

APPENDIX A

DSP-5 Instructions Summary of Changes

Note: Underlined text represents newly added or edited verbiage. *Italicized* text represents existing application sections or verbiage.

#	Block #	Block Title	Change
1	1	Application for Permanent Export of Unclassified Defense Articles, Related Technical Data, and Defense Services	<ul style="list-style-type: none"> Edited instruction verbiage under Transaction Number to: <i>Classified information must be sent separately to PM/DDTC in accordance with Defense Security Service <u>Defense Counterintelligence and Security Agency (DCSA)</u> guidelines. For issues that may pertain to classified information, contact the DDTC Response Team.</i>
2	3	Country of Ultimate Destination	<ul style="list-style-type: none"> Added definition of Country of Ultimate Destination: <u>This is the country where the commodities will ultimately be used or reside.</u>
3	5	Applicant	<ul style="list-style-type: none"> Added <u>Freight Forwarder</u> option under <i>Applicant Is</i>. Added clarifying instruction verbiage under <i>Subsidiary</i> checkbox: <i>Select if the applicant/requester is a subsidiary of the PM/DDTC registered company to which the PM/DDTC registration code belongs. <u>Note that the subsidiary must be listed on the registered company's DDTC registration in order to apply for the license.</u></i>
4	8	Description of Transaction	<ul style="list-style-type: none"> Added the following instruction verbiage under <i>Description of Transaction</i> to harmonize it with DSP-61: <u>Provide any related license numbers that apply to the sections below. When a previous license or agreement (Approved, Disapproved or RWAed) is identified, please provide a copy of the license/application/relevant pages of agreement including any proviso letters issued with your application. An electronic copy of the previous license, agreement or case can be attached to the "Precedent (identical/similar) Cases" document type.</u>
5	8A	Description of Transaction A. This application represents	<ul style="list-style-type: none"> Edited instruction verbiage for clarity: <i>Select whether this transaction is a completely new shipment or the unshipped balance under a previously issued license that has expired or is about to expire, <u>or a replacement license</u></i>

			and not all the articles on the license have been shipped.
6	8B	Description of Transaction B. This application has related license #	<ul style="list-style-type: none"> Removed instruction verbiage to harmonize it with DSP-61: <i>Select this check box if the application has related licenses/cases. Information on previous approvals, denials and RWAs will provide substantial and necessary history to ensure adequate and timely processing of your request.</i> Added instruction verbiage to newly added line <u>Was never licensed for this applicant</u> to harmonize it with DSP-61 and DSP-73: <u>Select if a license for this applicant has never been approved, approved with proviso(s) or denied for the defense article(s).</u>
7	8C	Description of Transaction C. This application is in reference to agreement #	<ul style="list-style-type: none"> Removed instruction verbiage to harmonize it with DSP-61: <i>Select if this application is in reference to (an) agreement(s).</i>
8	8D	Description of Transaction D. If the commodity is being financed under	<ul style="list-style-type: none"> Added instruction verbiage under the following three newly added radio buttons: <ul style="list-style-type: none"> International Military Education and Training <u>Enter the applicable International Military Education and Training case number. To enter additional case numbers, click the 'Add Case Number(s)' button.</u> U.S. Government Loan <u>Enter the applicable U.S. Government Loan case number. To enter additional case numbers, click the 'Add Case Number(s)' button.</u> U.S. Government Guarantee <u>Enter the applicable U.S. Government Guarantee case number. To enter additional case numbers, click the 'Add Case Number(s)' button.</u>
9	9-13	Commodities	<ul style="list-style-type: none"> Edited instruction verbiage under <i>Commodity</i> for clarity: <i>Provide a complete description to include model number, part number, military designation, etc. If the commodities are components or spare parts, identify the major end-item with which they are associated. When possible, indicate whether the commodity is new or used, and whether it is</i>

			<p><u>U.S. government surplus. If the commodity is technical data or defense services, include/list the USML hardware categories and subcategories that are related to the technical data and/or defense services.</u></p> <ul style="list-style-type: none"> Added instruction verbiage under newly added Item is MDE radio button: <u>Check the box if the commodity is Major Defense Equipment (MDE).</u>
10	14	Foreign End-User	<ul style="list-style-type: none"> Edited instruction verbiage under <i>Foreign End-User</i> for clarity: <i>At least one foreign end-user must be provided. If there are multiple countries of ultimate destinations (Block 3) there must be at least one foreign end-user for each country listed. However, if this request includes the export of hardware, only one end-user may be entered. To enter one or more foreign end-users, click the 'Add Entry' button.</i> Added new verbiage under <i>Name</i> for clarity: <i>The end-user is the entity that will take final possession of the commodity, regardless of foreign purchaser(s) and foreign intermediate consignee(s).</i> <u>Enter the full legal name of the foreign end-user. Do not include “subsidiary of” statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name. The end-user is the entity that will take final possession of the commodity, regardless of foreign purchaser(s) and foreign intermediate consignee(s). Note that foreign government entities must be identified, at a minimum, to the ministry-level equivalent (e.g., “Ministry of Defense of Lilliput”). Applications that only state “Government of (Country)” may be returned without action.</u> Added instruction verbiage under the newly added line End-User Type: <u>Select one of the following for entity type.</u>
11	15	Manufacturer of Commodity	<ul style="list-style-type: none"> Added instruction verbiage under <i>Name</i> for clarity: <u>Enter the full legal name of the manufacturer of commodity. Do not include “subsidiary of”</u>

