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U.S. DEPARTMENT OF STATE
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Andrea Battista

Industry PortalApplicationsLearning ToolsSupport

DSP-5
Licensing Form

SaveValidatePrintExit

Start

DDTC Registration Code

Country of Ultimate Destination

Probable Port of Exit from U.S.

Applicant

U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignee/Freight Forwarder

Applicant's Statement

Application for Permanent Export of Unclassified Defense Articles, Related Technical Data, and Defense Services

Estimated Burden: 1 Hour

Paperwork Reduction Act Statement: Public reporting burden for this collection of information is estimated to average 1 hour per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (A/IIS/DIR) Washington, D.C. 20520.

Transaction Number *

Classified Information must NOT be included, or referred to, in the form.

Classified information must be sent separately to PM/DDTC in accordance with Defense Security Service guidelines. For issues that may pertain to classified information, contact the DDTC Response Team.

☐ Classified information is being sent under separate cover

Documents

Document Type	File Name
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Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

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Documents

Document Type	File Name
No documents found	

Upload Document

Document Type:

- Select -

Document to upload:

Choose File

No file chosen

Upload

Continue

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Electronic Form Version Number: 9.3

OMB Control No. 1405-0003

Expiration Date: 10/31/2021

Directorate of Defense Trade Controls

build 1.0.1.210

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DDTC Registration Code

Country of Ultimate Destination

Probable Port of Exit from U.S.

Applicant

U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignee/Freight Forwarder

Applicant's Statement

BLOCK 3

Country of Ultimate Destination

Select at least one Country of Ultimate Destination. For each Country of Ultimate Destination identified in Block 3, there must be at least one Foreign End-User identified in Block 14. If the commodity(ies) to be shipped include hardware, only one Country of Ultimate Destination may be selected. To add a country, select a country from the drop-down and click the add button. All countries selected will be displayed in the selected countries box. To remove any countries, click the red x button.

Add Destination Country

- Select Country -

+ Add

Selected Countries

No Countries Selected

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DDTC Registration Code

Country of Ultimate Destination

Probable Port of Exit from U.S.

Applicant

U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 4

Probable Port of Exit from U.S.

Enter the known or expected U.S. Customs port from which the defense article(s) will be exported. Up to two ports can be entered, each in a separate field.

Exit Port 1 *

Exit Port 2

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Battista, Andrea L BA

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Calibri (Body) 11 A Aa Font Paragraph Protection Styles Editing Voice

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Sensitivity: Not set Unclassified SBU SBU-Specified

Word document content:

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Start
✓ DECS Registration Code
Country of Ultimate Destination
Product Part of List from U.S.
Applicant
U.S. Government Personnel
Applicant Contact
Description of Transaction
Commodities
Foreign End User
Identification of Commodity
Foreign Consignee
Source of Commodity
Foreign Intermediate Consignee
U.S. Seller
Specific Payment
U.S. Consignee/Freight Forwarder
Applicant's Statement

KLICKS
Applicant
Provide all applicant information. If you are a subsidiary provide your parent company information in the Applicant Information and check the Subsidiary checkbox to provide your information.
Applicant is:
☐ Supplier
☐ Government
☐ Manufacturer
☒ Subsidiary of
Name
Subsidiary Exporter
Attention
Address
123 Happy Place

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DDTC Registration Code

Country of Ultimate Destination

Probable Port of Exit from U.S.

Applicant

U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 6

U.S. Government Personnel (not PM/DDTC) Familiar with Commodity

Enter an U.S. Government contact if applicable. To enter one or more U.S. Government contacts, click the "Add" button.

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✓ DDTC Registration Code

Country of Ultimate Destination

Probable Port of Exit from U.S.

Applicant

✓ U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 7

Applicant Contact for Additional Information

Provide all information for individuals who are familiar with the transaction and who can respond to questions from PM/DDTC or other government agencies. The individual(s) should be prepared to answer procedural and/or technical questions. To enter one or more applicant contacts, click the "Add" button.

+ Add

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Country of Ultimate Destination

Probable Port of Exit from U.S.

Applicant

U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 8

Description of Transaction

A. This application represents: *

☐ ONLY a completely new shipment

☐ ONLY the unshipped balance under license numbers

☐ B. This application has related license #

☐ C. This application is in reference to agreement #

D. If the commodity is being financed under *

☐ Foreign Military Sale

☐ Foreign Military Financing

☐ Grant Aid Program

☐ Not Applicable

E. This application is related to a disclosure filed with Defense Trade Controls Compliance *

☐ No

☐ Yes

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DDTC Registration Code

Country of Ultimate Destination

Probable Port of Exit from U.S.

Applicant

U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCKS 9 - 13

Commodities

Provide all required commodity information. At least one commodity must be identified. To enter one or more commodities, click the "Add" button.

