



National Oceanic and Atmospheric Administration
National Marine Fisheries Service
Greater Atlantic Regional Fisheries Office
55 Great Republic Drive
Gloucester, MA 01930

Initial Dealer Permit Application and Information

Enclosed is an initial dealer permit application for Federal Greater Atlantic Seafood Dealer. You need to complete the enclosed forms, and, if applicable, include additional documents. Please contact us at (978) 282-8438 if you have any permitting questions. This package includes:

- Permit application
- Owner form
- Application instructions

Why do you need a dealer permit?

You need to have a Greater Atlantic Region dealer permit to purchase lobster, shellfish, and fish from a federally permitted Greater Atlantic Region vessel or an HMS vessel harvesting Atlantic tunas. **Additionally, you must have a Greater Atlantic Region dealer permit in your possession when purchasing fish or shellfish from federal vessels.**

You will need a Greater Atlantic dealer permit if:

- You purchase any of the fish or shellfish listed below harvested from Federal waters (EEZ) or;
- You purchase Atlantic tunas harvested from Federal or State waters or;
- You purchase these fish or shellfish from a vessel issued a Greater Atlantic vessel permit.

List of managed species that require a Greater Atlantic Federal Dealer Permit

American lobster	Mid-Atlantic Forage Species continued -	Northeast Multispecies continued -
Atlantic bluefish	Cusk-eels	Red hake
Atlantic deep-sea red crab	Copepods, krill, amphipods, and any other species less than 1 inch as an adult	Silver hake (Whiting)
Atlantic hagfish		White hake
Atlantic herring	Greeneyes	Windowpane flounder (PROHIBITED)
Atlantic sea scallop	Halfbeaks	Winter flounder (Blackback)
Atlantic tunas -	Lanternfish	Witch flounder (Grey sole)
Bluefin tuna	Pearlsides	Yellowtail flounder
Bigeye tuna	Round herring	Northeast Skate complex
Albacore tuna	Sand lances	Barndoor skate
Yellowfin tuna	Scaled sardine	Clearnose skate
Skipjack tuna	Silversides	Little skate
Black sea bass	Spanish sardine	Rosette skate
Jonah Crab	Pelagic molluscs	Smooth skate
Mackerel, Squid, and Butterfish -	Monkfish	Thorny skate (PROHIBITED)*
Atlantic chub mackerel	Northeast Multispecies -	Winter skate
Atlantic mackerel	American plaice (dab)	Ocean quahog
Butterfish	Atlantic cod	Scup
Illex squid	Atlantic halibut	Spiny dogfish
Longfin squid	Atlantic Wolffish (PROHIBITED)	Summer flounder
Mid-Atlantic Forage Species	Haddock	Surfclam
Anchovies	Offshore hake	Tilefish
Argentines	Ocean pout (PROHIBITED)	Golden
Atlantic saury	Pollock	Blueline
Atlantic thread herring	Redfish (Ocean perch)	

Vessels and dealers cannot currently harvest or possess Atlantic wolffish, windowpane flounder or ocean pout from Federal waters. If you have any regulatory questions, please call the Greater Atlantic Region at 978-281-9315 or your area Port Agent, found here: <https://www.fisheries.noaa.gov/contact/port-agents-greater-atlantic-region>.

What are your reporting requirements?

Once you have an active dealer permit, you must submit weekly trip-level reports of all lobster, shellfish, and fish purchased or received. Processors must also submit the annual Processed Products report. Information about Federal dealer reporting requirements can be found at: <https://www.fisheries.noaa.gov/new-england-mid-atlantic/resources-fishing/dealer-reporting-greater-atlantic-region>

What about Highly Migratory Species (HMS)?

Weekly trip-level reporting is also required for all Atlantic HMS (swordfish, sharks, tunas). Purchases of Atlantic bluefin tuna must be reported within 24 hours. If you need further information on reporting purchases of Atlantic bluefin tuna, please go to: <https://www.fisheries.noaa.gov/webdam/download/59399897>. If you need further information on reporting purchases of bluefin tuna or other HMS, including bigeye, albacore, yellowfin, and skipjack (BAYS) tunas, sharks and/or swordfish, please call the eDealer line at (301) 427-8590.

What about importing or exporting seafood products?

The International Fisheries Trade Permit (IFTP) is necessary for importing and/or exporting tuna, swordfish, sharks, cod, blue crab, king crab, sea cucumbers, dolphinfish, red snapper, and grouper. Apply for the IFTP at: https://fisheriespermits.noaa.gov/npspub/pub_cm_n_login/index_live.jsp. Call (228) 549-1715 or (978) 281-9260 for information about trade of highly migratory species. For more information about importing other species that require the IFTP, see <http://www.iuufishing.noaa.gov/RecommendationsandActions/RECOMMENDATION1415/FinalRuleTraceability.aspx> or call 301-427-8301.