			<p><u>statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name.</u></p>
12	16	Foreign Consignee	<ul style="list-style-type: none"> Added instruction verbiage under <i>Name</i> for clarity: <u>Enter the name of the foreign purchaser who will receive the shipment for storage, modification or for incorporation into another end-item, and for subsequent forwarding to the foreign end-user.</u> <i>Enter the <u>full legal name of the foreign purchaser who will receive the shipment for storage, modification or for incorporation into another end-item, and for subsequent forwarding to the foreign end-user.</u> Do not include “subsidiary of” statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name. The foreign consignee may be in a country other than the foreign end-user. Note that foreign government entities must be identified, at a minimum, to the ministry-level equivalent (e.g., “Ministry of Defense of Lilliput”). Applications that only state “Government of (Country)” may be returned without action.</i>
13	17	Source of Commodity	<ul style="list-style-type: none"> Added instruction verbiage under <i>Name</i> for clarity: <u>Enter the full legal name of the source of commodity. Do not include “subsidiary of” statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name.</u>
14	18	Foreign Intermediate Consignee	<ul style="list-style-type: none"> Edited instruction verbiage under <i>Name</i> for clarity: <u>A foreign intermediate consignee is an entity who will receive the goods for onward movement to the foreign consignee or foreign end-user without actually taking title to the goods. This includes foreign freight forwarders, customs brokers, agents or representatives, and brokers as defined in 22 CFR 129(a). A foreign intermediate consignee may be an agency or entity in a country other than the country of ultimate destination., such as a forwarder or broker who will be moving the commodity(ies) through that country en route to the destination country without actually taking title to the goods.</u>

			<p><i>International carriers need not be included. Do not include banks or common carriers unless there is a bill of lading involved that specified "To Order of (the bank or carrier)."</i></p> <p><u>Note that foreign government entities must be identified, at a minimum, to the ministry-level equivalent (e.g., "Ministry of Defense of Lilliput"). Applications that only state "Government of (Country)" may be returned without action.</u></p>
15	19	U.S. Seller	<ul style="list-style-type: none"> • Edited instruction verbiage for clarity: <u>At least one U.S. seller must be provided. More than one U.S. seller can be listed. To enter one or more U.S. sellers, click the "Add Entry" button. Enter the U.S. seller. Note that in most cases the applicant will be the U.S. seller and only one U.S. seller may be provided. To enter a U.S. seller other than the applicant, click the "Add Entry" button.</u> • Added instruction verbiage under <i>Name</i>: <u>Enter the full legal name of the U.S. seller. Do not include "subsidiary of" statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name.</u>
16	20	Specific Purpose	<ul style="list-style-type: none"> • Edited instruction verbiage under <i>Details</i> for clarity: <u><i>State the specific purpose for which the material is required. Provide a complete account of the specific purpose of the requested export. Simply stating, "For use in production of military aircraft" or "for resale" is inadequate. In order to provide rationale for the review and final U.S. Government determination, the specific details in this block should provide rationale for review and final determination describe the intended uses, end-users, and end-use platforms of the commodities involved in the transaction. If applicable, list the RWA license number.</i></u> • Instruction verbiage was added under the newly added End-Use System/Platform field: <u>List the systems and/or platforms for which the commodity will be used on, in, or with (as described in the Details section of this block).</u>

			<p><u>All intended end-use systems and/or platforms must be identified. If the commodity is a system, identify the end-use platforms. If the commodity is a component or part, then identify both the end-use system and platform. For example, if the commodity is a component/part going into a remote weapons system (RWS) which will in turn be installed on an armored vehicle, then both the RWS and the vehicle must be listed. If a commodity itself is the end-use platform (e.g., a night vision goggle), then list the commodity.</u></p>
17	21	U.S. Consignor/Freight Forwarder	<ul style="list-style-type: none"> • <u>Added instruction verbiage under <i>Name</i>: Enter the full legal name of the U.S. seller. Do not include “subsidiary of” statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name.</u>