Application/License for Temporary Import of Unclassified Defense Articles

Transaction Number

Enter your internal submission company identifier for this application.

Classified Information must NOT be included, or referred to, in the form.

Classified information must be sent separately to PM/DDTC in accordance with Defense Counterintelligence and Security Agency (DCSA) guidelines. For issues that may pertain to classified information, contact the DDTC Response Team.

□ Classified information is being sent under separate cover Select this field if you are going to send classified information that is pertinent to the application under separate cover to the DDTC in accordance with the DCSA guidelines.

BLOCK 2 DDTC Registration Code

Select your registration code. If no codes are displayed, confirm you have an active registration code prior to submitting a license application.

Registration Code

Select your registration code for this application.

BLOCK 3

Foreign Country from Which Shipped

Foreign Country from Which Shipped (drop down menu)

BLOCK 4

Probable Port of Entry into U.S.

Enter the known or expected U.S. Customs port from which the defense article(s) will be imported. Up to two ports can be entered, each in a separate field.

U.S. Port of Import 1

U.S. Port of Import 2

Country of Ultimate Destination

Select the Country of Ultimate Destination. This is the country where the commodities will ultimately be used or reside. The country of ultimate destination may be different from the country from which the commodities will be shipped into the United States (Block 3).

Foreign Country of Ultimate Destination (drop down menu)

BLOCK 6

Probable Port of Exit from U.S.

Enter the known or expected U.S. Customs port from which the defense article(s) will be exported. Up to two ports can be entered, each in a separate field.

U.S. Port of Export 1

U.S. Port of Export 2

BLOCK 7

U.S. Government Point of Contact (Optional)

Enter an U.S. Government contact if applicable. To enter one or more U.S. Government contacts, click the "Add Entry" button.

Name

The individual identified in this block must be an employee of the U.S. Government. Do not list an employee of the Directorate of Defense Trade Controls.

Agency

Select the Agency which the U.S. Government contact belongs to. If the appropriate agency is not available, select "Other." The "Please specify" field will appear for you to enter in the agency name.

BLOCK 8

Applicant

Provide all Applicant information. If you are a subsidiary provide your parent company's information in the Applicant information and check the Subsidiary checkbox to provide your information.

Applicant Is

Select the applicant's business type. Select either Government, Manufacturer, Exporter, or Freight Forwarder.

☐ Subsidiary

Select if the applicant/requester is a subsidiary of the PM/DDTC registered company to which the PM/DDTC registration code belongs. Note that the subsidiary must be listed on the registered company's DDTC registration in order to apply for the license.

Name (read-only)

The registrant's name is auto-populated based on the registration

Attention

Enter the first and last name of the person who is responsible for this application for the applicant.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

If Subsidiary is checked, then the following options show up

Subsidiary Information

The Subsidiary Information fields (Name, Attention, Address, City, State, Zip Code, Telephone Number and Extension) become required when the user selects the Subsidiary checkbox. When field becomes required, a response must be identified.

*Select a Subsidiary

Select a Subsidiary to populate the form below

Name

Subsidiary's name is auto-populated based on the registration

*Attention

Enter the first and last name of the person who is responsible for this application for the applicant.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

City

State

Zip Code

*Telephone			
Extension			

Applicant Point of Contact

Provide all information for individuals who are familiar with the transaction and who can respond to questions from PM/DDTC or other government agencies. The individual(s) should be prepared to answer procedural and/or technical questions. To enter one or more applicant contacts, click the "Add Entry" button.

*	N	am	e
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* Telephone

Extension

* Email

BLOCK 10

Description of Transaction

Provide any related license numbers that apply to the sections below. When a previous license or agreement (Approved, Disapproved or RWAed) is identified, please provide a copy of the license/application/relevant pages of agreement including any proviso letters issued with your application. An electronic copy of the previous license, agreement or case can be attached to the "Precedent (identical/similar) Cases" document type.

*A. This application represents

Select whether this transaction is a completely new shipment or the replacement of a previously issued license that is about to expire.

