FCC 230 SPC-NEW Approved by OMB 3060-1028

#### FEDERAL COMMUNICATIONS COMMISSION

# Instructions for International Signaling Point Code Application Office of International Affairs

## SPC-NEW GENERAL INSTRUCTIONS

## **Purpose of Form**

This form is used to request that the Federal Communications Commission (Commission) assign an international signaling point code(s) (ISPC) for Signaling System No. 7 networks under the International Telecommunication Union's ITU-T Recommendation Q.708 (03/99). Under ITU-T Recommendation Q.708, an ISPC(s) can be assigned to signaling point operators by each Member State's designated Administrator in accordance with the rules and procedures of the Member State and ITU-T Recommendation Q.708.

The Commission is the administrator of ISPCs for the United States and administers all ISPCs. Through this form, the Commission will collect information concerning the proposed request for an ISPC and will determine whether to assign the requested ISPC. Every entity seeking an ISPC must fill out this form.

#### Who Must File This Form and When

A signaling point operator with its own switch that seeks to provide services over the international Signaling System No. 7 network must file an application to receive an ISPC assignment from the Commission.

## **Description of Form**

This form consists of a main form and the ability to file an attachment to support the request. The Applicant is encouraged to upload a single document in machine readable format, including all required information. The Applicant must fill in all required fields, upload required documents, make all required certifications, and sign the form before submitting the application.

#### **Information Current and Complete**

Information filed in the application with the Commission must be kept current and complete under section <u>1.65</u> of the Commission's rules. The Applicant or the party to the application must notify the Commission regarding any substantial and significant changes in the information furnished in the application while it remains pending. Under ITU-T Recommendation Q.708, the Applicant must inform the Commission of any change of information.

• **FCC Amendment Form**. To amend a submitted application, use a separate form, SPC-AMD.

#### **Applicable Rules and Regulations**

The requirements can be found in ITU-T Recommendation Q.708, which also lists relevant definitions and the criteria for the transfer of ISPCs.

• ITU-T Recommendation Q.708, SERIES Q: SWITCHING AND SIGNALLING Specifications of Signalling System No. 7 – Message transfer part (MTP), Assignment procedures for international signalling point codes, <a href="https://www.itu.int/rec/recommendation.asp?lang=en&parent=T-REC-Q.708-199903-I">https://www.itu.int/rec/recommendation.asp?lang=en&parent=T-REC-Q.708-199903-I</a>.

Applicants should refer to the Debt Collection Improvement Act of 1996. The Debt Collection Act requires all federal agencies ensure that no debtors to the Federal government obtain any licenses or other benefits from the FCC. To ensure this, the Commission must collect FRN information to correlate its Applicants with any outstanding Federal debt that they might have incurred in other dealings with the Federal government. For additional information, *see* the FCC's Debt Collection webpage, <a href="https://www.fcc.gov/licensing-databases/fees/debt-collection-improvement-act-implementation">https://www.fcc.gov/licensing-databases/fees/debt-collection-improvement-act-implementation</a>.

# **Other ISPC Forms**

- **ISPC Transfer Form.** ISPCs are not transferable between signaling point operators.
  - O In the case of a merger, acquisition, divestiture, or joint venture, signaling point operators must use a SPC-T/C form.
- ISPC Waiver Form.
  - o If needed, after an ISPC assignment is granted, the Applicant may file a waiver request by using a SPC-WAV form.
- ISPC Modification Form.
  - o If needed, after an ISPC assignment is granted, the Applicant may file a modification request by using a SPC-MOD form.

# FCC Notice Required By The Paperwork Reduction Act

We have estimated that each response to this collection of information will take 1.5 hours. Our estimate includes the time for in-house staff to read the instructions, look through existing records, gather and maintain the required data, and actually complete and review the form or response. If you have any comments on this burden estimate, or on how we can improve the collection and reduce the burden it causes you, please e-mail them to <a href="mailto:pra@fcc.gov">pra@fcc.gov</a> or send them to the Federal Communications Commission, AMDPERM, Paperwork Reduction Project (3060-1028), Washington, DC 20554. Please DO NOT SEND COMPLETED APPLICATIONS TO THIS ADDRESS.

