

Block 1

*PAPERWORK REDUCTION ACT STATEMENT: Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (A/GIS/DIR) Washington, D.C. 20520.

Transaction Number

Enter your internal submission company identifier for this application.

Classified Information must NOT be included, or referred to, in the form.

Classified information must be sent separately to PM/DDTC in accordance with Defense Security Service guidelines. For issues that may pertain to classified information, contact the DDTC Response Team.

Classified information is being sent under separate cover help

Required Documents

- Copy of DoS License with PM/DDTC Proviso(s)

Document Type	File Name	Remove
---------------	-----------	--------

Document Type ([drop down menu](#))

Document to upload

Choose File

Upload

Block 2

DDTC Registration Code

Select your registration code. If no codes are displayed, confirm you have an active registration code prior to submitting a license application.

Registration Code

Select your registration code for this application.

Block 3

Applicant

Provide all Applicant information. If you are a subsidiary provide your parent company's information in the Applicant information and check the Subsidiary checkbox to provide your information.

Subsidiary

Select if the applicant/requester is a subsidiary of the PM/DDTC registered company to which the PM/DDTC registration code belongs.

Name (read-only)

Attention

Enter the first and last name of the person who is responsible for this application for the applicant.

Address

Incomplete/imprecise addresses or use of P.O. boxes will result in your request being RWAed.

City

State ([drop down menu](#))

Zip Code

Telephone

Extension

Block 4

Amendment to License #

I certify that the stated license has an unshipped balance and has not expired.

Block 5

Amendment in Accordance with

Amendment in Accordance with

- 22 CFR 123.25
 - 22 CFR 122.4
-

Block 6

Applicant Point of Contact

Provide all information for individuals who are familiar with the transaction and who can respond to questions from PM/DDTC or other government agencies. The individual(s) should be prepared to answer procedural and/or technical questions. To enter one or more applicant contacts, click the "Add Entry" button.

*** Name**

*** Telephone**

Extension

***Email**

Block 7

Summary of Amendment(s) to DSP-5

Select all block(s)/amendment type(s) being amended. The blocks that may be amended on a DSP-5 license are: Block 2, 5, 9, 10, 12, 13, 15, 16, 17, 18, 19 and 21. To ensure the right selections have been made, only the items selected in this block will become enabled for editing.

- DTCC Acknowledgment Letter (Modify Blocks 2, 5, 15, 17, 19, and/or 21)
- Modify Applicant/Registrant Code
- Modify Block 5, Applicant/Subsidiary
- Correct Block 9, Quantity
- Correct Block 10, Commodity Description
- Correct Block 12, \$ Value
- Correct Block 13, Total Value
- Correct Block 14, Foreign End-User
- Modify Block 15, Manufacturer of Commodity
- Modify Block 16, Foreign Consignee
- Modify Block 17, Source of Commodity
- Modify Block 18, Foreign Intermediate Consignee
- Modify Block 19, Seller in U.S.
- Modify Block 21, U.S. Consignor and/or U.S. Freight Forwarder

Block 8

Additional Details

Additional Details of Transaction

This application is related to a disclosure filed with Defense Trade Controls Compliance

Yes No

***Enter Compliance Disclosure Number**

USML Category ([drop down](#))

Block 9 Applicant Statement

I, _____, an empowered official (22 CFR 120.67) or an official of a foreign government entity in the U.S., hereby apply for approval of the above amendment(s); warrant the truth of all statements made herein; and acknowledge, understand and will comply with the provisions of 22 CFR 120-130, and any conditions and limitations imposed.

I am authorized by the applicant to certify the following in compliance with 22 CFR 126.13:

1. Neither the applicant, its chief executive officer, president, vice presidents, other senior officers or officials (e.g., comptroller, treasurer, general counsel) nor any member of its board of directors is:
 - a. the subject of an indictment for or has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.6 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 , or
 - b. ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government;
2. To the best of the applicant's knowledge, no party to the export as defined in 22 CFR 120.68(a) has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.6 since the effective date of the Arms Export Control Act, Public Law 94-329, 90 Stat. 729 (June 30, 1976), or is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government.

22 CFR 126.13 Certification

- a. I am authorized by the applicant to certify that the applicant and all the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above.
- b. I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, is attached.

- c. I am authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in Section 127.11 of the ITAR.
- d. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant and all the parties to the transaction can meet in full the conditions of 22 CFR 126.13 as listed above. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.
- e. I am not authorized by the applicant to certify the conditions of 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. A request for an exception to policy, as described in 22 CFR 127.11 of the ITAR, and a letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13 are attached.
- f. I am not authorized by the applicant to certify to 22 CFR 126.13. The applicant or one of the parties of the transaction cannot meet one or more of the conditions of 22 CFR 126.13 as listed above. However that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in 22 CFR 127.11 of the ITAR. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR 126.13.

Privacy Act Statement

AUTHORITIES: The information is sought pursuant to the Arms Export Control Act (22 U.S.C. 2751 et seq.), the International Traffic in Arms Regulations (22 CFR Parts 120-130) and Executive Order 13637.

PURPOSE: The principal purpose of this information collection is to allow the Directorate of Defense Trade Controls (DDTC) to process Applications/Licenses for Defense Articles or Services in accordance with the Arms Export Control Act and the International Traffic in Arms Regulations.

ROUTINE USES: The information collected may be made available to appropriate agencies for law enforcement or pursuant to a court order. They may also be used to send required reports to Congress about certain defense transactions. More information on the Routine Uses for the system can be found in the System of Records Notice State-42, Munitions Control Records.

DISCLOSURE: Disclosure of this information is required to obtain benefits. Failure to provide the information requested on this form may result in delays in considering an application or in an application not receiving full consideration.

Submit to Empowered Official