

DSP-73 - Application for Temporary Export of Unclassified Defense Articles, Related Technical Data, and Defense Services

BLOCK 1

Application for Temporary Export of Unclassified Defense Articles

***Transaction Number**

Enter your internal submission company identifier for this application.

Classified Information must NOT be included, or referred to, in the form.

Classified information must be sent separately to PM/DDTC in accordance with Defense Counterintelligence and Security Agency (DCSA) guidelines. For issues that may pertain to classified information, contact the DDTC Response Team.

- Classified information is being sent under separate cover
Select this field if you are going to send classified information that is pertinent to the application under separate cover to the DDTC in accordance with the DCSA guidelines.

Upload Document

Document Type

BLOCK 2

DDTC Registration Code

Select your registration code. If no codes are displayed, confirm you have an active registration code prior to submitting a license application.

***Registration Code**

Select your registration code for this application.

BLOCK 3

Departure and Return

***Select One**

- Single departure and return
 - Series of departures and returns
-

BLOCK 4

Specific Countries of Sojourn

Select the country to which the item(s) will be temporarily exported. At least one country is required with a corresponding Foreign End User entered in Block 22. To enter one or more countries of sojourn, click on the "Add" button.

***Add Country of Sojourn** (drop down menu)

BLOCK 5

Applicant

Provide all Applicant information. If you are a subsidiary provide your parent company's information in the Applicant information and check the Subsidiary checkbox to provide your information.

***Applicant Is**

Select the applicant's business type. Select either Government, Manufacturer, Exporter, or Freight Forwarder.

Subsidiary

Select if the applicant/requester is a subsidiary of the PM/DDTC registered company to which the PM/DDTC registration code belongs. Note that the subsidiary must be listed on the registered company's DDTC registration in order to apply for the license.

Name (read-only)

****The registrants name is auto-populated based on the registration****

***Attention**

Enter the first and last name of the person who is responsible for this application for the applicant.

***Address**

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

***City**

***State**

***Zip Code**

***Telephone**

Extension

****If Subsidiary is checked, then the following options show up****

Subsidiary Information

The Subsidiary Information fields (Name, Attention, Address, City, State, Zip Code, Telephone Number and Extension) become required when the user selects the Subsidiary checkbox. When field becomes required, a response must be identified.

***Select a Subsidiary**

Select a Subsidiary to populate the form below

Name

****Subsidiary's name is auto-populated based on the registration****

***Attention**

Enter the first and last name of the person who is responsible for this application for the applicant.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

City

State

Zip Code

***Telephone**

Extension

BLOCK 6

Probable Port of Exit from U.S.

Enter the known or expected U.S. Customs port from which the defense article(s) will be exported. Up to two ports can be entered, each in a separate field.

***Probable Port of Exit from U.S. 1**

Probable Port of Exit from U.S. 2

BLOCK 7

Probable Port of Entry into U.S.

Enter the known or expected U.S. Customs port in which the defense article(s) will enter the US. Up to two ports can be entered, each in a separate field.

***Probable Port of Entry into U.S. 1**

Probable Port of Entry into U.S. 2

BLOCK 8

Probable Date of First Exit from U.S.

Enter the known or expected date the defense article(s) will first exit the U.S. This date must be after today's date.

***Probable Date of First Exit from U.S.**

BLOCK 9

Probable Date of Final Entry into U.S.

Enter the known or expected date the defense article(s) will make their final entry into the U.S. This date must be greater than or equal to Block 8, Probable Date of First Exit from U.S.

***Probable Date of Final Entry into U.S.**

BLOCK 10A

Applicant Point of Contact

Provide all information for individuals who are familiar with the transaction and who can respond to questions from PM/DDTC or other government agencies. The individual(s) should be prepared to answer procedural and/or technical questions. At least one applicant contact must be identified. To enter contacts, click the "Add Entry" button.

***Name**

***Telephone**

Extension

***Email**

BLOCK 10B

U.S. Government Point of Contact (Optional)

Enter a U.S. Government contact if applicable. If a contact is identified, the Name, Telephone Number, and Agency fields become required. To enter U.S. Government contacts, click the "Add Entry" button.

***Name**

The individual identified in this block must be an employee of the U.S. Government. Do not list an employee of the Directorate of Defense Trade Controls.

Telephone

Extension

***Agency**

Select the Agency which the U.S. Government contact belongs to. If the appropriate agency is not available, select "Other." The "Please specify" field will appear for you to enter in the agency name.