The Applicant is not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number of if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060-1028. This notice is required by the Paperwork Reduction Act of 1995, P.L. 104-13, October 1, 1995, 44 U.S.C. Section 3507.

#### For Assistance

For technical assistance with completing the forms, contact the ICFS Helpline at (202) 418-2222 or ICFSINFO@fcc.gov. For general questions about the form requirements, contact the Office of International Affairs, Telecommunications and Analysis Division at FCC-OIA-TAD@fcc.gov or at (202) 418-1480.

#### FILING INSTRUCTIONS FOR MAIN SPC-NEW FORM

# **Applicant Information**

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<u>Item 1</u>. Enter the information requested. Some data will be pre-populated using the data associated with the Applicant's FCC Registration Number (FRN).

When the Applicant enters its FRN, the Applicant Information will pre-populate with its FRN data in CORES. To modify these pre-populated data, update the data associated with the FRN in CORES.

Enter any missing data and sections that are not already populated from CORES, such as the "Applicant/Licensee Legal Entity Type" or "Doing Business As (DBA)" name.

#### **Contact Information**

<u>Item 2</u>. Identify the contact representative, if different from the Applicant. The contact information can be imported automatically from CORES if the Applicant supplied an FRN, but fields are still editable.

If the contact representative is the same as the person indicated in Item 1, then check the box "Same as Applicant." If the contact representative is not the same as the Applicant, provide the requested information.

- Provide the name of a person in your organization, your outside counsel, or other representative
  whom we can contact if there are questions regarding your application. This person should have
  decisional authority over the contents of your application.
- Provide the Company name if different from the "Applicant" name in Item 1 or repeat "Company" name here.
- Provide the contact representative's address, phone number, fax number, and email.
- Provide your "Doing Business As (DBA)" name. If the Applicant is not operating its business using a DBA, you may leave this section blank.
- Indicate how the contact person is related to the Applicant by making a selection from the drop-down menu. For example, select "Legal Counsel" if the contact is the Applicant's counsel.

# **Brief Application Description**

<u>Item 3</u>. Provide a short description of what you are applying for and how many ISPCs you are requesting. For example, state "This is an application for one ISPC to be implemented in New York, NY and to be used to provide international telecommunications from the U.S. to Europe." This description will appear in the My Filings tab of your ICFS account page where all your applications are listed.

# **How many ISPCs is the Applicant Requesting?**

<u>Item 4</u>. Using a numerical digit (e.g., "1"), enter the number of ISPCs you are requesting.

## **ISPC Location and Implementation**

<u>Item 5</u>. Indicate the city (column 1) and state or territory (column 2) where you intend to use the ISPC(s) and the estimated date of network implementation (column 3). You must add a new row for each additional ISPC the Applicant is requesting even if the codes are to be used in the same city. If no estimated implementation date is provided in the filing, the application is considered incomplete.

#### **International Section 214 Authorization(s)**

<u>Item 6</u>. If the Applicant has an existing international section 214 authorization(s), please select "Yes" and enter the file number(s) here.

**Note:** If the Applicant has applied for an international section 214 authorization and that application is pending, enter the file number of that application and state that the international section 214 application is pending in the single attachment discussed in Item 9.

If the Applicant does not have and has not applied for an international section 214 authorization, check the "No" box and briefly describe how you intend to use an ISPC in the absence of an international section 214 authorization. A more thorough explanation can be included in your attachment regarding the nature of the use of the ISPC in the network and in the network diagram as discussed in Item 9 below.

## **Application Fees**

<u>Item 7</u>. An application fee is required for this form. If you are exempt from the application fee select "No." Otherwise, select "Yes."

