

Appendix E. Reporting burden and cost for
remaining Phase 2 data collection instruments - revised

The non-substantive change request approved by OMB in December 2020 requested approval to use a subset of Phase 2 instruments—Instruments 6, 7, 8, 10, and 11—with all programs selected for the NextGen Project and was approved in December 2020. Another change request, submitted to OMB in February 2021, requests approval to use additional Phase 2 instruments—Instruments 5 and 9. The estimated burden and cost for those instruments have been added to Supporting Statements A and B. This Appendix and Appendix Table E.1 have been updated to reflect the estimated burden and cost for the remaining Phase 2 instruments. These estimates will be revised and included in future non-substantive change requests when we seek approval to administer these instruments.

Details of the estimates for the remaining Phase 2 data collections are as follows:

- First and second follow-up surveys.** We expect to survey 10,000 *study participants* (1,000 participants per intervention) at two follow-up points. We anticipate an 80 percent response rate or 8,000 respondents to each survey, or 2,667 annualized over three years.¹ We expect each survey to last 50 minutes (0.83 hours), or a total of 2,214 annual burden hours.

Estimated Annualized Cost to Respondents

The total annual cost for the remaining data collection instruments in Phase 2 is estimated to be \$32,104. The total estimated cost figures are computed from the total annual burden hours and an average hourly wage for staff, participants, and employers. Hourly wage estimates were derived from the U.S. Bureau of Labor Statistics 2018 National Compensation Survey (http://www.bls.gov/oes/current/oes_stru.htm).

The average hourly wage of study participants is estimated to be \$7.25, the federal minimum wage.

Appendix Table E.1. Estimated reporting burden and cost for remaining Phase 2 data collection instruments

Instrument	No. of Respondents (total over request period)	Annual Number of Respondents	No. of Responses per Respondent (total over request period)	Avg. Burden per Response (in hours)	Annual Burden (in hours)	Average Hourly Wage Rate	Total Annual Respondent Cost
First follow-up survey – participants	8,000	2,667	1	0.83	2,214	\$7.25	\$16,052
Second follow-up survey –	8,000	2,667	1	0.83	2,214	\$7.25	\$16,052

¹¹ After achieving the anticipated response rate, we will cease active pursuit of additional responses through locating or outgoing calls. We will allow additional interested sample members to respond by keeping the system open to accept incoming online surveys or phone calls.

participants							
Estimated annual burden total					4,428		\$32,104

Estimated Annualized Costs to the Federal Government

The total cost to the Federal government for all Phase 2 data collection activities (inclusive of the Phase 2 instruments included in this change request as well as the remaining Phase 2 instruments) will be about \$13,220,800. Annualized costs to the Federal government will be about \$4,406,933 for the proposed data collection. These estimates of costs are derived from Mathematica’s budgeted estimates and include labor rates, direct costs, and tokens of appreciation for respondents (Appendix Table E.2).

Appendix Table E.2. Estimated annualized costs to the Federal Government for Phase 2 data collection

PHASE 2		
	Cost category	Estimated costs
	Instrument development and OMB clearance	\$782,400
	Field work	\$7,559,200
	Analysis	\$2,109,600
	Publications/dissemination	\$2,769,600
	Total costs over the request period	\$13,220,800
	Annual costs	\$4,406,933