BLOCK 11

Description of Transaction

Provide any related license numbers that apply to the sections below. When a previous license or agreement (Approved, Disapproved or RWAed) is identified, please provide a copy of the license/application/relevant pages of agreement including any proviso letters issued with your application. An electronic copy of the previous license, agreement or case can be attached to the "Precedent (identical/similar) Cases" document type.

***A. This application represents**

Select whether this transaction is a completely new shipment or the renewal of a previously issued license that has expired or is about to expire and not all the articles on the license have been shipped and subsequently returned to the U.S.

- ONLY a completely new shipment
- ONLY a replacement license of previously issued license number

***Enter applicable previous license number**

B. The IDENTICAL commodity

NOTE: You must first select the appropriate check boxes under this section to add license no(s)

Was licensed to the country in Block 4 of the first page under license number(s)

Select if there were previous approvals for identical or similar defense articles to the country(ies) listed in Block 4.

***Related license #**

Was licensed to other countries under license number(s)

Select if there were previous approvals for identical or similar defense articles to the country(ies) not listed in Block 4.

***Related license #**

Was returned without action under voided license number(s)

Select if there were previous applications for identical or similar defense articles that were RWAed.

***Related license #**

Was denied to the country in Block 4 of the first page under voided license number(s)

Select if there were previous applications for identical or similar defense articles that were denied to the country(ies) listed in Block 4.

***Related license #**

Was never licensed for this applicant

Select if a license for this applicant has never been approved, approved with proviso(s) or denied for the defense article(s).

***C. This application is related to an agreement**

Not Applicable

In furtherance of agreement number

Agreement Number

In support of agreement numbers

Agreement Number

***D. The commodity is being financed under**

Foreign Military Sale

Enter the applicable Foreign Military Sale case number(s). To enter additional case numbers, click the 'Add Case Number(s)' button.

***Case Number**

Foreign Military Financing

Enter the applicable Foreign Military Financing case number(s). To enter additional case numbers, click the 'Add Case Number(s)' button.

***Case Number**

Grant Aid Program

Enter the applicable Grant Aid Program case number. To enter additional case numbers, click the 'Add Case Number(s)' button.

***Case Number**

International Military Education and Training

Enter the applicable International Military Education and Training case number. To enter additional case numbers, click the 'Add Case Number(s)' button.

***Case Number**

U.S. Government Loan

Enter the applicable U.S. Government Loan case number. To enter additional case

numbers, click the 'Add Case Number(s)' button.

***Case Number**

U.S. Government Guarantee

Enter the applicable U.S. Government Guarantee case number. To enter additional case numbers, click the 'Add Case Number(s)' button.

***Case Number**

Not Applicable

***E. This application is related to a disclosure filed with Defense Trade Controls Compliance**

Yes/No

***Enter Compliance Disclosure Number**

BLOCKS 12-16 Commodities

Provide all required commodity information. At least one commodity must be identified. To enter one or more commodities, click the "Add Commodity" button.

Quantity Information

***Quantity**

Enter the exact number for each particular item or major component.

***Unit Type**

Select the appropriate unit of measure for the quantity of the commodity. Do not use terms like "lots" unless deemed appropriate and fully explained in the commodity description. "Lot" is generally only acceptable when used as a quantity for minor components or parts.

Commodity Description

***Commodity**

Provide a complete description to include model number, part number, military designation, etc. If the commodities are components or spare parts, identify the major end-item with which they are associated. When possible, indicate whether the commodity is new or used, and whether it is U.S. government surplus.

USML Category

Select the USML category and sub-category for the commodity. The USML, Part 121.1 in the ITAR, will assist you in identification of the category and sub-category for the commodity(ies) stated on your application.

***USML Category** (drop down menu)

***USML Sub-Category** (drop down menu)

\$ Value

Provide all required dollar value information in U.S. dollars only. Line item total is auto calculated based on the quantity multiplied by the unit price. The total value cannot exceed \$999,999,999,999,999.

***Unit Price**

Enter the unit price in U.S. dollars. Only enter the numeric value. Do not include currency symbols or commas.

Line Item Total

This field is auto calculated based on Quantity and Unit Price.

Total Value

This field is auto calculated based on the sum of all Line Item Totals.

BLOCK 17

Manufacturer of Commodity

At least one manufacturer of the commodity(ies) must be identified. More than one manufacturer of the commodity(ies) can be listed. To enter one or more manufacturers of the commodity(ies), click the "Add Entry" button.