Ways to submit your application.

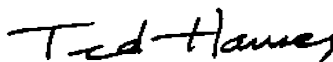
Incomplete applications will be returned to you. Please read the application instructions carefully. If you have questions on how to complete the application, call 978-282-8438. **Do not** submit duplicates of your application. (Submitting duplicates of your application may delay processing.) Please submit your application using **only one** of the following methods:

- Faxing to: (978) 281-9161; or,
- Emailing scanned forms to: NMFS.GAR.Permits@noaa.gov; or,
- Mailing forms to: NMFS Permit Office
55 Great Republic Drive Gloucester, MA 01930

You can now apply for dealer permits online using your Fish Online account, found here:

<https://www.greateratlantic.fisheries.noaa.gov/apps/login/svZBrJJgnkNFADSGOvbtMAC9IZjNeaudKxWI1OTDEKnQhNkSNW~MXOHZP64hLT7WVZ> and look for "Application Forms" in the left margin.

Sincerely,



Ted Hawes
Section Chief
Permits and Limited Access Programs

Enclosures

OMB# 0648-0202 – Expiration Date: October 31, 2022



National Marine Fisheries Service



Greater Atlantic Regional Office

Application Instructions Federal Greater Atlantic Seafood Dealer

Section 1 - Dealer Information

Provide the information requested. If you are applying for an Atlantic Mackerel or Atlantic Herring at Sea Processor permit, you must enter a vessel name and hull number where indicated and include a copy of the processor vessel's current Coast Guard Documentation or State Registration.

Section 1A - Additional Dealer Information

If your company or business purchases or receives regulated species at more than one location, each address must be listed on the application. Space is available on the back of the dealer permit application form for the required listing of additional addresses. You do not need a separate dealer number for the additional locations as long as the businesses at these locations are all the same business entity.

Section 2 - Selected Species

Place an 'X' next to each of the species for which you are requesting a Federal Fisheries Dealer Permit.

Chub mackerel and Mid-Atlantic forage species

To purchase chub mackerel from a federally permitted vessel, you must be issued a Greater Atlantic Region mackerel, squid, and butterfish dealer permit.

To purchase Mid-Atlantic forage species (see list above) from Federally permitted vessels from New York through North Carolina, you must be issued any of the Greater Atlantic Region dealer permits.

Section 3 - Applicant's Signature

Before submitting the application, carefully review the application form for accuracy and completeness. Sign and date the application.

Applying for a Permit in the Name of a Business

IMPORTANT: If you are applying for a permit in the name of a business, you must also include one of the following documents with your application:

- *A copy of the Certificate of Incorporation; or,
- *A copy of the Articles of Organization; or,
- *A copy of the Annual Report for the business.

If you do not include a copy of one of these documents, your application will be deemed incomplete, and it will be returned to you. If you have questions, you are **strongly urged** to call 978-282-9442 before submitting your application.

Important Additional Information about the enclosed Owner Form

All dealers, whether operating as a corporation, sole proprietorship, or individual must submit the enclosed Owner Form with the application. The Owner Form must list the full name of **every** individual who has an ownership interest in a corporation, partnership, or sole proprietorship (i.e. permit is not in the name of a corporation or a partnership, but may be a "DBA" – "Doing Business As") **If you do not include a completed owner form, your application will be deemed incomplete, and it will be returned to you.**

Owner Form – Section A: Write the dealer name (i.e. "Fish Shack Inc.", "DBA Bob's Fresh Fish", "John Smith" in the "Dealer or Vessel Name" box.

Owner Form – Section B:

- **If applying for a permit as a sole proprietor operating a D.B.A. (i.e. "Doing Business As"):** The person operating the D.B.A. is considered to be the "owner" and his or her name must be written in Section B of the owner form, and the "Owner" box must be checked.
- **If applying for a permit in the name of an individual:** You must write his or her name in both Section A and B on the owner form, and the "Owner" box must be checked.
- **If applying for a permit in the name of an unincorporated cooperative:** The person(s) in charge of the unincorporated cooperative are considered "owners" and his or her name(s) must be written in Section B of the owner form and the "Owner" box must be checked.
- **If applying for a permit in the name of a business that is owned by a parent company:** The person in charge of the parent company (i.e. company President, Director, C.E.O) is considered the "owner", and his or her name must be written in in Section B of the owner form, and the "Owner" box must be checked.

If you have questions, you are **strongly urged** to call 978-282-9442 before submitting your application.

OMB# 0648-0202 Expiration Date: 10/31/2022