Request for Approval under the "Generic Clearance for the Collection of Routine Customer Feedback" (OMB Control Number: 0651-0080)

TITLE OF INFORMATION COLLECTION: G408: Intellectual Property (IP) Community Pendency Survey

PURPOSE: This survey is aimed at understanding the needs of the Intellecutal Property (IP) community, and how the members of the IP community currently utilize pendency and Patent Term Adjustment (PTA) compliance rate information. Specifically, are pendency metrics useful for patent filing strategies, are metric differences between offices understood, are all pendency metrics helpful, and are there metrics not provided by IP5 offices that could be helpful for better understanding the patent process. The results of the survey will be used to assess if reports produced by the USPTO and jointly by the IP5 offices could be improved to better meet the needs of industry and the IP community.

DESCRIPTION OF RESPONDENTS:
Respondents will be individuals who are members of the IP community (e.g., industry groups, reseach organizations, law firms), and may reside anywhere in the world. They may have an interest in global patent metrics, or be patent filers themselves.

TYPE OF COLLECTION: (Check one or multiple)

[ ] Customer Comment Card/Complaint Form   [x] Customer Satisfaction Survey
[ ] Usability Testing (e.g., Website or Software   [ ] Small Discussion Group
[ ] Focus Group   [ ] Other: _ _____

CERTIFICATION:

I certify the following to be true:
1. The collection is voluntary.
2. The collection is low-burden for respondents and low-cost for the Federal Government.
3. The collection is non-controversial and does <u>not</u> raise issues of concern to other federal agencies.
4. The results are <u>not</u> intended to be disseminated to the public.
5. Information gathered will not be used for the purpose of <u>substantially</u> informing <u>influential</u> policy decisions.
6. The collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the future.

Business Unit: __International Patent Business Solutions_/Jason Bell/_____

To assist review, please provide answers to the following question:

Personally Identifiable Information:
1. Is personally identifiable information (PII) collected? [ ] Yes [x] No
    1a.   If Yes, is the information that will be collected included in records that are subject to the Privacy Act of 1974?   [ ] Yes [ ] No
2. If applicable, has a System of Records Notice been published? [ ] Yes [ ] No

Gifts or Payments:
Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? [ ] Yes [x] No

BURDEN HOURS

Name of Instrument	No. of Respondents	Participation Time	Burden
Intellectual Property (IP) Pendency Survey; private sector	500	10 minutes	83 hours
Totals	**500**	- - -	**83 hours**

The USPTO estimates that 100% of the surveys (small discussions, focus groups, etc.) will be conducted electronically.

FEDERAL COST: The estimated annual cost to the federal government is the combined hourly rate of $64.06 per hour for a GS-13 step 5 + 19.22 (30%) and $69.02 per hour for a GS-14 step 2 + 20.71 (30%), which totals an hourly rate of $173.01. $164.13 * 5 hours = **$865**

If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:

The selection of your targeted respondents
1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe?
                                                     [ ] Yes [x] No

If the answer is yes, please provide a description of both below (or attach the sampling plan)?   If the answer is no, please provide a description of how you plan to identify your potential group of respondents and how you will select them?

Outreach to repsondents will be conducted by sharing a weblink through email or a direct request at a professional conference or industry group meeting. Individuals and groups will be encouraged to circulate the survey link to people in their IP communities.

Administration of the Instrument
1. How will you collect the information? (Check all that apply)
       [x] Web-based or other forms of Social Media
       [ ] Telephone
       [ ] In-person
       [ ] Mail
       [ ] Other, Explain: live poll software

2. Will interviewers or facilitators be used? [ ] Yes [x] No