- o ONLY a completely new shipment
- o ONLY a replacement license of a previously issued license
 - *Enter applicable previous license number

B. The IDENTICAL commodity

NOTE: You must first select the appropriate check boxes under this section to add license no(s)

☐ Was licensed to the country in Block 3 under license numbers.

Select if there were previous licenses for the defense article(s) to the country in Block 3.

Related license numbers ☐ Was licensed to other countries under license numbers. Select if there were previous licenses for the defense article(s) to country(ies) not listed in Block 3. Related license numbers ☐ Was returned without action under voided license numbers. Select if a license was denied due to a voided license. *Related license numbers ☐ Was denied to the country in Block 5 under voided license numbers. Select if there were previous licenses for the defense article(s) denied to the country in Block 5 due to a voided license. Related license numbers ☐ Was never licensed for this applicant Select if a license for this applicant has never been approved, approved with proviso(s) or denied for the defense article(s). *C. This application is related to an agreement ☐ Not Applicable ☐ In furtherance of agreement number Agreement Number # ☐ In support of agreement numbers **Agreement Number #** *D. The commodity is being financed under ☐ Foreign Military Sale Enter the applicable Foreign Military Sale case number(s). To enter additional case numbers, click the 'Add Case Number(s)' button. *Case Number ☐ Foreign Military Financing Enter the applicable Foreign Military Financing case number(s). To enter additional case numbers, click the 'Add Case Number(s)' button. *Case Number ☐ Grant Aid Program Enter the applicable Grant Aid Program case number. To enter additional case numbers, click the 'Add Case Number(s)' button. *Case Number ☐ International Military Education and Training Enter the applicable International Military Education and Training case number. To enter additional case numbers, click the 'Add Case Number(s)' button.

*Case Number

U.S. Government Loan Enter the applicable U.S. Government Loan case number. To enter additional case numbers, click the 'Add Case Number(s)' button.
*Case Number
U.S. Government Guarantee
Enter the applicable U.S. Government Guarantee case number. To enter additional case numbers, click the 'Add Case Number(s)' button.
*Case Number
Not Applicable

E. This application is related to a disclosure filed with Defense Trade Controls Compliance?

Yes/No

*Enter Compliance Disclosure Number

BLOCKS 11-15 Commodities

Provide all required commodity information. At least one commodity must be identified. To enter one or more commodities, click the "Add Commodity" button.

Quantity Information

Quantity

Enter the exact number for each particular item or major component.

Unit Type

Select the appropriate unit of measure for the quantity of the commodity. Do not use terms like "lots" unless deemed appropriate and fully explained in the commodity description. "Lot" is generally only acceptable when used as a quantity for minor components or parts.

Commodity Description

Commodity

Provide a complete description to include model number, part number, military designation, etc. If the commodities are components or spare parts, identify the major end-item with which they are associated. When possible, indicate whether the commodity is new or used, and whether it is U.S. government surplus.

USML Category

Select the USML category and sub-category for the commodity. The USML, Part 121.1 in the ITAR, will assist you in identification of the category and sub-category for the commodity(ies) stated on your application.

USML Category (drop down menu)

USML Sub-Category (drop down menu)

\$ Value

Provide all required dollar value information in U.S. dollars only. Line item total is auto calculated based on the quantity multiplied by the unit price. The total value cannot exceed \$999,999,999,999.

Unit Price

Enter the unit price in U.S. dollars. Only enter the numeric value. Do not include currency symbols or commas.

Line Item Total

This field is auto calculated based on Quantity and Unit Price.

Total Value

This field is auto calculated based on the sum of all Line Item Totals.

BLOCK 16

Owner/End-User of Commodity in Foreign Country from Which Shipped

Only one end user can be entered.

Name

Enter the full legal name of the owner/end-user of commodity in foreign country from which shipped. Do not include "subsidiary of" statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name. Note that foreign government entities must be identified, at a minimum, to the ministry-level equivalent (e.g., "Ministry of Defense of Lilliput"). Applications that only state "Government of (Country)" may be returned without action.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

City

Country

Manufacturer of Commodity

At least one manufacturer of the commodity(ies) is required. To enter one or more manufacturers of the commodity(ies), click the "Add Entry" button."