Note that the FCC may not be able to start its review of a submitted application until the associated application fee is paid. To determine the required fee amount, refer to Subpart G of Part 1 of the Commission's Rules (47 CFR Part 1, Subpart G) and the current Fee Filing Guide. The current Fee Filing Guide can be downloaded from the FCC's website at \_

https://www.fcc.gov/licensing-databases/fees/application-processing-fees, by calling the FCC's Form Distribution Center at (800) 418-FORM (3676), or from the FCC's Fax Information System by dialing (202) 418-0177.

<u>Items 6a and 6b</u>. If the Applicant is claiming a fee exemption it must select a reason in question 6a. An attachment demonstrating the Applicant's eligibility for exemption from FCC application fees must be submitted. If the reason is "Other," briefly describe your rationale in the text box provided. If a request for waiver/deferral of the FCC application fees has been filed with the FCC, provide the date-stamped copy of the request filed with the Commission's Office of the Managing Director as an attachment in Item 9. If the Applicant answered "Yes" to question 6, it must select the correct fee code here in question 6b. The fee code is DAN. Select this fee code.

#### **Waivers**

<u>Item 8.</u> Indicate whether this application includes a waiver request by checking "Yes" or "No." If "Yes," then in Item 8a. list the rule sections/ITU-T Recommendation Q.708 requirements that you seek to have waived.

With any waiver request, attach a statement specifying the rule section(s) for which a waiver is being requested and include a justification for the requested waiver, along with other material information. Note that a waiver associated with the filing requirements for a new ISPC request must be submitted as part of the SPC-NEW form. A separate SPC-WAV application is not necessary. The Applicant may attach the waiver request statement by clicking the button in Item 8b or in the Attachments/Confidential Treatment of Attachments that follows.

#### Attachments

<u>Item 9</u>. Confirm by checking "Yes" that you have submitted an attachment to support the request. Provide a single attachment in a machine readable format. Below is additional explanation about each requested item.

- A statement regarding the nature of the use of the ISPC or multiple ISPCs in the network. The statement must describe:
  - o why the Applicant's PSTN network requires an ISPC, what has changed that requires an additional ISPC if you currently have an ISPC, or why you require multiple ISPCs if you are requesting more than one;
  - o what new services, service improvements, or expansion of new applications will be supported by the addition of the ISPC(s); and
  - o the approximate date when these services/applications will be implemented.
- A network diagram that shows how the ISPC(s) will be used. The network diagram must show:
  - o both sides of the ISPC location to ensure the Commission can identify how the ISPC(s) will connect to your existing network and the external network;
  - o sufficient detail to understand the network scope, geographic coverage, and the PSTN portions of the network; and
  - o the region(s) where the Applicant will use the ISPC(s) in its PSTN network (e.g., Europe, Latin America, Asia).
- A statement regarding the signaling point manufacturer/type.
  - O The statement must indicate the manufacturer, make, and model of the equipment that will use the ISPC(s) to provide the services/applications. You may include a weblink/URL to manufacturer's brochure.
- A statement regarding the physical address where the signaling point with ISPC(s) will be located.
  - O Provide the street address where the ISPC(s) will be implemented.
- A statement regarding the identification of at least one planned Message Transfer Part (MTP) signaling relation.
  - O The statement must include the name and address of distant signaling point, location of the distant signaling point, and/or the ISPC of distant signaling point, if known.

**Note:** Each document required to be filed as an attachment should be current as of the date of filing. Each page of every attachment must be identified with the number or letter, the number of the page, and the total number of pages.

<u>Item 10</u>. If the application includes a waiver request, indicate here by checking the box to indicate that the Applicant has uploaded a statement supporting the waiver request and identifying the rule number(s) involved, along with other material information. The box will not be clickable if you answered the waiver question (Item 8) "No."

