS-10398. GenIC #59, CMS-10398. GenIC#59, CMS-10398. GenIC #59, CMS-10398. GenIC #59, CMS-10398. GenIC#59	Monitoring Protocol Template, Implementation Plan Template, Monitoring Report Template, Monitoring Report Workbook , Monitoring Protocol Workbook , Initial Availability Assessment		3,314 / 0 / 114
CHIPRA Connecting Kids to Coverage Outreach and Enrollment Grants (CMS-10398; GenIC #7)	CMS-10398 (GenIC#7), CMS-10398 (GenIC#7), CMS-10398 (GenIC#7), CMS-10398 (GenIC#7), CMS-10398 (GenIC#7)	CMS-10398_GenIC_7-CycleVaSAR508, CMS-10398_GenIC_7-CycleVbFinalSAR508, CMS-10398_GenIC_7-CycleVbMonthlyReport508, CMS-10398_GenIC_7-CycleVbMonthlyReportwAIANTarget, CMS-10398_GenIC_7-CycleVbMonthlyReportwT arget		10,102 / 0 / 1,973
GenIC #7 (Revision): Connecting Kids to Coverage Outreach and Enrollment	CMS-10398 #7, CMS-10398 #7, CMS-10398 #7, CMS-10398 #7	HK2022 and HK2023 Monthly Progress Report Template, HK2019 Monthly Progress Report with Targets Template, HK2019 and HK2020 Monthly Progress Report Template, Semi-Annual Report Template, Final Report Template		7,826 / 0 / 1,365
#79 (New): COVID-19 Risk Corridor Reconciliation Reporting Template	CMS-10398 #79	COVID-19 Risk Corridor Reconciliation Reporting Template		90 / 0 / 30
GenIC #37 (Revision): Managed Care Rate Setting Guidance				135 / 0 / 135

List of ICs				
IC Title	Form No.	Form Name	CFR Citation	Hrs/\$/Resp
GenIC #59 (Revision): Medicaid Section 1115 Severe Mental Illness and Children with Serious Emotional Disturbance Demonstrations	Attachment 4, Attachment 5a, Attachment 5b, Attachment 7a, Attachment 7b, Attachment 8a, Attachment 8b, Attachment 11f, Attachment 12g, Attachment 12h	Implementation Plan Template, Monitoring Report Template, Monitoring Report Workbook, Monitoring Protocol Template, Monitoring Protocol Workbook, Initial Availability Assessment, Annual Availability Assessment, Implementation Interview Protocol with Instructions, IMD Interview Protocol with Instructions, CMHC Interview Protocol with Instruction		1,708 / 0 / 168
GenIC #64 (Revision): Federal Meta-Analysis Support: Section 1115 Substance Use Disorder Demonstrations				405 / 0 / 340
[Medicaid] GenIC #34 (Revised): Model Application Template and Instructions for State Child Health Plan Under Title XXI of the Social Security Act, State Children's Health Insurance Program	CMS-10398 #34	Title XXI State Plan Template		160 / 0 / 40

List of ICs				
IC Title	Form No.	Form Name	CFR Citation	Hrs/\$/Resp
GenIC #17 (Revision): CHIP State Plan Eligibility	CS8, CS10, CS11, CS12, CS14, CS15, CS16, CS17, CS18, CS19, CS20, CS23, CS24, CS27 (Revised), CS28, CS29, CS7, CS9, CS13, CS21, CS3	Eligibility - Targeted Low- Income Pregnant Women, Eligibility - Children Who Have Access to Public Employee Coverage, Eligibility - Pregnant Women Who Have Access to Public Employee Coverage, Eligibility - Dental Only Supplemental Coverage, Eligibility - Children Ineligible for Medicaid as a Result of the Elimination of Income Disregards, MAGI-Based Income Methodologies, Other Eligibility Criteria – Spendedowns, Non- Financial Eligibility – Residency, Non-Financial Eligibility – Citizenship, Non-Financial Eligibility - Social Security Number, Non-Financial Eligibility - Substitution of Coverage, Non-Financial Requirements - Other Eligibility Standards, General Eligibility - Eligibility Processing, General Eligibility – Continuous Eligibility, General Eligibility - Presumptive Eligibility for Children, General Eligibility - Presumptive Eligibility for Pregnant Women, Eligibility - Targeted Low-Income Children, Eligibility - Coverage From Conception to Birth, Eligibility - Deemed Newborns, Non-Financial Eligibility - Non-Payment of Premiums, Eligibility for Medicaid Expansion Program		5 / 0 / 56
GenIC #37 (Revision): Managed Care Rate Setting Guidance				11 / 0 / 2
[Medicaid] GenIC #48 (Revised): Behavioral Health Clinic Quality Data Reporting	CMS-10398 #48	Quality Measurement Data Reporting Templates		231,272 / 0 / 1,009
[Medicaid] GenIC #45 (Revised): Certified Community Behavioral Health Clinic (CCBHC) 2024 State Proposal Demonstration Application	CMS-10398 #45, CMS- 10398 #45, CMS-10398 #45	Guidance on Addition of CCBHCs to Section 223 State Demonstration Programs, Criteria Compliance Checklist, Application and Guidance to Participate in the Section 223 CCBHC Demonstration Program		1,790 / 0 / 30

List of ICs				
IC Title	Form No.	Form Name	CFR Citation	Hrs/\$/Resp
[Medicaid] GenIC #43 (Revised): Certified Community Behavioral Health Clinic (CCBHC) Cost Report	CMS-10398 #43	CCBHC Cost Report		3,390 / 0 / 60
GenIC #81 (New): Improving Quality of Care and Outcomes Data for Pregnant Medicaid Beneficiaries and Newborn Infants through Linkage and Evaluation of VR, BC, DC, and TAF	CMS-10398 #81	Vital Records Data Elements - Mortality and Natality		104 / 0 / 104
Total Hours Actually Used for Information Collections Under Currently Approved ICR:				360,281