☐ Same as Block 8

If the manufacturer(s) of the commodity(ies) is the same as the applicant, select the 'Same as Block 8' check box. If the 'Same as Block 8' check box is selected, the 'Unknown' check box and the 'Add' button become inactive.

Name

Enter the full legal name of the manufacturer of commodity. Do not include "subsidiary of" statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

City

Country

BLOCK 18

Consignor in Foreign Country from Which Shipped

At least one consignor is required. To enter one or more consignors, click on the "Add Entry" button.

Name

Enter the full legal name of the consignor in foreign country from which shipped. Do not include "subsidiary of" statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name. The consignor is the entity that will actually be shipping the commodity(ies) to the United States. Note that incomplete or imprecise addresses, or the use of P.O. Boxes, may result in the application being returned without action.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

City

Country

Source of Commodity

At least one Source of the commodity(ies) is required. To enter one or more sources of the commodity(ies), click the "Add Entry" button.

☐ Same as Block 8

If the source(s) of the commodity(ies) is the same as the applicant, select the 'Same as Block 8' check box. If the 'Same as Block 8' check box is selected, the 'Same as Block 17' check box and the 'Add' button become inactive.

☐ Same as Block 17

If the source(s) of the commodity(ies) is the same as the manufacturer(s) of the commodity(ies), select the 'Same as Block 17' check box. If the 'Same as Block 17' check box is selected, the 'Same as Block 8' check box and the 'Add' button become inactive.

Name

Enter the full legal name of the source of commodity. Do not include "subsidiary of" statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

City

Country

BLOCK 20

Foreign End-User in Country of Ultimate Destination

Only one end-user can be entered.

☐ Same as Block 16

If the End-User in Foreign Country of Ultimate Destination is the same as the Owner/End-User of Commodity in Foreign Country from Which Shipped, select the "Same as Block 16" check box.

Name

Enter the full legal name of the foreign end-user. Do not include "subsidiary of" statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name. The end-user is the entity that will take final possession of the commodity, regardless of foreign purchaser(s) and foreign intermediate consignee(s). Note that foreign government entities must be identified, at a minimum, to the ministry-level equivalent (e.g.,

"Ministry of Defense of Lilliput"). Applications that only state "Government of (Country)" may be returned without action.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

*City

*Country

*End-User Type:

Select one of the following for entity type.

- o Foreign Government Entity (e.g., a foreign armed force)
- o U.S. Government Entity (e.g., a U.S. Embassy overseas)
- o Non-Government Entity (e.g., a commercial entity or private individual)
- Other (if the entity does not fall under one of the other categories)

BLOCK 21

U.S. Intermediate Consignee

At least one U.S. intermediate consignee is required and one of the U.S. intermediate consignees must be the applicant or a subsidiary. The U.S. intermediate consignee is the U.S. entity who will perform overhaul/repair, modification/upgrade, and/or maintain control of foreign-manufactured goods at trade shows and demonstration; act as the transshipment agent; or the name of U.S. military bases/ranges where exercises will take place. To enter one or more U.S. intermediate consignee(s), click the "Add Entry" button.

☐ Same as Block 8

If the only U.S. intermediate consignee is the applicant, select the 'Same as Block 8' check box. If the 'Same as Block 8' check box is selected, the 'Add' button become inactive.

Name

Enter the full legal name of the U.S. intermediate consignee. Do not include "subsidiary of" statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

City

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Zip Code

BLOCK 22

Foreign Consignee in Country of Ultimate Destination

At least one Foreign Consignee must be provided. More than one Foreign Consignee can be listed. To enter one or more Foreign Consignee in Country of Ultimate Destination, click the "Add Entry" button.

☐ Same as Block 20

If the foreign end-user in the country of ultimate destination is the foreign consignee in country of ultimate destination, check the "Same as Block 20" box.