Total Value *

\$0

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✓ DDTCC Registration Code

Country of Ultimate Destination

Probable Port of Exit from U.S.

Applicant

✓ U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 14

Foreign End-User

At least one foreign end user must be provided. If there are multiple countries of ultimate destinations (Block 3) there must be at least one foreign end-user for each country listed. To enter one or more foreign end-users, click the "Add" button

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Country of Ultimate Destination

Probable Port of Exit from U.S.

Applicant

✓ U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 15

Manufacturer of Commodity

At least one manufacturer of the commodity(ies) must be identified. More than one manufacturer of the commodity(ies) can be listed. To enter one or more manufacturers of the commodity(ies), click the "Add" button.

☐ Same as Block 5 ?

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Country of Ultimate Destination

Probable Port of Exit from U.S.

Applicant

U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 16

Foreign Consignee

At least one foreign consignee must be provided. More than one foreign consignee can be listed. To enter one or more foreign consignees, click the "Add" button.

☐ Same as Block 14 ⓘ

+ Add

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Applicant

✓ U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 17

Source of Commodity

At least one source of the commodity(ies) must be provided. More than one source of the commodity(ies) can be listed. To enter one or more sources of the commodity(ies), click the "Add" button.

☐ Same as Block 5 ?

☐ Same as Block 15 ?

+ Add

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Applicant

U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 18

Foreign Intermediate Consignee

If applicable, list all foreign intermediate consignees that may receive the goods for onward movement to the foreign consignee or foreign end user. Otherwise select the "None" checkbox. To enter one or more foreign intermediate consignees, click the "Add" button.

☐ None

+Add

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Applicant

U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 19

U.S. Seller

At least one U.S. seller must be provided. More than one U.S. seller can be listed. To enter one or more U.S. sellers, click the "Add" button.

☐ Same as Block 5

☐ Same as Block 15

☐ Same as Block 17

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Applicant

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Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 20

Specific Purpose

Select at least one:

☐ Off-Shore

☐ Request for Prior Approval (22 CFR 126.8)

☐ Brokering (22 CFR 129)

☐ Other (Please Provide Details)

Specific Purpose

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Applicant

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Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 21

U.S. Consignor/Freight Forwarder

At least one U.S. consignor/U.S. freight forwarder must be provided. More than one U.S. consignor/U.S. freight forwarder can be listed. To enter one or more U.S. consignor/U.S. freight forwarders, click the "Add" button.

☐ Same as Block 5

+ Add

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Applicant

✓ U.S. Government Personnel

Applicant Contact

Description of Transaction

Commodities

Foreign End-User

Manufacturer of Commodity

Foreign Consignee

Source of Commodity

Foreign Intermediate Consignee

U.S. Seller

Specific Purpose

U.S. Consignor/Freight Forwarder

Applicant's Statement

BLOCK 22

Applicant's Statement ⓘ

I, , an empowered official (22 CFR 120.25) or an official of a foreign government entity in the U.S., hereby apply for a license to complete the transaction described above; warrant the truth of all statements made herein; and acknowledge, understand and will comply with the provisions of 22 CFR 120-130, and any conditions and limitations imposed.

I am authorized by the applicant to certify the following in compliance with 22 CFR 126.13:

1. Neither the applicant, its chief executive officer, president, vice presidents, other senior officers or officials (e.g., comptroller, treasurer, general counsel) nor any member of its board of directors is:

a. the subject of an indictment for or has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or

b. ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government;

2. To the best of the applicant's knowledge, no party to the export as defined in 22 CFR 126.7(e) has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government; and

22 CFR 126.13 Certification *

a. ☐ I am authorized by the applicant to certify that the applicant and all the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above.

b. ☐ I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, is attached.

c. ☐ I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in Section 127.11 of the ITAR.

d. ☐ I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant and all of the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.

e. ☐ I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, and a letter from an

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Resume standard submission of applications, not requiring an exception to policy as described in Section 127.11 of the ITAR.

☐

d. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant and all of the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.

☐

e. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, and a letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13 are attached.

☐

f. I am not authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in 22 CFR 127.11 of the ITAR. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.

Compliance with 22 CFR 130 *

☐

This transaction does not meet the requirements of 22 CFR 130.2.

☐

This transaction meets the requirements of 22 CFR 130.2. The applicant or its vendors **have not** paid, nor offered, nor agreed to pay, in respect of any sale for which a license or approval is requested, political contributions, fees or commissions in amounts as specified in 22 CFR 130.9(a).

☐

The applicant or its vendors **have** paid, or offered, or agreed to pay, in respect of any sale for which a license or approval is requested, political contributions, fees or commissions in amounts as specified in 22 CFR 130.9(a). Information required under 22 CFR 130.10 is attached.

☐

I am not authorized by the applicant to certify the conditions of 22 CFR 130.9(a). Please see the attached letter for such certification.

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Submit to Empowered Official

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