# **Attachments/Confidential Treatment of Attachments**

<u>Item 11.</u> If the Applicant is requesting confidential treatment for any of its attachments, answer "Yes" to this question. Otherwise, answer "No." If the Applicant answers "Yes," then it must upload a supporting statement for the "confidential treatment request(s)" identifying the applicable rule(s) and providing other supporting materials or information. The Applicant must also upload both the Redacted Public version and the Non-Redacted Confidential version of the attachment(s) in the Attachments section which follows below.

The Applicant(s) can upload attachments in this section of the application. The Applicant(s) will identify the attachment(s) with a short name to easily identify the information included in each attachment.

Note: Each document required to be filed as an attachment should be current as of the date of filing. Each page of every attachment must be identified with the number or letter, the number of the page, and the total number of pages.

In this item, the Applicant may use the upload button to upload its attachments. After uploading, the Applicant can describe the attachment. Also after uploading, the Applicant can click the confidential treatment button next the attachment name if it so desires. Clicking the confidential treatment button will trigger a request to upload both a redacted, public version of the attachment, and a public supporting statement justifying the confidentiality request. Identify in the attachment the applicable rule(s) and provide other supporting materials or information. Documents designated for confidential treatment will not be publicly viewable in ICFS while the Commission considers the confidentiality request.

#### **General Certification Statements**

<u>Item 12</u>. Applicant must certify acknowledgement of all requirements listed here and elsewhere in this form by clicking on the single indicated checkbox. These include:

- **Certification regarding ISPC implementation.** The Applicant acknowledges that it is required to implement ISPC assignment(s) within 12 months of assignment.
- **Notification requirement**. All ISPC assignees must acknowledge their requirement to notify the Commission of the date the ISPC assignment(s) was implemented by filing a letter in the relevant IBFS file number(s) within twelve (12) months after grant of the assignment(s).
  - Note: Failure to provide timely notification will result in return of the ISPC assignment(s) the Commission.
- **Provisional assignment.** The Applicant must acknowledge that it is aware that grant of an ISPC is a provisional assignment and that no one has a property right in an ISPC(s).
- **Reclaiming ISPC(s).** The Applicant acknowledges that the Commission may reclaim an assigned ISPC(s) and reassign it.
- **Restriction on ISPC transfers.** The Applicant acknowledges that an ISPC cannot be transferred or is transferable between signaling point operators except in the case of a merger, acquisition, divestiture, or joint venture.

**Note:** ISPCs may not be sold, licensed, or traded by the signaling point operators. In the event of bankruptcy, the new buyer, who obtains the network from the bankruptcy court, needs to apply for a new code for the network instead of using the previous code from the defunct entity.

The Applicant is also acknowledging its requirement to notify the Commission of any such action by filing a notification letter in the relevant ICFS file number(s) within thirty (30) days of the action.

- **Section 5301 of the Anti-Drug Act of 1988 certification.** All applicants must certify that neither they nor any other party to the application is subject to a denial of Federal benefits, including FCC benefits pursuant to Section 5301 of the Anti-Drug Act of 1988, 21 U.S.C. Section 862, because of a conviction for possession or distribution of a controlled substance. *See* 47 CFR § 1.2002(b) for the meaning of "party to the application" for these purposes.
- Application is true and correct. All applicants must certify that all statements made in this
  application and in the attachment or documents incorporated by reference are material, are part of
  this application, and are true, complete, correct, and made in good faith.

## Party Authorized to Sign

<u>Items 13</u>. Enter all of the requested information. Willful false statements are punishable by fine and or/imprisonment (U.S. Code, Title 18, Section 1001). By signing this, you certify that you are a party authorized to sign and all statements made in this application and in the attachment or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Enter the title of the person signing the application. If the applicant is a corporation or other business entity, the person submitting the application must be an officer.

Do not enter the date in the last box. The date will be filled automatically upon submission of the application.

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001), AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, Section 312(a)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).