Name

Enter the full legal name of the foreign consignee. Do not include "subsidiary of" statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name. The foreign consignee in country of ultimate destination is the entity that will receive the shipment for storage, modification, or for incorporation into another end-item and subsequent forwarding to the foreign end-user. The foreign consignee may be in a country other than the foreign end-user. Note that foreign government entities must be identified, at a minimum, to the ministry-level equivalent (e.g., "Ministry of Defense of Lilliput"). Applications that only state "Government of (Country)" may be returned without action.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

City

Country

BLOCK 23

Specific Purpose

A. Select all that apply

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- ☐ Modification/Updated
- ☐ Other (Provide Details)

Details

Provide a complete account of the specific purpose of the requested temporary import. Simply stating, "For use in military exercise," "for transshipment," or "for space launch" is inadequate.

In order to provide rationale for the review and final U.S. Government determination, the specific details in this block should describe the intended uses, end-users, and end-use platforms of the commodities involved in the transaction. Additionally, specify if this is for a space launch occurring from the United States and reference any broader authorizations (e.g. technical assistance agreements) this transaction relates to. If applicable, list the RWA license number.

B. End-Use System/Platform

List the systems and/or platforms for which the commodity will be used on, in, or with (as described in the Details section of this block). All intended end-use systems and/or platforms must be identified. If the commodity is a system, identify the end-use platforms. If the commodity is a component or part, then identify both the end-use system and platform. For example, if the commodity is a component/part going into a remote weapons system (RWS) which will in turn be installed on an armored vehicle, then both the RWS and the vehicle must be listed. If a commodity itself is the end-use platform (e.g., a night vision goggle), then list the commodity.

C. Select One

This is a statement whether the material is being returned to the foreign country from which it is to be shipped (returned to country in Block 16) or involves a transshipment through the United States to a third country.

Return to country in Block 16
Transshipment to third country

BLOCK 24

Foreign Intermediate Consignee

If applicable, list all foreign intermediate consignees that may receive the goods for onward movement to the foreign consignee or foreign end-user. Otherwise select the "None" checkbox. To enter one or more foreign intermediate consignees, click the "Add Entry" button.

□ None

If there are no foreign intermediate consignees, select the 'None' check box. If the 'None' check box is selected, the 'Add' button becomes inactive.

Name

Enter the full legal name of the foreign intermediate consignee. Do not include "subsidiary of" statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name. A foreign intermediate consignee is an entity who will receive the goods for onward movement to the foreign consignee or foreign end-user without actually taking title to the goods. This includes foreign freight forwarders, customs brokers, agents or representatives, and brokers as defined in 22 CFR 129(a). The foreign intermediate consignee may or may not be in the same country as the foreign consignee or foreign end-user. Note that foreign government entities must be identified, at a minimum, to the ministry-level equivalent (e.g., "Ministry of Defense of Lilliput"). Applications that only state "Government of (Country)" may be returned without action.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

*City

*Country

Role

Enter a description of the role the foreign intermediate consignee has in this transaction.

BLOCK 25 Applicant's Statement

I, an empowered official (22 CFR 120.67) or an official of a foreign government entity in the U.S., hereby apply for a license to complete the transaction described above; warrant the truth of all statements made herein; and acknowledge, understand and will comply with the provisions of 22 CFR 120-130, and any conditions and limitations imposed.

I am authorized by the applicant to certify the following in compliance with 22 CFR 126.13:

- 1. Neither the applicant, its chief executive officer, president, vice presidents, other senior officers or officials (e.g., comptroller, treasurer, general counsel) nor any member of its board of directors is:
 - a. the subject of an indictment for or has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.6 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or
 - b. ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government;
- 2. To the best of the applicant's knowledge, no party to the export as defined in 22 CFR 120.68(a) has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.6 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government; and

22 CFR 126.13 Certification

a. I am authorized by the applicant to certify that the applicant and all the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above.

- b. I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, is attached.
- c. I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in Section 127.11 of the ITAR.
- d. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant and all of the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.
- e. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, and a letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13 are attached.
- f. I am not authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in 22 CFR 127.11 of the ITAR. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13