Request for Approval under the "Generic Clearance for the Collection of Routine Customer Feedback" (OMB Control Number: 0651-0080)

TITLE OF INFORMATION COLLECTION: G407: IP5 Statistics Report Reader Survey

PURPOSE: This survey is a collaborative effort among the five largest intellectual property offices (the IP5 Offices), namely the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the China National Intellectual Property Administration (CNIPA), and the United States Patent and Trademark Office (USPTO), to learn more about the readers of the statistics report jointly published by these offices, namely the IP5 Statistics Report, their use of the information presented in the report and its annexes, and to identify possible improvements to better match reader needs.

DESCRIPTION OF RESPONDENTS:
Respondents will be IP5 Statistcs Report readers, who may reside anywhere in the world. They may have an interest in global patent metrics, or be patent filers themselves.

TYPE OF COLLECTION: (Check one or multiple)

[ ] Customer Comment Card/Complaint Form   [x] Customer Satisfaction Survey
[ ] Usability Testing (e.g., Website or Software   [ ] Small Discussion Group
[ ] Focus Group   [ ] Other: _ _____

CERTIFICATION:

I certify the following to be true:
1. The collection is voluntary.
2. The collection is low-burden for respondents and low-cost for the Federal Government.
3. The collection is non-controversial and does <u>not</u> raise issues of concern to other federal agencies.
4. The results are <u>not</u> intended to be disseminated to the public.
5. Information gathered will not be used for the purpose of <u>substantially</u> informing <u>influential</u> policy decisions.
6. The collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the future.

Business Unit: __International Patent Business Solutions   Jason Bell_____

To assist review, please provide answers to the following question:

Personally Identifiable Information:
1. Is personally identifiable information (PII) collected? [ ] Yes [x] No
    1a.   If Yes, is the information that will be collected included in records that are subject to the Privacy Act of 1974?   [ ] Yes [ ] No
2. If applicable, has a System of Records Notice been published? [ ] Yes [ ] No

Gifts or Payments:
Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? [ ] Yes [x] No

BURDEN HOURS

Name of Instrument	No. of Respondents	Participation Time	Burden
IP5 Statistics Report Reader Survey; Private sector	500	10 minutes	83 hrs
Totals	**500**	- - -	**83 hours**

The USPTO estimates that 100% of the surveys (small discussions, focus groups, etc.) will be conducted electronically.

FEDERAL COST: The estimated annual cost to the federal government is the combined hourly rate of $64.06 per hour for a GS-13 step 5 + 19.22 (30%) and $69.02 per hour for a GS-14 step 2 + 20.71 (30%), which totals an hourly rate of $173.01. $164.13 * 5 hours = **$865**

If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:

The selection of your targeted respondents
1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe?

                                        [x] Yes   [ ] No

If the answer is yes, please provide a description of both below (or attach the sampling plan)?   If the answer is no, please provide a description of how you plan to identify your potential group of respondents and how you will select them?

Repsondents will be those who choose to click on the link either in the IP5 Statistics Report or on the IP5 Statistics webpage. The JPO and CNIPA will provide the survey in their respective langauges. KIPO prefers to offer the survey in English. The EPO will likewise offer the survey in English, which is one the Office's standard operating languages.

Administration of the Instrument
1. How will you collect the information? (Check all that apply)
        [x] Web-based or other forms of Social Media
        [ ] Telephone
        [ ] In-person
        [ ] Mail
        [ ] Other, Explain: live poll software

2. Will interviewers or facilitators be used? [ ] Yes [x] No