Same as Block 5

If the manufacturer(s) of the commodity(ies) is the same as the applicant, select the 'Same as Block 5' check box. If the 'Same as Block 5' check box is selected, this field and the 'Add' button become inactive.

***Name**

Enter the full legal name of the manufacturer of commodity. Do not include “subsidiary of” statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name.

***Address**

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

***City**

***Country**

***State**

This field becomes active and required when the United States is selected for the Country.

***Zip Code**

This field becomes active and required when the United States is selected for the Country.

BLOCK 18

Temporary Foreign End-User

At least one temporary foreign end-user has to be identified. More than one temporary foreign end-user can be listed. If there are multiple countries of sojourn listed in Block 4, at least one temporary foreign end-user must be listed for each country of sojourn. To enter temporary foreign end-users, click the "Add Entry" button.

***Name**

Enter the full legal name of the temporary foreign end-user. Do not include "subsidiary of" statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name. Note that foreign government entities must be identified, at a minimum, to the ministry-level equivalent (e.g., "Ministry of Defense of Lilliput"). Applications that only state "Government of (Country)" may be returned without action.

***Address**

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

***City**

Country

This entry must be the same as the selection in Block 4.

***End-User Type**

Select one of the following for entity type: Foreign Government Entity (e.g., a foreign armed force), U.S. Government Entity (e.g., a U.S. Embassy overseas), Non-Government Entity (e.g., a commercial entity or private individual), or Other (if the entity does not fall under one of the other categories).

- Foreign Government Entity
- U.S. Government Entity
- Non-Government Entity
- Other

BLOCK 19

Source of Commodity

At least one source of the commodity(ies) must be provided. More than one source of the commodity(ies) can be listed. To enter one or more sources of the commodity(ies), click the "Add Entry" button.

Same as Block 5

If the source(s) of the commodity(ies) is the same as the applicant, select the 'Same as

Block 5' check box. If the 'Same as Block 5' check box is selected, this field and the 'Add' button become inactive.

Same as Block 17

If the source(s) of the commodity(ies) is the same as the manufacturer(s) of the commodity(ies), select the 'Same as Block 17' check box. If the 'Same as Block 17' check box is selected, this field and the 'Add' button become inactive.

***Name**

Enter the full legal name of the source of commodity. Do not include “subsidiary of” statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name.

***Address**

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

***City**

***Country**

***State**

This field becomes active and required when the United States is selected for the Country.

***Zip Code**

This field becomes active and required when the United States is selected for the Country.

BLOCK 20

Temporary Foreign Consignee

List all temporary foreign consignees that may receive the shipment for storage, modification, or for incorporation into another end-item, and for subsequent forwarding to the temporary foreign end-user. If the temporary foreign end-user is the only temporary foreign consignee, check the “Same as Block 18” box. More than one temporary foreign consignee can be listed. To enter temporary foreign consignees, click the "Add Entry" button.

Same as Block 18

***Name**

Enter the full legal name of the temporary foreign consignee. Do not include “subsidiary of” statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name. The temporary foreign consignee is the entity who will receive the shipment for storage, modification, or for incorporation into another end-item, and for subsequent forwarding to the temporary foreign end-user (Block 18). The temporary foreign consignee may also be in a country other than the temporary foreign end-user. Note that foreign government entities must be identified, at a minimum, to the ministry-level equivalent (e.g.,

“Ministry of Defense of Lilliput”). Applications that only state “Government of (Country)” may be returned without action.

***Address**

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

***City**

***Country**

BLOCK 21

U.S. Consignor/Freight Forwarder

At least one U.S. consignor/U.S. freight forwarder (to include the applicant, if applicable) has to be identified. More than one U.S. consignor and/or U.S. freight forwarder can be listed. To enter U.S. consignors and/or U.S. freight forwarders, click the "Add Entry" button.

Same as Block 5

If the applicant is delivering the goods directly to a common carrier, select the 'Same as Block 5' check box.

***Name**

Enter the full legal name of the U.S. Consignor/Freight Forwarder. Do not include “subsidiary of” statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name. The U.S. consignor/freight forwarder is the entity that will actually deliver the commodity(ies) identified to the foreign intermediate consignee/end user/consignee or common carrier (e.g., Federal Express, UPS, SYZ Airlines, etc.). When using a freight forwarder, state its complete name and address. List all U.S. freight forwarders that you anticipate will be handling this temporary export (this may include any freight forwarder that is under contract to the applicant to handle the export of defense articles).

***Address**

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

***City**

***State**

***Zip Code**

BLOCK 22

Foreign Intermediate Consignee

If applicable, list all foreign intermediate consignees that may receive the goods for onward movement to the foreign consignee or foreign end user. Otherwise select the "None" checkbox. More than one foreign intermediate consignee can be listed. To enter foreign intermediate consignees, click the "Add Entry" button.

None

If there are no foreign intermediate consignees, select the "None" check box. If the "None" check box is selected, the "Add Entry" button becomes inactive.

***Name**

Enter the full legal name of the foreign intermediate consignee. Do not include “subsidiary of” statements, partial address or location clarifiers, or go-by names in the Name field, unless those are part of the legal name. A foreign intermediate consignee is an entity who will receive the goods for onward movement to the foreign consignee or foreign end-user without actually taking title to the goods. This includes foreign freight forwarders, customs brokers, agents or representatives, and brokers as defined in 22 CFR 129(a). A foreign intermediate consignee may be an agency or entity in a country other than the country of ultimate destination. Note that foreign government entities must be identified, at a minimum, to the ministry-level equivalent (e.g., “Ministry of Defense of Lilliput”). Applications that only state “Government of (Country)” may be returned without action.

***Address**

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

***City**

***Country**

***Role**

Enter a description of the role the foreign intermediate consignee has in this transaction.

BLOCK 23

Specific Purpose

Details

Provide a complete account of the specific purpose of the requested export. Simply stating, "For marketing" or "for demonstration" is inadequate. In order to provide rationale for the review and final U.S. Government determination, the specific details in this block should describe the intended uses, end-users, and end-use platforms of the commodities involved in the transaction. If applicable, list the RWA license number.

End-Use System/Platform

List the systems and/or platforms for which the commodity will be used on, in, or with (as described in the Details section of this block). All intended end-use systems and/or platforms

must be identified. If the commodity is a system, identify the end-use platforms. If the commodity is a component or part, then identify both the end-use system and platform. For example, if the commodity is a component/part going into a remote weapons system (RWS) which will in turn be installed on an armored vehicle, then both the RWS and the vehicle must be listed. If a commodity itself is the end-use platform (e.g., a night vision goggle), then list the commodity.

BLOCK 24

Is the Article an Aircraft or Vessel?

***Is the Article an Aircraft or Vessel?**

Yes/No

Note: Blocks 25 - 29 are on another page and are only displayed if there is a "Yes" response to Block 24.

BLOCKS 25-29

Aircraft or Vessel Information

If the response to block 24 is yes, at least one aircraft or vessel has to be identified. More than one aircraft or vessel can be listed. To enter aircrafts or vessels, click the "Add Aircraft or Vessel Information" button.

***FAA Reg. # or Vessel Document #**

Enter the registration number of the aircraft or vessel. Be sure to include the equipment nomenclature (e.g., RA-3527, Lockheed C- 130H) and, in the case of a vessel, its name.

Vessel name

***Date of FAA Reg. # or Vessel Document #**

Make, Model, and Serial #

***Make**

***Model**

***Serial no.**

Physical Location

***Address**

***City**

***Country**

***State**

***Zip Code**

Commander and Operator or Certification License #

***Commander name**

***Operator or Cert. license no.**

***Address**

***City**

***Country**

***State**

***Zip Code**

***Telephone #**

BLOCK 30

Applicant's Statement

I, , an empowered official (22 CFR 120.67) or an official of a foreign government entity in the U.S., hereby apply for a license to complete the transaction described above; warrant the truth of all statements made herein; and acknowledge, understand and will comply with the provisions of 22 CFR 120-130, and any conditions and limitations imposed.

I am authorized by the applicant to certify the following in compliance with 22 CFR 126.13:

1. Neither the applicant, its chief executive officer, president, vice presidents, other senior officers or officials (e.g., comptroller, treasurer, general counsel) nor any member of its board of directors is:
 - a. the subject of an indictment for or has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.6 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or

- b. ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government;
- 2. To the best of the applicant's knowledge, no party to the export as defined in 22 CFR 120.68(a) has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.6 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976); or is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government; and

***22 CFR 126.13 Certification**

- a. I am authorized by the applicant to certify that the applicant and all the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above.
- b. I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, is attached.
- c. I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in Section 127.11 of the ITAR.
- d. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant and all of the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.
- e. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, and a letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13 are attached.
- f. I am not authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in 22 CFR 127.11 of the ITAR. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.